

sec news digest

Issue 88-234

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DEC 8 1988
U.S. SECURITIES AND
EXCHANGE COMMISSION

December 7, 1988

ADMINISTRATIVE PROCEEDINGS

SANCTION IMPOSED AGAINST PRIDE INVESTORS CORP. AND EDWARD JAMES MAHANNA, JR.

The Commission issued an Order Instituting Proceedings, Findings and Order Imposing Remedial Sanctions against Pride Investors Corp., an investment adviser in New York, and its sole officer, director, and owner, Edward James Mahanna, Jr. Pride and Mahanna were found to have violated the (1) antifraud provisions of the Investment Advisers Act of 1940 through failure to disclose information of a material nature to clients regarding the purchase of securities on their behalf and by improperly maintaining custody of clients' funds; (2) prohibition in the Advisers Act against compensation based upon performance; and (3) recordkeeping provisions of the Advisers Act by failing to make and keep true, accurate, and current books and records and by failing to furnish each advisory client with a written disclosure statement which contains at least the information required by Part II of Form ADV. The Commission revoked Pride's investment adviser registration and barred Mahanna from association with any investment adviser, providing him a right to reapply in three years. Pride and Mahanna neither admitted nor denied the allegations. (Rel. IA-1145)

CIVIL PROCEEDINGS

PRELIMINARY INJUNCTION ENTERED AGAINST CENTURI MINING CORPORATION, OTHERS

The Atlanta Regional and Miami Branch Offices announced that on November 21 U.S. District Judge William J. Castagna, Middle District of Florida, entered a Judgment of Preliminary Injunction against Centuri Mining Corporation, Roc G. Hatfield, and William Robert Mette'. The Judgment enjoins the defendants from violations of registration and antifraud provisions of the securities laws and continues a previously ordered freeze of defendants' assets. Defendants consented to the Judgment without admitting or denying the allegations in the Complaint.

The November 8 Complaint alleges that defendants offered and sold unregistered securities to the public using general advertising. It also alleges that defendants falsely represented that Centuri's Colombian gold mining operation was underway using modern mining equipment, and omitted to disclose that Mette' was subject to a permanent injunction arising from his activities in a purported Brazilian gold mining venture. (SEC v. Centuri Mining Corporation, et al., Civil Action No. 88-1741-CIT-T-15B, MDFL). (LR-11926)

INVESTMENT COMPANY ACT RELEASES

MACKAY-SHIELDS MAINSTAY SERIES FUND

A notice has been issued giving interested persons until December 27 to request a hearing on an application filed by MacKay-Shields MainStay Series Fund to permit it to file financial statements signed or certified by an independent accountant selected at a Board of Trustees meeting held more than 30 but not more than 90 days before or after the beginning of its fiscal year. (Rel. IC-16675 - Dec. 2)

BULLOCK GROWTH SHARES, INC.

A notice has been issued giving interested persons until December 27 to request a hearing on an application filed by Bullock Growth Shares, Inc. for an order declaring that it has ceased to be an investment company. (Rel. IC-16676 - Dec. 2)

A notice has been issued giving interested persons until December 28 to request a hearing on an application filed by Pacific American Income Shares, Inc. and its investment adviser, Western Asset Management Company, for an order exempting Applicants from Section 15(a) to the extent necessary for Pacific American to compensate the adviser for the lesser of its fees or the costs incurred in providing advisory services to the Fund under an interim advisory agreement entered without prior shareholder approval. (Rel. IC-16677 - Dec. 2)

HOLDING COMPANY ACT RELEASES

NOVERCO INC.

A notice of and order for hearing has been issued giving interested persons until December 27 to file an application to be heard or to participate in the proceeding relating to the proposed acquisition of securities of Northern New England Gas Corporation, a Vermont corporation which owns the outstanding common stock of Vermont Gas System Inc., a gas utility company, by Noverco Inc., a Canadian public-utility holding company, and an application by Noverco for exemption under Section 3(a)(5) of the Public Utility Holding Company Act of 1934. (Rel. 35-24764 - Dec. 1)

THE CONNECTICUT LIGHT AND POWER COMPANY; THE COLUMBIA GAS SYSTEM, INC.

Notices have been issued giving interested persons until December 27 to request a hearing on a proposal by the following companies filed under Release 35-24765, dated December 1: THE CONNECTICUT LIGHT AND POWER COMPANY - subsidiary of Northeast Utilities, to issue and sell up to six million shares (\$150 million aggregate) of its preferred stock from time to time through December 31, 1989 with a fixed or adjustable dividend rate, and under an exception from competitive bidding; and THE COLUMBIA GAS SYSTEM, INC. - a registered holding company, and certain of its subsidiaries including Columbia Gas of Kentucky, Inc., Columbia Gas of New York, Inc., Columbia Gas of Virginia, Inc., Commonwealth Gas Pipeline Corporation, Commonwealth Gas Services, Inc., Commonwealth Propane, Inc., and Columbia Gas System Service Corporation, whereby Columbia will increase the level of short and long-term intrasystem financing for the subsidiaries.

