

# SEC NEWS DIGEST

Issue 97-225

November 21, 1997

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## COMMISSION ANNOUNCEMENTS

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### THE SEC EXTENDS THE DEADLINE FOR SUBMITTING COMMENTS REGARDING CORPORATE CHARITABLE GIVING DISCLOSURE AND DECISIONMAKING

The Commission announced today that it is extending the deadline for the public to comment on matters related to charitable giving by public companies. Comments are requested by December 15, 1997. The SEC is studying these issues at Congress' request in connection with pending legislation about charitable contributions by public companies. In general, one bill would require public companies to disclose their charitable contributions and a second bill would require each public company to allow its shareholders to participate in deciding which charities the company should contribute to and how much to contribute. Comments are sought on the feasibility and the costs and benefits of each proposal. The text of the invitation including copies of the actual bills is currently available in paper format and on the SEC's web page on the Internet <<http://sec.gov/rules/othern/chgive.htm>>. For further information, please contact the Division of Corporation Finance at (202) 942-2900.

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## ENFORCEMENT PROCEEDINGS

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### DECISION SANCTIONING JOHN CRANLEY, JR. AND THOMAS CORE FINAL

The decision of an administrative law judge imposing sanctions on John J. Cranley, Jr. of Summit, New Jersey, and Thomas Core of New York City, has become final. Cranley and Core were partners in the former New York City brokerage firm Moore & Schley, Cameron & Co. The law judge's order censured respondents and barred them from association with any broker or dealer in a supervisory or proprietary capacity.

In November 1994, Cranley and Core were permanently enjoined, with their consent, from further violations of antifraud provisions of the Securities Exchange Act. The injunctive action was based on charges that, during the period August 1987 through March 1989, respondents participated in the manipulation of two securities. (Rel. 34-39339; File No. 3-9019)

SEC v. PARAMOUNT CAPITAL MANAGEMENT, INC. AND JOHN DOE A/K/A "KENNETH BRIDGET"

The Commission announced that it filed a complaint yesterday in federal court in Manhattan charging an unregistered broker-dealer and an individual with defrauding investors by inducing them to invest in a fictitious initial public offering (IPO). The court granted the Commission's request for emergency relief, including a temporary restraining order and an asset freeze.

The complaint names Paramount Capital Management, Inc. (Paramount), a New York corporation having office space at 11 Broadway, Suite 950, New York, New York 10004; and "John Doe," whose true identity is unknown, but who holds himself out as "Kenneth Bridget," an account executive and senior vice president of Paramount.

Specifically, according to the complaint, since at least July 1997, Paramount and "Kenneth Bridget" have been offering and selling securities in a fictitious IPO of "Micronet Corp." The complaint further alleges that Paramount and John Doe deceived investors by falsely portraying themselves as a bona fide broker-dealer and registered representative.

The Commission also announced that the court issued an order yesterday afternoon that, among other things, temporarily restrains Paramount and John Doe from further committing securities fraud in violation of Section 17(a) of the Securities Act of 1933 (Securities Act), and Section 10(b) of the Securities Exchange Act of 1934 (Exchange Act) and Rule 10b-5, and from continuing to operate as a broker-dealer without being registered, in violation of Section 15(a) of the Exchange Act.

The Commission seeks a final judgment permanently enjoining defendants from violating Section 17(a) of the Securities Act and Sections 10(b) and 15(a) of the Exchange Act and Rule 10b-5, and ordering them to disgorge their ill-gotten gains and to pay prejudgment interest. [SEC v. Paramount Capital Management, Inc. and "John Doe" a/k/a "Kenneth Bridget", 97 Civ. 8577, SHS, SDNY] (LR-15566)

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**INVESTMENT COMPANY ACT RELEASES**

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**WESTERN RESERVE LIFE ASSURANCE CO. OF OHIO, ET AL.**

A notice has been issued giving interested persons until December 15, 1997 to request a hearing on an application filed by Western Reserve Life Assurance Co. of Ohio (Western Reserve), WRL Series Annuity Account and WRL Series Life Account (together with the WRL Series Annuity Account, the Accounts) (collectively, Applicants). Applicants seek an order pursuant to Section 26 (b) of the Investment Company Act approving the substitution of securities

issued by certain registered management investment companies and held by the Accounts to support individual flexible premium deferred variable annuity contracts and individual flexible premium variable life insurance policies issued by Western Reserve. (Rel. IC-22897 - November 19)

#### ALLIED CAPITAL CORPORATION, ET AL.

A notice has been issued giving interested persons until December 15, 1997 to request a hearing on a proposal by the SEC to declare by order on its own motion that the registrations of Allied Capital Corporation and Allied Capital Lending Corporation under the Act have ceased to be in effect as of June 28, 1991, and November 12, 1993, respectively, the dates that each elected to be regulated as a business development company. (Rel. IC-22898 - November 20)

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#### SELF-REGULATORY ORGANIZATIONS

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##### IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGE

