

SEC NEWS DIGEST

Issue 2000-132

July 12, 2000

COMMISSION ANNOUNCEMENTS

SEC CHAIRMAN LEVITT AND TREASURY SECRETARY SUMMERS TO CONDUCT INVESTORS TOWN MEETING IN CLEVELAND

Free Program Will Offer Area Residents Practical Tips for Saving and Investing

Cleveland area residents who want to learn more about saving and investing wisely will get their chance when U.S. Securities and Exchange Commission Chairman Arthur Levitt and U.S. Department of Treasury Secretary Lawrence H. Summers conduct an Investors Town Meeting at the Cleveland Convention Center on Tuesday, July 25, 2000.

Chairman Levitt and Secretary Summers will offer practical financial tips and answer audience questions during a ninety-minute general session that will begin at 6:00 p.m. The free program will also feature a series of educational seminars on stocks, bonds, mutual funds, and other financial topics at 4:30 p.m. and 7:45 p.m.

“Our financial markets have created unprecedented opportunities for American families, but investing in the stock market will always entail risk,” Levitt said. “The more you know about setting financial goals and choosing investments that match your objectives and tolerance for risk, the more likely you will achieve financial security.”

“Too many Americans lack the basic financial knowledge necessary to prepare them for the future,” said Secretary Summers. “By planning for your future and using the power of compound interest, even small investments can generate substantial wealth for your retirement.”

In addition to the SEC and the Department of Treasury, the town meeting will be sponsored by the Cleveland Plain Dealer, Cleveland Saves, and the National Partners for Financial Empowerment (NPFE). Launched in April by Secretary Summers, NPFE is a national partnership of government agencies and private sector organizations that works to promote personal finance education among individuals, and in schools, workplaces, and other institutions.

Cleveland Saves is a coalition of nearly 100 Greater Cleveland-area employers, unions, nonprofits, and financial institutions that has been working with the nonprofit Consumer Federation of America to encourage Clevelanders to save and build wealth.

“The visit from Chairman Levitt and Secretary Summers will highlight the opportunities for Cleveland area citizens to save and do so wisely,” said (Ret.) U.S. Senator Howard H. Metzenbaum, Chairman of the Consumer Federation of America.

Admission is free, but reservations are recommended because seating is limited. To reserve a seat, call 1-877-399-4064, or send an e-mail to rsvp@sec.gov. Anyone who needs auxiliary aids to attend the town meeting, such as a sign language interpreter, should request them at the time of reservation.

The town meeting will be the 38th of a national series launched by the SEC in 1994 to promote public understanding of the securities markets and awareness of the risks and rewards of investing.

Press Contacts:

| | | | |
|------------------------------------------------------|--------------------------------------------------------------------|--------------------------------------------------------------|--------------------------------------------------------------------|
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(Press Rel. 2000-95)

KENNETH BERMAN, ASSOCIATE DIRECTOR OF THE DIVISION OF INVESTMENT MANAGEMENT, TO LEAVE SEC AFTER 12 YEARS OF SERVICE

Kenneth J. Berman, Associate Director of the Securities and Exchange Commission’s Division of Investment Management, today announced that he will leave the Commission in August to become a partner with the law firm of Debevoise & Plimpton. Mr. Berman will become part of the firm’s investment management practice and will be based in the firm’s Washington, DC office.

SEC Chairman Arthur Levitt said, “Ken has been a dedicated member of the SEC’s Division of Investment Management for the past twelve years. His ability to adapt investment company regulation to today’s capital markets, while preserving the interests of investors, has been invaluable. His legal skills, common sense and understanding of the business issues facing investment managers have earned the respect of his colleagues at the Commission and in the industry.”

SEC Investment Management Director Paul Roye said, “Ken’s departure is a substantial loss to the Commission and to me personally. Ken’s legal skills, experience and desire to protect investors have greatly enhanced the Investment Management program. He also played a key role in the Division’s administration of the Public Utility Holding Company Act of 1935. The Division will miss greatly his counsel, his professionalism and his sense of humor.”

Mr. Berman joined the Securities and Exchange Commission staff in 1988 after several years of private practice. Prior to becoming Associate Director in 1997, Mr. Berman was Assistant Director of the Division's Office of Regulatory Policy and Deputy Office Chief of the Division's Office of Disclosure and Investment Adviser Regulation.

As Associate Director, Mr. Berman was responsible for supervising a broad range of activities relating to investment company regulation and the administration of the Public Utility Holding Company Act of 1935. While at the SEC, Mr. Berman played key roles in a number of initiatives, including the implementation of amendments to the Investment Company Act enacted as part of the National Securities Markets Improvement Act of 1996, the formulation of Commission rules concerning private investment companies, and various matters related to money market fund regulation. Mr. Berman received the Commission's Law and Public Policy Award (in 1994 and 1997) and the Regulatory Simplification Award for his work on various Commission initiatives.

Mr. Berman said, "There is no greater place to serve the public than the SEC. I have had the opportunity to work on fascinating legal and regulatory issues with some of the best, brightest and most dedicated people anywhere. I thank Chairman Levitt and Paul Roye for their confidence and support."