LISTING, DELISTING AND UNLISTED TRADING ACTIONS

DELISTING GRANTED

An order has been issued granting the application of the New York Stock Exchange to strike the common stock, \$1.20 par value, of OAKITE PRODUCTS, INC. from listing and registration thereon. (Rel. 34-26336)

SELF-REGULATORY ORGANIZATIONS

APPROVAL OF PROPOSED RULE CHANGE

The Commission approved a proposed rule change filed by the Pacific Stock Exchange (SR-PSE-88-14) which provides that a Letter of Guarantee is not considered revoked until a final written notice of revocation is filed with the Exchange, and which adds a new Commentary providing that a market maker subject to an interim notice of revocation may effect only closing transactions in its market maker account. (Rel. 34-26334)

NOTICE OF PROPOSED RULE CHANGES

A proposed rule change has been filed under Rule 19b-4 by: MBS Clearing Corporation's Depository Division (SR-MBS-88-18) to permit MBSCC to assign any Depository Participant's Agreement to another registered clearing agency in connection with the sale of the Depository Division to that clearing agency. The proposal is intended to facilitate the sale of the Depository Division to Participants Trust Company. (Rel. 34-26337); and the New York Stock Exchange (SR-NYSE-88-35) that would require all member organizations for which the NYSE has been appointed the designated examining authority by the Commission under Rule 17d-1 to submit requests for extensions of time payment or delivery of securities to the NYSE. (Rel. 34-26341)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- S-18 DIM INC, 4055 SPENCER, #235, LAS VEGAS, NV 89119 (702) 791-1714 - 1,566,250 (\$391,562.50) COMMON STOCK. (FILE 33-25550-LA - NOV. 21) (BR. 4 - NEW ISSUE)
- S-18 UNSURPASSED INC, 2049 CENTURY PARK E STE 4050, LOS ANGELES, CA 90067 (213) 203-8488 - 500,000 (\$3,000,000) COMMON STOCK. 50,000,000 (\$1,500,000) COMMON STOCK. 30,000,000 (\$30) WARRANTS, OPTIONS OR RIGHTS. 300,000,000 (\$360,000) COMMON STOCK. UNDERWRITER: MALONE & ASSOCIATES INC. (FILE 33-25551-LA - NOV. 17) (BR. 12 - NEW ISSUE)
- S-18 ARLINGTON VENTURES LTD, 205 S MELDRUM ST, FORT COLLINS, CO 80521 (303) 980-9227 - 15,000,000 (\$150,000) COMMON STOCK. 15,000,000 (\$300,000) COMMON STOCK. 15,000,000 (\$750,000) COMMON STOCK. UNDERWRITER: CAPITAL SECURITIES GROUP INC. (FILE 33-25556-D - NOV. 22) (BR. 11 - NEW ISSUE)
- N-1A AMERICAN GAS INDEX FUND INC, 4922 FAIRMONT AVE, BETHESDA, MD 20814 (301) 657-1500 - INDEFINITE SHARES. (FILE 33-25678 - NOV. 23) (BR. 17 - NEW ISSUE)
- S-8 BANKERS FIRST CORP, 945 BROAD ST, P O BOX 1332, AUGUSTA, GA 30913 (404) 823-3200 - 1,234,903 (\$9,570,498) COMMON STOCK. (FILE 33-25679 - NOV. 28) (BR. 1)
- S-8 U S HEALTHCARE INC, 980 JOLLY RD, P O BOX 1109, BLUE BELL, PA 19422 (215) 628-4800 - 2,000,000 (\$2,000,000) OTHER SECURITIES INCLUDING VOTING TRUST. 500,000 COMMON STOCK. (FILE 33-25680 - NOV. 28) (BR. 6)
- S-8 NATIONAL VISION SERVICES INC, 4201 N 24TH ST, STE 300, PHOENIX, AZ 85016 (602) 956-7287 - 150,000 (\$309,375) COMMON STOCK. (FILE 33-25681 - NOV. 28) (BR. 6)
- N-1A CAPITAL MARKET FUND INC, 523 W SIXTH ST, STE 220, LOS ANGELES, CA 90014 (213) 488-2700 - INDEFINITE SHARES. (FILE 33-25684 - NOV. 29) (BR. 18 - NEW ISSUE)
- S-6 SUPERTRUST FOR CAPITAL MARKET FUND INC SHARES, 523 W SIXTH ST STE 220, C/O SUPERSHARE SERVICES CORP, LOS ANGELES, CA 90014 - INDEFINITE SHARES. DEPOSITOR: SUPERSHARE SERVICES CORP. (FILE 33-25685 - NOV. 29) (BR. 16 - NEW ISSUE)
- S-3 AMAX INC /NY/, 200 PARK AVE, NEW YORK, NY 10166 (212) 856-4200 - 2,417,724 (\$55,607,652) COMMON STOCK. (FILE 33-25688 - NOV. 30) (BR. 1)
- S-8 COLONIAL GROUP INC /MA/, ONE FINANCIAL CTR, BOSTON, MA 02111 (617) 426-3750 - 800,000 (\$9,500,000) COMMON STOCK. (FILE 33-25689 - NOV. 30) (BR. 11)
- S-8 CERAMICS PROCESS SYSTEMS CORP /DE/, 840 MEMORIAL DR, CAMBRIDGE, MA 02139 (617) 354-2020 - 240,000 (\$600,000) COMMON STOCK. (FILE 33-25690 - NOV. 30) (BR. 8)
- S-6 TAX EXEMPT SECURITIES TRUST SERIES 293, 1345 AVE OF THE AMERICAS, C/O SMITH BARNEY HARRIS UPHAM & CO INC, NEW YORK, NY 10105 - 2,400 (\$2,500,000) UNIT INVESTMENT TRUST. DEPOSITOR: DREXEL BURNHAM LAMBERT INC, KIDDER PEABODY & CO INC, SMITH BARNEY HARRIS UPHAM & CO INC. (FILE 33-25692 - NOV. 30) (BR. 16 - NEW ISSUE)
- S-18 PROFESSIONAL FUTURES FUND II LP, 140 BROADWAY SUITE 2200, NEW YORK, NY 10005 (212) 248-1740 - 7,500 (\$7,500,000) LIMITED PARTNERSHIP CERTIFICATE. UNDERWRITER: GRIFFITH W S & CO INC, SENTRA SECURITIES CORP. (FILE 33-25693-NY - NOV. 18) (BR. 11 - NEW ISSUE)
- S-6 GOVERNMENT SECURITIES EQUITY TRUST SERIES I, ONE SEAPORT PLZ, 199 WATER ST, NEW YORK, NY 10292 - INDEFINITE SHARES. DEPOSITOR: PRUDENTIAL BACHE SECURITIES INC. (FILE 33-25710 - NOV. 23) (BR. 18 - NEW ISSUE)