A proposed rule change filed by the Chicago Stock Exchange (SR-CHX-97-30) codifying the Exchange's clearing the post policy has become immediately effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the Federal Register during the week of November 24. (Rel. 34-39337)

##### APPROVAL OF PROPOSED RULE CHANGE

The Commission approved a proposed rule change submitted by the Chicago Board Options Exchange (SR-CBOE-97-48) relating to a reduction in the value of the Standard & Poor's 100 Stock Index (Index) and a corresponding increase in the existing position and exercise limits for options traded on the Index. (Rel. 34-39338)

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#### SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

S-4 PEGASUS COMMUNICATIONS CORP. 5 RADNOR CORPORATE CTR STE 454,  
100 MATSONFORD RD, RADNOR, PA 19087 (610) 341-1801 - 115,000,000  
(\$115,000,000) STRAIGHT BONDS. (FILE 333-40205 - NOV. 14) (BR. 3)

S-4 WARNACO GROUP INC /DE/, 90 PARK AVE, 26TH FLOOR, NEW YORK, NY 10016  
(212) 661-1300 - 6,814,972 (\$188,673,778) COMMON STOCK. (FILE 333-40207 -  
NOV. 14) (BR. 2)

S-1 STATEN ISLAND BANCORP INC, 15 BEACH STREET, STATEN ISLAND, NY 10304  
(718) 447-7900 - 45,130,313 (\$541,563,756) COMMON STOCK. (FILE 333-40209 -  
NOV. 14) (BR. 7)

S-3 COMMUNITY BANK SHARES OF INDIANA INC, 147 EAST SPRING ST, NEW ALBANY, IN  
47150 (812) 949-6844 - 100,000 (\$2,125,000) COMMON STOCK. (FILE 333-40211  
- NOV. 14) (BR. 7)

S-4 TITAN EXPLORATION INC, 500 W TEXAS AVE, SUITE 500, MIDLAND, TX 79701  
(915) 498-8600 - 13,573,011 (\$165,951,266.80) COMMON STOCK. (FILE  
333-40215 - NOV. 14) (BR. 4)

S-8 SCIENTIFIC ATLANTA INC, ONE TECHNOLOGY PKWY S, NORCROSS, GA 30092  
(770) 903-5000 - 300,000 (\$6,037,500) COMMON STOCK. (FILE 333-40217 -  
NOV. 14) (BR. 3)

S-8 PROVIDENT COMPANIES INC /DE/, 1 FOUNTAIN SQUARE, CHATTANOOGA, TN 37402  
(615) 755-1011 - 3,500,000 (\$116,375,000) COMMON STOCK. (FILE 333-40219 -  
NOV. 14) (BR. 1)

S-8 EQUUS II INC, 2929 ALLEN PKWY STE 2500, HOUSTON, TX 77019 (713) 529-0900  
- 952,131 (\$22,970,160) COMMON STOCK. (FILE 333-40221 - NOV. 14)

S-8 RPC INC, 2170 PIEDMONT RD NE, ATLANTA, GA 30324 (404) 888-2950 -  
800,000 (\$22,128,000) COMMON STOCK. (FILE 333-40223 - NOV. 14) (BR. 6)

S-8 LAZARE KAPLAN INTERNATIONAL INC, 529 FIFTH AVE, NEW YORK, NY 10017  
(212) 972-9700 - 400,000 (\$6,125,000) COMMON STOCK. (FILE 333-40225 -  
NOV. 14) (BR. 2)

S-8 HOLLYWOOD ENTERTAINMENT CORP, 25600 SW PKWY CENTER DR, WILSON, OR 97070  
(503) 570-1600 - 5,800,000 (\$77,522,476.71) COMMON STOCK. (FILE 333-40229  
- NOV. 14) (BR. 5)

S-4 RESOURCE AMERICA INC, 1521 LOCUST ST, 4TH FL, PHILADELPHIA, PA 19102  
(215) 546-5005 - 115,000 (\$115,000,000) STRAIGHT BONDS. (FILE 333-40231 -  
NOV. 14) (BR. 8)

S-4 PARENT HOLDING CORP, 755 CROSSOVER LANE, MEMPHIS, TN 38117  
(907) 374-5000 - 91,850,830 (\$3,502,089,682) COMMON STOCK. (FILE  
333-40233 - NOV. 14) (BR. 5 - NEW ISSUE)

S-4 AMSCAN HOLDINGS INC, 80 GRASSLANDS ROAD, ELMSFORD, NY 10523  
(914) 345-2020 - 136 (\$142,046,654) COMMON STOCK. (FILE 333-40235 -  
NOV. 14) (BR. 4)

S-8 REYNOLDS SMITH & HILLS INC, 4651 SALISBURY RD STE 400, JACKSONVILLE, FL  
32256 (904) 296-2000 - 50,000 (\$614,000) COMMON STOCK. (FILE 333-40237 -  
NOV. 14) (BR. 4)

