

Name and Address:

Securities Dealers Risk Purchasing Group, Inc.
9513 Key West Avenue
Rockville, MD 20850

Details of organization:

Corporation organized under Section 102 of the General Corporate Law of the State of Delaware on October 10, 1995.

Affiliation:

Wholly-owned subsidiary of the National Association of Securities Dealers.

Business or functions:

Support and assist financial professionals in the purchase of liability and other insurance coverage

Articles of Incorporation:

Attached as Exhibit A.

By-Laws:

Attached as Exhibit B.

Officers, Governors, and Standing Committee members:

Directors: James R. Allen; Dean G. Boyle; Todd Diganci

Officers: James R. Allen, President; Dean G. Boyle, Vice President; Todd Diganci, Treasurer; Joel Fickett, Secretary.