- N-1A CHAPMAN FUNDS INC, 1010 MERCANTILE BANK & TRUST BLDG, BALTIMORE, MD 21201 (301) 625-9656 - INDEFINITE SHARES. UNDERWRITER: CHAPMAN CO. (FILE 33-25716 - NOV. 23) (BR. 17 - NEW ISSUE)
- S-1 LOEHMANNS HOLDINGS INC, 2500 HALSEY ST, BRONX, NY 10461 (212) 409-2000 - 105,000,000 (\$105,000,000) STRAIGHT BONDS. 2,100,000 (\$3,066,000) COMMON STOCK. UNDERWRITER: MERRILL LYNCH CAPITAL MARKETS. (FILE 33-25718 - NOV. 23) (BR. 1 - NEW ISSUE)
- S-1 TRINITY ACQUISITION CORP, UNIVERSITY CENTRE II STE 820, 1320 S UNIVERSITY DR, FORT WORTH, TX 76107 (817) 877-3071 - 250,000 (\$8,500) COMMON STOCK. (FILE 33-25776 - NOV. 25) (BR. 11 - NEW ISSUE)
- S-8 AMERICAN HEALTH PROPERTIES INC, 9665 WILSHIRE BLVD STE 600, BEVERLY HILLS, CA 90212 (213) 276-6245 - 450,000 (\$8,212,500) COMMON STOCK. (FILE 33-25781 - NOV. 28) (BR. 6)
- S-8 FIRST COMMERCIAL BANCSHARES INC, 200 W 18TH ST, JASPER, AL 35501 (205) 221-3121 - 250,000 (\$5,375,000) COMMON STOCK. (FILE 33-25785 - NOV. 29) (BR. 2)
- S-1 CARDIAC CONTROL SYSTEMS INC, 3 COMMERCE BLVD, PALM COAST, FL 32037 (904) 445-5450 - 100,000 (\$231,000) COMMON STOCK. (FILE 33-25787 - NOV. 29) (BR. 8)
- S-6 TAX EXEMPT SECURITIES TRUST SERIES 294, 1345 AVE OF THE AMERICAS, C/O SMITH BARNEY HARRIS UPHAM & CO INC, NEW YORK, NY 10105 - 2,400 (\$2,500,000) UNIT INVESTMENT TRUST. DEPOSITOR: DREXEL BURNHAM LAMBERT INC, KIDDER PEABODY & CO INC, SMITH BARNEY HARRIS UPHAM & CO INC. (FILE 33-25798 - NOV. 30) (BR. 16 - NEW ISSUE)