Mr. Berman, 45, received his J.D. from the University of Chicago Law School and his B.A. from Dickinson College. (Press Rel. 2000-96)

ENFORCEMENT PROCEEDINGS

SEC CHARGES THAT MUTUAL FUND MANAGER COMMITTED FRAUD

On July 10, the Commission announced that it has filed suit in the United States District Court for the Northern District of Texas against a registered series mutual fund company, its investment adviser and an upstream affiliate, alleging fraud, mismanagement and breach of fiduciary duty. The SEC's complaint also alleges sales of securities without a valid prospectus, violations of net asset value requirements, improper loans to affiliates and illegal suspension of mutual fund share redemptions. The defendants are

- Rupay-Barrington Funds, Inc. (Fund), an investment company registered with the SEC and organized as a series mutual fund company with three portfolios;
- Rupay-Barrington Capital Management, Inc. (Rupay Management), an investment adviser registered with the SEC, that, in addition to serving as the Fund's investment adviser, also advises approximately 80 private clients, with approximately \$81 million in assets under management; and

- Rupy-Barrington Financial Group, Inc. (Rupy Group), the holding company for a family of financial services companies operating under the “Rupy-Barrington” moniker, including Rupy Management.

The defendants are headquartered in Arlington, Texas.

The SEC’s complaint alleges that, from at least January 1999, Rupy Management caused the Fund, its advisory client, to carry a worthless receivable from Rupy Group, which is deeply insolvent. This receivable arose from Rupy Group’s agreement to pay Fund expenses that exceeded a certain percentage of the Fund’s net assets. According to the complaint, this receivable was uncollectable from at least January 1999 through June 2000, yet Rupy Management caused the Fund’s books to reflect the receivable at full face value. The complaint further alleges that the receivable grew steadily over this period to a peak of approximately \$250,000, which inflated the net asset value of the Fund’s three portfolios – by almost 600% in one instance – meaning that the Fund sold and redeemed shares at improperly high prices. Ultimately, the complaint alleges, the situation became so desperate that the Fund improperly suspended redemptions for its “Total Return” portfolio, which held the largest portion of the receivable and hence was illiquid.

The complaint further alleges that shareholders were not told of the worthless receivable. To the contrary, the complaint alleges, Rupy Management advised its private advisory clients to invest in the Fund, and caused the Fund’s last shareholder report to misrepresent that Rupy Group was paying on the receivable, when it was not.

The complaint also alleges that the Fund sold shares during most of this period using an outdated prospectus and that these events transpired without remedial action by Rupy Management or the Fund’s directors.

Simultaneous with the filing of the complaint, the defendants consented, without admitting or denying the allegations of the complaint, to the entry of:

- (1) an order of preliminary injunction which (a) enjoins Rupy Management from violating Sections 5(b) and 17(a) of the Securities Act of 1933; Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder; Sections 206(1) and 206(2) of the Investment Advisers Act; and Sections 34(b) and 36(a) of the Investment Company Act; and (b) enjoins Rupy Group from violating Section 17(a)(3) of the Investment Company Act; and
- (2) an order appointing a Special Master to assume management over the Fund, to liquidate the Fund’s assets and to make a distribution to the Fund’s service providers, creditors and shareholders. AIM Advisors, Inc., headquartered in Houston, Texas, has agreed to act as the Special Master.

The complaint also seeks, against Rupay Management and Rupay Group only, permanent injunctive relief, disgorgement of any ill-gotten gains, with prejudgment interest, and civil monetary penalties. The SEC is continuing its investigation into these matters. [SEC v. Rupay-Barrington Capital Management, Inc., Rupay-Barrington Financial Group, Inc., and Rupay-Barrington Funds, Inc., Civil Action No. 3-00-CV1482-D, ND/Texas, Dallas Division] (LR-16623)

INVESTMENT COMPANY ACT RELEASES

meVC DRAPER FISHER JURVETSON FUND I, INC., ET AL.

An order has been issued on an application filed by meVC Draper Fisher Jurvetson Fund I, Inc., et al. under Sections 6(c) and 57(i) of the Investment Company Act and Rule 17d-1 under the Act to permit a business development company to co-invest with certain affiliates in portfolio companies. (Rel. IC-24556 – July 11)

THE TORONTO DOMINION BANK, ET AL.

The Commission has issued an order granting The Toronto Dominion Bank, et al. a permanent exemption from Section 9(a) of the Investment Company Act with respect to a securities-related injunction entered by the U.S. District Court for the Southern District of New York on September 12, 1989. (Rel. IC-24555 – July 11)

HOLDING COMPANY ACT RELEASES

ALLIANT ENERGY CORPORATION, ET AL.

A supplemental order has been issued authorizing certain transactions by Alliant Energy Corporation, a registered holding company, and its wholly owned nonutility subsidiary company, Alliant Energy Resources, Inc. (AER) and AER's nonutility subsidiary, Heartland Properties, Inc. (together Applicants). Applicants request that the Commission modify a prior order restricting investments in low-income housing tax credit properties to the Applicants' service territory. (Rel. 35-27198)

SELF-REGULATORY ORGANIZATIONS

APPROVAL OF PROPOSED RULE CHANGE

The Commission approved a proposed rule change and Amendment No. 1 and issued notice of filing and granted accelerated approval to Amendment No. 2 submitted by the National Association of Securities Dealers (SR-NASD-99-41) relating to the opening of

day-trading accounts. Publication of the notice in the Federal Register is expected during the week of July 17. (Rel. 34-43021)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