S-8 ECOLAB INC, ECOLAB CTR, 370 N WABASHA ST, ST PAUL, MN 55102  
(612) 293-2233 - 5,000,000 (\$5,000,000)  
OTHER SECURITIES INCLUDING VOTING TRUST. (FILE 333-40239 - NOV. 14)  
(BR. 4)

S-1 POREX CORP, 500 BOHANNON RD, FAIRBURN, GA 30213 (770) 964-1421 -  
2,875,000 (\$47,437,500) COMMON STOCK. (FILE 333-40241 - NOV. 14) (BR. 6  
- NEW ISSUE)

S-3 EATON CORP, EATON CTR, 1111 SUPERIOR AVE, CLEVELAND, OH 44114  
(216) 523-5000 - 172,489 (\$16,542,773.16) COMMON STOCK. (FILE 333-40243 -  
NOV. 14) (BR. 6)

S-1 SCP POOL CORP, 109 NORTH PARK BLVD, COVINGTON, LA 70433 (504) 892-5521 -  
72,302,656 (\$72,302,656.25) COMMON STOCK. (FILE 333-40245 - NOV. 14)  
(BR. 2)

S-1 ARIEL CORP, 2540 ROUTE 130, STE 128, CRANBURY, NJ 08512 (609) 860-2900 - 250,000 (\$1,277,500) COMMON STOCK. (FILE 333-40247 - NOV. 14) (BR. 6)

S-8 SCIENCE APPLICATIONS INTERNATIONAL CORP, 10260 CAMPUS POINT DR, LEGAL DEPT, SAN DIEGO, CA 92121 (619) 546-6000 - 2,000,000 (\$69,560,000) COMMON STOCK. (FILE 333-40251 - NOV. 14) (BR. 4)

S-4 WAUSAU PAPER MILLS CO, ONE CLARKS ISLAND, PO BOX 1408, WAUSAU, WI 54402 (715) 845-5266 - 21,282,410 (\$440,849,909) COMMON STOCK. (FILE 333-40253 - NOV. 14) (BR. 4)

S-11 HARRIS PREFERRED CAPITAL CORP, 111 W MONROE ST, CHICAGO, IL 60690 (312) 461-2220 - 10,000,000 (\$250,000,000) PREFERRED STOCK. (FILE 333-40257 - NOV. 14) (BR. 8 - NEW ISSUE)

S-3 SIEBEL SYSTEMS INC, 1885 SOUTH GRANT STREET, SAN MATEO, CA 94402 (415) 295-5000 - 300,338 (\$11,962,462.54) COMMON STOCK. (FILE 333-40259 - NOV. 14) (BR. 3)

S-4 ENTERPRISE FEDERAL BANCORP INC, 7810 TYLERSVILLE SQUARE DR, WEST CHESTER, OH 45069 (513) 821-1297 - 330,355 (\$8,506,641) COMMON STOCK. (FILE 333-40261 - NOV. 14) (BR. 7)

S-4 JEFFERIES GROUP INC, 11100 SANTA MONICA BLVD, 10TH FL, LOS ANGELES, CA 90025 (310) 445-1199 - 100,000,000 (\$100,000,000) STRAIGHT BONDS. (FILE 333-40263 - NOV. 14) (BR. 8)

S-8 CASELLA WASTE SYSTEMS INC, 25 GREENS HILL LANE P O BOX 866, RUTLAND, VT 05701 (802) 775-0325 - 300,000 (\$6,675,000) COMMON STOCK. (FILE 333-40267 - NOV. 14) (BR. 4)

S-1 MKS INSTRUMENTS INC, 6 SHATTUCK RD, BOSTON, MA 01810 (978) 975-2350 - 4,600,000 (\$69,000,000) COMMON STOCK. (FILE 333-40269 - NOV. 14) (BR. 5 - NEW ISSUE)

S-8 DOW CHEMICAL CO /DE/, 2030 DOW CENTER, MIDLAND, MI 48674 (517) 636-1000 - 100,000,000 (\$100,000,000) OTHER SECURITIES INCLUDING VOTING TRUST. (FILE 333-40271 - NOV. 14) (BR. 4)

N-2 GT GLOBAL SELECT FLOATING RATE FUND, 50 CALIFORNIA ST, 27TH FL, SAN FRANCISCO, CA 94111 (415) 445-7554 - 1,000,000 (\$10,000,000) CONVERTIBLE PREFERRED SHARE OF BENEFICIAL INTEREST. (FILE 333-40275 - NOV. 14) (BR. 17)

S-4 ACME INTERMEDIATE HOLDINGS LLC, 650 TOWN CENTER DRIVE, SUITE 850, COSTA MESA, CA 92626 (714) 445-5791 - 40,000,425 (\$40,000,425) STRAIGHT BONDS. (FILE 333-40277 - NOV. 14) (BR. 3 - NEW ISSUE)

S-1 BOLLE INC, 555 THEODORE FREMD AVE, STE B 302, RYE, NY 10580 (914) 967-9400 - 22,980,000 (\$22,980,000) COMMON STOCK. (FILE 333-40279 - NOV. 14) (BR. 1 - NEW ISSUE)

