

# sec news digest

Issue 86-212

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U.S. SECURITIES AND  
EXCHANGE COMMISSION

November 3, 1986

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## ADMINISTRATIVE PROCEEDINGS

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### PROCEEDINGS INSTITUTED AGAINST SUNLIN WONG

The Commission instituted public administrative proceedings under Section 203(f) of the Investment Advisers Act of 1940 against Sunlin L.S. Wong, formerly the president of Bishop, Baldwin, Rewald, Dillingham & Wong, an investment adviser whose registration the Commission was revoked in 1984. The Order alleges that Wong was convicted in October 1984 in the U.S. District Court for the District of Hawaii for mail and securities fraud.

Simultaneously, Wong submitted an Offer of Settlement which the Commission accepted. Wong consented to an Order barring him from association with any investment adviser, broker, dealer, or municipal securities dealer. (Rel. IA-1042)

### FRANK MANDERS PERMANENTLY BARRED

The Commission imposed a permanent bar with the right to reapply in five years against Frank Manders in accordance with his Offer of Settlement. Manders consented to the findings that: (1) from January 1983 to November 1984, he was successively employed by two broker-dealers; and (2) on April 24, 1986, a permanent injunction by default was entered against him, enjoining him from future violations, as a principal or aider and abettor, of the registration and antifraud provisions of the Securities Act of 1933 and the antifraud provisions of the Securities Exchange Act of 1934. (Rel. 34-23701)

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## CRIMINAL PROCEEDINGS

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### FORMER HOME STATE PRINCIPALS INDICTED

The Atlanta Regional Office and the U.S. Attorney for the Southern District of Ohio announced that on October 2 a federal grand jury returned a 31-count indictment against Marvin L. Warner, former owner of Home State Savings Bank, and Burton M. Bongard, Home State's former President and Chairman. Warner was charged with conspiracy, 16 counts of wire fraud, and two counts of interstate movement of money taken by fraud. Bongard was charged with conspiracy, 13 counts of wire fraud, and two counts of interstate movement of money taken by fraud.

The indictment charges that between about March 21, 1980 and about March 9, 1985, Warner, Bongard, and other co-conspirators participated in a scheme to defraud Home State's depositors, its debenture holders, and Ohio regulatory officials. It is alleged that Warner and Bongard caused Home State to make and maintain investments with E.S.M., a Florida government securities dealer that was placed in receivership in March 1985. It is further alleged that in exchange for these investments, E.S.M. gave Home State, Warner, and Bongard favorable treatment, and that Warner and Bongard fraudulently received funds totalling no less than \$5 and \$3 million, respectively, which were disguised and concealed as legitimate trading profits. (U.S. v. Marvin L. Warner and Burton M. Bongard, Indictment No. CR 1 86-0106, SDOH; indictment returned October 2, 1986). (LR-11274)

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## INVESTMENT COMPANY ACT RELEASES

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### OKOBANK OSUUSPANKKIEN KESKUSPANKKI OY

An order has been issued exempting OKOBANK Osuuspankkien Keskuspankki Oy and OKO Funding Inc. from all provisions of the Investment Company Act. (Rel. IC-15380 - Oct. 29)

## THE SEARS INVESTMENT TRUST, DUAL VALUE SERIES I

A notice has been issued giving interested persons until November 20 to request a hearing on an application of the Sears Investment Trust, Dual Value Series I, subsequent series of the Dual Value Series and similar series of the Sears Investment Trust (Trusts), certain Dean Witter mutual funds (Funds) and Dean Witter Reynolds Inc., for an order permitting the Trusts to invest in underlying Fund shares, and granting confidential treatment to Dean Witter's profit and loss statements. (Rel. IC-15381 - Oct. 29)

## WINTHROP FOCUS FUNDS

A notice has been issued giving interested persons until November 24 to request a hearing on an application by Winthrop Focus Funds for an order to permit it to assess and waive a contingent deferred sales charge on redemptions of its initial and future series or classes of shares, and to permit certain offers of exchange of its shares. (Rel. IC-15383 - Oct. 30)