- F-1 EMBRAER BRAZILIAN AVIATION CO INC, AVENIDA BRIGADERO FARIA LIMA
2170,
12227-901 SAO JOSE DOS CAMPOS SAO PAULO, FEDERATIVE REPUBLIC OF BRAZIL,
D5
00000 (011) 551-2345 - 96,600,000 (\$496,524,000) PREFERRED STOCK.
(FILE
333-12220 - JUN. 30) (BR. 5 - NEW ISSUE)
- S-8 WHEREVER NET HOLDING CORP, NATWEST TOWER 1 MATHESON STREET,
SUITE 4701 CAUSEWAY BAY HONG KONG SAR, PEOPLES REPUBLIC OF, F5 00000 -
4,000,000 (\$36,000,000) COMMON STOCK. (FILE 333-40786 - JUL. 05) (BR.
7)
- S-8 LANTE CORP, 161 NORTH CLARK STREET, SUITE 4900, CHICAGO, IL 60601
(312) 696-5000 - 18,200,000 (\$275,406,765) COMMON STOCK. (FILE 333-
40788 -
JUL. 05) (BR. 6)
- S-3 BRILLIANT DIGITAL ENTERTAINMENT INC, 6355 TOPANGA CANYON BLVD SUITE
120,
WOODLAND HILLS, CA 91367 (818) 615-1500 - 4,500,000 (\$21,105,000)
COMMON STOCK. (FILE 333-40794 - JUL. 05) (BR. 3)
- S-4 DUANE READE INC, 440 NINTH AVE, NEW YORK, NY 10001 (212) 273-5700 -
750,000 (\$18,867,188) COMMON STOCK. (FILE 333-40798 - JUL. 05) (BR. 1)
- SB-2 FOREST GLADE INTERNATIONAL INC, 444 VICTORIA STREET STE 370,
PRINCE GEORGE BC CANADA V2L 2J, A1 (250) 564-6868 - 14,738,744
(\$24,871,630.50) COMMON STOCK. (FILE 333-40800 - JUL. 05) (BR. 9)

- S-8 CENTRE CAPITAL CORP /NV/, 2511 NORTH 10TH STREET, DUNCAN, OK 73533
(580) 255-3499 - 800,000 (\$1,300,000) COMMON STOCK. (FILE 333-40802 -
JUL. 05) (BR. 5)
- S-3 ETOYS INC, 3100 OCEAN PARK BLVD, SUTIE 300, SANTA MONICA, CA 90405
(310) 664-8100 - 175,000 (\$890,750) COMMON STOCK. (FILE 333-40804 -
JUL. 05) (BR. 2)
- S-8 BEASLEY BROADCAST GROUP INC, 3033 RIVIERA DRIVE, SUITE 200, NAPLES,
FL
34103 (941) 263-5000 - 3,000,000 (\$45,555,350) COMMON STOCK. (FILE
333-40806 - JUL. 05) (BR. 7)
- S-3 COMMUNITY BANK SYSTEM INC, 5790 WIDEWATERS PKWY, DEWITT, NY 13214
(315) 445-2282 - 300,000 (\$6,656,250) COMMON STOCK. (FILE 333-40808 -
JUL. 05) (BR. 7)
- S-3 GOLDMAN SACHS GROUP INC, 85 BROAD ST, NEW YORK, NY 10004 (212) 902-
1000
- 46,000,000 (\$4,071,000,000) COMMON STOCK. (FILE 333-40810 - JUL. 05)
(BR. 7)
- S-3 V ONE CORP/ DE, 20250 CENTURY BOULEVARD, SUITE 300, GERMANTOWN, MD
20874
(301) 515-5200 - 524,967 (\$2,771,825.70) COMMON STOCK. (FILE 333-40812
-
JUL. 05) (BR. 3)
- S-8 COMMONWEALTH TELEPHONE ENTERPRISES INC /NEW/, 100 CTE DRIVE, PO BOX
800,
DALLAS, PA 18612 (717) 674-2700 - 100,000 (\$4,741,000) COMMON STOCK.
(FILE 333-40814 - JUL. 05) (BR. 7)
- S-3 APPLIEDTHEORY CORP, 1500 BROADWAY 3RD FL, NEW YORK, NY 10036
(516) 466-8422 - 9,803,981 (\$120,412,494) COMMON STOCK. (FILE 333-
40816 -
JUL. 05) (BR. 3)
- S-8 OWENS CORNING, OWENS CORNING WORLD HEADQUARTERS, ONE OWENS CORNING
PKWY,
TOLEDO, OH 43659 (419) 248-8000 - \$30,000,000 COMMON STOCK. (FILE
333-40818 - JUL. 05) (BR. 6)
- S-8 VITESSE SEMICONDUCTOR CORP, 741 CALLE PLANO, CAMARILLO, CA 93012
(805) 388-3700 - 2,173,359 (\$25,043,378.96) COMMON STOCK. (FILE 333-
40822
- JUL. 05) (BR. 5)
- S-8 OWENS CORNING, OWENS CORNING WORLD HEADQUARTERS, ONE OWENS CORNING
PKWY,
TOLEDO, OH 43659 (419) 248-8000 - \$30,000,000 COMMON STOCK. (FILE
333-40824 - JUL. 05) (BR. 6)
- S-8 HEARME, 685 CLYDE AVENUE, MOUNTAIN VIEW, CA 94043 (650) 429-3611 -
14,700,000 (\$68,943,000) COMMON STOCK. (FILE 333-40826 - JUL. 05) (BR.
2)

S-8 3DSHOPPING COM, 517 BOCCACCIO AVENUE, VENICE, CA 90291 (310) 301-6733 - 1,000,000 (\$13,060,000) COMMON STOCK. (FILE 333-40828 - JUL. 05) (BR. 8)

