

EXHIBIT A
List of SEC Insider Trading Cases from FY2001 to Present
(as of September 22, 2006¹⁸)

FY 2001

<u>Name of Case</u>	<u>Release Number</u>	<u>Date Filed</u>
SEC v. Marc B. Nogid	LR-16746	10/03/2000
SEC v. Robert D. Happ	LR-16755	10/05/2000
SEC v. Michael Andrew Petrescu-Commene	LR-16765	10/13/2000
SEC v. Jerome Alpin, et al.	LR-16774	10/18/2000
In the Matter of Marc B. Nogid	34-43472	10/23/2000
SEC v. Jerome J. Nell, et al.	LR-16790	11/03/2000
SEC v. Richard A. Svoboda, et al.	LR-16791	11/07/2000
SEC v. Robert C. Schuster	LR-16806	11/20/2000
SEC v. Stephen J. Cowley	LR-16812	11/28/2000
SEC v. Leslye R. Schaefer, et al.	LR-16815	12/01/2000
In the Matter of Brett S. Henderson	34-43681	12/06/2000
SEC v. Harold W. Andrews, et al.	LR-16844	12/28/2000
SEC v. Colleen M. Millsap	LR-16850	01/03/2001
SEC v. Steven Eichenholz	LR-16853	01/03/2001
SEC v. Midpoint Trading Corp., et al.	LR-16862	01/17/2001
SEC v. Alan Myles Dornfeld	LR-16869	01/23/2001
SEC v. Keith J. Kim, et al.	LR-16872	01/29/2001
SEC v. David M. Bonrouhi	LR-16880	01/31/2001
In the Matter of Daniel M. Porush	34-43937	02/07/2001
In the Matter of Alan M. Stricoff	34-43936	02/07/2001
SEC v. David M. Brooks	LR-16893	02/08/2001
SEC v. Joanne M. Moore, et al.	LR-16899	02/14/2001
SEC v. Maria Iacovelli, et al.	LR-16901	02/15/2001
In the Matter of David M. Bonrouhi	34-43967	02/15/2001
SEC v. Daniel J. Lagermeier	LR-16903	02/21/2001
In the Matter of Allan G. Schaefer	34-43997	02/23/2001
SEC v. Aaron C. Finch	LR-16933	03/15/2001
SEC v. Robert P. Oliver, et al.	LR-16949	04/02/2001
SEC v. Melissa K. Quizenbeury	LR-16959	04/10/2001
SEC v. Matthew Joel Mesplou	LR-16956	04/10/2001
SEC v. Malcolm B. Wittenberg	LR-16970	04/18/2001
In the Matter of Cristan Kinnard Blackman, et al.	34-44204	04/19/2001
SEC v. J. Van Oliver	LR-16971	04/19/2001
SEC v. Robert K. Gasper, et al.	LR-16972	04/23/2001
SEC v. Daniel R. Dugan	LR-16987	05/02/2001
SEC v. Jorge Eduardo Ballesteros Franco, et al.	LR-16991	05/08/2001

¹⁸ This list includes cases that we have classified as insider trading cases, although they may involve multiple allegations. This list does not include additional cases that may involve insider trading allegations, but that we have determined are more appropriately given another primary classification (for example, Broker-Dealer).

