

# SEC NEWS DIGEST

Issue 2005-222

November 18, 2005

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## COMMISSION ANNOUNCEMENTS

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### COMMISSION MEETINGS

#### **OPEN MEETING – TUESDAY, NOVEMBER 29, 2005 – 10:00 A.M. - ROOM L-002**

The subject matter of the open meeting scheduled for Tuesday, November, 29, will be:

The Commission will consider whether to propose amendments to the proxy rules under Section 14 of the Securities Exchange Act of 1934. The proposed amendments would provide an alternative model by which companies conducting proxy solicitations could satisfy the Rule 14a-3 requirement to furnish proxy materials by posting those proxy materials on an Internet website and providing shareholders with notice of the Internet availability of the materials. Other soliciting persons also would be permitted to follow the proposed alternative model.

#### **CLOSED MEETING – WEDNESDAY, NOVEMBER 30, 2005 – 10:00 A.M.**

The subject matter of the closed meeting scheduled for Wednesday, November 30, will be: Formal orders of investigations; Institution and settlement of injunctive actions; Institution and settlement of administrative proceedings of an enforcement nature; Opinions; and a Regulatory matter bearing enforcement implications.

At times, changes in Commission priorities require alterations in the scheduling of meeting items. For further information and to ascertain what, if any, matters have been added, deleted or postponed, please contact: The Office of the Secretary at (202) 551-5400.

#### **FEE RATE ADVISORY #4 FOR FISCAL YEAR 2006**

The Congress has passed and the President is expected to sign the Departments of Commerce and Justice, Science, and Related Agencies appropriations bill for fiscal 2006 that includes funding for the Securities and Exchange Commission. The Commission will issue a new fee advisory once the President has signed the appropriations bill.

Five days after enactment of the Commission's regular fiscal year 2006 appropriation, the Section 6(b) fee rate applicable to the registration of securities, the Section 13(e) fee rate applicable to the repurchase of securities, and the Section 14(g) fee rate applicable to proxy solicitations and statements in corporate control transactions will decrease from the current rate of \$117.70 per million to \$107.00 per million, as previously announced. The precise

date and time of the change to the new fee rate will be set forth in the fee advisory that the Commission will issue once the President has signed the appropriations bill.

In addition, thirty days after the date of enactment of the Commission's regular appropriation, the Section 31 fee rate applicable to securities transactions on the exchanges and over-the-counter markets will decrease from the current rate of \$41.80 per million to \$30.70 per million, as previously announced. Again, the precise date and time of the change to the new fee rate will be set forth in the fee advisory that the Commission will issue once the President has signed the appropriations bill.

Additional information on the transition to the new Section 31 fee rate will be available before the new rate becomes effective on the web sites of The New York Stock Exchange and NASD Regulation at <http://www.nyse.com> and <http://www.nasd.com>. The Office of Interpretation and Guidance in the Commission's Division of Market Regulation is also available for questions on Section 31 fees at (202) 551-5777, or by e-mail at [marketreg@sec.gov](mailto:marketreg@sec.gov).

A copy of the Commission's April 30, 2005, order regarding fee rates for fiscal year 2006 is available at <http://www.sec.gov/news/press/2005-69.htm>.

The Commission will issue further notices as appropriate to keep the public informed of developments relating to enactment of the Commission's regular appropriation and the effective dates for the above fee rate changes. These notices will be posted at the SEC's Internet web site at <http://www.sec.gov>. (Press Rel. 2005-161)

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## **ENFORCEMENT PROCEEDINGS**

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### **IN THE MATTER OF JOHN PARSON, CPA AND BRENDON MCDONALD, CPA**

On November 18, the Commission issued an Order Instituting Administrative Proceedings Pursuant to Rule 102(e) of the Commission's Rules of Practice, Making Findings, and Imposing Remedial Sanctions against John D. Parson, CPA and Brendon P. McDonald, CPA. Parson, age 35, is a certified public accountant licensed to practice in the State of New York, and was an audit manager at Arthur Andersen, LLP (Andersen) in New York. McDonald, age 29, is a certified public accountant also licensed to practice in the State of New York, and was an experienced senior accountant at Andersen in New York. The Order finds that, on November 1, 2005, final judgments were entered against Parson and McDonald, permanently enjoining them from violating Section 10(b) of the Securities Exchange Act of 1934 (Exchange Act) and Rule 10b-5 thereunder, and from aiding and abetting violations of Section 15(d) of the Exchange Act and Rules 12b-20 and 15d-1 thereunder, in a civil action entitled Securities and Exchange Commission v. Fred Gold, et al., Civil Action Number 05 CV 4713 (JS) in the United States District Court for the Eastern District of New York. In the civil action, Parson was ordered to pay a \$50,000 civil penalty and McDonald was ordered to pay a \$30,000 civil penalty.

The Commission's complaint alleges: (1) Parson supervised, reviewed and approved, and McDonald supervised and participated in, Andersen's fiscal year 2000 audit of American Tissue, Inc.'s financial statements, which overstated reported pre-tax income by at least \$28.1 million; (2) Parson and McDonald knew, or were reckless in not knowing, of the overstatement; (3) Parson and McDonald failed to exercise due professional care and skepticism in violation of generally accepted auditing standards; and (4) Parson and McDonald attempted to conceal their audit improprieties by intentionally altering work papers and arranging for the destruction and shredding of documents and e-mails.

Based on the civil injunctions entered against Parson and McDonald, the Administrative Order suspends Parson from appearing or practicing before the Commission as an accountant, and suspends McDonald from appearing or practicing before the Commission as an accountant with the right to apply for reinstatement after five years. Parson and McDonald each consented to the issuance of the Order without admitting or denying any of the findings in the Order. (Rel. 34-52792; AAE Rel. 2346; File No. 3-12105)

### **IN THE MATTER OF NEIL SILVER AND ROBERT DAVIS, RESPONDENTS**

On November 18, the Commission issued an Order Instituting Administrative Proceedings Pursuant to Section 15(b) of the Securities Exchange Act of 1934, Making Findings and Imposing Remedial Sanctions against Neil D. Silver and Robert Kenneth Davis. The Order finds that on Nov. 1, 2005, the United States District Court for the District of Columbia entered a Final Judgment against Silver and Davis, permanently enjoining each from future violations of Sections 5 and 17(a) of the Securities Act of 1933 and Sections 10(b) and 15(a) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder, in the civil action entitled SEC v. Gershon, et al, Civil Action Number 1:05-CV-02051 (RWR).

In the civil action, Silver and Davis were each ordered to pay a \$50,000 civil penalty and disgorgement of \$831,971 and \$183,367 respectively, plus prejudgment interest, for their participation in a fraudulent scheme to act as unlicensed broker-dealers and market unregistered securities. The Commission's complaint alleged, among other things, that from May through October 2000, Silver and Davis improperly promoted private placement of Intercallnet securities by: (a) making unsolicited telephone calls to potential investors; (b) using prepared scripts to pitch the investment; (c) misrepresenting themselves as investment bankers; (d) failing to disclose that neither had a license to sell securities; (e) failing to inform potential investors that they were paid by Intercallnet to place the securities; and (f) mailing offering memoranda and subscription agreements to potential investors which falsely stated that only officers and directors and licensed broker-dealers were promoting Intercallnet's securities.