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## HOLDING COMPANY ACT RELEASES

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### CONSOLIDATED ELECTRIC COOPERATIVE ASSOCIATION, INC.; BOONE ELECTRIC COOPERATIVE

Orders have been issued granting an exemption to Consolidated Electric Cooperative Association, Inc. and Boone Electric Cooperative under Section 3(a)(1) of the Public Utility Holding Company Act of 1935. (Rel. 35-24223; 35-24225 - Oct. 30)

### CONSOLIDATED NATURAL GAS COMPANY

An order has been issued authorizing Consolidated Natural Gas Company, a registered holding company, to reacquire four million shares of its common stock presently outstanding through purchase on the open market by December 31, 1988, subject to extension. The repurchased stock will be held as treasury stock and may be reissued through December 31, 1988, subject to extension. (Rel. 35-24224 - Oct. 30)

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## LISTING, DELISTING AND UNLISTED TRADING ACTIONS

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### UNLISTED TRADING SOUGHT

A notice has been issued giving interested persons until November 19 to comment on the application of the Philadelphia Stock Exchange for unlisted trading privileges in one issue which is listed and registered on one or more other national securities exchanges and is reported in the consolidated transaction reporting system. (Rel. 34-23759)

### DELISTING GRANTED

An order has been issued granting the application of the Intermountain Stock Exchange to strike the common stock of the following companies from listing and registration thereon: ARIZONA JUNO RESOURCES, INC.; BATES-GREAT WESTERN ENERGY CO.; BIO-TECHNOLOGY GENERAL CORP.; BRISTOL GAMING; CHIEF CONSOLIDATED MINING CO.; CLAYTON SILVER MINES; COMSTOCK TUNNEL & DRAINAGE CO.; COPLEY POWER INC.; CROFF OIL CO.; CROWN POINT CONS. MINING CO.; CRYSTAL OIL CO.; DRAGON CONSOLIDATED MINING; EXCALIBUR INDUSTRIES; GOLD CHAIN MINING CO.; FIRST MIDWEST FINANCIAL CORPORATION; JUSTHEIM PETROLEUM CO.; LOUISIANA-PACIFIC RESOURCES; MAMMOTH MINING; NEW QUINCY MINING CO.; NORTH LILY MINING CO.; HORN SILVER; PARK CITY CONSOLIDATED MINES; PARK PREMIER MINING CO.; PRINCE CONS. MINING CO.; SOUTH STANDARD MINING CO.; UNITED PARK CITY MINES; AND VEGA BIOTECHNOLOGIES. (Rel. 34-23760)

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## SELF-REGULATORY ORGANIZATIONS

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### APPROVAL OF PROPOSED RULE CHANGE

The Commission approved a proposed rule change filed by the Pacific Stock Exchange (SR-PSE-85-22) amending its existing rules governing blanket bonds and fidelity bonds maintained by PSE member organizations. (Rel. 34-23757)

## SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- S-18 AMERICAN EAGLE HISTORIC PARTNERS, 2101 LOCUST ST, ST LOUIS, MO 63103 (314) 436-7111 - 75,000 (\$7,500,000) LIMITED PARTNERSHIP CERTIFICATE. (FILE 33-9497-C - OCT. 20) (BR. 5 - NEW ISSUE)
- S-18 HEALTHPLAN HOLDING COMPANY INC, 3375-I CAPITAL CIRCLE NE, TALLAHASSEE, FL 32308 (904) 385-0100 - 500,000 (\$5,000,000) COMMON STOCK. (FILE 33-9603-A - OCT. 20) (BR. 6 - NEW ISSUE)
- S-8 PFIZER INC, 235 E 42ND ST, NEW YORK, NY 10017 (212) 573-2323 - 250,000 (\$250,000) OTHER SECURITIES INCLUDING VOTING TRUST. (FILE 33-9615 - OCT. 24) (BR. 13)
- S-6 MUNICIPAL INVT TR FC FOUR HUNDRED TWELFTH MONTHLY PAYMENT SE, ONE LIBERTY PLZ 21ST FL, C/C MERRILL LYNCH PIERCE FENNER & SMITH, NEW YORK, NY 10080 - INDEFINITE SHARES. DEPOSITOR: DEAN WITTER REYNOLDS INC, MERRILL LYNCH PIERCE FENNER & SMITH INC, PRUDENTIAL BACHE SECURITIES INC, SHEARSON LEHMAN BROTHERS INC. (FILE 33-9616 - OCT. 24) (BR. 17 - NEW ISSUE)
- S-6 MUNICIPAL INVESTMENT TRUST FUND MULTISTATE SERIES 2N, ONE LIBERTY PLZ 21ST FL, C/C MERRILL LYNCH PIERCE FENNER & SMITH, NEW YORK, NY 10080 - INDEFINITE SHARES. DEPOSITOR: DEAN WITTER REYNOLDS INC, MERRILL LYNCH PIERCE FENNER & SMITH INC, PAINEWEBBER INC, PRUDENTIAL BACHE SECURITIES INC, SHEARSON LEHMAN BROTHERS INC. (FILE 33-9617 - OCT. 24) (BR. 17 - NEW ISSUE)
- S-6 MUNICIPAL INVESTMENT TRUST FUND EIGHTY EIGHTH INSURED SERIES, ONE LIBERTY PLZ 21ST FL, C/C MERRILL LYNCH PIERCE FENNER & SMITH, NEW YORK, NY 10080 - INDEFINITE SHARES. DEPOSITOR: DEAN WITTER REYNOLDS INC, MERRILL LYNCH PIERCE FENNER & SMITH INC, PRUDENTIAL BACHE SECURITIES INC, SHEARSON LEHMAN BROTHERS INC. (FILE 33-9618 - OCT. 24) (BR. 17 - NEW ISSUE)
- S-3 MOBIL CORP, 150 E 42ND ST, NEW YORK, NY 10017 (212) 883-4242 - 300,000,000 (\$300,000,000) STRAIGHT BONDS. (FILE 33-9619 - OCT. 24) (BR. 13)
- S-1 LITTLE CAROLE INC, 102 E KING BLVD, LOS ANGELES, CA 90011 (213) 232-3100 - 560,000 (\$7,840,000) COMMON STOCK. 728,000 (\$10,152,000) COMMON STOCK. UNDERWRITER: MORGAN STANLEY & CO INC, NEEDHAM & CO INC. (FILE 33-9620 - OCT. 27) (BR. 2 - NEW ISSUE)
- N-1A QFV CASH MANAGEMENT TRUST, OPPENHEIMER TWR, WORLD FINANCIAL CNTR, NEW YORK, NY 10281 (212) 667-7587 - INDEFINITE SHARES. (FILE 33-9621 - OCT. 24) (BR. 17 - NEW ISSUE)
- S-18 ULTRAVEST VENTURES INC, 3507 PURDUE #4, HOUSTON, TX 77005 (713) 668-0922 - 2,000,000 (\$100,000) COMMON STOCK. 4,000,000 (\$400,000) COMMON STOCK. 4,000,000 (\$600,000) COMMON STOCK. 4,000,000 (\$800,000) COMMON STOCK. (FILE 33-9665-FW - OCT. 22) (BR. 1 - NEW ISSUE)
- S-11 MORTGAGE CAPITAL TRUST I, RODNEY SQUARE NORTH, C/O WILMINGTON TRUST CO, WILMINGTON, DE 19890 - 500,000,000 (\$500,000,000) MORTGAGE BONDS. (FILE 33-9711 - OCT. 24) (BR. 11 - NEW ISSUE)
- S-11 GUILD FINANCE CORP, 4180 RUFFIN RD, SAN DIEGO, CA 92123 (619) 560-7711 - 500,000,000 (\$500,000,000) MORTGAGE BONDS. (FILE 33-9718 - OCT. 24) (BR. 11)
- N-1A HUTTON MASTER SERIES, ONE BATTERY PK PLZ, NEW YORK, NY 10004 (212) 742-5000 - INDEFINITE SHARES. (FILE 33-9719 - OCT. 24) (BR. 18 - NEW ISSUE)
- S-11 FORUM RETIREMENT PARTNERS L P, 8900 KEYSTONE CROSSING STE 1200, PO BOX 40498, INDIANAPOLIS, IN 46240 (317) 846-0700 - 8,625,000 (\$86,250,000) LIMITED PARTNERSHIP CERTIFICATE. UNDERWRITER: DREXEL BURNHAM LAMBERT INC. (FILE 33-9721 - OCT. 24) (BR. 5 - NEW ISSUE)
- S-8 FORD MOTOR CO, THE AMERICAN RD, DEARBORN, MI 48121 (313) 322-3000 - 6,750,000 (\$345,165,459) COMMON STOCK. (FILE 33-9722 - OCT. 24) (BR. 4)