SEC v. Alejandro Duclaud Gonzalez de Castilla, et al.	LR-16997	05/11/2001
In the Matter of Michael Andrew Petrescu-Comnene	34-44310	05/16/2001
SEC v. W. Blake Brock, et al.	LR-17005	05/16/2001
SEC v. Steve Madden	LR-17015	05/23/2001
SEC v. Henry T. Pietraszek	LR-17022	05/31/2001
SEC v. Thomas Houck, et al.	LR-17029	06/06/2001
In the Matter of Ricardo Ballesteros Gutierrez	34-44420	06/13/2001
SEC v. George F. Brandt	LR-17161	07/02/2001
SEC v. Steven G. Thanhauser	LR-17077	07/23/2001
SEC v. James A. Anderson	LR-17103	07/26/2001
SEC v. Vincent Napolitano	LR-17107	08/21/2001
SEC v. Robert Breed	LR-17107	08/21/2001
SEC v. Shewak Vashdev Banchant	LR-17128	09/05/2001
SEC v. Harry Parker Daily, et al.	LR-17124	09/10/2001
SEC v. Patrick Joseph Danaher, et al.	LR-17125	09/10/2001
SEC v. Randall D. Martin, et al.	LR-17141	09/19/2001
SEC v. Dennis Ciccone	LR-17143	09/20/2001
SEC v. Devin A. Danehy	LR-17150	09/21/2001
SEC v. David Kenneth Tomney	LR-17153	09/27/2001
SEC v. Brendan J. Sterne, et al.	LR-17154	09/27/2001
In the Matter of Robert Bruce Lohmann	NONE	09/28/2001

FY 2002

<u>Name of Case</u>	<u>Release Number</u>	<u>Date Filed</u>
SEC v. Alan E. Wesa	LR-17168	10/01/01
SEC v. Sol Berg, et al.	LR-17170	10/04/01
In the Matter of Ryan Campbell Doersam	34-44939	10/16/01
SEC v. Rodolfo Luzardo, et al.	LR-17197	10/18/01
SEC v. William A. Rothrock, IV, et al.	LR-17213	10/31/01
SEC v. Joseph F. Doody IV, et al.	LR-17225	11/08/01
SEC v. Ken C. Chow, et al.	LR-17243	11/19/01
SEC v. Mark Apton, et al.	LR-17243	11/19/01
SEC v. Robert J. Prevette, et al.	LR-17243	11/19/01
SEC v. Geoffrey Chang, et al.	LR-17243	11/19/01
SEC v. David Chang, et al.	LR-17243	11/19/01
SEC v. Evan K. Lau, et al.	LR-17243	11/19/01
SEC v. Atul Bhagat, et al.	LR-17243	11/19/01
SEC v. George P. Matus, et al.	LR-17259	12/04/01
SEC v. Patricia A. Burgenhagen, et al.	LR-17278	12/18/01
SEC v. Sean R. Price, et al.	LR-17279	12/19/01
SEC v. Douglas M. Gloff	LR-17282	12/19/01
In the Matter of Benjamin J. Maldonado, III	34-45198	12/27/01
SEC v. Felix Litvinsky, et al.	LR-17306	01/14/02
SEC v. Robert C. Lowes	LR-17320	01/16/02