Based on the civil injunctions entered against Silver and Davis, the Administrative Order permanently bars both from association with any broker or dealer. Silver and Davis each consented to issuance of the Order, without admitting or denying the findings in the Order. (Rel. 34-52793; File No. 3-12106)

## **SEC FILES AMENDED COMPLAINT AGAINST AMERINDO INVESTMENT ADVISORS INC., ITS PRINCIPALS ALBERTO VILAR AND GARY TANAKA, AND AFFILIATED ENTITIES**

On November 17, the Commission filed an amended complaint against Amerindo Investment Advisors Inc. (Amerindo US), and its principals Alberto W. Vilar and Gary A. Tanaka. The amended complaint names the following additional defendants: Amerindo Advisors UK Limited, a United Kingdom corporation (Amerindo UK); Amerindo Investment Advisors, Inc., a Panamanian corporation (Amerindo Panama); Amerindo Management Inc., a Panamanian corporation (AMI); Amerindo Technology Growth Fund, Inc., a Panamanian corporation (ATGF); Amerindo Technology Growth Fund II, Inc., a Panamanian corporation (ATGF II); and Techno Raquia, S.A., a Panamanian corporation (Techno Raquia). The amended complaint alleges that Amerindo US, Amerindo Panama, Amerindo UK, Vilar, and Tanaka violated Section 17(a) of the Securities Act of 1933, Section 10(b) of the Securities Exchange Act of 1934, and Rule 10b-5 thereunder. In addition, the amended complaint alleges that AMI, Techno Raquia, ATGF and ATGF II aided and abetted violations of Section 10(b) of the Exchange Act and Rule 10b-5 thereunder. The amended complaint further alleges that Amerindo US, Amerindo Panama and Amerindo UK violated, and Vilar, Tanaka, AMI, ATGF, ATGF II, and Techno Raquia aided and abetted violations of, Sections 206(1) and 206(2) of the Investment Advisers Act of 1940. Finally, the amended complaint alleges that Amerindo US violated, and Vilar and Tanaka aided and abetted violations of, Section 206(4) of the Advisers Act and Rule 206(4)-2(a) thereunder.

The amended complaint repeats the allegations from the original complaint that Amerindo US, Vilar, and Tanaka defrauded one client by misappropriating funds she invested in the Amerindo Venture Fund LP, a limited partnership that was purportedly being organized to qualify and be operated as a Small Business Investment Company.

Further, the amended complaint alleges that Amerindo US, Amerindo UK, Amerindo Panama (collectively, Amerindo), AMI, ATGF, ATGF II, and Techno Raquia defrauded investors in Guaranteed Fixed Rate Deposit Accounts (GFRDAs). The amended complaint alleges that Vilar and Tanaka solicited clients to invest funds in GFRDAs, a product in which Amerindo guaranteed that investors would earn a fixed rate of return and receive the return of their principal at maturity. In contrast to representations that the majority of investors' funds would be invested in short-term debt instruments, Vilar, Tanaka and Amerindo largely invested in equity securities, such as emerging technology and biotechnology stocks. Amerindo was often unable to pay investors their promised returns, or to return investors' principal at maturity. Consequently, when investors sought to redeem their GFRDAs, Amerindo generally either refused to honor redemption requests, or redeemed the GFRDAs with other investors' funds, including funds from unrelated brokerage accounts in the name of AMI or two offshore hedge funds, ATGF and ATGF II. In addition, the amended complaint alleges that Vilar, Tanaka and Amerindo defrauded investors in two offshore hedge funds, ATGF and ATGF II. According to offering circulars, ATGF and ATGF II planned to invest in emerging growth companies. Rather than using investor funds solely to invest in such companies, however, Tanaka directed ATGF and ATGF II to transfer investor funds from the funds' brokerage accounts to other accounts for Vilar's and Tanaka's own business and personal benefit.

For further information, see Litigation Release No. 19245 (June 2, 2005). [SEC v. Amerindo Investment Advisors Inc., et al., Civil Action No. 05 Civ. 5231 (LTS) (DFE) SDNY] (LR-19470)

## **UNREGISTERED ALLIXON STOCK DISTRIBUTION HALTED**

On November 17, the Commission filed an emergency civil action in U.S. District Court in Dallas, Texas, to halt an ongoing, unregistered distribution of the common stock of Allixon International Corp, a Delaware corporation based in Seoul, South Korea. The Commission's complaint alleges that Allixon, a Turks & Caicos B.W.I. securities firm, the firm's principal officer, and two offshore entities violated Sections 5(a) and (c) of the Securities Act of 1933 in the offer and sale of Allixon stock, and that the securities firm and its principal officer have publicly sold approximately one million shares into the market through a U.S. brokerage firm for approximately \$4.3 million. In conjunction with its civil action, the Commission simultaneously filed, and the District Court granted, motions seeking an asset freeze and other emergency relief against several of the defendants. The Commission gratefully acknowledges the assistance and cooperation of the National Association of Securities Dealers and its Market Regulation Department. [SEC v. Allixon International Corp., et al. Civil Action No. 3:05-CV-2260-P, United States District Court for the Northern District of Texas (Dallas Division)] (LR-19471)

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## **SELF-REGULATORY ORGANIZATIONS**

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### **APPROVAL OF PROPOSED RULE CHANGES**

The Commission approved a proposed rule change and Amendment No. 1 thereto submitted by the Chicago Board Options Exchange (SR-CBOE-2004-37) relating to deletion of Interpretation and Policy .01(e) to CBOE Rule 5.4. Publication of the proposal is expected in the Federal Register during the week of November 21. (Rel. 34-52779)

The Commission has granted approval to a proposed rule change (SR-Amex-2004-74) and Amendment No. 1 thereto submitted by the American Stock Exchange under Rule 19b-4 of the Securities Exchange Act of 1934, to eliminate commentary .01(5) to Amex Rule 916 and amend Amex rules relating to the definition of "NMS stock." Publication of the proposal is expected in the Federal Register during the week of November 21. (Rel. 34-52782)

The Commission granted approval of a proposed rule change (SR-DTC-2005-08) filed by The Depository Trust Company under Section 19(b)(1) of the Securities Exchange Act of 1934. The rule change amends DTC's rules to allow DTC to provide a new Canadian-Link Service for DTC participants through which they may clear and settle securities transactions in Canadian dollars processed through the Canadian Depository for Securities. Publication of

the proposal is expected in the Federal Register during the week of November 21. (Rel. 34-52784)

The Commission approved a proposed rule change (SR-NASD-2005-011) and Amendment Nos. 1, 2, and 3 thereto, filed by the National Association of Securities Dealers, through its subsidiary, The Nasdaq Stock Market, Inc., under Rule 19b-4 of the Securities Exchange Act of 1934 to limit the eligibility for quotation on the Over-the-Counter Bulletin Board of the securities of an issuer that is repeatedly delinquent in its periodic reporting obligations. Publication of the proposal is expected in the Federal Register during the week of November 21. (Rel. 34-52786)

## **ACCELERATED APPROVAL OF PROPOSED RULE CHANGE**

The Commission granted accelerated approval to a proposed rule change (SR-Amex-2005-069) and Amendment Nos. 1, 2, 3 and 4 thereto filed by the American Stock Exchange under Section 19(b)(1) of the Securities Exchange Act of 1934 relating to listing standards for broad-based index options and concentration limits for narrow-based index option listing standards. Publication of the proposal is expected in the Federal Register during the week of November 21. (Rel. 34-52781)

## **PROPOSED RULE CHANGE**

The Options Clearing Corporation filed a proposed rule change (SR-OCC-2003-13) under Section 19(b)(1) of the Exchange Act that would allow OCC to establish a comprehensive gross negligence standard of care and limitation of liability with respect to its clearing members. Publication of the proposal is expected in the Federal Register during the week of November 21. (Rel. 34-52783)

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## **SECURITIES ACT REGISTRATIONS**

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The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

(BR. 02A)

