

RE: Jordan from CBOE

5/16/2006 11:20:06 AM

From: Lamore, Peter
To: Suh, Simona [SuhS@SEC.GOV]

Ok, I may do some options digging myself.

From: Suh, Simona
Sent: Tuesday, May 16, 2006 10:01 AM
To: Lamore, Peter
Subject: RE: Jordan from CBOE

I don't think Rob spoke to Market Reg, and, to be honest, considering how unhelpful they usually are, I doubt it's worth the effort. He did speak to Joe Cella of Market Surveillance briefly last week, and got the advice to call NASD – thus the call this afternoon.

I don't think we should worry about Bernie finding out to whom we speak: (1) he knows we are looking at his trading; and (2) we are not telling anybody that we have found anything improper (except for his lies to us, of course).

Yes, I think it would be better if William did not attend – even without him, it will be 5 of us and one Bernie.

From: Lamore, Peter
Sent: Tuesday, May 16, 2006 8:13 AM
To: Suh, Simona
Subject: RE: Jordan from CBOE

2:00 works for me too. Do you know if Rob spoke to someone in Market Regulation (SEC)?

I know that it is irrelevant, but keep in mind that the more people that we speak to, the more likely it gets back to Bernie. He is very well connected, especially to the NASD.

Should I tell William Ostrow that it is best that he not attend Bernie's testimony in order to keep the number of SEC people to a minimum?

From: Suh, Simona
Sent: Monday, May 15, 2006 5:20 PM
To: Johnson, Stephen; Lamore, Peter; Cheung, Meaghan S.
Subject: RE: Jordan from CBOE

Susan Tibbs from NASD Regulation is available to talk to us tomorrow at 2. Does that work for you?

From: Suh, Simona
Sent: Friday, May 12, 2006 3:32 PM
To: Johnson, Stephen; Lamore, Peter; Cheung, Meaghan S.
Subject: Jordan from CBOE

Jordan called back to say that he does not know anything about OTC options trading and suggested that we talk to somebody at NASD. I left a message for Cameron at NASD – I'll let you what happens.