SEC v. Daniel J. Wooten III	LR-17330	01/22/02
SEC v. Ryan D. Evans, et al.	LR-17340	01/24/02
SEC v. Thomas T. Johnson, et al.	LR-17347	01/30/02
SEC v. Pablo Escandon Cusi, et al.	LR-17356	02/07/02
SEC v. John S. Kramer, et al.	LR-17391	02/19/02
SEC v. John Patrick Fitzgerald	LR-17370	02/21/02
SEC v. Robert Bartzoff	LR-17384	02/21/02
SEC v. John J. Cassese	LR-17378	02/25/02
SEC v. Hugo Salvador Villa Manzo, et al.	LR-17395	03/06/02
SEC v. Ronald K. Mahabir, et al.	LR-17401	03/07/02
SEC v. Andrew W. Sachs	LR-17402	03/07/02
SEC v. Anthony Chryssikos, et al.	LR-17404	03/07/02
SEC v. John Harbottle	LR-17424	03/20/02
SEC v. Geoffrey Etherington II, et al.	LR-17467	04/11/02
SEC v. George Kline, et al.	LR-17475	04/17/02
In the Matter of Hugo Salvador Villa Manzo	34-45806	04/24/02
SEC v. Eric Patton, et al.	LR-17495	04/30/02
SEC v. Edward Fruchtenbaum	LR-17499	05/02/02
In the Matter of Erich A. Kline	34-45878	05/03/02
SEC v. Steven S. Goldberg	LR-17505	05/07/02
In the Matter of Steven S. Goldberg	34-45888	05/07/02
SEC v. Josephine Anne Pagano	LR-17543	06/05/02
SEC v. Sitestar Corporation, et al.	LR-17541	06/05/02
SEC v. John Wesley Straub, et al.	LR-17549	06/10/02
SEC v. Jean-Jacques Degroof, et al.	LR-17554	06/11/02
SEC v. Samuel D. Waksal	LR-17559	06/12/02
SEC v. Janice A. Loef	LR-17599	06/28/02
SEC v. Jay S. Laveson	LR-17596	07/02/02
SEC v. Barry L. Saffer	LR-17597	07/02/02
In the Matter of Ronald K. Mahabir	34-46217	07/17/02
SEC v. Joseph Sidoryk, et al.	LR-17628	07/23/02
SEC v. Edward J. Smith, et al.	LR-17629	07/24/02
SEC v. Michael A. Ofstedahl, et al.	LR-17645	07/31/02
SEC v. Timothy P. Horne	LR-17680	08/15/02
SEC v. Genentech, Inc., et al.	LR-17684	08/15/02
SEC v. John Gomersall and Barry McGriff	LR-17699	08/22/02
SEC v. Michael W. Foti	LR-17700	08/27/02
SEC v. Harvey R. Dobrow, et al.	LR-17733	09/18/02

FY 2003

<u>Name of Case</u>	<u>Release Number</u>	<u>Date Filed</u>
SEC v. Thomas M. Gibson, et al.	LR-17767	10/03/2002
SEC v. Terry L. Kirch	LR-18314	10/07/2002
In the Matter of Michael Nicolaou	34-46608	10/07/2002
SEC v. Lionel P. Thotam	LR-17784	10/10/2002
SEC v. Lorene Ellen Turpin, et al.	LR-17786	10/15/2002

SEC v. William J. Pardue	LR-17806	10/24/2002
In the Matter of Rodolfo Luzardo	34-46854	11/12/2002
In the Matter of Sharad Kapoor	34-46871	11/21/2002
In the Matter of Arjun Sekhri	34-46873	11/21/2002
SEC v. Andrew S. Marks	LR-17871	12/03/2002
SEC v. Rand E. Shapiro, et al.	LR-17893	12/12/2002
SEC v. Robert Williams	LR-17932	01/15/2003
SEC v. Phong Nguyen, et al.	LR-17940	01/16/2003
SEC v. William D. Parker, et al.	LR-17944	01/21/2003
SEC v. Roger D. Blackwell, et al.	LR-17944	01/21/2003
SEC v. David W. Maxwell, et al.	LR-17944	01/21/2003
SEC v. Timothy J. Potter, et al.	LR-17958	01/30/2003
SEC v. Linda A. Watson, et al.	LR-17972	02/06/2003
SEC v. Kenneth W. Mellert, et al.	LR-17983	02/13/2003
In the Matter of Peter Matus	34-47487	03/12/2003
SEC v. James D. Logan	LR-18033	03/13/2003
SEC v. Wilmer Reid Funderburk, et al.	LR-18041	03/18/2003
SEC v. Geoffrey E. Fitts, et al.	LR-18080	04/10/2003
SEC v. Raymond S. Evans	LR-18133	05/12/2003
In the Matter of Gordon K. Allen, Jr.	34-47887	05/19/2003
In the Matter of Chad L. Conner	34-47886	05/19/2003
SEC v. David F. Carvajal	LR-18148	05/20/2003
SEC v. Michael T. Mulligan	LR-18156	05/23/2003
SEC v. Gregory D. Frazier, et al.	LR-18158	05/28/2003
SEC v. Gregory J. Misfeldt, et al.	LR-18160	05/28/2003
SEC v. Eric I. Tsao	LR-18164	06/02/2003
SEC v. Martha Stewart, et al.	LR-18169	06/04/2003
In the Matter of Jon Geibel	34-47989	06/05/2003
In the Matter of Seth J. Glaser	34-47987	06/05/2003
In the Matter of Peter L. Cohen	34-47988	06/05/2003
SEC v. Carl Stevens, et al.	LR-18238	07/18/2003
SEC v. Marvin W. Goldstein	LR-18246	07/23/2003
SEC v. Mark Fisch, et al.	LR-18264	07/30/2003
SEC v. Davi Thomas	LR-18298	08/20/2003
SEC v. Robert Arneson, et al.	LR-18321	09/03/2003
SEC v. Peter J. Davis, Jr., et al.	LR-18322	09/04/2003
In the Matter of Goldman, Sachs & Co.	34-48436	09/04/2003
In the Matter of Massachusetts Financial Services Company	IA-2165	09/04/2003
SEC v. Kris Klinger	LR-18333	09/09/2003
SEC v. Arthur K. Bartlett	LR-18361	09/24/2003
SEC v. Warren J. Soloski	LR-18368	09/25/2003
SEC v. John R. Felder, et al.	LR-18376	09/25/2003
In the Matter of Warren J. Soloski	33-8293	09/26/2003
SEC v. Frances J. Burkitt, et al.	LR-18384	09/29/2003
SEC v. DeWalt J. Willard, Jr., et al.	LR-18379	09/30/2003