ACQUISITIONS OF SECURITIES

Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the prior percent owned; and Column 7 - the status of the filing, i.e., new, update or revision.

| NAME AND CLASS OF STOCK/OWNER | FORM | EVENT DATE | SHRS(000)/%OWNED | CUSIP/PRIOR% | FILING STATUS |
|--|-----------------------|------------|------------------|------------------|---------------|
| ALC COMMUNICATIONS CORP COMMUNICATIONS TRANSMISSION | COM 13D | 12/ 2/88 | 17,020 100.0 | 00157510 72.9 | UPDATE |
| AMERICAN PAC CORP CARROLL W J | COM 13D | 11/23/88 | 462 10.9 | 02874010 5.7 | UPDATE |
| APACHE CORP JAFFE ENERGY ET AL | COM 13D | 11/28/88 | 3,034 9.2 | 03741110 8.4 | UPDATE |
| BELDEN & BLAKE ENERGY CO ESWAR S V | UT LTD PART IN 13D | 10/31/88 | 400 8.8 | 07745110 0.0 | NEW |
| BOMARKO INC REICH & TANG PRF SHRG TR ET AL | COM 13D | 6/30/88 | 0 0.0 | 09790610 N/A | UPDATE |
| BRANDYWINE SVGS & LN ASSN PA FIRST AMERICAN SVG F A | COM 13D | 11/18/88 | 423 38.5 | 10536910 12.7 | UPDATE |

ACQUISITIONS REPORTS CONT:

| | | | | | | |
|---|-------------|-------|----------|---------------|-------------------|--------|
| CALGENE INC PROCTOR & GAMBLE CO | COM | 13D | 11/30/88 | 712 9.3 | 12959810 0.0 | NEW |
| CANYON RESOURCES CORP ADDWEST GOLD | COM | 13D | 11/30/88 | 1,100 16.5 | 13886910 46.6 | UPDATE |
| CATALINA LTG INC WINDMERE CORP | COM | 13D | 11/30/88 | 692 22.0 | 14886510 24.3 | UPDATE |
| CENTEL CABLE TELEVISION CO GAMCO INVESTORS INC ET AL | CL A | 13D | 11/30/88 | 1,022 22.2 | 15091210 23.8 | UPDATE |
| CITIZENS SECURITY LIFE INSUR SECURITY TREND PRTRNS ET AL | COM | 13D | 10/28/88 | 449 34.6 | 17667410 27.6 | UPDATE |
| CLARK CONS INDS INC BECKENBACH W C | COM | 13D | 12/ 1/88 | 27 3.9 | 18068810 18.2 | UPDATE |
| CLEMENTE GLOBAL GROWTH FD IN SUMTER PARTNERS L P ET AL | COM | 13D | 11/23/88 | 1,119 18.6 | 18556910 18.6 | UPDATE |
| CONTINENTAL REAL EST PTNRS LIQUIDITY FUNDS ET AL | LIM PART | 13D | 11/ 9/88 | 8 25.4 | 21199599 24.2 | UPDATE |
| COPELCO FILS SVCS GROUP INC MEDIO INC | COM | 14D-1 | 12/ 5/88 | 3,201 99.0 | 21724810 97.7 | UPDATE |
| CULBRO CORP GAMCO INVESTORS INC ET AL | COM | 13D | 12/ 1/88 | 294 6.9 | 22989010 5.8 | UPDATE |
| CULLUM COS INC CULLUM ROBERT BROOKS JR ET AL | COM | 13D | 11/29/88 | 491 19.3 | 23020810 100.0 | UPDATE |
| DAMON CREATIONS INC BARBAKOW JEFFREY ET AL | COM | 13D | 10/28/88 | 52 2.2 | 23572110 36.0 | UPDATE |
| DECOR CORPORATION BINSKY BEATRICE | COM | 13D | 11/22/88 | 540 25.7 | 24359010 25.7 | UPDATE |
| DECOR CORPORATION BINSKY BOB | COM | 13D | 11/22/88 | 537 25.6 | 24359010 50.9 | UPDATE |
| ESPRIT SYS INC ROSENKRANZ & CO | COM | 13D | 11/22/88 | 482 6.3 | 29665610 0.0 | NEW |
| FIRST MD BANCORP ALLIED IRISH BANKS LIMITED | COM | 13D | 12/ 5/88 | 8,908 49.7 | 32080610 49.7 | UPDATE |
| HOUSE FABRICS INC FMR CORP | COM | 13D | 11/ 8/88 | 1,245 19.0 | 44175810 16.2 | UPDATE |
| IMAGE ENTMT INC IMAGE INVESTORS CO | COM | 13D | 11/30/88 | 9,559 11.4 | 45243910 8.2 | UPDATE |
| INTERACTIVE TECHNOLOGIES INC NETWORK SECURITY CORP | COM | 13D | 11/30/88 | 2,221 56.2 | 45837810 57.4 | UPDATE |
| LINEAR INSTRS CORP SPECTRA PHYSICS ET AL | COM | 14D-1 | 12/ 5/88 | 3,864 95.8 | 53567010 94.7 | UPDATE |
| MERCURY ENTMT CORP DOUGLAS MICHAEL K | COM | 13D | 9/30/88 | 500 4.4 | 58938610 52.8 | UPDATE |
| MULTIVEST REAL ESTATE FND IV LIQUIDITY FUNDS ET AL | LTD PRT INT | 13D | 11/ 9/88 | 11 27.2 | 62499910 26.2 | UPDATE |
| NATIONAL INTERGROUP INC WALKER STREET ASSOC | COM | 13D | 11/28/88 | 1,727 8.0 | 63654010 7.4 | PYSION |