S-8 3DSHOPPING COM, 517 BOCCACCIO AVENUE, VENICE, CA 90291 (310) 301-6733 - 2,000,000 (\$26,120,000) COMMON STOCK. (FILE 333-40830 - JUL. 05) (BR. 8)

S-8 MERGE TECHNOLOGIES INC, 1126 S 70TH STREET, MILWAUKEE, WI 53214 (414) 977-4000 - 300,000 (\$750,000) COMMON STOCK. (FILE 333-40832 - JUL. 05) (BR. 3)

S-3 PARAGON TECHNOLOGIES INC, 600 KUEBLER ROAD, EASTON, PA 18040 (610) 252-7321 - 148,784 (\$1,129,829) COMMON STOCK. (FILE 333-40834 - JUL. 05) (BR. 5)

S-8 CAPSTONE TURBINE CORP, 6430 INDEPENDENCE, WOODLAND HILLS, CA 91367 (818) 716-2929 - 5,992,389 (\$23,078,820.84) COMMON STOCK. (FILE 333-40838 - JUL. 05) (BR. 5)

S-8 PHONE COM INC, 800 CHESAPEAKE DRIVE, REDWOOD CITY, CA 94063 (650) 562-0200 - 23,112 (\$29,814.48) COMMON STOCK. (FILE 333-40840 - JUL. 05) (BR. 3)

S-8 BROOKS AUTOMATION INC, 15 ELIZABETH DRIVE, CHELMSFORD, MA 01824 (978) 262-2566 - 1,000,000 (\$62,687,500) COMMON STOCK. (FILE 333-40842 - JUL. 05) (BR. 5)

S-8 BROOKS AUTOMATION INC, 15 ELIZABETH DRIVE, CHELMSFORD, MA 01824 (978) 262-2566 - 1,650,000 (\$103,434,375) COMMON STOCK. (FILE 333-40844 - JUL. 05) (BR. 5)

S-8 CAPSTONE TURBINE CORP, 6430 INDEPENDENCE, WOODLAND HILLS, CA 91367 (818) 716-2929 - 900,000 (\$35,604,000) COMMON STOCK. (FILE 333-40846 - JUL. 05) (BR. 5)

S-8 BROOKS AUTOMATION INC, 15 ELIZABETH DRIVE, CHELMSFORD, MA 01824 (978) 262-2566 - 500,000 (\$31,343,750) COMMON STOCK. (FILE 333-40848 - JUL. 05) (BR. 5)

SB-2 AVID SPORTSWEAR & GOLF CORP, 22 SOUTH LINKS AVE, STE 204, SARASOTA, FL 34236 (305) 539-3355 - 14,988,840 (\$7,494,320) COMMON STOCK. (FILE 333-40852 - JUL. 06) (BR. 9)

S-3 HOST MARRIOTT CORP/, 10400 FERNWOOD ROAD DEPT 907, BETHESDA, MD 20817 (301) 380-9000 - 585,777 (\$5,557,559) COMMON STOCK. (FILE 333-40854 - JUL. 06) (BR. 8)

S-8 FIRST HORIZON PHARMACEUTICAL CORP, 660 HEMBREE PARKWAY, SUITE 106, ROSWELL, GA 30076 - 3,758,000 (\$37,521,375) COMMON STOCK. (FILE 333-40856 - JUL. 06) (BR. 1)

S-8 SIMPSON MANUFACTURING CO INC /CA/, 4637 CHABOT DR, STE 200, PLEASANTON, CA 94588 (510) 609-9912 - 500,000 (\$24,046,875) COMMON STOCK. (FILE 333-40858 - JUL. 06) (BR. 6)

S-8 NEXELL THERAPEUTICS INC, 9 PARKER, IRVINE, CA 92618 (949) 470-9011 - 562,500 (\$9,247,500) COMMON STOCK. (FILE 333-40860 - JUL. 06) (BR. 1)

S-4 NORTHROP GRUMMAN CORP, 1840 CENTURY PK E, LOS ANGELES, CA 90067 (310) 201-3057 - 7,698,181 (\$133,486,458.54) COMMON STOCK. (FILE 333-40862 - JUL. 06) (BR. 5)

S-1 MANAGEMENT NETWORK GROUP INC, 7300 COLLEGE BLVD., STE 302, OVERLAND PARK, KS 66210 (913) -34-5-93 - 4,600,000 (\$156,975,000) COMMON STOCK. (FILE 333-40864 - JUL. 06) (BR. 8)

S-8 NANOMETRICS INC, 310 DEGUIGNE DR, SUNNYVALE, CA 94086 (408) 746-1600 - 1,650,000 (\$68,859,525) COMMON STOCK. (FILE 333-40866 - JUL. 06) (BR. 5)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.
- Item 9. Regulation S Offerings.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

| NAME OF ISSUER | STATE CODE | 8K ITEM NO. | | | | | | | | | DATE | COMMENT | |
|----------------------------------------------|---------------|-------------|---|---|---|---|---|---|---|---|------|----------|--|
| | | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | | | |
| ACADIA GROUP INC | CO | | | | | X | X | | | | | 04/30/00 | |
| ADVANCED ELECTRONIC SUPPORT PRODUCT S INC | FL | | | | | X | X | | | | | 05/31/00 | |

