

sec news digest

LIBRARY

Issue 93-225

November 23, 1993

NOV 30 1993

U.S. SECURITIES
EXCHANGE COMMISSION

RULES AND RELATED MATTERS

ADOPTION OF AMENDMENTS TO THE EXECUTIVE COMPENSATION DISCLOSURE RULES AND PROPOSAL TO ELIMINATE THE FILING REQUIREMENTS FOR PRELIMINARY PROXY MATERIALS UNDER CERTAIN CIRCUMSTANCES

The Commission adopted amendments to the executive compensation disclosure rules. The amendments broaden the class of persons covered by the rules, require disclosure of year-end restricted stock holdings in all cases, require registrants to set forth material assumptions and adjustments used in any grant-date valuation of options, and change the point in time at which the market capitalization of a peer group index or market capitalization index is calculated from the end of the period for which a return is indicated to the beginning of such period. In addition, the Commission adopted several technical amendments. (Refs. 33-7032; 34-33229)

The Commission also proposed for comment amendments to the proxy and information statement filing requirements to codify a prior interpretation of Rule 14a-6 that submitting amendments to an existing compensation plan for shareholder approval does not trigger the preliminary filing requirement. Comments on the proposals should be submitted in triplicate to Jonathan G. Katz, Secretary, Securities and Exchange Commission, 450 5th Street, N.W., Stop 6-9, Washington D.C. 20549 on or before December 13, 1993 and should reference File No. S7-31-93. (Refs. 34-33232; IC-19887)

For further information regarding the proposed amendments contact Gregg W. Corso, Paula Dubberly, Brian L. Henry or Thomas D. Twedt at (202) 272-3097.

ANNOUNCEMENTS

CARREL APPLICATIONS NOW BEING ACCEPTED

Pursuant to the rules of the Public Reference Room, the January allocation of carrels and telephone privileges for recognized user organizations (information dissemination companies) are now being accepted. Application forms are available in the Public Reference Room. Information dissemination companies that are unable to pick up forms in Washington may submit applications by writing to Virginia Nabinett, Branch Chief, Public Reference, Securities and Exchange Commission, Room 1024, Mail Stop 1-2, 450

Fifth Street, N.W., Washington, D.C. 20549. Applications must include a brief description of the business; business address and telephone number; the name of a person who will serve as on-site contact in the Room and the names of all persons who work everyday in the Room; the number of employees assigned to research/sales of SEC filings, regardless of work location; and the number of carrels requested. Applicants may also submit a supplemental statement in support of their request for carrels/telephone privileges. The applications must be certified and signed by a responsible company official, and submitted by the close of business December 23, 1993. As in the past, assignment of carrels/telephone privileges is discretionary and applicants should not assume they will receive use of the full number of carrels for which they apply. The Commission intends to continue to reserve carrels for the general public. Revised carrel allocations will be effective January 10, 1994.

Questions concerning application procedures may be addressed to Virginia Nabinett, Branch Chief, at (202) 272-2816.

ENFORCEMENT PROCEEDINGS

PROCEEDINGS INSTITUTED AGAINST JOEL ZBAR

Public administrative proceedings have been instituted against Joel Zbar, the former Treasurer and current Chief Financial Officer of Del-Val Financial Corporation (Del-Val). The Order Instituting Proceedings alleges that Zbar violated or caused Del-Val's violations of Section 10(b) and 13(a) of the Securities Exchange Act of 1934 and Rules 10b-5, 12b-20, 13a-1 and 13a-13 thereunder. The Division of Enforcement alleges that beginning in October 1989, Del-Val and its manager, Kenbee Management, Inc., began suffering significant liquidity problems which continued through October 1990. The Division further alleges that Zbar, as Del-Val's treasurer and principal accounting officer, knew or was reckless in not knowing that Del-Val's public filings contained materially false and misleading statements concerning Del-Val's liquidity.