S-4 ACME TELEVISION LLC, 650 TOWN CENTER DRIVE, SUITE 850, COSTA MESA, CA 92626 (714) 445-5791 - 127,370,250 (\$127,370,250) STRAIGHT BONDS. (FILE 333-40281 - NOV. 14) (BR. 3 - NEW ISSUE)

S-8 ALLSTATE CORP, 2775 SANDERS ROAD, NORTHBROOK, IL 60062 (708) 402-6075 - 40,000,000 (\$40,000,000) OTHER SECURITIES INCLUDING VOTING TRUST. (FILE 333-40283 - NOV. 14) (BR. 1)

S-8 ALLSTATE CORP, 2775 SANDERS ROAD, NORTHBROOK, IL 60062 (708) 402-6075 - 20,000,000 (\$20,000,000) OTHER SECURITIES INCLUDING VOTING TRUST. (FILE 333-40285 - NOV. 14) (BR. 1)

S-8 ALLSTATE CORP, 2775 SANDERS ROAD, NORTHBROOK, IL 60062 (708) 402-6075 - 5,000,000 (\$406,400,000) COMMON STOCK. (FILE 333-40289 - NOV. 14) (BR. 1)

S-4 GOODRICH B F CO, 4020 KINROSS LAKES PKWY, RICHFIELD, OH 44286 (216) 659-7600 - 23,047,514 (\$987,750,600) COMMON STOCK. (FILE 333-40291 - NOV. 14) (BR. 4)

S-8 SOUTHERN PERU COPPER CORP/, 180 MAIDEN LANE, NEW YORK, NY 10038  
(212) 510-2000 - 93,500 (\$1,507,688) COMMON STOCK. 3,000,000 (\$3,000,000)  
OTHER SECURITIES INCLUDING VOTING TRUST. (FILE 333-40293 - NOV. 14)  
(BR. 4)

S-1 HAWKER PACIFIC AEROSPACE, 11240 SHERMAN WAY, SUN VALLEY, CA 91352  
(818) 765-6201 - 34,044,053 (\$34,044,053) COMMON STOCK. (FILE 333-40295 -  
NOV. 14) (BR. 5 - NEW ISSUE)

S-8 NETWORK COMPUTING DEVICES INC, 350 N BERNARDO AVE, MOUNTAIN VIEW, CA  
94043 (415) 694-0650 - 3,430,281 (\$20,551,679.17) COMMON STOCK. (FILE  
333-40299 - NOV. 14) (BR. 3)

SB-2 BW ACQUISITION CORP, 333 EAST 56TH STREET, NEW YORK, NY 10022 -  
2,562,500 (\$24,345,000) COMMON STOCK. 125,000 (\$50)  
WARRANTS, OPTIONS OR RIGHTS. (FILE 333-40303 - NOV. 14) (BR. 1)

S-3 WILD OATS MARKETS INC, 1645 BROADWAY, BOULDER, CO 80302 (303) 440-5220  
- 2,459,375 (\$93,763,672) COMMON STOCK. (FILE 333-40305 - NOV. 14)  
(BR. 2)

S-8 NETWORK APPLIANCE INC, 2770 SA TOMAS EXPRESSWAY, SANTA CLARA, CA 95051  
(415) 428-5100 - 2,000,000 (\$101,000,000) COMMON STOCK. (FILE 333-40307 -  
NOV. 14) (BR. 3)

S-3 NORTH FORK BANCORPORATION INC, 275 BROAD HOLLOW RD, PO BOX 8914,  
MELVILLE, NY 11747 (516) 298-5000 - 100,000,000 (\$100,000,000)  
EQUIPMENT TRUST CERTIFICATES. (FILE 333-40311 - NOV. 14) (BR. 7)

S-4 INTERNATIONAL ALLIANCE SERVICES INC, 10055 SWEET VALLEY DR,  
1787 SENTRY PARK WEST STE 400, CLEVELAND, OH 44125 (215) 283-4900 -  
7,729,468 (\$100,000,000) COMMON STOCK. (FILE 333-40313 - NOV. 14) (BR. 6)

S-8 INTERNEURON PHARMACEUTICALS INC, ONE LEDGEMONT CENTER, 99 HAYDEN AVE,  
LEXINGTON, MA 02173 (617) 861-8444 - 1,750,000 (\$19,468,750) COMMON STOCK.  
(FILE 333-40315 - NOV. 14) (BR. 1)

S-8 USA TRUCK INC, P O BOX 449, 3108 INDUSTRIAL PK RD, VAN BUREN, AK 72956  
(501) 471-2500 - 200,000 (\$2,488,000) COMMON STOCK. (FILE 333-40317 -  
NOV. 14) (BR. 5)

S-3 WISCONSIN ELECTRIC POWER CO, 231 W MICHIGAN ST, PO BOX 2046, MILWAUKEE,  
WI 53201 (414) 221-2590 (FILE 333-40319 - NOV. 17) (BR. 4)