| NAME OF ISSUER | STATE CODE | 8K ITEM NO. | | | | | | | | | DATE | COMMENT |
|------------------------------------------------------------------|---------------|-------------|---|---|---|---|---|---|---|---|----------|---------|
| | | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | | |
| ADVANCED MAGNETICS INC | DE | | | | | X | X | | | | 07/07/00 | |
| ALCOA INC | PA | X | | | | X | X | | | | 05/03/00 | AMEND |
| ALLSTAR SYSTEMS INC | DE | | | | | X | X | | | | 07/11/00 | |
| AMERIQUEST MORTGAGE SEC INC FLOAT R ATE MO PA TH CE SE 2000-2 | DE | X | | | | | | X | | | 07/10/00 | |
| ANDOVER BANCORP INC | DE | | | | | X | | | | | 07/01/00 | |
| ANTEX BIOLOGICS INC | DE | | | | X | | | | | | 07/11/00 | |
| ARVINMERITOR INC | IN | X | | | | X | X | | | | 07/07/00 | |
| ATLANTIC COAST AIRLINES HOLDINGS IN C | DE | X | | | | | | | | | 07/11/00 | |
| ATRIUM COMPANIES INC | DE | | | | | X | X | | | | 07/06/00 | |
| AVALONBAY COMMUNITIES INC | MD | | | | | X | X | | | | 07/10/00 | |
| AVNET INC | NY | | | | | X | X | | | | 07/11/00 | |
| AVOCENT CORP | DE | X | | | | | X | | | | 07/01/00 | |
| BACKWEB TECHNOLOGIES LTD | | X | | | | | X | | | | 06/27/00 | |
| BACOU USA INC | DE | | | | | X | X | | | | 07/10/00 | |
| BANK OF AMERICA MORT SEC INC MORT P ASS THR CERT SER 2000-3 | DE | | | | | X | X | | | | 05/25/00 | |
| BARRETT RESOURCES CORP | DE | | | | | X | X | | | | 06/29/00 | |
| BELL MICROPRODUCTS INC | CA | | | | | X | | | | | 07/06/00 | |
| BILLYWEB CORP | FL | | | | | | X | | | | 05/16/00 | AMEND |
| BIOSANTE PHARMACEUTICALS INC | WY | | | | | X | X | | | | 06/13/00 | |
| BORDERS GROUP INC | DE | | | | | X | | | | | 01/28/01 | |
| BROADCOM CORP | CA | | | | | X | X | | | | 03/01/00 | AMEND |
| BUTLER NATIONAL CORP | DE | | | | X | | | | | | 07/07/00 | |
| CAPROCK COMMUNICATIONS CORP | TX | | | | | X | X | | | | 06/15/00 | |
| CAPROCK COMMUNICATIONS CORP | TX | | | | | X | X | | | | 07/06/00 | |
| CAPSULE COMMUNICATIONS INC DE | DE | | | | | X | X | | | | 06/30/00 | |
| CELERITY SYSTEMS INC | DE | | | | | X | X | | | | 06/16/00 | |
| CENTURY BUSINESS SERVICES INC | DE | X | | | | | X | | | | 06/26/00 | |
| CFW COMMUNICATIONS CO | VA | | | | | X | X | | | | 06/16/00 | |
| CHAMPPS ENTERTAINMENT INC/ MA | DE | X | | | | | X | | | | 06/29/00 | |
| CHECKFREE HOLDINGS CORP \GA\ | DE | X | | | | | X | | | | 04/28/00 | AMEND |
| COMMUNITY CAPITAL CORP /SC/ | SC | X | | | | | X | | | | 06/26/00 | |
| COMPLETE WELLNESS CENTERS INC | DE | | | | | X | X | | | | 07/07/00 | |
| CONECTIV | DE | | | | | X | X | | | | 06/28/00 | |
| CONEXANT SYSTEMS INC | DE | X | | | | | X | | | | 06/29/00 | |
| CONSECO INC | IN | | | | | X | X | | | | 06/28/00 | |
| CONVERGENCE COMMUNICATIONS INC | NV | | | | | X | X | | | | 06/22/00 | |
| COOPER TIRE & RUBBER CO | DE | | | | | X | X | | | | 12/31/00 | |
| CREDIT SUISSE FIR BOS MOR SEC CORP MO BK PA TH CE SE 99-WM1 | | | | | | X | X | | | | 06/30/00 | |
| CREDIT SUISSE FIR BOS MOR SEC CORP MO BK PA TH CE SE 99-WM2 | | | | | | X | X | | | | 06/30/00 | |
| CREDIT SUISSE FIR BOS MOR SEC CORP MO BK PA TH CE SE 99-WM3 | DE | | | | | X | X | | | | 06/30/00 | |
| CUNO INC | DE | | | | | X | X | | | | 07/06/00 | |
| CYPRESS SEMICONDUCTOR CORP /DE/ | DE | | | | | X | X | | | | 07/11/00 | |
| DAIMLER BENZ AUTO GRANTOR TRUST 199 7 A | DE | | | | | X | X | | | | 06/20/00 | |
| DAIMLER BENZ VEHICLE OWNER TRUST 19 98-A | DE | | | | | X | X | | | | 06/20/00 | |
| DEXTER CORP | CT | | | | | X | X | | | | 07/07/00 | |
| DIGITEC 2000 INC | NV | | | | X | | | | | | 06/30/00 | |