A hearing will be scheduled to determine whether the allegations are true and whether any remedial action is appropriate. (Rel. 34-33201)

NASD ACTION AGAINST REMBERT SUSTAINED

The Commission has sustained disciplinary action imposed by the NASD on William F. Rembert. During an almost two-year period, Rembert falsified records submitted to his employer relating to the purchase by 55 customers of tax sheltered annuities. Rembert's actions resulted in his employer paying him approximately \$24,500 in excessive commissions. The Commission also sustained the NASD's sanctions, including a censure, a bar from associating with any member of the NASD in any capacity and a fine of \$10,000. Rembert stipulated to all the facts which comprised the complaint in front of the NASD. (Rel. 34-33202)

ORDER OF PERMANENT INJUNCTION AND CIVIL PENALTIES ENTERED AGAINST AVELLINO & BIENES, FRANK AVELLINO AND MICHAEL BIENES

The Commission announced that the Honorable John E. Sprizzo, District Judge for the Southern District of New York, permanently enjoined, by consent, Avellino & Bienes (A&B), Frank Avellino (Avellino) and Michael Bienes (Bienes) from further violations of Sections 5(a) and 5(c) of the Securities Act of 1933 and Section 7 of the Investment Company Act of 1940. A&B agreed to pay a civil penalty of \$250,000, and Avellino and Bienes each agreed to pay civil penalties of \$50,000.

The Commission's complaint alleged that from at least 1962 to at least July 1992, the defendants sold unregistered securities to the public and from at least 1984 through November 1992, A&B, aided and abetted by Avellino and Bienes, operated as an unregistered investment company. The complaint further alleged that the defendants sold investors notes which paid interest rates ranging between 13.5% and 20%. A&B invested the investors' monies with a broker-dealer, who invested the money in the securities market. The complaint alleged that as of November 1992, A&B had approximately 3,200 investors and had raised in excess of \$441 million through the sale of its unregistered securities. [SEC v. Avellino & Bienes, Frank J. Avellino and Michael S. Bienes, 92 Civ. 8314, JES, SDNY] (LR-13880)

ORDER OF PERMANENT INJUNCTION AND CIVIL PENALTIES ENTERED AGAINST TELFRAN ASSOCIATES LTD., TELFRAN ASSOCIATES CORP., STEVEN MENDELOW AND EDWARD GLANTZ

The Commission announced that the Honorable John E. Sprizzo, District Judge for the Southern District of New York, permanently enjoined Telfran Associates Ltd. (Telfran Ltd.), Telfran Associates Corp. (Telfran Corp.), Steven Mendelow (Mendelow) and Edward Glantz (Glantz), by consent, from further violations of Sections 5(a) and 5(c) of the Securities Act of 1933 and Section 7 of the Investment Company Act of 1940. Telfran Ltd. agreed to pay a civil penalty of \$250,000, and Mendelow and Glantz each agreed to pay civil penalties of \$50,000.

The Commission's complaint alleged that from 1989 through November 1992, the defendants sold unregistered securities to the public and operated as an unregistered investment company. The complaint further alleged that the defendants sold investors notes which paid an interest rate of approximately 15%. The complaint alleged that the defendants used investors' monies to purchase notes from Avellino & Bienes, who invested the money with a broker-dealer. The complaint alleged that as of November 16, 1992, Telfran Ltd. had more than 800 investors and had raised in excess of \$88 million through the sale of its unregistered securities. [SEC v. Telfran Associates Ltd., Telfran Associates Corp., Steven Mendelow and Edward Glantz, 92 Civ. 8564, JES, SDNY] (LR-13881)

INVESTMENT COMPANY ACT RELEASES

BOSTON CAPITAL TAX CREDIT FUND IV, ET AL.