- S-2 LANDMARK BANCSHARES CORP, 10 S BRENTWOOD BLVD, ST LOUIS, MO 63105 (314) 889-9500 - 195,500 (\$2,396,813) COMMON STOCK. UNDERWRITER: FRIEND LH & CC, PRUDENTIAL BACHE SECURITIES. (FILE 33-9723 - OCT. 24) (BR. 1)
- S-2 LANDMARK BANCSHARES CORP, 10 S BRENTWOOD BLVD, ST LOUIS, MO 63105 (314) 889-9500 - 15,000,000 (\$15,000,000) CONVERTIBLE DEBENTURES AND NOTES. UNDERWRITER: FRIEND LH & CC, PRUDENTIAL BACHE SECURITIES. (FILE 33-9724 - OCT. 24) (BR. 1)
- S-1 POLARIS INDUSTRIES INC, 1225 N COUNTY RD 18, MINNEAPOLIS, MN 55441 (612) 542-0500 - 2,875,000 (\$48,875,000) COMMON STOCK. UNDERWRITER: DREXEL BURNHAM LAMBERT INC, HUTTON E F & CO INC, PAINEWEBBER INC. (FILE 33-9726 - OCT. 24) (BR. 11 - NEW ISSUE)
- S-2 P&F INDUSTRIES INC, 300 SMITH ST, FARMINGDALE, NY 11735 (516) 694-1800 - 1,150,000 (\$11,500,000) COMMON STOCK. 1,150,000 (\$3,622,500) COMMON STOCK. (FILE 33-9728 - OCT. 24) (BR. 9)
- S-6 NATIONAL MUNICIPAL TRUST SPECIAL TRUSTS TWENTY FIRST MULTI S, ONE NEW YORK PLZ, C/C THOMSON MCKINNON SECURITIES INC, NEW YORK, NY 10004 - 13,000 (\$14,300,000) UNIT INVESTMENT TRUST. DEPOSITOR: THOMSON MCKINNON SECURITIES INC. (FILE 33-9729 - OCT. 24) (BR. 18 - NEW ISSUE)
- S-6 NATIONAL MUNICIPAL TRUST SPECIAL TRUSTS DISC SER THIRTY FIVE, ONE NEW YORK PLZ, C/C THOMSON MCKINNON SECURITIES INC, NEW YORK, NY 10004 - 13,000 (\$14,300,000) UNIT INVESTMENT TRUST. DEPOSITOR: THOMSON MCKINNON SECURITIES INC. (FILE 33-9730 - OCT. 24) (BR. 18 - NEW ISSUE)
- S-6 NATIONAL MUNICIPAL TRUST NINETY SEVENTH SERIES, ONE NEW YORK PLZ, C/C THOMSON MCKINNON SECURITIES INC, NEW YORK, NY 10004 - 13,000 (\$14,300,000) UNIT INVESTMENT TRUST. DEPOSITOR: THOMSON MCKINNON SECURITIES INC. (FILE 33-9731 - OCT. 24) (BR. 18 - NEW ISSUE)
- S-3 CONSUMERS POWER CO, 212 W MICHIGAN AVE, JACKSON, MI 49201 (517) 788-1030 - 5,000,000 (\$68,437,500) COMMON STOCK. (FILE 33-9732 - OCT. 24) (BR. 8)
- S-8 GREAT WESTERN SYSTEMS INC, 5353 E WASHINGTON ST, PHOENIX, AR 85034 (602) 244-0361 - 200,000 (\$111,281.25) COMMON STOCK. (FILE 33-9734 - OCT. 24) (BR. 2)
