

## JOINT CFTC-SEC STAFF ROUNDTABLE

### IMPLEMENTATION PHASING FOR FINAL RULES FOR SWAPS AND SECURITY-BASED SWAPS UNDER TITLE VII OF THE DODD-FRANK WALL STREET REFORM AND CONSUMER PROTECTION ACT

#### LIST OF PARTICIPANTS

#### **Day One – Panel One:** *Process for Registering and Making Operational Clearing Entities, Trading Platforms, and Data Repositories*

- Chris Edmonds, ICE Trust
- Dan McGuire, LCH.Clearnet
- Sunil Cutinho, CME Group
- Larry Thompson, The Depository Trust & Clearing Corporation
- Lee Olesky, Tradeweb
- Neal Brady, Eris Exchange
- Adam Cooper, Citadel LLC
- Jamie Cawley, Javelin Capital Markets LLC
- Ronald Levi, GFI Group Inc.
- Raf Pritchard, TriOptima - triResolve
- Gary DeWaal, Newedge USA
- Christopher Moran, Nomura Securities International
- Wally Turbeville, Better Markets Inc.

#### **Day One – Panel Two:** *Process of Registering and Making Operational Dealers and Major Participants*

- Dan Roth, National Futures Association
- John Horkan, Bank of America Merrill Lynch
- John Gidman, Loomis Sayles & Co.
- Athanassios Diplas, Deutsche Bank
- Alexandra Guest, Barclays Capital
- Steve O'Connor, Morgan Stanley
- James Parascandola, MF Global
- Matt Picardi, Shell Energy North America
- Wally Turbeville, Better Markets Inc.

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#### **Day One – Panel Three:** *Connectivity and Infrastructure Issues*

- John Omahen, SunGard
- Jeff Gooch, MarkitSERV
- R.J. Cummings, ICE
- Marisol Collazo, The Depository Trust & Clearing Corporation
- Sunil Cutinho, CME Group
- Lee Olesky, Tradeweb
- Ronald Levi, GFI Group Inc.
- Steve O'Connor, Morgan Stanley
- Randall Costa, Citadel LLC
- Kathryn Beard, BlackRock Solutions