FY 2004

<u>Name of Case</u>	<u>Release Number</u>	<u>Date Filed</u>
In the Matter of John Ray Rooney	34-49179	02/03/2004
SEC v. Kenneth S. Martin	LR-18564	02/04/2004
SEC v. Leon Levy, et al.	LR-18584	02/19/2004
SEC v. InVision Technologies, Inc.	LR-18657A	03/16/2004
SEC v. Sean S. Coghlan, et al.	LR-18633	03/23/2004
SEC v. E. Garrett Bewkes, Jr., et al.	LR-18658	04/07/2004
SEC v. Alfred S. Teo, Sr., et al.	LR-18673	04/22/2004
SEC v. Allen M. Glick, et al.	LR-18675	04/22/2004
SEC v. Barry Richard Kusatzky	AAER-1996	04/28/2004
In the Matter of Robert D. Bewkes	34-49645	05/03/2004
SEC v. Paula H. Rieker	AAER-2018	05/19/2004
In the Matter of Frank J. Zangara	34-49805	06/03/2004
SEC v. Frederick David Jones, et al.	LR-18742	06/10/2004
SEC v. Fiore J. Gallucci, et al.	LR-18873	06/16/2004
SEC v. J. Thomas Talbot	LR-18762	06/24/2004
SEC v. David M. Willey, et al.	LR-18794	07/26/2004
SEC v. Rick A. Marano, et al.	LR-18799	07/27/2004
SEC v. Kenneth F. Kryzda	LR-18806	07/28/2004
SEC v. Peter O. Marion	LR-18796	07/28/2004
SEC v. Michael J. McCloskey, et al.	LR-18819	08/02/2004
SEC v. Derrick S. McKinley	LR-18832	08/12/2004
In the Matter of Daniel Harris	34-50194	08/13/2004
SEC v. Gary M. Kornman	LR-18836	08/18/2004
SEC v. John Patrucco	LR-18846	08/24/2004
In the Matter of Fiore J. Gallucci	34-50334	09/09/2004
In the Matter of Andrew S. Marks	34-50432	09/23/2004
SEC v. Mark Kelly, et al.	LR-18906	09/28/2004
SEC v. Linda Ensor, et al.	LR-18902	09/28/2004
SEC v. James R. Jensen	LR-18912	09/29/2004
SEC v. Gerhard Andlinger, et al.	LR-18383	10/01/2003
SEC v. Stephen A. White, et al.	LR-18407	10/09/2003
In the Matter of Stephen A. White	34-48645	10/16/2003
In the Matter of Louis B. Lloyd	34-48661	10/20/2003
SEC v. Rodney R. Proto, et al.	AAER-1904	10/22/2003
SEC v. Bruce E. Snyder, Jr.	AAER-1904	10/22/2003
SEC v. Guillermo Garcia Simon, et al.	LR-18763	10/28/2003
SEC v. James M. Adelt, et al.	LR-18442	11/03/2003
SEC v. AmeriCredit Corp.	LR-18442	11/03/2003
In the Matter of Joseph F. Doody IV	34-48870	12/03/2003
SEC v. Richard Wilson	LR-18496	12/04/2003
In the Matter of John M. Youngdahl, Jr.	34-48900	12/10/2003
SEC v. Lianne and Stanley Gulkin	LR-18500	12/11/2003