- S-8 PARTNERRE LTD, 96 PITTS BAY RD, CHESNEY HOUSE, PEMBROKE BERMUDA, D0, HM 08, 14412920888 - 0 (\$170,550,000.00) Equity, (File 333-129762 - Nov. 17) (BR. 01B)
- S-3 PRINCIPAL FINANCIAL GROUP INC, 711 HIGH STREET, DES MOINES, IA, 50392, 5152475111 - 0 (\$4,000,000,000.00) Non-Convertible Debt, (File 333-129763 - Nov. 17) (BR. 01B)
- S-1 COINMACH SERVICE CORP, 0 (\$115,000,000.00) Equity, (File 333-129764 - Nov. 17) (BR. 11C)
- S-3 BTU INTERNATIONAL INC, 23 ESQUIRE ROAD, NORTH BILLERICA, MA, 01862, 5086674111 - 1,450,000 (\$21,938,500.00) Equity, (File 333-129766 - Nov. 17) (BR. 10A)
- N-2 BlackRock Municipal Advantage Income Trust, 40 EAST 52ND STREET, NEW YORK, NY, 10022, 800-882-0052 - 100,000 (\$1,500,000.00) Equity, (File 333-129767 - Nov. 17) (BR. 22)
- F-1 AEGEAN MARINE PETROLEUM NETWORK INC., 42 HATZIKYRIAKOU AVENUE, PIRAEUS, ATHENS, J3, 185 38, 011 30 210 458-6200 - 0 (\$184,000,000.00) Equity, (File 333-129768 - Nov. 17) (BR. 04)
- S-8 DEARBORN BANCORP INC /MI/, 22290 MICHIGAN AVE, PO BOX 2247, DEARBORN, MI, 48123-2247, 3132741000 - 300,000 (\$7,725,000.00) Equity, (File 333-129769 - Nov. 17) (BR. 07C)
- S-3 GRANITE CITY FOOD & BREWERY LTD, 5402 PARKDALE, SUITE 101, MINNEAPOLIS, MN, 55416, 952-215-0660 - 0 (\$7,304,441.34) Equity, (File 333-129770 - Nov. 17) (BR. 05B)
- F-1 Patni Computer Systems LTD, ARKUTI SOFTECH PARK, MIDC CROSS ROAD NO.21, ANDHERI (EAST), K7, 400 093, 91 22 5693 0500 - 0 (\$165,000,000.00) Equity, (File 333-129771 - Nov. 17) (BR. )
- S-8 LOEWS CORP, 667 MADISON AVE, NEW YORK, NY, 10021-8087, 212-521-2000 - 2,000,000 (\$195,140,000.00) Equity, (File 333-129772 - Nov. 17) (BR. 01B)
- S-8 RUDOLPH TECHNOLOGIES INC, ONE RUDOLPH RD, FLANDERS, NJ, 07836, 9736911300 - 1,269,564 (\$15,615,637.20) Equity, (File 333-129773 - Nov. 17) (BR. 10C)
- S-8 SEMPRA ENERGY, 101 ASH STREET, P O BOX 129400, SAN DIEGO, CA, 92101, 6196962000 - 0 (\$100,000,000.00) Other, (File 333-129774 - Nov. 17) (BR. 02B)
- S-8 SCANA CORP, 1426 MAIN ST, MAIL CODE - 051, COLUMBIA, SC, 29201, 8032179000 - 5,000,000 (\$196,850,000.00) Equity, (File 333-129775 - Nov. 17) (BR. 02A)
- S-8 AFP IMAGING CORP, 250 CLEARBROOK RD, ELMSFORD, NY, 10523, 9145926100 - 600,000 (\$1,170,000.00) Equity, (File 333-129776 - Nov. 17) (BR. 10A)
- S-8 INFOSONICS CORP, 5880 PACIFIC CENTER BLVD., SAN DIEGO, CA, 92121,

- 858 373-1600 - 0 (\$12,295,340.00) Equity, (File 333-129777 - Nov. 17) (BR. 10B)
- S-4 CAPITOL BANCORP LTD, ONE BUSINESS & TRADE CNTR, 200 WASHINGTON SQ N, LANSING, MI, 48933, 5174876555 - 0 (\$8,962,282.00) Equity, (File 333-129778 - Nov. 17) (BR. 07C)
- S-4 WILLIAMS COMPANIES INC, ONE WILLIAMS CTR, TULSA, OK, 74172, 9185732000 - 27,543,007 (\$605,834,090.00) Equity, (File 333-129779 - Nov. 17) (BR. 02C)
- S-1 STERLING CONSTRUCTION CO INC, 2751 CENTERVILLE RD., SUITE 3131, WILMINGTON, DE, 19803, 3024789170 - 0 (\$33,250,000.00) Equity, (File 333-129780 - Nov. 17) (BR. 06A)
- S-8 Copano Energy, L.L.C., 2727 ALLEN PARKWAY, SUITE 1200, HOUSTON, TX, 77019, 713-621-9547 - 1,700,000 (\$62,687,500.00) Equity, (File 333-129781 - Nov. 17) (BR. 02C)
- SB-2 VioQuest Pharmaceuticals, Inc., 7 DEER PARK DRIVE, SUITE E, PRINCETON CORPORATE PLAZA, MONMOUTH JUNCTION, NJ, 08852, 732-274-0399 - 38,291,567 (\$44,992,591.23) Equity, (File 333-129782 - Nov. 17) (BR. 01A)
- S-8 O CHARLEYS INC, 3038 SIDCO DR, NASHVILLE, TN, 37204, 6152568500 - 31,000 (\$415,090.00) Equity, (File 333-129783 - Nov. 17) (BR. 05C)
- S-3 MINES MANAGEMENT INC, 905 W RIVERSIDE AVENUE, SUITE 311, SPOKANE, WA, 99201, 5098386050 - 1,753,751 (\$12,381,482.06) Equity, (File 333-129784 - Nov. 17) (BR. 04A)
- S-8 3COM CORP, 350 CAMPUS DRIVE, MARLBOROUGH, MA, 01752-3064, 508-323-5000 - 30,000,000 (\$113,400,000.00) Equity, (File 333-129785 - Nov. 17) (BR. 03C)
- S-3 CYTOKINETICS INC, 280 EAST GRAND AVENUE, ., SOUTH SAN FRANCISCO, CA, 94080, (650) 624-3000 - 5,947,488 (\$49,958,899.20) Equity, (File 333-129786 - Nov. 17) (BR. 01B)
- S-3 GATEWAY FINANCIAL HOLDINGS INC, 1145 NORTH ROAD STREET, ELIZABETH CITY, NC, 27909, 2523341511 - 2,300,000 (\$40,733,000.00) Equity, (File 333-129787 - Nov. 17) (BR. 07C)

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## RECENT 8K FILINGS

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Form 8-K is used by companies to file current reports on the following events:

- 1.01 Entry into a Material Definitive Agreement
- 1.02 Termination of a Material Definitive Agreement
- 1.03 Bankruptcy or Receivership



- 2.01 Completion of Acquisition or Disposition of Assets
- 2.02 Results of Operations and Financial Condition
- 2.03 Creation of a Direct Financial Obligation or an Obligation under an Off-Balance Sheet Arrangement of a Registrant
- 2.04 Triggering Events That Accelerate or Increase a Direct Financial Obligation under an Off-Balance Sheet Arrangement
- 2.05 Cost Associated with Exit or Disposal Activities
- 2.06 Material Impairments
- 3.01 Notice of Delisting or Failure to Satisfy a Continued Listing Rule or Standard; Transfer of Listing
- 3.02 Unregistered Sales of Equity Securities
- 3.03 Material Modifications to Rights of Security Holders
- 4.01 Changes in Registrant's Certifying Accountant
- 4.02 Non-Reliance on Previously Issued Financial Statements or a Related Audit Report or Completed Interim Review
- 5.01 Changes in Control of Registrant
- 5.02 Departure of Directors or Principal Officers; Election of Directors; Appointment of Principal Officers
- 5.03 Amendments to Articles of Incorporation or Bylaws; Change in Fiscal Year
- 5.04 Temporary Suspension of Trading Under Registrant's Employee Benefit Plans
- 5.05 Amendments to the Registrant's Code of Ethics, or Waiver of a Provision of the Code of Ethics
- 6.01. ABS Informational and Computational Material.
- 6.02. Change of Servicer or Trustee.
- 6.03. Change in Credit Enhancement or Other External Support.
- 6.04. Failure to Make a Required Distribution.
- 6.05. Securities Act Updating Disclosure.
- 7.01 Regulation FD Disclosure
- 8.01 Other Events
- 9.01 Financial Statements and Exhibits

8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

NAME OF ISSUER	STATE CODE	8K ITEM NO.	DATE	COMMENT
3CI COMPLETE COMPLIANCE CORP	DE	1.01,9.01	11/11/05	
3COM CORP	DE	1.01,9.01	11/11/05	
3M CO	DE	3.03,8.01,9.01	11/16/05	
99 CENTS ONLY STORES	CA	1.01,5.02,9.01	11/11/05	
ABIGAIL ADAMS NATIONAL BANCORP INC	DE	2.02,9.01	11/14/05	