- S-8 CENTERIOR ENERGY CORP, 6200 OAK TREE BLVD, INDEPENDENCE, OH 44131 (216) 447-3100 - 200,000 (\$3,931,250) COMMON STOCK. (FILE 33-9735 - OCT. 24) (BR. 8)
- S-8 CENTERIOR ENERGY CORP, 6200 OAK TREE BLVD, INDEPENDENCE, OH 44131 (216) 447-3100 - 4,000,000 (\$61,666,666.67) COMMON STOCK. (FILE 33-9736 - OCT. 24) (BR. 8)
- S-8 MOLEX INC, 2222 WELLINGTON CT, LISLE, IL 60532 (312) 969-4550 - 30,000 (\$1,245,000) COMMON STOCK. (FILE 33-9737 - OCT. 24) (BR. 3)
- S-8 MOLEX INC, 2222 WELLINGTON CT, LISLE, IL 60532 (312) 969-4550 - 300,000 (\$6,225,000) COMMON STOCK. (FILE 33-9738 - OCT. 24) (BR. 3)
- S-1 ALLWASTE INC, 4800 SLGAR GROAVE BLVD STE 170, STAFFORD, TX 77477 (713) 240-0360 - 1,380,000 (\$6,900,000) COMMON STOCK. 120,000 (\$25) WARRANTS, OPTIONS OR RIGHTS. 120,000 (\$720,000) COMMON STOCK. (FILE 33-9739 - OCT. 24) (BR. 4 - NEW ISSUE)
- S-1 DISC TECHNOLOGY CORP, 47 MANNING RD, BILLERICA, MA 01821 (617) 667-7119 - 718,750 (\$7,187,500) COMMON STOCK. 14,375,000 (\$5,390,625) COMMON STOCK. 2,500,000 (\$100) WARRANTS, OPTIONS OR RIGHTS. 2,500,000 (\$750,000) COMMON STOCK. UNDERWRITER: STUART JAMES CO INC. (FILE 33-9740 - OCT. 24) (BR. 10 - NEW ISSUE)
- S-8 HOME GROUP INC, 59 MAIDEN LN, NEW YORK, NY 10038 (212) 530-6800 - 1,294,365 (\$24,997,424.06) COMMON STOCK. (FILE 33-9741 - OCT. 24) (BR. 10)
- S-8 C3 INC, 11425 ISAAC NEWTON SQ SOUTH, RESTON, VA 22090 (703) 471-6000 - 25,000 COMMON STOCK. (FILE 33-9742 - OCT. 24) (BR. 10)
- S-1 CERNER CORP /MC/, 2800 ROCKCREEK PKY STE 601, KANSAS CITY, MO 64117 (816) 221-1024 - 200,000 (\$3,200,000) COMMON STOCK. 950,000 (\$15,200,000) COMMON STOCK. (FILE 33-9744 - OCT. 24) (BR. 5 - NEW ISSUE)
- S-4 FLORIDA PROGRESS CORP, 270 FIRST AVE SOUTH, ST PETERSBURG, FL 33701 (813) 895-1700 - 1,210,610 (\$28,069,324) COMMON STOCK. (FILE 33-9745 - OCT. 24) (BR. 8)
- S-4 MEDIQ INC, ONE MEDIC PLZ, PENNSAUKEN, NJ 08110 (609) 665-9300 - 2,443,965 (\$4,179,180.10) COMMON STOCK. (FILE 33-9746 - OCT. 24) (BR. 8)