SB-2 SOVEREIGN CREDIT FINANCE II INC, 4015 BELTLINE RD, BLDG B, DALLAS, TX  
75244 (972) 960-5500 - 10,000,000 (\$10,000,000) STRAIGHT BONDS. (FILE  
333-40321 - NOV. 14) (BR. 7 - NEW ISSUE)

S-1 THRUSTMASTER INC, 7175 NW EVERGREEN PARKWAY, #400, HILLSBORO, OR 97124  
(503) 615-3200 - 1,725,000 (\$25,551,563) COMMON STOCK. (FILE 333-40323 -  
NOV. 14) (BR. 3)

S-8 KOFAX IMAGE PRODUCTS INC, 3 JENNER ST, IRVINE, CA 92618 (714) 727-1733  
- 1,267,562 (\$10,093,689) COMMON STOCK. (FILE 333-40325 - NOV. 14)  
(BR. 3)

S-3 SYQUEST TECHNOLOGY INC, 47071 BAYSIDE PKWY, FREMONT, CA 94538  
(510) 226-4000 - 40,021,557 (\$148,880,192) COMMON STOCK. (FILE 333-40329 -  
NOV. 14) (BR. 3)

S-3 INTERNATIONAL ALLIANCE SERVICES INC, 10055 SWEET VALLEY DR,  
1787 SENTRY PARK WEST STE 400, CLEVELAND, OH 44125 (215) 283-4900 -  
125,000,000 (\$125,000,000) COMMON STOCK. (FILE 333-40331 - NOV. 14)  
(BR. 6)

S-8 HYPERCOM CORP, 2851 W KATHLEEN RD, PHOENIX, AZ 85023 (602) 504-5000 -  
625,000 (\$10,000,000) COMMON STOCK. (FILE 333-40333 - NOV. 17) (BR. 3)

S-2 GLACIER WATER TRUST I, 2261 COSMOS CT, CARLSBAD, CA 92009 (619) 930-2420  
- 2,600,000 (\$65,000,000) PREFERRED STOCK. (FILE 333-40335 - NOV. 17)  
(NEW ISSUE)

N-1A ENSIGN INVESTMENT INC, 9921 S TREASURE CIRCLE, SOUTH JORDAN, UT 84095  
 (801) 253-9647 - 5,000,000 (\$5,000,000) VARIABLE ANNUITY ISSUES. (FILE  
 333-40337 - NOV. 17) (BR. 18)

S-1 SYMPHONIX DEVICES INC, 3047 ORCHARD PKWY, SAN JOSE, CA 95134  
 (408) 232-0710 - 2,645,000 (\$34,385,000) COMMON STOCK. (FILE 333-40339 -  
 NOV. 17)

F-1 ESG RE LTD, SKANDIA INTERNATIONAL HOUSE, 16 CHURCH STREET, HAMILTON,  
 BERMUDA, (441) 295-2185 - 9,200,000 (\$184,000,000) FOREIGN COMMON STOCK.  
 (FILE 333-40341 - NOV. 17) (NEW ISSUE)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.
- Item 9. Regulation S Offerings.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <public info @ sec>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

NAME OF ISSURR	STATE CODE	8K ITEM NO.									DATE	COMMENT	
		1	2	3	4	5	6	7	8	9			
ACCESS ANYTIME BANCORP INC	DE					X						11/20/97	
ADAGE INC	PA					X						11/19/97	
AGTSPORTS INC	CO					X						11/19/97	
ALASKA AIR GROUP INC	DE		X									11/20/97	
ALASKA AIRLINES INC	AK		X									11/20/97	
AMBANC HOLDING CO INC	DE	X										11/19/97	
AMERICAN BANKNOTE CORP	DE							X				11/20/97	
AMERICAN CRAFT BREWING INTERNATIONA L LTD	LA					X	X					11/11/97	
AMERICAN RESOURCES OF DELAWARE INC	DE		X			X	X					11/04/97	
AT&T CAPITAL CORP /DE/	DE	X				X	X					11/19/97	
ATC GROUP SERVICES INC /DE/	DE					X	X					11/05/97	
ATLANTIC EXPRESS TRANSPORTATION COR P	NY		X					X				08/14/97	AMEND
AVIATION DISTRIBUTORS INC	DE					X	X	X				11/17/97	
BANK OF NEW ENGLAND CORP	MA											10/31/97	
BEAR STEARNS ASSET BACKED SECURITIE S INC	DE					X	X					11/21/97	
BIO REFERENCE LABORATORIES INC	NJ							X				09/30/97	AMEND
BLOUNT INC	DE		X					X				11/04/97	
BLOUNT INTERNATIONAL INC	DE		X			X	X					11/04/97	
BOMBARDIER CREDIT RECEIVABLES CORP	DE	X										10/31/97	
BONNEVILLE PACIFIC CORP	DE			X		X						02/28/97	
BRC HOLDINGS INC	DE							X				11/20/97	
BRITTON & KOONTZ CAPITAL CORP	MS					X	X					10/21/97	

