

List of Participants for August 20, 2010 Roundtable

Panel One: Identifying Types of Conflicts

Clearing Organizations:

- Johnathan Short, Senior Vice President, General Counsel and Corporate Secretary, ICE
- William H. Navin, Executive Vice President and General Counsel, OCC

Exchanges/Potential SEFs:

- Lee Olesky, CEO and Co-Founder, TradeWeb

Members/Potential Members:

- James Hill, Managing Director and Global Credit Derivatives Officer, Morgan Stanley, representing the Securities Industry and Financial Markets Association
- Jason Kastner, Vice Chairman, Swaps and Derivatives Markets Association

Investors or Consultants:

- William De Leon, Executive Vice President, Global Head of Portfolio Risk Management, PIMCO
- Heather Slavkin, Senior Legal and Policy Advisor, Office of Investment, AFL-CIO

Interdealer Brokers:

- Shawn Bernardo, Managing Director, Tullett Prebon Americas Corp., representing the Wholesale Markets Brokers Association

Academic Community:

- Darrell Duffie, Stanford University
- Randy Kroszner, Professor of Economics, Booth School of Business, University of Chicago

Panel Two: Ways to Mitigate Conflicts of Interest

Clearing Organizations:

- Roger Liddell, CEO, LCH Clearent Group

Exchanges/Potential SEFs:

- Rick McVey, CEO, MarketAxess
- Lynn Martin, CEO, NYSE Liffe U.S.

Members/Potential Members:

- Jeremy Barnum, Managing Director, J.P. Morgan
- Jason Kastner, Vice Chairman, Swaps and Derivatives Markets Association

Investors or Consultants:

- Heather Slavkin, Senior Legal and Policy Advisor, Office of Investment, AFL-CIO
- Richard Prager, Managing Director, Global Head of FI Trading, Blackrock, or Stuart Spodek, Managing Director, Blackrock (Member of the Board, ISDA)

Interdealer Brokers:

- Shawn Bernardo, Managing Director, Tullett Prebon Americas Corp., representing Wholesale Markets Brokers Association

Academic Community:

- Hal Scott, Harvard Law School
- Michael Greenberger, Professor, University of Maryland School of Law