ACQUISITIONS REPORTS CONT.

| COMPANY NAME | TYPE | STATE | DATE | PRICE | SHARES | STATUS |
|---|----------------|-------|----------|---------------|------------------|--------|
| PAUL HARRIS STORES INC BOMBERGER DAVID R JP ET AL | COM | 13D | 12/ 3/88 | 405 4.5 | 70355510 0.0 | NEW |
| READING CO COTTEN E LEE ET AL | COM PAR \$0.01 | 13D | 11/18/88 | 422 8.5 | 75533240 22.5 | UPDATE |
| RIGGS NATL CORP WASH DC ALLBRITTON JOE L | COM | 13D | 11/29/88 | 4,935 33.6 | 76657010 33.6 | UPDATE |
| STEWART INFORMATION SVCS CDR COM HAMBLIN WATSA INVMT COUNSEL | COM | 13D | 11/23/88 | 245 6.1 | 86037210 0.0 | NEW |
| TECH OPS SEVCOM INC REICH JOSEPH H ET AL | COM | 13D | 11/25/88 | 104 5.1 | 87829310 0.0 | NEW |
| TIGER INTL INC RELIANCE FINANCIAL SVCS CORP | COM | 13D | 12/ 1/88 | 6,045 17.1 | 88673510 17.2 | UPDATE |
| UNION VY CORP KOKES JAN A ET AL | COM | 13D | 12/ 1/88 | 1,534 32.2 | 90902910 32.0 | UPDATE |
| UNIVERSAL FOODS CORP HIGH VOLTAGE ENGINEERING CORP | COM | 14D-1 | 12/ 6/88 | 614 3.8 | 91353810 3.8 | NEW |
| WESPAC INVS TR CARDEN WILLIAM J ET AL | SH BEN INT | 13D | 11/15/88 | 0 0.0 | 95103010 N/A | UPDATE |

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Room (when ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

| NAME OF ISSUER | STATE CODE | 8K ITEM NO. | | | | | | | DATE | COMMENT |
|--|------------|-------------|---|---|---|---|---|---|----------|---------|
| | | 1 | 2 | 3 | 4 | 5 | 6 | 7 | | |
| ACMAT CORP | CT | | | | X | | | | 12/02/88 | |
| ADK PROPERTIES | FL | | | X | | | | | 11/30/88 | |
| AFFILIATED NATIONAL INC | NY | X | | X | | | | | 11/17/88 | |
| AIR CARGO EQUIPMENT CORP | DE | X | | | | | X | | 11/29/88 | |
| ALAMCO INC | DE | | | | X | | X | | 11/11/88 | |
| AMERICAN AFFORDABLE HOUSING II LIMITED P | MA | X | | | | | X | | 11/17/88 | |
| AMERICAN INTEGRITY CORP | PA | | | | X | | | | 11/17/88 | |
| AMERICAN RECREATION CENTERS INC | CA | X | | | | | | | 11/30/88 | |
| AMERICAN TELEPHONE & TELEGRAPH CO | NY | | | | X | | | | 12/01/88 | |
| ANGELL REAL ESTATE CC /DE/ | DE | NO ITEMS | | | | | | | 09/19/88 | AMEND |
| ANODYNE ENERGY CORP /DE/ | DE | | | | X | | | | 10/15/88 | |
| ASHLAND COAL INC | DE | | | | X | | X | | 11/22/88 | |
| ASSOCIATED COMPANIES INC | IN | | | | X | | | | 11/28/88 | |
| AUTOMATED LANGUAGE PROCESSING SYSTEMS IN | UT | NO ITEMS | | | | | | | 11/14/88 | |
| BANK OF NEW YORK CO INC | NY | | | | X | | | | 11/21/88 | |
| BANKERS TRUST NEW YORK CORP | NY | | | | X | | X | | 11/22/88 | |