NAME OF ISSUER	STATE		BK ITEM NO.									DATE	COMMENT
	CODE		1	2	3	4	5	6	7	8	9		
BRODERBUND SOFTWARE INC /DE/	DE		X					X				08/07/97	
BYL BANCORP	CA		X					X				11/19/97	
CADMUS COMMUNICATIONS CORP/NEW	VA					X	X					11/12/97	
CALIFORNIA COMMUNITY BANCSHARES CORP	DE		X									11/14/97	
CAMBRIDGE TECHNOLOGY PARTNERS MASSACHUSETTS INC							X					11/17/97	
CAMELOT CORP	CO									X		11/12/97	
CAPMAC HOLDINGS INC	DE				X	X						11/13/97	
CAPRIUS INC	DE		X		X	X						11/10/97	
CCB FINANCIAL CORP	NC				X	X						10/21/97	
CD RADIO INC	DE				X	X						11/10/97	
CENTRUM INDUSTRIES INC	DE		X					X				11/05/97	
CENTURA BANKS INC	NC		X									11/20/97	
CHASE COMMERCIAL MORTGAGE SECURITIES CORP	NY					X						11/18/97	
CHESAPEAKE ENERGY CORP	OK								X			11/06/97	
CHIQUITA BRANDS INTERNATIONAL INC	NJ				X	X						11/20/97	
CHRYSLER FINANCIAL CORP	MI				X	X						11/18/97	
CMI CORP	OK				X	X						11/19/97	
COMMERCIAL FEDERAL CORP	NE				X	X						11/18/97	
COMPUTER LANGUAGE RESEARCH INC	TX					X						11/19/97	
CONOLOG CORP	DE		X									11/20/97	
CORESTATES FINANCIAL CORP	PA				X	X						11/18/97	
CORESTATES HOME EQUITY LOAN TRUST 1996-1	NY							X				11/19/97	
CORNUCOPIA RESOURCES LTD					X	X						11/06/97	
COVENANT BANCORP INC						X						11/20/97	
CRESTED CORP	CO					X						11/12/97	
CRIIMI MAE INC	DE		X									11/19/97	
CWABS INC	DE				X	X						11/18/97	
CYBERGUARD CORP	FL				X							11/20/97	
DATA RACE INC	TX				X	X						11/12/97	
DAYTON SUPERIOR CORP	OH				X							09/29/97	
DELTA FUNDING CORP /DE/	DE				X	X						11/20/97	
DISTINCTIVE DEVICES INC	NY				X							11/10/97	
DOW JONES & CO INC	DE				X	X						11/19/97	
DUKE ENERGY CORP	NC				X	X						11/18/97	
ENERGY VENTURES INC /DE/	DE				X	X						11/18/97	
EQUICON MORTGAGE LOAN TRUST 1994-1	NY							X				11/18/97	
EQUICON MORTGAGE LOAN TRUST 1994-2	NY							X				11/18/97	
EQUICON MORTGAGE LOAN TRUST 1995-1	NY							X				11/18/97	
ESTEE LAUDER COMPANIES INC	DE				X	X						11/18/97	
ETS INTERNATIONAL INC	VA				X							11/18/97	
FAIRMOUNT CHEMICAL CO INC	NJ				X							11/04/97	
FAMILY GOLF CENTERS INC	DE					X						11/14/97	
FGH BANCORP INC			X					X				11/01/97	
FIFTH THIRD BANK AUTO TRUST 1996-A	OH		X									11/17/97	
FIFTH THIRD BANK AUTO TRUST 1996-B	OH		X									11/17/97	
FIRST COMMUNITY BANCSHARES INC	DE				X							09/30/97	
FIRST DEPOSIT NATIONAL BANK					X							11/17/97	
FIRST NATIONAL BANK OF COMMERCE	LA							X				11/10/97	
FIRST OMNI BANK NA			X									11/17/97	
FIRST UNION COMMERCIAL MORTGAGE PASSENGER TRUST CERT SER 1997 C2	NC				X	X						11/17/97	
FIRSTPLUS INVESTMENT CORP	NV				X	X						11/20/97	
FULCRUM TECHNOLOGIES INC									X			11/04/97	
GENCOR INDUSTRIES INC	DE				X	X						11/19/97	
GENTLE DENTAL SERVICE CORP	WA		X			X						11/04/97	
GEON CO	DE				X	X						11/17/97	



NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT	
		1	2	3	4	5	6	7	8	9			
GRAND PRIX ASSOCIATION OF LONG BEACH INC	CA				X							10/13/97	
HARRYS FARMERS MARKET INC	GA				X	X						11/03/97	
HEALTHCARE IMAGING SERVICES INC	DE	X				X						11/04/97	
HOSPITALITY WORLDWIDE SERVICES INC	NY			X		X						11/12/97	
HUGOTON ENERGY CORP	KS				X	X						11/12/97	
HYBRIDON INC	DE				X	X						11/18/97	
IMCO RECYCLING INC	DE	X				X						09/18/97	AMEND
INGERSOLL RAND CO	NJ				X	X						11/19/97	
INGERSOLL RAND CO	NJ				X	X						11/19/97	
INNOSERV TECHNOLOGIES INC	CA				X	X						11/13/97	
INSIGNIA FINANCIAL GROUP INC	DE				X							10/30/97	
INTEGRATED SURGICAL SYSTEMS INC	DE					X						09/05/97	AMEND
INTERACTIVE GAMING & COMMUNICATIONS CORP	DE				X	X						11/17/97	
INTERSTATE NATIONAL DEALER SERVICES INC	DE				X							11/19/97	
IVI PUBLISHING INC	MN				X							10/28/97	AMEND
JACOBS JAY INC	WA				X							11/19/97	
JDN REALTY CORP	MD				X	X						11/18/97	
JITNEY JUNGLE STORES OF AMERICA INC /MI/	MI				X	X						11/04/97	
KEEBLER CORP	DE				X							11/20/97	
KENNAMETAL INC	PA	X				X						11/20/97	
LAIDLAW ENVIRONMENTAL SERVICES INC	DE				X							11/19/97	
LASERGATE SYSTEMS INC	FL				X	X	X					11/04/97	
LAWYERS TITLE CORP	VA				X	X						11/07/97	
LEARNING CO INC	DE							X				11/06/97	
LEESBURG LAND & MINING INC	CO			X								11/12/97	
LEHMAN ABS CORP	DE				X	X						09/25/96	
LEHMAN ABS CORP	DE				X	X						10/25/96	
LEHMAN ABS CORP	DE				X	X						11/25/96	
LEHMAN ABS CORP	DE				X	X						12/25/96	
LEHMAN ABS CORP	DE				X	X						01/25/97	
LEHMAN ABS CORP	DE				X	X						02/25/97	
LEHMAN ABS CORP	DE				X	X						03/25/97	
LEHMAN ABS CORP	DE				X	X						04/25/97	
LEHMAN ABS CORP	DE				X	X						05/25/97	
LEHMAN ABS CORP	DE				X	X						06/25/97	
LEHMAN ABS CORP	DE				X	X						07/25/97	
LEHMAN ABS CORP	DE				X	X						07/25/97	
LEHMAN ABS CORP	DE				X	X						08/25/97	
LEHMAN ABS CORP	DE				X	X						09/25/97	
LEHMAN ABS CORP	DE				X	X						10/25/97	
LIBERTY PROPERTY LIMITED PARTNERSHIP	PA				X	X						11/17/97	
LIBERTY PROPERTY LIMITED PARTNERSHIP	PA				X	X						11/17/97	
LIBERTY PROPERTY TRUST	MD				X	X						11/17/97	
LIBERTY PROPERTY TRUST	MD				X	X						11/17/97	
LIFE FINANCIAL SER AD RT MT PAS THR CT SE 1997 1A & 97 1B TR	NY					X						11/17/97	
MAIL WELL INC	CO				X							11/19/97	
MAINE PUBLIC SERVICE CO	ME				X							11/19/97	
MALLON RESOURCES CORP	CO	X										11/20/97	
MBIA INC	CT				X	X						11/13/97	
MEMTEC LIMITED					X							11/06/97	
MEMTEC LTD			X			X						10/30/97	
MERIT BEHAVIORAL CARE CORP	DE	X										11/19/97	
MERRILL LYNCH & CO INC	DE				X							11/19/97	