FY 2005

<u>Name of Case</u>	<u>Release Number</u>	<u>Date Filed</u>
In the Matter of Joseph T. Falcone	34-50516	10/12/2004
In the Matter of Larry F. Smath	34-50515	10/12/2004
SEC v. Kevin J. Hobbs, et al.	LR-18977	11/16/2004
SEC v. Russell T. Bradlee, et al.	LR-18974	11/17/2004
SEC v. Peter J. Wilson	LR-18979	11/18/2004
SEC v. Michael J. Ricks, et al.	LR-18983	11/22/2004
SEC v. Evan S. Collins	LR-18986	11/30/2004
SEC v. Min T. Ma, et al.	LR-18998	12/14/2004
In the Matter of Dimitrios Kostopoulos	34-50933	12/27/2004
SEC v. Patricia B. Rocklage, et al.	LR-19032	01/12/2005
SEC v. Mark A. Bailin	AAER-2167	01/13/2005
SEC v. Charles E. Jannetti, et al.	LR-19037	01/13/2005
SEC v. Patrick S. Anderson, et al.	LR-19038	01/19/2005
SEC v. Jun Singo Liang	LR-19049	01/25/2005
SEC v. Frank R.V. Loomans, et al.	LR-19075	02/10/2005
SEC v. Patsy J. Aldredge, et al.	LR-19073	02/10/2005
SEC v. Richard Curtiss	LR-19082	02/16/2005
SEC v. John D. Hutchinson	LR-19106	02/28/2005
SEC v. Robert Goehring	LR-19105	03/02/2005
SEC v. Armund Ek	LR-19104	03/03/2005
SEC v. Zvi Fuks, et al.	LR-19128	03/09/2005
SEC v. Anthony C. Sudol III, et al.	LR-19162	03/31/2005
SEC v. Alina Welt, et al.	LR-19190	04/19/2005
SEC v. Nabil Hanna	LR-19194	04/20/2005
SEC v. Guillaume Pollet	LR-19199	04/21/2005
SEC v. John E. Martin	LR-19205	04/26/2005
SEC v. Ernesto Sibal, et al.	LR-19210	04/28/2005
In the Matter of Andrew M. Welt	IA-2382	05/11/2005
SEC v. Steven E. Nothern	LR-19223	05/12/2005
SEC v. Hilary L. Shane	LR-19227	05/18/2005
In the Matter of James J. McDermott, Jr.	34-51794	06/07/2005
SEC v. Gary D. Force	LR-19252	06/08/2005
SEC v. Zomax, Inc.	LR-19262	06/09/2005
In the Matter of Hilary L. Shane	34-51839	06/14/2005
SEC v. Barry Hertz	LR-19268	06/14/2005
SEC v. Jeffrey L. Matthews, et al.	LR-19304	07/18/2005
SEC v. Philip Evans, et al.	LR-19312	07/26/2005
SEC v. Sonja Anticevic, et al.	LR-19374	08/05/2005
In the Matter of Benjamin Y. Chiu	34-52222	08/08/2005
In the Matter of Ernesto V. Sibal, et al.	34-52223	08/08/2005
SEC v. David J. Shlansky	LR-19332	08/10/2005
SEC v. Raymond L. Burke	LR-19355	09/01/2005
SEC v. David L. Johnson	LR-19363	09/07/2005
SEC v. Jameson L. Thottam	LR-19364	09/07/2005
SEC v. James J. Farley, et al.	LR-19379	09/15/2005
SEC v. Rodney R. Drinen, et al.	LR-19378	09/15/2005
SEC v. Stanford Cohen	LR-19383	09/19/2005
SEC v. Jerry C. Moyes	LR-19389	09/21/2005
SEC v. Brian G. Paquette, et al.	LR-19393	09/26/2005