A notice has been issued giving interested persons until December 14, 1993 to request a hearing on an application filed by Boston Capital Tax Credit Fund IV, a limited partnership (Partnership), and Boston Capital Associates IV L.P., the general partner

of the Partnership (General Partner), for an order under Section 6(c) of the Investment Company Act that would exempt the Partnership from all provisions of the Act. The order would permit the Partnership to invest in limited partnerships that engage in the ownership and operation of housing for low and moderate income persons. (Rel. IC-19888 - November 19)

HOLDING COMPANY ACT RELEASES

THE COLUMBIA GAS SYSTEM INC., ET AL.

An order has been issued authorizing a proposal by The Columbia Gas System, Inc. (Columbia), a registered holding company, and its wholly owned nonutility subsidiary company, TriStar Ventures Corporation (TVC), for Columbia to recapitalize TVC by making a capital contribution to TVC of up to \$31 million. Installment promissory notes previously issued by TVC to Columbia will be cancelled. Jurisdiction has been reserved over a proposal to provide additional financing, through December 31, 1994, of up to \$12 million to TVC for administrative operations and preliminary development activities related to qualifying cogeneration facilities and exempt wholesale generators and foreign utility companies. (Rel. 35-25927)

WEST TEXAS UTILITIES COMPANY

An order has been issued authorizing West Texas Utilities (WTU), an electric public-utility subsidiary company of Central and South West Corporation, a registered holding company, to engage in financing. WTU proposes to issue and sell up to an aggregate principal amount of \$45 million of first mortgage bonds (Bonds), in one or more series, from time to time through December 31, 1996. The proceeds from the sale of the Bonds will be used to redeem all or a portion of previously issued and outstanding first mortgage bonds. (Rel. 35-25928)

NEW ENGLAND ENERGY INCORPORATED

A notice has been issued giving interested persons until December 13, 1993 to request a hearing on a proposal by New England Energy Incorporated (NEEI), an electric public-utility subsidiary company of New England Electric System (NEES), a registered holding company. NEEI proposes to invest up to \$15 million, through December 31, 1994, in its partnership (Partnership) with Samedan Oil Corporation, an unaffiliated company, for developing existing oil and gas prospects belonging to the Partnership. (Rel. 35-25929)

CSW CREDIT, INC., ET AL.

A notice has been issued giving interested persons until December 13, 1993 to request a hearing on a proposal by CSW Credit, Inc. (CSW Credit), a non-utility subsidiary of Central and South West Corporation, a registered holding company. CSW Credit proposes to extend, through December 31, 1994, its existing authorization to borrow funds and for CSW to make equity investments in CSW Credit to finance the factoring of receivables of associate and non-affiliated electric utility companies. (Rel. 35-25929)

NATIONAL FUEL GAS COMPANY, ET AL.

A notice has been issued giving interested persons until December 13, 1993 to request a hearing on a proposal by National Fuel Gas Company (NFG), a registered public utility holding company, and Utility Constructors, Inc. (UCI), a wholly-owned non-utility subsidiary of NFG. NFG proposes, pursuant to the authority of the Gas Related Activities Act of 1992, to retain its interest in UCI absent the requirement, as imposed by order dated May 1, 1987 (HCAR No. 24381), that during each three-year period following NFG's acquisition of UCI, the total revenues received by UCI from non-associate companies be less than the total revenues received by UCI during the same period from associate companies. (Rel. 35-25929)

GENERAL PUBLIC UTILITIES CORP., ET AL.

A notice has been issued giving interested persons until December 13, 1993 to request a hearing on a proposal by General Public Utilities Corporation (GPU), a registered holding company, General Portfolios Corporation (GPC), a wholly owned nonutility subsidiary of GPU, and Energy Initiatives, Inc., a wholly owned nonutility subsidiary of GPC, to engage in preliminary development and administrative activities in connection with investment in "foreign utility companies," as defined under Section 33 of the Public Utility Holding Company Act of 1935; make guarantees and enter into reimbursement agreements under letters of credit; issue and sell short-term notes to banks and other financial institutions up to an aggregate principal amount at any time outstanding of \$10 million; acquire for \$1,000 all of the capital stock of a new Delaware subsidiary company that would provide operations and maintenance services to affiliated and nonaffiliated projects. (Rel. 35-25929)

CONSOLIDATED NATURAL GAS COMPANY, ET AL.