RECENT 8K FILINGS CONT.

| NAME OF ISSUER | STATE CODE | 8K ITEM NO. | | | | | | | DATE | COMMENT |
|--|------------|-------------|---|---|---|---|---|----------|----------|---------|
| | | 1 | 2 | 3 | 4 | 5 | 6 | 7 | | |
| BLACKWOOD ENTERPRISES INC | UT | | | | X | X | X | 11/18/88 | | |
| BLINDER INTERNATIONAL ENTERPRISES INC | CO | | | | | X | | 11/11/88 | | |
| BORG WARNER CORP /DE/ | DE | | | | X | X | | 11/17/88 | | |
| BRAUVIN HIGH YIELD FUND L P | DE | X | | | | X | | 09/28/88 | | |
| BURLINGTON NORTHERN INC/DE/ | DE | | | | X | X | | 11/28/88 | | |
| CACHE TECHNOLOGIES CORP | DE | | | | X | | | 11/21/88 | | |
| CALIFORNIA ENERGY CO INC | DE | | | | X | X | | 11/11/88 | | |
| CELINA FINANCIAL CORP | OH | X | | | | X | | 11/18/88 | | |
| CENTEL CORP | KS | | | | X | X | | 11/30/88 | | |
| CENTRUM INDUSTRIES INC | ND | | | | X | X | | 09/16/88 | | |
| CENTURY COMMUNICATIONS CORP | NJ | | | | X | X | | 11/10/88 | | |
| CHEROKEE GROUP | CA | X | | | | X | | 10/13/88 | AMEND | |
| CIP CORP | OH | | | | X | | | 12/02/88 | | |
| CIRCUIT RESEARCH LABS INC | AR | | | | X | | | 11/18/88 | | |
| CITICORP MORTGAGE SECURITIES INC | DE | X | | | | X | | 11/22/88 | | |
| CITICORP MORTGAGE SECURITIES INC | DE | X | | | | | | 11/22/88 | | |
| CITICORP MORTGAGE SECURITIES INC | DE | X | | | | | | 11/22/88 | | |
| CLABIR CORP | DE | X | | | | X | | 11/18/88 | | |
| CLIFFS DRILLING CO | DE | X | | | | X | | 11/30/88 | | |
| COLLATERALIZED MORTGAGE OBLIGATION TRUST | DE | | | | X | X | | 11/29/88 | | |
| COMMERCIAL CREDIT GROUP INC | DE | | | | X | X | | 11/23/88 | | |
| CONSOLIDATED PACKAGING CORP | MI | | | | X | | | 11/23/88 | | |
| CORNERSTONE MORTGAGE INVESTMENT GROUP II | DE | | | | X | X | | 11/23/88 | | |
| CRESTED CORP | CO | | | | X | | | 11/23/88 | | |
| CURRIES CO | DE | X | | | X | | | 11/14/88 | | |
| CYPRUS MINERALS CO | DE | | | | X | | | 11/18/88 | | |
| D&N FINANCIAL CORP | DE | | | | X | X | | 11/17/88 | | |
| DAWN CAPITAL CORP | DE | | | | X | | | 11/14/88 | | |
| DRX INC | DE | X | | | | | | 11/23/88 | | |
| EAST TEXAS CAPITAL CORP | DE | | | | X | X | | 11/14/88 | | |
| EDISON CAPITAL CORP | CO | X | | | X | X | | 11/21/88 | | |
| ELECTRONIC DATA TECHNOLOGIES | NV | | | | X | | | 11/14/88 | | |