ABLE LABORATORIES INC	DE	1.01,8.01,9.01	11/11/05	
ACCELLENT CORP.	CO	5.02	11/17/05	
ACCESS PHARMACEUTICALS INC	DE	7.01	11/17/05	
ACCREDITED HOME LENDERS INC	DE	8.01,9.01	11/08/05	
ACCUPOLL HOLDING CORP	NV	1.01,9.01	11/15/05	
ACE Securities Corp. Home Equity Loan	DE	2.01,9.01	11/17/05	
ACIES CORP	NV	2.02,9.01	11/17/05	
ACNB CORP	PA	2.02,9.01	11/14/05	
ADAPTEC INC	DE	1.01,5.02,5.03,9.01	11/11/05	AMEND
ADELPHIA COMMUNICATIONS CORP	DE	5.03,9.01	11/15/05	
ADVANCED MEDICAL INSTITUTE INC.	NV	1.01,9.01	11/16/05	
ADVISORY BOARD CO	DE	1.01,9.01	11/15/05	
AEGIS ASSET BACKED SECURITIES TRUST M	DE	8.01	11/16/05	
AEGIS ASSET BACKED SECURITIES TRUST M	DE	8.01	11/16/05	
AEROPOSTALE INC		7.01,9.01	11/17/05	
ALABAMA GAS CORP	AL	1.01,7.01,9.01	11/14/05	
Aleris International, Inc.	DE	7.01,9.01	11/17/05	
ALFA INTERNATIONAL HOLDINGS CORP.	DE	9.01	11/17/05	AMEND
ALLIANCE SEMICONDUCTOR CORP /DE/	DE	3.01,9.01	11/15/05	
ALLIED HEALTHCARE INTERNATIONAL INC	NY	2.02,9.01	11/17/05	
ALLOS THERAPEUTICS INC	DE	1.01,5.02,7.01,9.01	11/14/05	
ALLOS THERAPEUTICS INC	DE	8.01,9.01	11/17/05	
ALTERNATIVE LOAN TRUST 2005-38		8.01,9.01	07/28/05	
ALTERNATIVE LOAN TRUST 2005-54CB		8.01,9.01	09/29/05	
AMERCO /NV/	NV	1.01,2.03,9.01	11/10/05	
AMERICAN COMMERCIAL LINES INC.	DE	1.01,8.01,9.01	11/16/05	
AMERICAN NATIONAL BANKSHARES INC	VA	8.01,9.01	11/17/05	
AMERICAN PHYSICIANS CAPITAL INC	MI	7.01,9.01	11/17/05	
AMERICAN RIVER BANKSHARES	CA	8.01,9.01	11/17/05	
AMERICAN UNITED GOLD CORP	NV	1.02,5.02	11/16/05	
AMERICAN WATER STAR INC	NV	2.04,9.01	11/04/05	
AMERICANA PUBLISHING INC	CO	2.04	10/01/05	
AMERIPRISE FINANCIAL INC	DE	8.01,9.01	11/17/05	
AMERIPRISE FINANCIAL INC	DE	2.02	10/21/05	AMEND
Amersin Life Sciences CORP	NV	5.02	11/17/05	

AMES NATIONAL CORP	IA	8.01,9.01	11/17/05	
AMPEX CORP /DE/	DE	2.02,9.01	11/14/05	
ANADARKO PETROLEUM CORP	DE	1.01,9.01	11/15/05	
ARCHIPELAGO HOLDINGS INC		8.01,9.01	11/16/05	
ARIAD PHARMACEUTICALS INC	DE	8.01,9.01	11/17/05	
ARQULE INC	DE	5.05	11/11/05	
ARQULE INC	DE	1.01,9.01	11/11/05	
ASIAINFO HOLDINGS INC	DE	7.01,9.01	11/17/05	
ATLAS AIR WORLDWIDE HOLDINGS INC	DE	1.01,9.01	11/17/05	
ATLAS AMERICA INC	DE	2.02,9.01	11/16/05	
ATLAS AMERICA INC	DE	2.02,9.01	11/16/05	
AUTODESK INC	DE	2.02,9.01	11/17/05	
AVNET INC	NY	1.01	11/11/05	
AXESSTEL INC	NV	1.01,5.02,9.01	11/10/05	
BALLY TOTAL FITNESS HOLDING CORP	DE	8.01,9.01	11/14/05	
BANC CORP	DE	5.02,7.01,9.01	11/14/05	
Banc of America Commercial Mortgage I	DE	8.01,9.01	11/10/05	
Banc of America Commercial Mortgage I	DE	8.01,9.01	11/10/05	
BANK OF THE OZARKS INC	AR	4.01,9.01	11/15/05	
BANKUNITED FINANCIAL CORP	FL	8.01,9.01	11/08/05	
BARNES & NOBLE INC	DE	2.02,9.01	11/17/05	
Bay View Deposit CORP	DE	9.01	11/17/05	
Bay View Deposit CORP	DE	9.01	11/17/05	
BAY VIEW SECURITIZATION CORP	DE	9.01	11/17/05	
BAY VIEW TRANSACTION CORP		9.01	11/17/05	
BEA SYSTEMS INC	DE	2.02,9.01	11/17/05	
BEACON POWER CORP	DE	2.02,8.01,9.01	11/14/05	
Bear Stearns Commercial Mortgage Secu	DE	8.01,9.01	11/14/05	
BERKSHIRE HILLS BANCORP INC		8.01,9.01	11/16/05	
BIO LOK INTERNATIONAL INC	DE	2.02,9.01	02/09/05	
BIO SOLUTIONS INTERNATIONAL INC	NV	1.01,2.01,3.02,4.01,5.01, 5.02,5.03,9.01	11/01/05	AMEND
BIOMASS PROCESSING TECHNOLOGY INC	DE	5.02,9.01	06/07/05	AMEND
BIOPURE CORP	DE	1.01	11/11/05	
BLACK WARRIOR WIRELINE CORP	DE	8.01,9.01	11/15/05	
BLUE COAT SYSTEMS INC	DE	5.02	11/14/05	
BNP RESIDENTIAL PROPERTIES INC	MD	1.01	08/01/05	AMEND

BOMBAY COMPANY INC	DE	2.02,9.01	11/16/05	
BON TON STORES INC	PA	2.02,9.01	11/17/05	
BOSTON SCIENTIFIC CORP	DE	1.01,8.01,9.01	11/17/05	
BRADLEY PHARMACEUTICALS INC	DE	1.01,1.02,2.03,9.01	11/14/05	
BRIGHTPOINT INC	IN	1.01,5.02,8.01,9.01	11/11/05	
Bronco Drilling Company, Inc.	DE	1.01,9.01	11/16/05	
BROOKE CORP	KS	8.01,9.01	11/15/05	
BURKE MILLS INC	NC	4.01	11/14/05	AMEND
C&D TECHNOLOGIES INC	DE	7.01,9.01	11/17/05	
CALPINE CORP	DE	1.01,8.01	11/10/05	
CASH SYSTEMS INC	DE	1.01,2.03,9.01	11/10/05	
CASPIAN SERVICES INC	NV	2.01,9.01	11/16/05	
CASUAL MALE RETAIL GROUP INC	DE	2.02,9.01	11/17/05	
CASUAL MALE RETAIL GROUP INC	DE	2.02,9.01	11/17/05	AMEND
CATO CORP	DE	2.02,9.01	11/15/05	
CD 2005-CD1 Commercial Mortgage Trust		1.01	11/15/05	
CDI CORP	PA	5.02	11/11/05	
CENDANT CORP	DE	1.01,2.03,9.01	11/14/05	
CENUCO INC	DE	7.01,9.01	11/16/05	
CERNER CORP /MO/	DE	1.01,9.01	11/10/05	
CHAMPION PARTS INC	IL	2.02,9.01	10/02/05	
CHASE BANK USA, NATIONAL ASSOCIATION		8.01,9.01	11/15/05	
CHASE BANK USA, NATIONAL ASSOCIATION		8.01,9.01	11/16/05	
CHASE BANK USA, NATIONAL ASSOCIATION		8.01,9.01	11/16/05	
CHECKERS DRIVE IN RESTAURANTS INC /DE	DE	7.01,9.01	11/16/05	
CHEESECAKE FACTORY INCORPORATED	DE	8.01,9.01	11/16/05	
CHEMOKINE THERAPEUTICS CORP	DE	8.01	11/17/05	
CHICAGO BRIDGE & IRON CO N V		1.01,9.01	11/14/05	
CHICOS FAS INC	FL	8.01,9.01	11/17/05	
CHILDRENS PLACE RETAIL STORES INC		2.02,9.01	11/17/05	
CHILDRENS PLACE RETAIL STORES INC		1.01	11/14/05	
CHOLESTECH CORPORATION	CA	1.01,9.01	11/14/05	
CINCINNATI FINANCIAL CORP	OH	7.01,9.01	11/15/05	
CITIZENS HOLDING CO /MS/	MS	7.01,9.01	11/17/05	
CKE RESTAURANTS INC	DE	2.02,9.01	11/16/05	