A notice has been issued giving interested persons until December 13, 1993 to request a hearing on a proposal by Consolidated Natural Gas Company (CNG), a registered holding company, CNG Energy Company (CNG Energy), a wholly owned non-utility subsidiary company of CNG and CNG Technologies, Inc. (CNGT), a wholly owned non-utility subsidiary company of CNG Energy]. CNG Energy and CNGT seek an extension of authorization through December 31, 1995 to acquire, for \$2 million dollars, a limited partnership interest in EnerTek Partners, L.P (Enertek), a gas industry new technologies investment fund. CNG and CNGT each additionally seek an extension of authorization through December 31, 1995 to engage in equity and/or debt financing with its parent to fund the investment in Enertek. (Rel. 35-25929)

GEORGIA POWER COMPANY

A notice has been issued giving interested persons until December 13, 1993 to comment on a proposal by Georgia Power Company (Georgia Power), an electric public-utility subsidiary of The Southern Company, a registered holding company. Georgia Power proposes to invest up to \$5 million to acquire all of the limited partnership units of ATV/GP Parallel Fund, Limited Partnership, a Delaware limited partnership (Partnership) to be formed for the purpose of making venture capital investments in high-technology companies located in Georgia Power's service territory. The Partnership will operate in tandem with the Alliance Technology Ventures, Limited Partnership (Ventures), also a Delaware limited partnership, and at least one other parallel partnership. (Rel. 35-25929)

MONONGAHELA POWER COMPANY, ET AL.

A notice has been issued giving interested persons until December 13, 1993 to request a hearing on a proposal by Monongahela Power Company (Monongahela), The Potomac Edison Company (Potomac Edison) and West Penn Power Company (West Penn) (collectively, Declarants), each an electric public-utility subsidiary company of Allegheny Power System, Inc., a registered holding company. Declarants propose to enter into a \$300 million Competitive Advance and Revolving Credit Facility Agreement (Agreement) for borrowings thereunder through December 31, 1997. In addition, Declarants propose to secure the Agreement with their respective shares of stock in Allegheny Generating Company, an electric utility subsidiary company jointly owned by Declarants. (Rel. 35-25929)

ENTERGY CORPORATION

A notice has been issued giving interested persons until December 13, 1993 to request a hearing on a proposal by Entergy Corporation, a registered holding company, to issue and sell from time to time, through March 31, 1997, up to 2 million shares of its common stock to the participants in a Stock Investment Plan. (Rel. 35-25929)

SELF-REGULATORY ORGANIZATIONS

PROPOSED RULE CHANGE

The American Stock Exchange filed a proposed rule change (SR-AMEX-93-38) to list for trading warrants based on interest rates. Publication of the proposal is expected in the Federal Register during the week of November 22. (Rel. 34-33226)

SECURITIES ACT REGISTRATIONS

- S-8 SOUTHERN NEW ENGLAND TELECOMMUNICATIONS CORP, 227 CHURCH ST, NEW HAVEN, CT 06510 (203) 771-5200 - 150,000 (\$5,250,000) COMMON STOCK. (FILE 33-51055 - NOV. 16) (BR. 7)
- S-11 GREEN TREE FINANCIAL CORP, 1100 LANDMARK TOWERS, 345 ST PETER ST, SAINT PAUL, MN 55102 (612) 293-3400 - 1,000,000 (\$1,000,000) EQUIPMENT TRUST CERTIFICATES. (FILE 33-51057 - NOV. 16) (BR. 12)
- S-3 SANTA FE ENERGY RESOURCES INC, 1616 S VOSS RD STE 1000, HOUSTON, TX 77057 (713) 783-2401 - 575,000 (\$12,685,937) COMMON SHARES OF BENEFICIAL INTEREST. (FILE 33-51059 - NOV. 16) (BR. 3)
- N-1A DREYFUS GROWTH & VALUE FUND INC, THE DREYFUS CORPORATION, 200 PARK AVENUE 8TH FLOOR WEST, NEW YORK, NY 10166 (212) 922-6754 - INDEFINITE SHARES. (FILE 33-51061 - NOV. 16) (BR. 22)

REGISTRATIONS CONT.