S-8 FIRST FINANCIAL CORP, 643 WABASH AVE, TERRE HAUTE, IN 47808 (812) 238-6000 -  
225,000 (\$6,750,000) COMMON STOCK. (FILE 33-5747 - OCT. 24) (BR. 1)

S-11 RANCCN REALTY FUND IV, 27720 JEFFERSON AVE, RANCHO, CA 92390 (714) 676-5736 -  
32,147 (\$32,147,000) LIMITED PARTNERSHIP CERTIFICATE. (FILE 33-9752 - OCT. 27)  
(BR. 6 - NEW ISSUE)

## ACQUISITIONS OF SECURITIES

Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the prior percent owned; and Column 7 - the status of the filing, i.e., new, update or revision.

|                                |      | FORM  | EVENT DATE | SHRS (000) /<br>% OWNED | CUSIP /<br>PRIOR % | FILING STATUS |
|--------------------------------|------|-------|------------|-------------------------|--------------------|---------------|
| ALEXANDERS INC                 | COM  |       |            | 986                     | 01475210           |               |
| ROGERS WILLIAM L ET AL         |      | 13D   | 10/14/86   | 21.8                    | 21.8               | UPDATE        |
| COMPUTER AUTOMATION INC        | COM  |       |            | 198                     | 20490110           |               |
| R & W VENTURES I               |      | 13D   | 10/14/86   | 9.6                     | 0.0                | NEW           |
| CRESTEK INC NEW                | COM  |       |            | 86                      | 22612510           |               |
| DWINGS CHARLOTTE ET AL         |      | 13D   | 8/20/86    | 10.5                    | 25.3               | UPDATE        |
| CUTCO INDS INC                 | COM  |       |            | 679                     | 23210810           |               |
| MARCUS MARVIN W ET AL          |      | 13D   | 10/24/86   | 44.1                    | 14.7               | UPDATE        |
| CYCLOPS CORP                   | COM  |       |            | 205                     | 23252510           |               |
| STEINHARDT MICHAEL H ET AL     |      | 13D   | 10/16/86   | 5.1                     | 0.0                | NEW           |
| DINNER BELL FOODS INC          | COM  |       |            | 33                      | 25444810           |               |
| FIDELITY INTL LTD ET AL        |      | 13D   | 10/28/86   | 2.9                     | 3.5                | UPDATE        |
| EVEREST & JENNINGS INTL        | CL A |       |            | 1,368                   | 29976720           |               |
| INDUSTRIAL EQUITY LTD ET AL    |      | 13D   | 10/27/86   | 24.1                    | 22.0               | UPDATE        |
| HARNISCHFEGER CORP DEL         | COM  |       |            | 1,294                   | 41334210           |               |
| MENDELSON LAURANS A ET AL      |      | 13D   | 10/27/86   | 10.5                    | 9.7                | UPDATE        |
| KAHLER CORP                    | COM  |       |            | 94                      | 48283610           |               |
| TBK PARTNERS ET AL             |      | 13D   | 10/ 1/86   | 6.5                     | 0.0                | NEW           |
| KINNEY SYS INC                 | COM  |       |            | 26                      | 49712410           |               |
| CROMWELL GROUP ET AL           |      | 13D   | 10/16/86   | 100.0                   | 100.0              | UPDATE        |
| KINNEY SYS INC                 | COM  |       |            | 0                       | 49712410           |               |
| LSPH ACQUISITION CORP          |      | 14D-1 | 10/28/86   | 0.0                     | 22.5               | UPDATE        |
| LAKELAND FINL                  | COM  |       |            | 42                      | 51165610           |               |
| BRETHREN MISSIONARY HERALD CO  |      | 13D   | 10/ 6/86   | 6.4                     | 5.5                | UPDATE        |
| LYPHOMED INC                   | COM  |       |            | 8,886                   | 55233310           |               |
| FUJISAWA PHARMACEUTICAL CO LTD |      | 13D   | 10/20/86   | 30.9                    | 26.1               | UPDATE        |
| MILES SAMUELSON INC            | COM  |       |            | 24                      | 59930910           |               |
| TBK PARTNERS ET AL             |      | 13D   | 10/ 1/86   | 13.3                    | 0.0                | NEW           |