FY 2006 to date¹⁹

<u>Name</u>	<u>Release Number</u>	<u>Date Filed</u>
Petrosky, Robert B.	LR 19429	10/12/2005
Furino, Frank J.	34-52636	10/19/2005
Lohmus Haavel & Viisemann, et al.	LR 19450	11/01/2005
One Or More Unknown Purchasers Of Call Options for the Common Stock Of Placer Dome Inc.	LR 19457	11/02/2005
Casbarro, Ralph D.	34-52762	11/10/2005
Herwitz, Gary D., et al.	LR 19499	12/19/2005
Breed, Robert A.	34-53010	12/22/2005
Champe, Gregory N.	LR 19514	12/22/2005
Edelman, Lee	LR 19518	01/03/2006
Bucknum, Thomas J.	LR 19528	01/17/2006
Jeong, Deog Kyoong	LR 19531	01/17/2006
Kishel, Mark	LR 19539	01/19/2006
Joo, Robert Y.	34-53169	01/23/2006
Menezes, Victor J.	LR 19549	01/31/2006
Day, William A.	LR 19553	02/02/2006
Agarwala, Sanjiv S.	LR 19568	02/15/2006
Cao, Alan, et al.	LR 19586	03/01/2006
Drucker, Mitchell S., et al.	LR 19587	03/02/2006
Tom, Michael K. C.	IA 2494	03/08/2006
Langley Partners, L.P., et al	LR 19607	03/14/2006
A.B. Watley Group, Inc., et al.	LR 19616	03/21/2006
Singh, Sanjay	33-8673	03/21/2006
Yaroshinsky, Alexander J.	LR 19636	03/28/2006
Favilla, Terry F., et al.	LR 19628	03/29/2006
Feng, Xiangsha	LR 19648	04/06/2006
A Gerland, Kenneth M., et al.	LR 19649	04/10/2006
Garvey, Patrick I.	LR 19649	04/10/2006
Karaoulis, Diane	LR 19649	04/10/2006
Anthony, Garner	LR 19654	04/11/2006
Anderson, David N., et al.	LR 19665	04/24/2006
Obus, Nelson J., et al.	LR 19667	04/25/2006
Deakins, William B.	34-53719	04/25/2006
Deephaven Capital Management, LLC, et al.	LR 19683	05/02/2006
Leclerc, Leonard P., et al.	LR 19684	05/02/2006
Downs, Robert J., Jr., et al.	LR 19698	05/15/2006
Coughlin, Paul F.	34-53845	05/19/2006
Anton, Frederick W. III	LR 19712	05/31/2006
Roszak, Matthew, et al.	LR 19722	06/08/2006

¹⁹ FY 2006 information is not finalized and is subject to change.

Cavallero et al.	LR 19731	06/15/2006
Huscher, Justin	LR 19736	06/22/2006
One Or More Unknown Purchasers Of Call Options for the Common Stock Of Petco Animal Supplies, Inc.	LR 19778	07/17/2006
Kahl, John, J., et al.	LR 19767	07/19/2006
Huscher, Justin	IA-2536	07/21/2006
Behl, Charan R., et al.	LR 19777	07/27/2006
Mitchell, Stewart P. "Tom"		