

## SEC MONETARY RECOVERIES

Goldman Sachs	\$550 MILLION
State Street	\$300 MILLION
Citigroup (10/19/11)	\$285 MILLION
J.P. Morgan Securities	\$210 ** MILLION
Bank of America	\$150 MILLION
Charles Schwab	\$118 MILLION
Morgan Keegan	\$100 MILLION
Citigroup (7/29/10)	\$75 MILLION
Evergreen	\$40 MILLION
RBC Capital Markets	\$30.4 MILLION
Wachovia Capital Markets	\$11 MILLION
TD Ameritrade	\$10 MILLION
Credit Suisse	\$2.5 MILLION

This chart contains SEC monetary recoveries in enforcement actions against companies whose misconduct occurred leading up to or during the financial crisis.

\*\* Total includes \$56.7 million in additional monetary relief obtained for harmed investors.