- S-8 MBNA CORP, 400 CHRISTIANA ROAD, C/O MBNA AMERICA BANK NA, NEWARK, DE 19713 (302) 453-9930 - 3,000,000 (\$96,375,000) COMMON STOCK. (FILE 33-71640 - NOV. 15) (BR. 11)
- S-3 INTERTRANS CORP, 125 E JOHN CARPENTER FRWY STE 900, IRVING, TX 75062 (214) 830-8888 - 102,666 (\$1,212,742.12) COMMON STOCK. (FILE 33-71642 - NOV. 15) (BR. 4)
- S-8 INTERTRANS CORP, 125 E JOHN CARPENTER FRWY STE 900, IRVING, TX 75062 (214) 830-8888 - 1,700,000 (\$20,081,250) COMMON STOCK. (FILE 33-71644 - NOV. 15) (BR. 4)
- N-2 SECTOR ALLOCATION INCOME FUND INC, 1285 AVE OF THE AMERICAS, NEW YORK, NY 10019 (212) 713-2000 - 4,500,000 (\$69,000,000) COMMON STOCK. UNDERWRITER: PAINEWEBBER INC. (FILE 33-71656 - NOV. 12) (BR. 16 - NEW ISSUE)
- F-6 GRUPO FINANCIERO BANCOMER SA DE CV, 60 WALL ST, C/O MORGAN GUARANTY TRUST CO OF NY, NEW YORK, NY 10260 (212) 648-3200 - 20,000,000 (\$1,000,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 33-71674 - NOV. 15) (BR. 99 - NEW ISSUE)
- S-8 KURZWEIL APPLIED INTELLIGENCE INC /DE/, 411 WAVERLEY OAKS ROAD, WALTHAM, MA 02154 (617) 893-5151 - 1,008,975 (\$18,287,671.88) COMMON STOCK. (FILE 33-71680 - NOV. 15) (BR. 10)
- S-8 TRANSCON INC, 2029 CENTURY PARK EAST STE 3150, LOS ANGELES, CA 90067 (310) 553-1300 - 570,000 (\$410,400) COMMON STOCK. (FILE 33-71682 - NOV. 15) (BR. 4)
- S-8 CARSON PIRIE SCOTT & CO /IL/, 331 W WISCONSIN AVE, MILWAUKEE, WI 53203 (414) 347-4141 - 1,276,596 (\$15,000,003) COMMON STOCK. (FILE 33-71684 - NOV. 15) (BR. 2)
- S-3 DINECO INC, 820-822 CHURCH ST, HONESDALE, PA 18431 (717) 253-1970 - 200,000 (\$2,250,000) COMMON STOCK. (FILE 33-71692 - NOV. 15) (BR. 1)
- S-3 KING WORLD PRODUCTIONS INC, 1700 BROADWAY, NEW YORK, NY 10019 (212) 315-4000 - 450,000 (\$16,931,250) COMMON STOCK. (FILE 33-71696 - NOV. 15) (BR. 11)
- S-3 NATIONAL PATENT DEVELOPMENT CORP, 9 W 57TH ST, SUITE 4170, NEW YORK, NY 10019 (212) 230-9500 - 1,724,176 (\$8,836,402) COMMON STOCK. (FILE 33-71698 - NOV. 15) (BR. 5)