| ELECTRONIC DATA TECHNOLOGIES | NV | X | | | | | | 11/16/88 | | |
| EMERY AIR FREIGHT CORP | DE | | | | X | X | | 11/18/88 | | |
| ENTERPRISE BANCORP INC | NC | | | | | | | 12/02/88 | | |
| ENTERTAINMENT RESOURCE GROUP INC | NV | | | | | | | 11/02/88 | AMEND | |
| FFCA INVESTOR SERVICES CORP 86-A | DE | X | | | | | | 11/30/88 | | |
| FILMSTAR INC /DE/ | DE | | | | X | X | | 11/16/88 | | |
| FIREMANS FUND MORTGAGE CORP | DE | | | | | X | | 11/28/88 | | |
| FIRST BANCSHARES OF TEXAS INC | TX | X | | | | X | | 09/17/88 | | |
| FIRST COLUMBIA FINANCIAL CORP | CO | | | | X | | | 12/02/88 | | |
| FIRST FEDERAL SAVINGS & LOAN ASSOCIATION | | | | | X | X | | 11/30/88 | | |
| FIRST NATIONAL CORP /LA/ | LA | X | | | X | X | | 11/18/88 | | |
| FPL GROUP CAPITAL INC | FL | | | | X | | | 11/16/88 | | |
| FPL GROUP INC | FL | | | | X | | | 11/16/88 | | |
| GENERAL HOST CORP | NY | | | | | X | | 11/01/88 | | |
| GREAT WESTERN BANK MORTGAGE PASS THROUGH | | | | | | | | 10/31/88 | NO ITEMS | |
| GREAT WESTERN BANK MORTGAGE PASS THROUGH | | | | | | | | 10/31/88 | NO ITEMS | |
| GREAT WESTERN BANK MORTGAGE PASS THROUGH | | | | | | | | 10/31/88 | NO ITEMS | |
| GREAT WESTERN BANK MORTGAGE PASS THROUGH | | | | | | | | 10/31/88 | NO ITEMS | |
| GREENFIELD INDUSTRIES INC /CO/ | CO | X | X | | | X | X | 11/18/88 | | |
| GREENWICH PHARMACEUTICALS INC | DE | | | | X | | | 12/01/88 | | |
| GUARDIAN SAVINGS & LOAN ASSOCIATION | CA | X | | | | X | | 11/30/88 | | |
| HALSEY DRUG CO INC/NEW | NY | | | | X | | | 11/11/88 | | |
| HEALTH CARE PROPERTY INVESTORS INC | MD | | | | | X | | 10/10/88 | AMEND | |
| HEMODYNAMICS INC | DE | | | | X | X | | 10/26/88 | | |
| INDUSTRIAL WASTE PROCESSING INC | DE | | | | X | | | 11/20/88 | | |
| INSURED INCOME PROPERTIES 1988 L P | DE | X | | | | X | | 11/30/88 | | |
| KAL O MINE INDUSTRIES INC | UT | X | | | | X | X | 08/15/88 | | |
| LABARGE INC | DE | | | | X | X | | 12/01/88 | | |
| LEAR PETROLEUM CORP/TX | TX | | | | X | X | | 11/22/88 | | |
| LEAR PETROLEUM PARTNERS L P | DE | | | | X | X | | 11/22/88 | | |
| LORD ABBOTT INC | CO | | | | X | X | | 10/12/88 | | |
| LOUISVILLE GAS & ELECTRIC CO /KY/ | KY | | | | X | | | 11/30/88 | | |
| MALRITE COMMUNICATIONS GROUP INC | DE | X | | | | X | | 11/18/88 | | |
| MAMMATECH CORP | FL | | | | X | | | 10/29/88 | | |

