

Insider Home > Divisions & Offices > Headquarters Offices > Office of Investor Education and Advocacy (OIEA)

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Office of Investor Education and Advocacy

Our investor education and advocacy staff serves individual investors who complain to the SEC about investment fraud or the mishandling of their investments by securities professionals. The staff responds to a broad range of investor contacts, produces and distributes educational materials, and organizes educational events.

What's New

Featured Inside

- FAQ
- Staff
- Forms
- Form Letters
- Reports
- Search Key Topics

ACTS+ Code Listings

Contact Lists

- Entity Contacts
- SEC Liaisons
- State Legal Referrals

Training Manuals

- Securities Act of 1933
 - Word
 - PDF
- Securities Exchange Act of 1934
 - Word
 - PDF

Youth Education Materials

- Powerpoint presentation for schools
- Poster illustrating compound interest
- Additional Resources

/divisions_offices/hq/oiea/OIEAHome.html