| NAME OF ISSUER | STATE CODE | 8K ITEM NO. | | | | | | | | | DATE | COMMENT |
|--------------------------------------------------------|---------------|-------------|---|---|---|---|---|---|---|---|----------|---------|
| | | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | | |
| DIME BANCORP INC | DE | | | | | X | X | | | | 07/06/00 | |
| DOANE PET CARE CO | DE | X | | | | | X | | | | 05/12/00 | AMEND |
| DOMINION RESOURCES INC /VA/ | VA | | | | | X | X | | | | 07/10/00 | |
| EAGLE SUPPLY GROUP INC | DE | | | | | X | X | | | | 06/20/00 | |
| EARTHLINK INC | DE | | | | | X | X | | | | 07/07/00 | |
| EB2B COMMERCE INC // | NJ | | | | X | | X | X | | | 06/30/00 | |
| EDISON BROTHERS STORES INC | DE | | X | | | | | | | | 07/07/00 | |
| ELECTRONIC TELE COMMUNICATIONS INC | WI | | | | X | | X | | | | 06/30/00 | |
| ENTRUST TECHNOLOGIES INC | MD | X | | | | | X | | | | 06/26/00 | |
| EXIDE CORP | DE | | | | X | | X | | | | 07/10/00 | |
| FIBERCORE INC | NV | | | | | | X | | | | 06/20/00 | AMEND |
| FIBERNET TELECOM GROUP INC\ | DE | | | | | X | X | | | | 06/30/00 | |
| FLOATING RATE MORT PASS THROUGH CER T SERIES 2000-1 | DE | X | | | | | X | | | | 07/10/00 | |
| FLORIDA POWER CORP / | FL | | | | | X | X | | | | 07/11/00 | |
| FLORIDA PROGRESS CORP | FL | | | | | X | X | | | | 07/11/00 | |
| FORTUNE NATURAL RESOURCES CORP | DE | | | | | X | X | | | | 07/11/00 | |
| GAIAM INC | | X | | | | | | | | | 06/30/00 | |
| GE CAPITAL MORTGAGE SERVICES INC | NJ | | | | | X | X | | | | 06/26/00 | |
| GENELABS TECHNOLOGIES INC /CA | CA | | | | | X | X | | | | 07/10/00 | |
| GENOME THERAPEUTICS CORP | MA | | | | | X | | | | | 07/10/00 | |
| GEON CO | DE | | | | | X | X | | | | 07/10/00 | |
| GETTHERE COM | DE | | | | | X | X | | | | 06/22/00 | |
| GLENOIT ASSET CORP | DE | | | | | X | X | | | | 06/30/00 | |
| GLENOIT ASSET CORP | DE | | | | | X | X | | | | 07/06/00 | |
| GLENOIT CORP | DE | | | | | X | X | | | | 06/30/00 | |
| GLENOIT CORP | DE | | | | | X | X | | | | 07/06/00 | |
| GOLDEN STAR RESOURCES LTD | | | | | | | X | | | | 09/30/99 | AMEND |
| GORAN CAPITAL INC | | | | | | X | | | | | 07/11/00 | |
| GREYSTONE DIGITAL TECHNOLOGY INC | DE | | | | | X | X | | | | 05/22/00 | |
| HAAS NEUVEUX & CO | CO | X | | | | | X | X | | | 04/26/00 | AMEND |
| HAMPSHIRE GROUP LTD | DE | X | | | | X | X | | | | 04/01/00 | AMEND |
| HAMPSHIRE GROUP LTD | DE | | | | | X | | | | | 06/30/00 | |
| INFORMATION MANAGEMENT ASSOCIATES I NC | CT | | | | | X | X | | | | 07/11/00 | |
| INFOSPACE INC | DE | | | | | | X | | | | 12/16/99 | AMEND |
| INFOSPACE INC | DE | | | | | | X | | | | 03/10/00 | AMEND |
| INFOTOPIA INC | NV | X | | | | X | X | X | | | 04/25/00 | AMEND |
| INNOVATIVE CLINICAL SOLUTIONS LTD | DE | | | | X | | X | | | | 07/10/00 | AMEND |
| INSIDERSTREET COM INC | NV | X | | | | | X | | | | 07/05/00 | |
| INTELEFILM CORP | MN | | | | | X | X | | | | 07/07/00 | |
| INTELISPAN INC /WA/ | WA | X | | | | | X | | | | 06/30/00 | |
| INTERNET VENTURE GROUP INC | FL | X | | | | | | | | | 07/07/00 | |
| INTERNET VENTURE GROUP INC | FL | X | | | | | | | | | 07/10/00 | |
| INVITROGEN CORP | DE | | | | | X | X | | | | 07/09/00 | |
| IPC HOLDINGS LTD | | | | | | X | X | | | | 07/10/00 | |
| JVWEB INC | DE | | | | | X | | | | | 04/27/00 | AMEND |
| KEYCORP STUDENT LOAN TRUST 1999 A | | | | | | X | X | | | | 06/27/00 | |
| LACLEDE STEEL CO /DE/ | DE | | | | | X | | | | | 07/06/00 | |
| LAST CO CLOTHING INC | | X | | | | | | | | | 07/10/00 | |
| LATTICE SEMICONDUCTOR CORP | DE | | | | | X | | | | | 07/11/00 | |
| LCA VISION INC | DE | X | | | | | | | | | 07/06/00 | |
| LONE STAR TECHNOLOGIES INC | DE | | | | | X | X | | | | 07/11/00 | |
| MALLINCKRODT INC /MO | NY | | | | | X | X | | | | 06/28/00 | |

