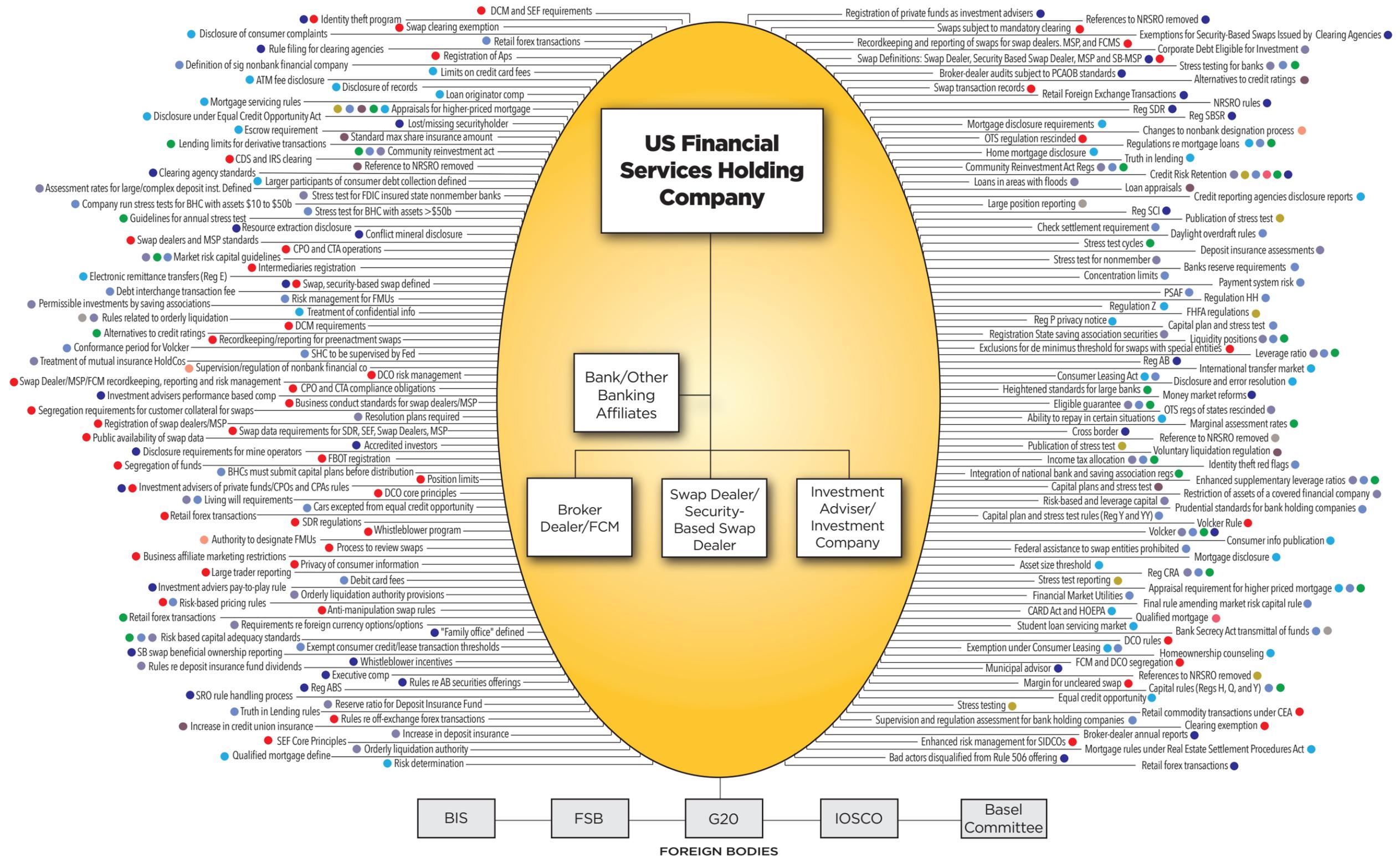


Rules Applicable to U.S. Financial Services Holding Companies Since July 2010



Relevant Regulators Not Depicted

- US SROs (e.g., FINRA, MSRB, NFA)
- Foreign country regulation of domestic activity
- US States

LEGEND	
● SEC-Securities and Exchange Commission	● NCUA-National Credit Union Administration
● CFTC-Commodity Futures Trading Commission	● FSOC-Financial Stability Oversight Council
● OCC-Office of the Comptroller of the Currency	● FHFA-Federal Housing Finance Agency
● FDIC-Federal Deposit Insurance Corporation	● FRB-Federal Reserve Board of Governors
● Treasury-Treasury Department	● HUD-U.S. Department of Housing and Urban Development
● CFPB-Consumer Financial Protection Bureau	● FFIEC-Federal Financial Institutions Examination Council
● OFR-Office of Financial Research	● FinCEN-Financial Crimes Enforcement Network