CLAIRES STORES INC	DE	2.02,8.01,9.01	11/17/05
CLAYTON WILLIAMS ENERGY INC /DE	DE	7.01,9.01	11/17/05
CNF INC	DE	1.01,9.01	11/17/05
COCA COLA ENTERPRISES INC	DE	1.01,9.01	11/16/05
COGNOS INC	CA	1.01	11/15/05
COLUMBIA LABORATORIES INC	DE	1.01,5.02	11/15/05
COMMERCE ENERGY GROUP INC	DE	1.01,9.01	11/10/05
COMMERCIAL NET LEASE REALTY INC	MD	9.01	11/14/05
COMMUNITY FINANCIAL CORP /VA/	VA	2.02	11/10/05
COMPUCREDIT CORP	GA	7.01,9.01	11/17/05
COMPUCREDIT CORP	GA	7.01,9.01	11/17/05
Comtech Group Inc	MD	1.01,9.01	11/09/05
Consolidated Communications Holdings,	DE	7.01,9.01	11/17/05
Cooper-Standard Holdings Inc.	DE	2.02,9.01	11/14/05
CORPORATE REALTY INCOME FUND I L P	DE	1.01,9.01	11/11/05
Countrywide Home Loans 2005-J9		8.01,9.01	07/29/05
COVANTA HOLDING CORP	DE	7.01,9.01	11/17/05
CREDENCE SYSTEMS CORP	DE	2.02,9.01	11/17/05
CREDIT SUISSE FIRST BOSTON MORTGAGE S	DE	8.01,9.01	11/17/05
CRITICARE SYSTEMS INC /DE/	DE	1.01	11/15/05
CROFF ENTERPRISES INC	UT	4.02	09/30/05
CRYSTALIX GROUP INTERNATIONAL INC	NV	1.01,5.02,9.01	11/13/05
CVF TECHNOLOGIES CORP	NV	2.02,9.01	11/14/05
CWABS INC	DE	8.01,9.01	11/16/05
DATAWATCH CORP	DE	2.02,9.01	11/17/05
DEARBORN BANCORP INC /MI/	MI	8.01,9.01	11/16/05
DEB SHOPS INC	PA	2.02,9.01	11/17/05
Dermisonics, Inc		7.01,9.01	11/17/05
DITECH COMMUNICATIONS CORP	DE	2.02,9.01	11/17/05
Dolby Laboratories, Inc.	DE	2.02,9.01	11/17/05
Dolby Laboratories, Inc.	DE	8.01	11/17/05
DUQUESNE LIGHT HOLDINGS INC	PA	1.01,9.01	11/14/05
DYNEX CAPITAL INC	VA	2.02,8.01,9.01	11/16/05
E TRADE FINANCIAL CORP	DE	8.01,9.01	11/16/05
ECC Capital CORP		1.01	11/13/05
ELCOM INTERNATIONAL INC	DE	2.02,9.01	11/09/05

ELDERWATCH INC		4.01	11/10/05	
EMCORE CORP	NJ	2.02,7.01,9.01	11/16/05	
EMDEON CORP	DE	1.01,9.01	11/16/05	
EMERGENCY FILTRATION PRODUCTS INC/ NV	NV	7.01,9.01	11/17/05	
EMPIRE RESORTS INC	DE	5.02,9.01	11/16/05	
ENBRIDGE ENERGY PARTNERS LP	DE	1.01,9.01	11/17/05	
ENDO PHARMACEUTICALS HOLDINGS INC	DE	7.01,9.01	11/17/05	
ENERGEN CORP	AL	1.01,7.01,9.01	11/14/05	
EPLUS INC	DE	8.01,9.01	11/14/05	
EPOCH HOLDING CORP	DE	8.01	11/15/05	
ESTEE LAUDER COMPANIES INC	DE	1.01,9.01	11/10/05	
EVOLVING SYSTEMS INC	DE	1.01,1.02,2.03,3.03,5.03,9.01	11/14/05	
FARMER MAC MORTGAGE SECURITIES CORP	DE	9.01	11/17/05	
FARO TECHNOLOGIES INC	FL	1.01	11/17/05	
FBR Securitization, Inc.		8.01,9.01	11/15/05	
Federal Home Loan Bank of New York	X1	2.03,9.01	11/14/05	
Federal Home Loan Bank of San Francis	X1	2.03	11/17/05	
FIBERSTARS INC /CA/	CA	1.01,9.01	11/11/05	
FIFTH THIRD BANCORP	OH	7.01,9.01	11/17/05	
FINANCIAL SECURITY ASSURANCE HOLDINGS	NY	2.02,7.01,9.01	11/17/05	
FIRST INDIANA CORP	IN	2.02,7.01,9.01	11/17/05	
FIRST LITCHFIELD FINANCIAL CORP	DE	8.01,9.01	11/17/05	
FIRST NATIONAL BANCSHARES INC /SC/	SC	7.01,9.01	11/17/05	
FIRST PLACE FINANCIAL CORP /DE/	DE	2.02,7.01,9.01	11/17/05	
FIRST UNION REAL ESTATE EQUITY & MORT	OH	7.01,9.01	11/14/05	
FLAG FINANCIAL CORP	GA	1.01,3.02,9.01	11/14/05	
Fortune Partners, Inc.	NV	4.01,9.01	11/08/05	AMEND
FORWARD AIR CORP	TN	8.01,9.01	11/17/05	
FreightCar America, Inc.	DE	5.02	11/16/05	
FREMONT MORTGAGE SECURITIES CORP	DE	8.01,9.01	11/15/05	
FRESH BRANDS INC		2.02,9.01	11/17/05	
FRONTIER FUND	DE	4.01,9.01	11/14/05	AMEND
FROZEN FOOD EXPRESS INDUSTRIES INC	TX	5.02,9.01	11/09/05	
GALAXY ENERGY CORP	CO	8.01,9.01	11/16/05	
GAP INC	DE	2.02,9.01	11/17/05	

