

**Office Memorandum * U.S. SECURITIES & EXCHANGE COMMISSION
NORTHEAST REGIONAL OFFICE**

September 13, 2006

To: Jeffrey Risinger
Associate Executive Director
Office of Human Resources

From:

OCIE Assistant Regional Director
[Redacted]
New York Branch Chief
[Redacted]

Subject: Peter Lamore - Promotion [Redacted] Personal Privacy [Redacted]

Personal Privacy
[Redacted]

During his examination of Bernard L. Madoff Investment Securities LLC (“Madoff”), Mr. Lamore reviewed all aspects of Madoff’s unregistered institutional trading business. Two months after completing the examination, NERO’s Division of Enforcement received a tip suggesting wrongdoing in Madoff’s institutional trading business. Mr. Lamore assisted the Enforcement staff with its investigation by providing insight into Madoff’s institutional trading business. As a result of this collaboration, significant differences were uncovered between what was communicated by Madoff during the examination versus the Enforcement staff’s findings. [Redacted] Personal Privacy [Redacted]

Personal Privacy
[Redacted]

Personal Privacy, Examination Material
[Redacted]

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Personal Privacy