RECENT 8K FILINGS CONT.

| NAME OF ISSUER | STATE CODE | 8K ITEM NO. | | | | | | | DATE | COMMENT |
|--|---------------|-------------|---|---|---|---|---|---|----------|---------|
| | | 1 | 2 | 3 | 4 | 5 | 6 | 7 | | |
| MARCI INTERNATIONAL IMPORTS INC | DE | | | | X | | | | 10/25/88 | |
| MARCO VENTURES | NV | X | X | | | | X | | 09/30/88 | |
| MCCLATCHY NEWSPAPERS INC | DE | | | | X | | X | | 11/25/88 | |
| MEDICAL ANCILLARY SERVICES INC /CC/ | CO | | | X | | | X | | 09/19/88 | |
| MEDICAL TECHNOLOGY SYSTEMS INC /DE/ | DE | | | | X | | | | 11/30/88 | |
| MICHIGAN ENERGY RESOURCES CO | MI | | | | X | | X | | 12/01/88 | |
| ML MEDIA OPPORTUNITY PARTNERS L P | DE | | | | | | X | | 11/17/88 | |
| MONITOR TECHNOLOGIES INC | DE | | | | X | | | | 11/28/88 | |
| MOTTS SUPER MARKETS INC | CT | | | | | | X | | 08/26/87 | AMEND |
| NATIONAL PROPERTIES CORP | IA | | | X | | | | | 11/18/88 | |
| NEIMAN MARCUS GROUP INC | DE | | | | | | X | | 10/03/88 | AMEND |
| NL CHEMICALS INC | DE | | | | X | | X | | 11/29/88 | |
| NORTH AMERICAN CAR CORP | DE | | | | X | | | | 11/29/88 | |
| NRM ENERGY COMPANY L P | DE | | X | | | | X | | 03/10/88 | |
| NRM OPERATING CO LP | DE | | X | | | | X | | 03/10/88 | |
| NUTRI PRODUCTS INC | FL | | | | | | X | | 12/01/88 | |
| OCEAN SHOWBOAT INC | NJ | | | | X | | X | | 11/16/88 | |
| CHIO CASUALTY CORP | OH | | | | | | X | | 11/28/88 | |
| OVEX FERTILITY CORP | NY | | | | X | | X | | 10/24/88 | AMEND |
| CXFORD ENERGY CO | DE | | X | | | | X | | 11/18/88 | |
| PANATECH RESEARCH & DEVELOPMENT CORP | NV | | | | X | | | | 11/12/88 | |
| PHM CREDIT CORP | MI | | | X | | | | | 11/18/88 | |
| PHOENIX VENTURES INC | FL | | | | X | | | | 11/10/88 | |
| PIEDMONT FEDERAL CORP | DE | | X | | | | X | | 11/30/88 | |
| PREMIER ACCEPTANCE CORP /MN/ | | | | | X | | X | | 11/23/88 | |
| PRIMARK CORP | MI | | | | X | | | | 12/05/88 | |
| PSYCHEMEDICS CORP | DE | | | | X | | X | | 11/17/88 | |
| PUBLIC SERVICE CO OF COLORADO | CO | | | | X | | | | 12/05/88 | |
| QUANTRONIX CORP | DE | | X | | | | X | | 11/22/88 | |
| QUANTUM CHEMICAL CORP | VA | | | | X | | X | | 11/18/88 | |
| RADIATION SYSTEMS INC /NV/ | NV | | X | | | | X | | 11/18/88 | |
| READING CO | PA | | X | X | | | X | | 11/18/88 | |
| REFAC TECHNOLOGY DEVELOPMENT CORP | DE | | | | X | | X | | 11/21/88 | |
| RESIDENTIAL FUNDING MORTGAGE SECURITIES | | | X | | | | | | 11/29/88 | |
| RESIDENTIAL FUNDING MORTGAGE SECURITIES | | | X | | | | | | 11/29/88 | |
| RESIDENTIAL FUNDING MORTGAGE SECURITIES | | | X | | | | | | 11/29/88 | |
| RESOURCE RECYCLING TECHNOLOGIES INC | OH | | | | X | X | | | 11/18/88 | |
| RYLAND MORTGAGE SECURITIES CORP | VA | | | | X | | X | | 11/22/88 | |
| SALOMON BROTHERS MORTGAGE SECURITIES III | DE | | | | X | | X | | 11/29/88 | |
| SBB INC | UT | | X | | | | | | 11/18/88 | |
| SECURITY PACIFIC NATIONAL BANK MORT PASS | CA | | | | X | | | | 11/28/88 | |
| SECURITY PACIFIC NATIONAL BANK MORT PASS | CA | | | | X | | | | 11/28/88 | |
| SECURITY PACIFIC NATIONAL BANK MORT PASS | CA | | | | X | | | | 11/28/88 | |
| SECURITY PACIFIC NATIONAL BANK MORT PASS | CA | | | | X | | | | 11/28/88 | |
| SECURITY PACIFIC NATIONAL BANK MORT PASS | CA | | | | X | | | | 11/28/88 | |
| SECURITY PACIFIC NATIONAL BANK SERIES 19 | CA | | | | X | | | | 11/28/88 | |
| SECURITY PACIFIC NATIONAL BANK SERIES 19 | CA | | | | X | | | | 11/28/88 | |
| SHOWBOAT INC | NV | | | | X | | X | | 11/16/88 | |
| SNYDER GENERAL CORPORATION | MN | | X | | | | X | | 11/17/88 | |
| STEP SAVER DATA SYSTEMS INC | PA | | | | X | | X | | 11/29/88 | |
| SUBURBAN BANKSHARES INC /VA/ | VA | | | | X | | | | 12/02/88 | |
| SUMMCORP | IN | | | | X | | X | | 11/18/88 | |
| TELE OPTICS INC | DE | | | | X | | | | 11/30/88 | |
| TEMPO ENTERPRISES INC | OK | | | | X | | | | 11/29/88 | |
| TPA OF AMERICA INC | DE | | | | X | | X | | 11/17/88 | |
| TPA OF AMERICA INC | DE | | | | X | | X | | 12/01/88 | |
| U S MAGNET & ALLOY CORP | DE | | X | | | | X | | 11/01/88 | |
| UNI MARTS INC | DE | | X | | X | | X | | 11/17/88 | |
| UNIMED INC | DE | | | | | | | | 11/28/88 | AMEND |
| UNION OF TEXAS BANCSHARES INC | TX | | | | | | X | | 12/01/88 | |
| UNION OIL CO OF CALIFORNIA | CA | | | | | | X | | 12/02/88 | |
| UNITED COASTS CORP | DE | | | | | | X | | 12/02/88 | |