GATEWAY FINANCIAL HOLDINGS INC	NC	5.02,8.01	11/11/05	
GATEWAY INTERNATIONAL HOLDINGS INC	NV	4.01,9.01	10/17/05	AMEND
GCCFC 2005-GG3		8.01,9.01	11/14/05	
GCO Education Loan Funding Trust-I	DE	8.01,9.01	11/15/05	
GE COMM MORT PASS THROUGH CERT SERIES	DE	8.01,9.01	11/10/05	
GE Commercial Mortgage Corp. Series 2	DE	8.01,9.01	11/10/05	
GELSTAT CORP	MN	8.01,9.01	11/17/05	
GENERAL MOTORS CORP	DE	8.01	11/16/05	
GEORGIA PACIFIC CORP	GA	7.01,9.01	11/17/05	
GLOBAL AIRCRAFT SOLUTIONS, INC.	AZ	7.01,9.01	11/17/05	
GLOBAL INDUSTRIES LTD	LA	2.02	09/30/05	
GLOBAL SIGNAL INC	DE	8.01,9.01	03/24/05	
GMAC Commercial Mortgage Securities,	DE	8.01,9.01	11/10/05	
GOLDEN STAR RESOURCES LTD		1.01,9.01	11/11/05	
GOLDTECH MINING CORP	NV	1.01,2.01,3.02,5.02,9.01	11/01/05	
GRANITE CITY FOOD & BREWERY LTD	MN	8.01,9.01	11/17/05	
GREAT LAKES DREDGE & DOCK CORP	DE	1.01,9.01	11/14/05	
GREAT WEST GOLD, INC.	WY	1.01,2.01,8.01,9.01	11/16/05	
GREENVILLE FIRST BANCSHARES INC	SC	7.01,9.01	09/30/05	
GS Mortgage Securities Corp. II Serie	DE	8.01,9.01	11/14/05	
GSAA Home Equity Trust 2005-10		8.01,9.01	08/26/05	
GULF POWER CO	ME	8.01,9.01	11/09/05	
GULFPORT ENERGY CORP	DE	2.02,7.01,9.01	11/11/05	
H&R BLOCK INC	MO	1.01,2.02,2.03,9.01	11/11/05	
HANCOCK FABRICS INC	DE	9.01	11/15/05	
HANSEN NATURAL CORP	DE	7.01,9.01	11/17/05	
Harley-Davidson Motorcycle Trust 2005	NV	8.01,9.01	11/16/05	
HARSCO CORP	DE	1.01	11/15/05	
HAYES LEMMERZ INTERNATIONAL INC	DE	7.01	11/16/05	
HEALTH CARE REIT INC /DE/	DE	7.01,8.01,9.01	11/17/05	
HEMOSENSE INC	DE	1.01	11/11/05	
HERITAGE BANKSHARES INC /VA	VA	8.01,9.01	11/16/05	
HEWLETT PACKARD CO	DE	2.02,8.01,9.01	11/17/05	
HIBBETT SPORTING GOODS INC	DE	1.01,2.02,7.01,9.01	11/17/05	
HIENERGY TECHNOLOGIES INC	DE	2.03,9.01	11/11/05	
HOLLY CORP	DE	7.01,9.01	11/16/05	

HOLLY ENERGY PARTNERS LP	DE	7.01,9.01	11/17/05	
HOLLY ENERGY PARTNERS LP	DE	7.01,9.01	11/16/05	
HSI ASSET SECURITIZATION CORP		8.01	11/16/05	
HUGHES SUPPLY INC	FL	2.02,9.01	11/17/05	
HYPERTENSION DIAGNOSTICS INC /MN	MN	8.01	11/17/05	
IA GLOBAL INC	DE	2.02,9.01	11/14/05	AMEND
iCURIE, INC.	NV	1.01	11/16/05	
IDT CORP	DE	8.01,9.01	11/17/05	
IMMTECH INTERNATIONAL INC	DE	1.02,7.01	11/09/05	
IMPCO TECHNOLOGIES INC	DE	3.01,9.01	11/15/05	
IMS HEALTH INC	DE	1.02,8.01,9.01	11/16/05	
INFONOW CORP /	DE	7.01	11/11/05	
INFORMATION ARCHITECTS CORP	NC	2.01,9.01	11/17/05	
INHIBITEX INC		8.01,9.01	11/16/05	
INTEGRATED AMERICA INC	DE	7.01,9.01	11/17/05	
INTEGRATED PHARMACEUTICALS INC		7.01	11/16/05	
INTELLISYNC CORP	DE	1.01,9.01	11/15/05	
INTER TEL INC	AZ	1.01,9.01	11/11/05	
INTERWOVEN INC	CA	5.03	11/13/05	
IRVINE SENSORS CORP/DE/	DE	5.02	11/14/05	
ISLE OF CAPRI CASINOS INC	DE	1.01,9.01	11/17/05	
J P MORGAN CHASE & CO	DE	9.01	11/14/05	
J2 GLOBAL COMMUNICATIONS INC	DE	8.01,9.01	11/15/05	
JL HALSEY CORP		5.02	11/14/05	
JOHNSON OUTDOORS INC	WI	2.02,9.01	11/17/05	
JPMorgan Chase Commercial Mortgage Se	DE	8.01,9.01	11/14/05	
KAMAN CORP	CT	8.01,9.01	11/17/05	
Key Hospitality Acquisition CORP	DE	8.01,9.01	10/28/05	AMEND
KeyCorp Student Loan Trust 2005-A	DE	8.01,9.01	11/16/05	
KINDER MORGAN ENERGY PARTNERS L P	DE	7.01	11/16/05	
KINDER MORGAN INC	KS	7.01	11/16/05	
KINDER MORGAN MANAGEMENT LLC	DE	7.01	11/16/05	
KLA TENCOR CORP	DE	1.01,5.02,9.01	11/15/05	
KULICKE & SOFFA INDUSTRIES INC	PA	2.02,9.01	11/17/05	
LA JOLLA PHARMACEUTICAL CO	DE	7.01,9.01	11/17/05	



LAND O LAKES INC		8.01	11/17/05	
LBI MEDIA HOLDINGS INC		2.02,9.01	11/14/05	
Lehman XS Trust, Series 2005-4	DE	8.01,9.01	10/25/05	AMEND
LEXINGTON PRECISION CORP	DE	2.02,9.01	11/17/05	
LIFELINE THERAPEUTICS, INC.	CO	5.02,9.01	11/11/05	
LIFELINE THERAPEUTICS, INC.	CO	7.01,9.01	11/15/05	
LIMITED BRANDS INC	DE	2.02,7.01	11/17/05	
LONG BEACH SECURITIES CORP	DE	8.01,9.01	11/16/05	
LONGS DRUG STORES CORP	MD	1.01	11/15/05	
LOWES COMPANIES INC	NC	1.01,5.02,9.01	11/11/05	
LTX CORP	MA	2.02,9.01	11/17/05	
LUMINENT MORTGAGE TRUST 2005-1	DE	9.01	11/17/05	
MACK CALI REALTY CORP	MD	1.01,7.01,9.01	11/15/05	
MARGO CARIBE INC	PR	2.02,9.01	11/14/05	
MARTIN MIDSTREAM PARTNERS LP	DE	3.02	11/14/05	
MARVELL TECHNOLOGY GROUP LTD	DO	2.02,9.01	11/17/05	
MASSEY ENERGY CO	DE	1.01,9.01	11/13/05	
MATTHEWS INTERNATIONAL CORP	PA	2.02	11/15/05	
MBNA AMERICA BANK NATIONAL ASSOCIATIO	DE	8.01,9.01	11/17/05	
MBNA CORP	MD	8.01	11/17/05	
MDC PARTNERS INC	A6	5.02	11/17/05	
MEDCATH CORP	DE	2.02,2.06,9.01	11/14/05	
MELLON FINANCIAL CORP	PA	1.01,9.01	11/11/05	
MERCURY COMPUTER SYSTEMS INC	MA	7.01,9.01	11/17/05	
MERCURY INTERACTIVE CORP	DE	3.01,9.01	11/11/05	
MERIDIAN BIOSCIENCE INC	OH	1.01,2.02,9.01	11/10/05	
MERRILL LYNCH MORTGAGE INVESTORS INC	DE	8.01,9.01	11/15/05	
MERRILL LYNCH MORTGAGE INVESTORS INC	DE	8.01,9.01	11/17/05	
Merrill Lynch Mortgage Trust 2005-CIP	DE	8.01,9.01	11/14/05	
Merrill Lynch Mortgage Trust 2005-MCP	DE	8.01,9.01	11/14/05	
Merrill Lynch Mortgage Trust 2005-MKB	DE	8.01,9.01	11/14/05	
MESABI TRUST	NY	5.02,8.01,9.01	11/17/05	
METLIFE INC	DE	8.01,9.01	11/15/05	
MICROISLET INC	NV	1.01,3.02	11/17/05	
MICROSEMI CORP	DE	9.01	11/17/05	
MIDAS INC	DE	1.01,9.01	11/08/05	