- S-8 PIZZA INN INC /MO/, 5050 QUORUM DR STE 500, DALLAS, TX 75240 (214) 701-9955 - 3,200,000 (\$13,312,000) COMMON STOCK. (FILE 33-71700 - NOV. 15) (BR. 12)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Room (when ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE	COMMENT
		1	2	3	4	5	6	7	8		
ADVANCED MATERIALS GROUP INC	NV	NO ITEMS								10/06/93	AMEND
ADVANTA CORP	DE	X								11/17/93	
AMERICAN MEDCARE CORP	DE	NO ITEMS								10/29/93	
AMERICAN TELEVISION & COMMUNICATIONS COR	DE					X		X		10/13/93	
AMETEK INC	DE					X		X		11/17/93	
ATEL CASH DISTRIBUTION FUND V L P	CA	X								06/28/93	
AUDIOTRONICS CORP	DE			X				X		11/09/93	
AVCO FINANCIAL SERVICES INC	DE	X								11/12/93	
BANK OF NEW ENGLAND CORP	MA	NO ITEMS								12/31/92	
BAROID CORPORATION /DE	DE					X		X		11/09/93	
BAXTER INTERNATIONAL INC	DE					X				11/16/93	
BELL SPORTS CORP	DE	NO ITEMS								10/26/93	
BERGEN BRUNSWIG CORP	NJ	X								11/05/93	
BIOCONTROL TECHNOLOGY INC	PA	NO ITEMS								11/09/93	
BMC WEST CORP	DE			X				X		11/01/93	
BONED MEDICAL MANUFACTURING LTD	CA	NO ITEMS								11/05/93	
BORA CAPITAL INC	CA	X				X				09/03/93	
BOSTON PACIFIC MEDICAL INC	MA	NO ITEMS								11/10/93	
BRUSH CREEK MINING & DEVELOPMENT CO INC	NV	NO ITEMS								11/10/93	
CABOT OIL & GAS CORP	DE	NO ITEMS								09/30/93	
CAIRE INC	DE					X				10/15/93	
CANANDAIGUA WINE CO INC	DE							X		10/15/93	AMEND
CAPITAL CABLEVISION SYSTEMS INC	NY					X		X		10/13/93	
CAPITAL GAMING INTERNATIONAL INC /NJ/	NJ	NO ITEMS								10/22/93	
CARDINAL BANCSHARES INC	KY	NO ITEMS								10/29/93	
CARDINAL BANCSHARES INC	KY	NO ITEMS								11/11/93	
CARDIOTRONICS SYSTEMS INC	CO	NO ITEMS								10/21/93	
CARLYLE REAL ESTATE LTD PARTNERSHIP XIV	IL	NO ITEMS								08/30/93	
CAROLINA FIRST CORP	SC	NO ITEMS								11/09/93	
CELESTIAL VENTURES CORP	NV	NO ITEMS								11/15/93	
CENTRAL HOLDING CO	MI	NO ITEMS								10/26/93	
CENTRAL LIFE ASSURANCE CO	IA	NO ITEMS								11/01/93	
CHANCELLOR CORP	MA	NO ITEMS								11/16/93	
CHESHIRE FINANCIAL CORP	NH	NO ITEMS								09/14/93	AMEND
COLUMBIA GAS SYSTEM INC	DE	X								11/18/93	