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		CODE	1	2	3	4	5	6	7	8			9
MID AMERICA APARTMENT COMMUNITIES I NC	TN					X						11/20/97	
MID AMERICA APARTMENT COMMUNITIES I NC	TN					X						11/20/97	AMEND
MID AMERICA APARTMENT COMMUNITIES I NC	TN					X	X					11/21/97	
MINNESOTA POWER & LIGHT CO	MN							X				11/19/97	
MONARCH DENTAL CORP	DE		X					X				11/12/97	
MONONGAHELA POWER CO /OH/	OH					X	X					11/14/97	
MORT CAP FUND INC MULTIFA COMM MORT PA TH CERT SER 1997-MC2						X						11/10/07	
NASHUA CORP	DE					X						11/04/97	
NEXAR TECHNOLOGIES INC	DE		X	X		X						11/13/97	
NORTH FORK BANCORPORATION INC	DE			X	X							11/20/97	
NORWEST ASSET SECURITIES CORP	DE			X	X							11/19/97	
NORWEST ASSET SECURITIES CORP	DE			X	X							11/19/97	
NORWEST ASSET SECURITIES CORP	DE			X	X							11/20/97	
NORWEST AUTO RECEIVABLES CORP	DE							X				11/17/97	
OILEX INC /NV/	NV				X							08/29/97	
OLD POINT FINANCIAL CORP	VA		X									12/31/97	
OMI TRUST 1996-C	PA		NO ITEMS									10/15/97	
OMNI MULTIMEDIA GROUP INC	DE			X		X						11/14/97	
OPPENHEIMER CAPITAL L P /DE/	DE		X		X	X						11/04/97	AMEND
OTC AMERICA INC /CO/	CO		X									11/11/97	
OTTER TAIL POWER CO	MN				X	X						11/18/97	
OXFORD CAPITAL CORP /NV	NV		X			X						10/15/97	
PACIFIC GATEWAY EXCHANGE INC	DE				X	X						11/17/97	
PERCLOSE INC	DE				X							11/19/97	
PETROL INDUSTRIES INC	NV				X							11/12/97	
PIMCO ADVISORS L P /	DE		X		X	X						11/04/97	AMEND
PINNACLE SYSTEMS INC	CA					X						08/31/97	AMEND
POTOMAC EDISON CO	MD				X	X						11/14/97	
PROTECTIVE LIFE CORP	DE							X				11/20/97	
PROVIDIAN MASTER TRUST						X						11/17/97	
PROVIDIAN NATIONAL BANK						X						11/17/97	
QUALITY SEMICONDUCTOR INC	CA		X									11/20/97	
REPUBLIC INDUSTRIES INC	DE				X	X						11/18/97	
RESIDENTIAL ASSET SECURITIES CORP	DE				X	X						11/20/97	
RESIDENTIAL ASSET SECURITIES CORP	DE				X	X						11/20/97	
RIDGEWOOD ELECTRIC POWER TRUST IV	DE							X				07/01/97	AMEND
SA TELECOMMUNICATIONS INC /DE/	DE				X	X						11/11/97	
SCHOLASTIC CORP	DE				X							11/19/97	
SCHWEITZER MAUDUIT INTERNATIONAL IN C	DE				X	X						11/14/97	
SEILON INC	DE		NO ITEMS									11/04/97	
SHAMAN PHARMACEUTICALS INC	DE				X	X						10/30/97	
SIEMANN EDUCATIONAL SYSTEMS INC	CO				X	X						11/10/97	
SIGNAL APPAREL COMPANY INC	IN		X			X						11/05/97	
SOCKET COMMUNICATIONS INC	DE							X				11/07/97	
SOUTHEAST ACQUISITIONS II LP	DE		X									11/05/97	
SOUTHEAST ACQUISITIONS III L P	DE		X									11/05/97	
SOUTHERN MINERAL CORP	NV				X	X						11/17/97	
SOUTHERN PACIFIC FUNDING CORP	CA				X							11/18/97	
ST FRANCIS CAPITAL CORP	WI				X	X						11/13/97	
STRUCTURED ASSET SEC CORP MORT PASS THRO CERT SER 1997-01 TR	NY							X				11/17/97	
SUPERIOR NATIONAL INSURANCE GROUP I NC	CA				X	X						11/13/97	
SWISSRAY INTERNATIONAL INC	NY				X	X						11/07/97	AMEND
TERRA INDUSTRIES INC	MD		X									11/19/97	

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TIMELINE INC	WA	X										11/18/97	
TITAN PHARMACEUTICALS INC	DE				X	X						11/20/97	
TRACK DATA CORP	DE		X									11/20/97	
TRUSTCO BANK CORP N Y	NY				X							11/19/97	
TUCSON ELECTRIC POWER CO	AZ					X						11/19/97	AMEND
UACSC AUTO TRUSTS	DE				X	X						11/17/97	
UCI MEDICAL AFFILIATES INC	DE				X							09/09/97	AMEND
UGLY DUCKLING CORP	DE		X			X						11/14/97	
UNITED AUTO GROUP INC	DE					X						11/20/97	
US AIRWAYS GROUP INC	DE				X	X						11/19/97	
US AIRWAYS INC	DE				X	X						11/19/97	
US ENERGY CORP	WY				X							09/27/97	
VECTOR AEROMOTIVE CORP	NV				X							10/31/97	
VESTCOM INTERNATIONAL INC	NJ				X	X						11/17/97	
VISUAL EDGE SYSTEMS INC	DE			X		X						11/07/97	AMEND
WEST PENN POWER CO	PA				X	X						11/14/97	
WESTELL TECHNOLOGIES INC	DE				X							11/19/97	
WESTERN MICRO TECHNOLOGY INC /DE/	DE				X	X						11/19/97	
WESTERN PACIFIC AIRLINES INC /DE/	DE				X	X						11/20/97	
WHOLESALE AUTO RECEIVABLES CORP	DE					X						11/14/97	
WMF GROUP LTD	DE		X									11/20/97	
WYMAN GORDON CO	MA				X							11/14/97	
XEROGRAPHIC LASER IMAGES CORP /DE/	DE				X							11/17/97	
XTRA CORP /DE/	DE				X							11/19/97	
ZILOG INC	DE				X	X						11/19/97	
1ST BERGEN BANCORP	NJ				X	X						10/28/97	
4FRONT SOFTWARE INTERNATIONAL INC/C	CO		X			X						09/08/97	AMEND

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