MINERALS TECHNOLOGIES INC	DE	8.01,9.01	11/16/05	
MINISTRY PARTNERS INVESTMENT CORP	CA	4.01	11/17/05	
MINRAD INTERNATIONAL, INC.	DE	1.01,9.01	11/17/05	
MIRACOR DIAGNOSTICS INC	UT	2.02,5.02	11/16/05	
MISONIX INC	NY	4.01,9.01	11/14/05	
MM2 GROUP, INC.	NY	4.01,9.01	10/19/05	AMEND
MONTGOMERY REALTY GROUP INC	NV	4.01,9.01	06/22/05	AMEND
MORGAN STANLEY ABS CAPITAL I INC	DE	8.01,9.01	11/15/05	
Morgan Stanley Capital I Trust 2005 H	DE	8.01,9.01	11/14/05	
Morgan Stanley Capital I Trust 2005 T	DE	8.01,9.01	11/14/05	
Morgan Stanley Capital I Trust 2005 T	DE	8.01,9.01	11/14/05	
Morgan Stanley Capital I Trust 2005-H	DE	8.01,9.01	11/14/05	
MPHASE TECHNOLOGIES INC	NJ	8.01	11/17/05	
MYLAN LABORATORIES INC	PA	8.01,9.01	11/17/05	
NAPCO SECURITY SYSTEMS INC	DE	2.02,9.01	11/17/05	
NATIONAL BEVERAGE CORP	DE	8.01,9.01	11/17/05	
NATIONAL PENN BANCSHARES INC	PA	8.01,9.01	11/17/05	
NATIONAL SCIENTIFIC CORP/AZ		1.01	11/16/05	
NAVARRE CORP /MN/	MN	3.01,9.01	11/15/05	
NCO GROUP INC	PA	2.02,7.01,9.01	11/08/05	
NET2PHONE INC	DE	8.01,9.01	11/15/05	
NETBANK INC	GA	1.01,5.03,9.01	11/10/05	
NETWORK 1 SECURITY SOLUTIONS INC	DE	1.01,9.01	11/16/05	
New York & Company, Inc.		2.02,7.01,9.01	11/17/05	
NEW YORK TIMES CO	NY	5.02	11/17/05	
NEWS CORP		8.01,9.01	11/17/05	
NOBLE ENERGY INC	DE	5.02,9.01	11/14/05	
NOBLE ENERGY INC	DE	1.01,5.02,9.01	11/11/05	
NOBLE ENERGY INC	DE	5.02	05/16/05	AMEND
NOMURA ASSET ACCEPTANCE CORP	DE	9.01	11/17/05	
NORDSTROM INC	WA	2.02,7.01,9.01	11/17/05	
NOVOSTE CORP /FL/	FL	1.01,5.02,8.01,9.01	11/11/05	
NowAuto Group, Inc.	NV	2.02,7.01,9.01	11/16/05	
NS8 CORP	DE	1.01,2.03,3.02	11/14/05	
NUTECH DIGITAL INC	CA	8.01	11/16/05	

NUVELO INC	DE	5.02,9.01	11/11/05
O2DIESEL CORP		1.01	11/11/05
OFFSHORE LOGISTICS INC	DE	7.01,9.01	11/15/05
OHIO POWER CO	OH	8.01,9.01	11/16/05
OM GROUP INC	DE	1.01,5.02	11/11/05
OVERLAND STORAGE INC	CA	7.01,9.01	11/16/05
OVERSEAS SHIPHOLDING GROUP INC	DE	8.01	11/16/05
P COM INC	DE	8.01	11/17/05
PACIFIC ENTERPRISES INC	CA	8.01	11/16/05
PARALLEL PETROLEUM CORP	DE	7.01,9.01	11/16/05
PATCH INTERNATIONAL INC/CN	NV	8.01,9.01	11/17/05
PATRIOT TRANSPORTATION HOLDING INC	FL	2.02,9.01	11/16/05
PAYLESS SHOESOURCE INC /DE/	DE	2.02,7.01,9.01	11/17/05
PAYMENT DATA SYSTEMS INC	NV	1.01,2.01,9.01	11/14/05
PEDIATRIC SERVICES OF AMERICA INC	DE	1.02,9.01	11/11/05
PETROQUEST ENERGY INC	DE	7.01,9.01	11/17/05
PETROSEARCH ENERGY CORP		8.01,9.01	11/15/05
PHELPS DODGE CORP	NY	1.01,2.06	11/15/05
PHELPS DODGE CORP	NY	1.01,2.06,9.01	11/15/05
PHOENIX TECHNOLOGIES LTD	DE	2.02,9.01	11/17/05
PLAYTEX PRODUCTS INC	DE	8.01,9.01	11/16/05
PNC FINANCIAL SERVICES GROUP INC	PA	2.02,9.01	11/17/05
POINT THERAPEUTICS INC	DE	8.01,9.01	11/17/05
POPULAR ABS, INC.	DE	8.01,9.01	11/16/05
POWERWAVE TECHNOLOGIES INC	DE	1.01,9.01	11/10/05
PRB Gas Transportation, Inc.	NV	8.01,9.01	11/17/05
PRG SCHULTZ INTERNATIONAL INC	GA	1.01,5.02,9.01	11/11/05
PRIDE INTERNATIONAL INC	DE	4.02	11/10/05
PROGRESSIVE CORP/OH/	OH	7.01,9.01	11/17/05
Prosoft Learning CORP	NV	2.04,3.01	11/17/05
PROSPERITY BANCSHARES INC	TX	1.01,7.01,9.01	11/16/05
Protective Life Secured Trust 2005-11	DE	1.03,2.01,8.01,9.01	11/15/05
Protective Life Secured Trust 2005-B	DE	1.03,2.01,8.01,9.01	11/16/05
PROVIDENT BANKSHARES CORP	MD	7.01,9.01	11/16/05
PROVIDENT BANKSHARES CORP	MD	3.01,9.01	11/16/05
PROVIDENT FINANCIAL SERVICES INC	DE	7.01,9.01	11/17/05

PULASKI FINANCIAL CORP	MO	2.02,4.02,9.01	11/10/05
Q COMM INTERNATIONAL INC	UT	7.01	11/15/05
QAD INC	DE	2.02,9.01	11/17/05
QORUS COM INC	FL	8.01	11/16/05
QUADRAMED CORP	DE	8.01,9.01	11/09/05
QUEST RESOURCE CORP	NV	2.02,9.01	11/17/05
RALCORP HOLDINGS INC /MO	MO	8.01	11/15/05
RAVEN INDUSTRIES INC	SD	2.02,9.01	11/17/05
RED ROBIN GOURMET BURGERS INC	DE	1.01,8.01,9.01	11/11/05
REDDY ICE HOLDINGS INC	DE	5.02,9.01	11/15/05
REDWOOD TRUST INC	MD	1.01,5.03,5.05,9.01	11/13/05
Refco Group Ltd., LLC	DE	1.01,9.01	11/17/05
Refco Inc.		1.01,9.01	11/17/05
RELATIONSERVE MEDIA INC		1.01,5.02,9.01	11/16/05
RELIABILITY INC	TX	1.01,9.01	11/17/05
RENASANT CORP	MS	1.01,9.01	11/15/05
RENOVIS INC	DE	8.01,9.01	11/16/05
RESIDENTIAL ASSET MORTGAGE PRODUCTS I	DE	8.01,9.01	11/17/05
RESIDENTIAL ASSET MORTGAGE PRODUCTS I	DE	8.01,9.01	11/17/05
RESIDENTIAL FUNDING MORTGAGE SECURITI	DE	8.01,9.01	11/17/05
RESIDENTIAL FUNDING MORTGAGE SECURITI	DE	8.01,9.01	11/17/05
ROBERTS REALTY INVESTORS INC	GA	1.01	11/15/05
Rockwood Holdings, Inc.	DE	7.01,9.01	11/16/05
ROGERS CORP	MA	1.01	11/11/05
SAFENET INC	DE	1.01,9.01	11/14/05
SALESFORCE COM INC	DE	1.01	11/12/05
SAN DIEGO GAS & ELECTRIC CO	CA	8.01	11/16/05
SAN DIEGO GAS & ELECTRIC CO	CA	8.01,9.01	11/14/05
SAN HOLDINGS INC	CO	1.01,3.02,9.01	11/11/05
SAN HOLDINGS INC	CO	2.02,4.02	11/14/05
SELIGMAN QUALITY MUNICIPAL FUND INC	MD	5.03,7.01,9.01	11/17/05
SELIGMAN SELECT MUNICIPAL FUND INC	MD	5.03,7.01,9.01	11/17/05
SEMOTUS SOLUTIONS INC	NV	1.01,3.02,9.01	11/14/05
SEMPRA ENERGY	CA	8.01	11/16/05
SHIRE PHARMACEUTICALS GROUP PLC		5.02,9.01	11/14/05