| NAME OF ISSUER | STATE CODE | 8K ITEM NO. | | | | | | | | | DATE | COMMENT |
|-----------------------------------------------------------------|---------------|-------------|---|---|---|---|---|---|---|---|----------|---------|
| | | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | | |
| MARTIN COLOR-FI INC | SC | | | X | | | | | | | 06/26/00 | |
| MATRITECH INC/DE/ | DE | | | | X | X | | | | | 06/28/00 | |
| MATRIX PHARMACEUTICAL INC/DE | DE | | | | X | X | | | | | 03/30/00 | |
| MELLON AUTO GRANTOR TRUST 2000-1 | DE | | | | X | X | | | | | 06/15/00 | |
| MELLON BANK PREMIUM FINANCE LOAN MA STER TRUST | NY | | | | X | X | | | | | 07/11/00 | |
| MERIDIAN HOLDINGS INC | CO | | | | X | X | | | | | 07/11/00 | |
| METLIFE INC | DE | | | | X | X | | | | | 07/10/00 | |
| MICROGRAFX INC | TX | | | | X | X | | | | | 07/03/00 | |
| MORGAN J P & CO INC | DE | | | | X | X | | | | | 07/11/00 | |
| NATIONAL COMMERCE BANCORPORATION | TN | | X | | X | X | | | | | 07/05/00 | |
| NATIONAL MERCANTILE BANCORP | CA | | | | X | X | | | | | 07/11/00 | |
| NET VALUE HOLDINGS INC | DE | | | | | X | | | | | 05/09/00 | AMEND |
| NETCREATIONS INC | NY | | | | X | | | | | | 07/10/00 | |
| NETVOICE TECHNOLOGIES CORP | NV | | | | X | | | | | | 06/23/00 | |
| NETWEB ONLINE COM INC | | | | | X | X | | | | | 06/30/00 | |
| NETWORK SYSTEMS INTERNATIONAL INC | NV | | | | X | X | | | | | 09/30/00 | |
| NET2000 COMMUNICATIONS INC | DE | X | | | | | | | | | 07/10/00 | |
| NEW HILARITY MINING CO | ID | X | | | | | | | | | 07/11/00 | |
| NORTHWEST AIRLINES CORP | DE | | | | | X | | | | | 06/28/00 | AMEND |
| NORTHWEST AIRLINES CORP | DE | | | | | X | | | | | 07/10/00 | |
| NORTHWEST AIRLINES INC /MN | DE | | | | | X | | | | | 06/28/00 | |
| ONEOK INC /NEW/ | OK | | | | X | X | | | | | 07/10/00 | |
| OPAL TECHNOLOGIES INC | NV | | | | X | | | | | | 07/07/00 | |
| OTG SOFTWARE INC | DE | | | | | X | | | | | 04/25/00 | AMEND |
| PHOTONICS CORP | CA | | | | X | | | | | | 07/10/00 | |
| PHYSICIANS RESOURCE GROUP INC | DE | | | | X | X | | | | | 06/22/00 | |
| PITT DES MOINES INC | PA | | | | X | X | | | | | 07/10/00 | |
| PIVOTAL CORP | | | X | | | X | | | | | 06/26/00 | |
| PLAINWELL INC | DE | | | | X | X | | | | | 06/29/00 | |
| PLATINUM ENTERTAINMENT INC | DE | X | | | | | | | | | 07/10/00 | |
| PNC MORTGAGE SEC CORP MORT PASS THR CERT SER 2000-1 | DE | | | | X | X | | | | | 07/01/00 | |
| PNC MORTGAGE SEC CORP MORT PASS THR CERT SER 2000-3 | DE | | | | X | X | | | | | 07/01/00 | |
| PNC MORTGAGE SECURITIES CORP MORT P ASS THRO CERT SER 2000-2 | DE | | | | X | X | | | | | 07/01/00 | |
| PNC MORTGAGE SECURITIES CORP MORT P ASS THRU CERT SER 2000 4 | DE | | | | X | X | | | | | 07/01/00 | |
| POTTERS FINANCIAL CORP | OH | | | | X | X | | | | | 07/10/00 | |
| PUBLICCARD INC | PA | | X | | | X | | | | | 06/29/00 | |
| PVAXX CORP | CO | X | | | X | X | | | | | 06/30/00 | |
| RAMEX SYNFUELS INTERNATIONAL INC | NV | X | | | | | | | | | 07/11/00 | |
| RENT WAY INC | PA | | | | X | | | | | | 07/11/00 | |
| RESIDENTIAL ACCREDIT LOANS INC | DE | | | | | X | | | | | 07/07/00 | |
| RESIDENTIAL ACCREDIT LOANS INC | DE | | | | X | X | | | | | 07/10/00 | |
| RESIDENTIAL ASSET MORTGAGE PRODUCTS INC | DE | | | | X | X | | | | | 06/23/00 | |
| RESIDENTIAL ASSET MORTGAGE PRODUCTS INC | DE | | | | X | X | | | | | 06/23/00 | |
| RESIDENTIAL ASSET MORTGAGE PRODUCTS INC | DE | | | | X | X | | | | | 06/23/00 | |
| RESIDENTIAL ASSET MORTGAGE PRODUCTS INC | DE | | | | | X | | | | | 07/06/00 | |

