



Web IARD Account Administrator Entitlement Form

All designated Primary and Alternate Account Administrators must complete a copy of this form. Return completed forms to NASDR in the enclosed return envelope. **DO NOT SEND THIS FORM TO THE SEC OR A STATE. It will be returned to you and delay your access to the Web IARD System.** The Account Administrator will be the firm's point of contact for all Web IARD communications concerning Web IARD entitlement.

Web IARD Entitlement Information

IA Firm Name: _____

(Please print name as shown on the Web IARD UAAF Form)

If your Firm also is registered as a broker-dealer, enter your Firm's CRD number: _____

Account Administrator User Information

Check the box if your designated Account Administrator already has a user account for Web CRDSM or Regulation Form FilingSM (e.g. FOCUS, REG T)

I am already entitled as a Web CRDSM or Regulation Form FilingSM user.

Name: _____

First Middle Last

Check one of the boxes below to show your Account Administrator status.

I am the Primary Account Administrator I am an Alternate Account Administrator

Date of Birth ___/___/___ MM/DD/YYYY. Telephone Number: (____) _____

(Date of birth is used to verify the identity of the user.)

As the Account Administrator, please enter an X in the left side of the table below for each Entitlement Privilege your IA Firm requires you to have.

Accounting		
	Accounting	Provides the capability to view your Firm's IA Accounting data.
Reports		
	Reports	Provides the capability to access ReportMart to retrieve your Firm's IA reports.
Form Filing		
	Form ADV and ADV-W	Provides the capability to enter : ADV - Initial Application and Amendments to Form ADV. ADV-W - Partial and Full form filings.
	Submit Forms	Provides the capability to submit : ADV - Initial Application and Amendments to Form ADV. ADV-W - Partial and Full form filings.
Organization		
	Non-Filing Information	Provides the capability to view the Firm's Non-Filing Information
	Transition Data	Provides the capability to transition state registrations and Notice Filings onto the system.
	Organization Firm Queues	Provides the capability to view Firm Notices.
	View Organization Information	Provides the capability to view information about your organization.