SHOE CARNIVAL INC	IN	2.02,9.01	11/17/05
SHOPKO STORES INC	WI	2.02,9.01	11/17/05
SIEBEL SYSTEMS INC	DE	8.01,9.01	11/15/05
SIERRA PACIFIC RESOURCES /NV/	NV	1.01,9.01	11/15/05
SILVERSTAR HOLDINGS LTD	BO	2.02,7.01,9.01	11/15/05
SIRVA INC	DE	1.01,9.01	11/14/05
SKY PETROLEUM, INC.	NV	5.02,7.01,9.01	11/16/05
SMUCKER J M CO	OH	2.02,9.01	11/17/05
SNB Bancshares Inc	TX	1.01,9.01	11/16/05
Sockeye Seafood Group Inc		4.01,9.01	11/15/05
SONIC SOLUTIONS/CA/	CA	1.01	11/11/05
SOUTHERN CONNECTICUT BANCORP INC	CT	5.02,9.01	11/17/05
SPACEHAB INC \WA\	WA	8.01,9.01	11/17/05
SPARTECH CORP	DE	2.02,9.01	11/16/05
SPORTS AUTHORITY INC /DE/	DE	2.02,9.01	11/17/05
SRS LABS INC	DE	1.01,9.01	11/14/05
ST JOSEPH INC	CO	8.01	11/17/05
ST PAUL TRAVELERS COMPANIES INC	MN	8.01,9.01	11/16/05
STARBUCKS CORP	WA	2.02,9.01	11/17/05
STATION CASINOS INC	NV	8.01	11/17/05
STEAK & SHAKE CO	IN	1.01,2.02,9.01	09/28/05
STERICYCLE INC	DE	1.01,9.01	11/17/05
STERLING BANCSHARES INC	TX	1.01,5.03,8.01,9.01	11/13/05
STERLING CONSTRUCTION CO INC	DE	5.02	11/17/05
STERLING CONSTRUCTION CO INC	DE	7.01,9.01	11/17/05
STRATEGIC HOTEL CAPITAL INC		5.02,9.01	11/14/05
STRUCTURED ASSET SECURITIES CORP	DE	8.01,9.01	11/17/05
STRUCTURED ASSET SECURITIES CORP	DE	8.01	11/17/05
STRUCTURED ASSET SECURITIES CORP	DE	8.01	11/15/05
SUBURBAN PROPANE PARTNERS LP	DE	2.02,9.01	11/17/05
SUN HEALTHCARE GROUP INC	DE	8.01,9.01	11/17/05
SUNOCO LOGISTICS PARTNERS LP	DE	7.01,9.01	11/17/05
Sunset Brands Inc	NV	1.01,2.01,2.03,3.02,9.01	11/17/05
SUTRON CORP	VA	2.02	11/14/05
SYKES ENTERPRISES INC	FL	3.01	11/15/05
SyntheMed, Inc.	DE	1.01	11/11/05

SYS	CA	1.01,9.01	11/10/05	
SYSCO CORP	DE	1.01,1.02,9.01	11/10/05	
SYSTEMAX INC	DE	4.01,9.01	11/07/05	AMEND
TAL International Group, Inc.	DE	2.02,9.01	11/16/05	
TALX CORP	MO	8.01,9.01	11/14/05	
TANGER FACTORY OUTLET CENTERS INC	NC	5.03	11/17/05	
TANGER PROPERTIES LTD PARTNERSHIP /NC	NC	5.03	11/16/05	
Telecomm Sales Network Inc		1.01,9.01	11/14/05	
TELEPHONE & DATA SYSTEMS INC /DE/	DE	3.01,8.01,9.01	11/15/05	
TESORO CORP /NEW/	DE	1.01,2.03,9.01	11/16/05	
TETRA TECH INC	DE	1.01,2.02,5.02,9.01	11/14/05	
TIAA REAL ESTATE ACCOUNT	NY	8.01	11/17/05	
TJX COMPANIES INC /DE/	DE	1.01,9.01	11/14/05	
TRI-CONTINENTAL CORP	MD	5.03,7.01,9.01	11/17/05	
TRIAD HOSPITALS INC	DE	5.02,9.01	11/17/05	
U S CANADIAN MINERALS INC	CA	5.02,9.01	11/16/05	
U-Store-It Trust	MD	2.02,9.01	11/11/05	
ULTRATECH INC	DE	7.01,9.01	11/17/05	
UNITED STATES CELLULAR CORP	DE	3.01,8.01,9.01	11/15/05	
UNITED SURGICAL PARTNERS INTERNATIONA	DE	7.01,9.01	11/17/05	
UpSnap, Inc.		1.01,2.01,3.02,5.01,5.02, 5.03,5.06,9.01	11/15/05	
US ENERGY CORP	WY	1.01,8.01	11/14/05	
US GLOBAL NANOSPACE INC	DE	8.01	11/17/05	
UTAH MEDICAL PRODUCTS INC	UT	8.01	11/16/05	
VALLEY NATIONAL BANCORP	NJ	1.01,1.02,9.01	11/14/05	
VECTOR GROUP LTD	DE	1.01,3.02,9.01	11/16/05	
VECTREN CORP	IN	8.01	11/16/05	
Venoco, Inc.	DE	2.02,9.01	11/17/05	
VESTA INSURANCE GROUP INC	DE	7.01,9.01	11/17/05	
VIRGINIA COMMERCE BANCORP INC		1.01,2.03	11/15/05	
VIRGINIA COMMERCE BANCORP INC		5.02,7.01,9.01	11/16/05	AMEND
VOLKSWAGEN PUBLIC AUTO LOAN SECURITIZ		8.01,9.01	11/16/05	
W&T OFFSHORE INC	TX	5.05	11/16/05	
WALT DISNEY CO/	DE	2.02,9.01	11/17/05	
WARWICK VALLEY TELEPHONE CO	NY	9.01	11/17/05	

WASHINGTON MUTUAL MORTGAGE SECURITIES	DE	9.01	11/16/05	
WATSON WYATT & CO HOLDINGS	DE	1.01,9.01	11/11/05	
WAVERIDER COMMUNICATIONS INC	NV	8.01,9.01	11/17/05	
WELLS REAL ESTATE FUND IX LP	GA	1.01	11/15/05	
WELLS REAL ESTATE FUND VIII LP	GA	1.01	11/15/05	
WEYERHAEUSER CO	WA	7.01	11/16/05	
WHEELING ISLAND GAMING INC	DE	2.02,9.01	11/15/05	
WHERIFY WIRELESS INC	DE	7.01,9.01	11/15/05	
Whos Your Daddy Inc	NV	5.02	11/16/05	
WILLBROS GROUP INC	RI	4.01,9.01	11/10/05	
WILLBROS GROUP INC	RI	4.01,9.01	11/10/05	
WILLIAMS COMPANIES INC	DE	8.01,9.01	11/17/05	
WILLIAMS SONOMA INC	CA	2.02,9.01	11/17/05	
WILSON HOLDINGS, INC.	NV	1.01,2.03,7.01,9.01	11/10/05	
WOODHEAD INDUSTRIES INC	DE	8.01	11/11/05	
WORKSTREAM INC		5.02	11/17/05	
WPS RESOURCES CORP	WI	8.01,9.01	11/15/05	
XERION ECOSOLUTIONS GROUP INC	CO	1.01	10/18/05	AMEND
XTO ENERGY INC	DE	8.01,9.01	11/17/05	
ZALE CORP	DE	1.01,9.01	11/11/05	
ZILOG INC	CA	1.01,9.01	11/10/05	
ZINGO, INC	NV	9.01	08/18/05	AMEND
ZOLL MEDICAL CORP	MA	2.02,9.01	11/17/05	