| NAME OF ISSUER | STATE CODE | 8K ITEM NO. | | | | | | | | | DATE | COMMENT |
|---------------------------------------------------|---------------|-------------|---|---|---|---|---|---|---|---|----------|---------|
| | | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | | |
| RESIDENTIAL ASSET MORTGAGE PRODUCTS INC | DE | | | | | | | | | X | 07/10/00 | |
| RESIDENTIAL ASSET MORTGAGE PRODUCTS INC | DE | | | | | X | | | | X | 07/10/00 | |
| RESIDENTIAL ASSET SECURITIES CORP | DE | | | | | X | | | | X | 07/10/00 | |
| RESIDENTIAL FUNDING MORTGAGE SECURI TIES I INC | DE | | | | | X | | | | X | 06/23/00 | |
| RESIDENTIAL FUNDING MORTGAGE SECURI TIES I INC | DE | | | | | | | | | X | 07/07/00 | |
| RESIDENTIAL FUNDING MORTGAGE SECURI TIES I INC | DE | | | | | | | | | X | 07/07/00 | |
| RESIDENTIAL FUNDING MORTGAGE SECURI TIES I INC | DE | | | | | X | | | | X | 07/10/00 | |
| REYNOLDS & REYNOLDS CO | OH | | | | | X | | | | | 07/10/00 | |
| RPM INC/OH/ | OH | | | | | X | | | | X | 07/11/00 | |
| SAXON ASSET SECURITIES CO | VA | | | | | X | | | | | 06/29/00 | |
| SCB COMPUTER TECHNOLOGY INC | TN | | | | | | | | | X | 07/11/00 | AMEND |
| SCM MICROSYSTEMS INC | DE | | X | | | | | | | X | 06/27/00 | |
| SDL INC | DE | | | | | X | | | | X | 07/10/00 | |
| SEMITOOL INC | MT | X | | | | | | | | | 07/10/00 | |
| SFAC NEW HOLDINGS INC | DE | | | | | X | | | | | 07/10/00 | |
| SFC NEW HOLDINGS INC | DE | | | | | X | | | | | 07/10/00 | |
| SMITH CORONA CORP | DE | | X | | | | | | | | 06/30/00 | |
| SOUTHWEST SECURITIES GROUP INC | DE | | | | | | | | | X | 04/28/00 | AMEND |
| STANDARD AUTOMOTIVE CORP | DE | | | | | | | | | X | 04/26/00 | AMEND |
| STC BROADCASTING INC | DE | | | | | X | | | | X | 07/06/00 | |
| STILWELL FINANCIAL INC | DE | | | | | X | | | | X | 06/29/00 | |
| STORM HIGH PERFORMANCE SOUND CORP/F L | FL | | | | | | | | | X | 03/31/00 | AMEND |
| SYMONS INTERNATIONAL GROUP INC | IN | | | | | X | | | | | 07/11/00 | |
| TARGET RECEIVABLES CORP | MN | | | | | X | | | | | 07/10/00 | |
| TEL VOICE COMMUNICATIONS INC | | X | X | | | X | | | | X | 06/30/00 | |
| THERMO ELECTRON CORP | DE | | | | | X | | | | X | 07/11/00 | |
| TIB FINANCIAL CORP | FL | | | | | X | | | | X | 07/06/00 | |
| TIMEONE INC | NV | X | X | | | X | | | | X | 07/11/00 | |
| TITAN CORP | DE | | X | | | X | | | | X | 06/26/00 | |
| TOYOTA MOTOR CREDIT RECEIVABLES COR P | CA | | | | | X | | | | X | 06/27/00 | |
| US AIRWAYS GROUP INC | DE | | | | | X | | | | X | 07/11/00 | |
| US AIRWAYS INC | DE | | | | | X | | | | X | 07/11/00 | |
| UST INC | DE | | | | | X | | | | | 06/22/00 | |
| VECTREN CORP | IN | X | | | | | | | | | 12/31/99 | |
| VISKASE COMPANIES INC | DE | | | | | X | | | | X | 07/07/00 | |
| WASHINGTON GAS LIGHT CO | DC | | | | | X | | | | | 06/28/00 | |
| WEATHERFORD INTERNATIONAL INC /NEW/ | DE | | | | | X | | | | X | 06/19/00 | |
| WELLS FARGO FINANCIAL INC | IA | | | | | X | | | | X | 06/30/00 | |
| WEYERHAEUSER CO | WA | | | | | X | | | | | 07/10/00 | |
| WHITE ROCK ENTERPRISES LTD | NV | | | | | X | | | | | 07/10/00 | |
| WHOLESALE AUTO RECEIVABLES CORP | DE | | | | | | | | | X | 07/10/00 | |
| WISCONSIN ENERGY CORP | WI | | | | | | | | | X | 04/26/00 | AMEND |
| WMA CORP | DE | | | | | | | | | X | 06/30/00 | |
| WOLF INDUSTRIES INC | NV | | X | | | | | | | X | 07/07/00 | |
| WOM INC | NY | | | | | | | | | X | 04/25/00 | AMEND |
| WOM INC | NY | | | | | | | | | X | 04/25/00 | AMEND |

| NAME OF ISSUER | STATE | 8K ITEM NO. | | | | | | | | | DATE | COMMENT | |
|---------------------------------------|----------|-------------|---|---|---|---|---|---|---|---|------|----------------------|--|
| | CODE | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | | | |
| WORLD OMNI AUTO RECEIVABLES LLC | DE | | | | | X | X | | | | | 07/10/00 | |
| WSI INTERACTIVE CORP | | | | | | X | X | | | | | 06/28/00 | |
| WSI INTERACTIVE CORP | | | | | | X | X | | | | | 07/07/00 | |
| ZALE CORP | DE | | | | | X | X | | | | | 07/10/00 | |
| ZORAN CORP \DE\ 2 INFINITY COM INC | DE CO | | X | | | | | X | | | | 06/28/00 06/28/00 | |