8K REPORTS CONT.

NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE	COMMENT
		1	2	3	4	5	6	7	8		
COLUMBIA LEASE INCOME FUND A LP	DE	NO	ITEMS							11/09/93	
COLUMBIA LEASE INCOME FUND A LP	DE	NO	ITEMS							11/09/93	
COLUMBIA LEASE INCOME FUND II-A LP	DE	NO	ITEMS							11/09/93	
COLUMBIA LEASE INCOME FUND II-A LP	DE		X							11/09/93	
COLUMBIA LEASE INCOME FUND II-A LP	DE	NO	ITEMS							11/09/93	
COMMONWEALTH EQUITY TRUST	CA		X			X				11/03/93	AMEND
COOPER DEVELOPMENT CO	DE	NO	ITEMS							10/15/93	
CREATIVE RESOURCES INC	NV		X			X				09/01/93	
CROFF OIL CO	UT			X		X				11/12/93	
CS FIRST BOSTON MORTGAGE SECURITIES CORP	DE	NO	ITEMS							10/25/93	
CS FIRST BOSTON MORTGAGE SECURITIES CORP	DE			X		X				10/26/93	
CSA INCOME FUND IV LIMITED PARTNERSHIP	MA	NO	ITEMS							11/12/93	
CTA INCORPORATED	CO	NO	ITEMS							08/31/93	AMEND
CULP INC	NC		X			X				11/01/93	
CUMBS INC	DE			X		X				10/01/93	
CUMBS INC MORT PASS THRO CERT SER 1993-B				X		X				10/01/93	
DEL PAINT CORP	OK	NO	ITEMS							10/27/93	
DELTA AIR LINES INC /DE/	DE			X		X				10/28/93	
DESTROM IDI INC		NO	ITEMS							11/12/93	
DLJ MORTGAGE ACCEPTANCE CORP	DE			X		X				10/22/93	
ECI ENVIRONMENTAL INC	NV	NO	ITEMS							11/01/93	
ECO2 INC	DE			X		X				09/30/93	AMEND
EDGEMARK FINANCIAL CORP	DE			X						11/12/93	
FINANCIAL CORP OF SANTA BARBARA	DE			X		X				11/12/93	
FINET INC /NY	NY					X				09/09/93	AMEND
FIRST DEPOSIT NATIONAL BANK				X						11/15/93	
FIRST INTERSTATE BANCORP /DE/	DE			X		X				11/18/93	
FIRSTFED BANCSHARES INC	DE			X		X				11/08/93	
FLOW INTERNATIONAL CORP	DE	NO	ITEMS							11/15/93	
FONE AMERICA INC	NV			X						03/15/93	
FRANKLIN JOE PRODUCTIONS INC	NV		X	X						10/29/93	
FREMONT FUNDING INC	DE	NO	ITEMS							11/09/93	
GE CAPITAL PUBLIC FINANCE INC	NY	NO	ITEMS							10/15/93	
GECPF 1 TAX EXEMPT GRANTOR TRUST SERIES	NY			X		X				10/15/93	
GECPF 1 TAX EXEMPT GRANTOR TRUST SERIES	NY			X		X				10/15/93	
GECPF 2 TAX EXEMPT GRANTOR TRUSTS SERIES	NY	NO	ITEMS							10/25/93	
GECPF 2 TAX EXEMPT GRANTOR TRUSTS SERIES	NY	NO	ITEMS							10/25/93	
GECPF 2 TAX EXEMPT GRANTOR TRUSTS SERIES	NY	NO	ITEMS							10/25/93	
GECPF 2 TAX EXEMPT GRANTOR TRUSTS SERIES	NY	NO	ITEMS							10/25/93	
GECPF 3 TAX EXEMPT GRANTOR TRUSTS SERIES	NY	NO	ITEMS							10/15/93	
GECPF 3 TAX EXEMPT GRANTOR TRUSTS SERIES	NY	NO	ITEMS							10/15/93	
GENERAL AUTOMATION INC	DE		X			X				11/12/93	
GENESEE CORP	NY	X								11/07/93	
GEON CO	DE			X						11/10/93	
GEOTEK INDUSTRIES INC	DE			X		X				11/01/93	
GULFSIDE INDUSTRIES LTD		NO	ITEMS							11/01/93	
HANGER ORTHOPEDIC GROUP INC	DE					X				09/01/93	
HEICO CORP	FL	NO	ITEMS							11/02/93	
HUNTINGTON BANCSHARES INC/MD	MD			X		X				11/12/93	
IMMUCELL CORP /DE/	DE		X			X				11/04/93	
INDUSTRIAL TRAINING CORP	MD		X			X				08/31/93	AMEND
INNOVEX INC	MI		X			X				11/01/93	

8K REPORTS CONT.

NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE	COMMENT	
		1	2	3	4	5	6	7	8			
RESOLUTION TRUST CORP										NO ITEMS	10/25/93	
RESOLUTION TRUST CORP										NO ITEMS	10/25/93	
RESOURCE FINANCE GROUP LTD	MI			X		X					09/20/93	AMEND
RICHTON INTERNATIONAL CORP	DE		X			X					10/27/93	
ROCHEM ENVIRONMENTAL INC	UT			X		X					11/05/93	AMEND
RYLAND MORTGAGE SECURITIES CORP SERIES 1	VA				X	X					10/30/93	
SAGA COMMUNICATIONS INC	DE				X	X					11/01/93	
SALVATORI OPHTHALMICS INC	FL									NO ITEMS	11/03/93	
SECURITY PACIFIC HOME EQUITY TRUST 1991-	CA						X				11/05/93	
SHAMNUT NATIONAL CORP	DE				X	X					11/16/93	
SIFTA MILWAUKEE CABLE CORP	DE				X	X					10/13/93	
SINCLARE GROUP INC	NY	X	X	X	X	X					10/26/93	
SIZELER PROPERTY INVESTORS INC	DE						X				08/31/93	AMEND
SOLAR FINANCIAL SERVICES INC	NJ				X	X					11/08/93	
SOUND ADVICE INC	FL				X	X					11/12/93	
STERLING SOFTWARE INC	DE				X	X					11/15/93	
STRIKER INDUSTRIES INC	DE									NO ITEMS	11/15/93	
STRUCTURED ASSET SECURITIES CORP TRUST I	DE				X	X					09/20/93	
STRUCTURED ASSET SECURITIES CORPORATION	DE									NO ITEMS	10/25/93	
STRUCTURED ASSET SECURITIES CORPORATION	DE				X	X					10/25/93	
STRUCTURED MORTGAGE ASSET RES TRUST SER	DE				X	X					10/25/93	
SUBMICRON SYSTEMS CORP	DE						X				08/31/93	AMEND
SUN HEALTHCARE GROUP INC	DE		X			X					11/01/93	
T SF COMMUNICATIONS CORP	DE		X		X	X					11/01/93	
TA MILWAUKEE CABLE CO INC	DE				X	X					10/13/93	
TELEPHONE & DATA SYSTEMS INC	IA				X	X					11/16/93	
TEXOIL INC /NV/	NV									NO ITEMS	11/09/93	
TIME WARNER ENTERTAINMENT CO L P	DE					X	X				10/13/93	
TIME WARNER OPERATIONS INC						X	X				10/13/93	
TOP SOURCE INC	DE		X			X					07/16/93	
TRIBUNE SWAB FOX COMPANIES INC	DE									NO ITEMS	11/01/93	
UNDERWRITERS FINANCIAL GROUP INC	CO									NO ITEMS	11/12/93	AMEND
UNITED STATES CELLULAR CORP	DE				X	X					11/17/93	
VDS ENTERPRISES INC	FL									NO ITEMS	09/27/93	
VITAFORT INTERNATIONAL CORP	DE		X			X					08/07/93	
VTX ELECTRONICS CORP	DE				X	X					11/04/93	
WARNER CABLE COMMUNICATIONS CO	NY				X	X					10/13/93	
WARNER CABLE COMMUNICATIONS INC	DE				X	X					10/13/93	
WARNER CABLE OF NEW YORK INC	DE				X	X					10/13/93	
WARNER COMMUNICATIONS INC	DE				X	X					10/13/93	
WORTH CORP	DE		X			X					10/31/93	
ZIONS BANCORPORATION /UT/	UT		X								10/18/93	