ACQUISITIONS REPORTS CONT.

|  |                       |          |               |                  |        |
|--|-----------------------|----------|---------------|------------------|--------|
| NEVADA NATL BANCORPORATION<br>MIDWEST FED S L ASSOC/MN ET AL | COM<br>13D            | 10/24/86 | 1,564<br>56.7 | 64138410<br>56.7 | UPDATE |
| PROFESSIONAL BANCORP<br>GILL DANIEL K                        | COM<br>13D            | 10/10/86 | 0<br>N/A      | 74311299<br>N/A  | UPDATE |
| SHELBY FEDERAL SVGS BK<br>HILDERBRAND CHLDRAL H              | COM<br>13D            | 10/21/86 | 75<br>10.0    | 82170610<br>0.0  | NEW    |
| SPENDTHRIFT FARM INC<br>COMBS BROWNELL II                    | COM<br>13D            | 10/22/86 | 3,674<br>26.3 | 84832510<br>32.7 | UPDATE |
| SYMBOL TECHNOLOGIES INC<br>RELIANCE FINANCIAL ET AL          | COM<br>13D            | 10/24/86 | 1,422<br>26.1 | 87150810<br>24.2 | UPDATE |
| T-BAR INC<br>SOROS GEORGE ET AL                              | COM<br>13D            | 10/26/86 | 788<br>18.6   | 87223010<br>18.4 | UPDATE |
| TRANS LUX CORP<br>SCHWARTZ JONATHAN P ET AL                  | COM<br>13D            | 10/20/86 | 199<br>12.8   | 89324710<br>0.0  | NEW    |
| TRITON GROUP LTD<br>MIM LIMITED ET AL                        | CONV PFD SER C<br>13D | 9/19/86  | 161<br>7.7    | 89675530<br>5.7  | UPDATE |
| USAMERIBANCS INC<br>NBD BANCORP INC                          | COM<br>13D            | 10/23/86 | 1,000<br>20.0 | 91690010<br>0.0  | NEW    |

**RECENT 8K FILINGS**

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.

The companies listed below have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. Copies of the reports may be purchased from the Commission's Public Reference Room (when ordering, please give the date of the report). An invoice will be included with the requested material when mailed.

| COMPANY                            | ITEMS NO. | DATE     |       |
|------------------------------------|-----------|----------|-------|
| GRADCO SYSTEMS INC                 | NO ITEMS  | 10/21/86 | AMEND |
| GRAPHIC MEDIA INC                  | 7         | 08/14/86 | AMEND |
| GRAPHIC SCANNING CORP              | 5         | 09/30/86 |       |
| GRI CORP                           | 4         | 10/08/86 |       |
| GULF STATES UTILITIES CO           | 5         | 10/24/86 |       |
| HARKEN OIL & GAS INC               | 5         | 09/30/86 |       |
| HIRAM WALKER RESOURCES LTD         | 5,7       | 10/17/86 |       |
| HIRAM WALKER RESOURCES LTD         | 5,7       | 10/21/86 |       |
| HOUSEHOLD FINANCE CORP             | 7         | 10/23/86 |       |
| HOWARD BANCORP                     | 5         | 10/16/86 |       |
| IMPERIAL MANAGEMENT INC            | 2,7       | 10/24/86 |       |
| INTEGRITY FINANCIAL GROUP INC      | 5         | 10/16/86 |       |
| INTERMEDICS INC                    | 7         | 08/07/86 | AMEND |
| INTERMEDICS INC                    | 2,5,7     | 10/10/86 |       |
| JOHNSTOWN AMERICAN COMPANIES       | 5         | 10/20/86 |       |
| JONES INTERCABLE INC               | 2,7       | 12/19/85 | AMEND |
| KARA INTERNATIONAL INC             | 1,2       | 09/22/86 |       |
| KRUPP INSURED PLUS LTD PARTNERSHIP | 2         | 10/14/86 |       |
| LEGGETT & PLATT INC                | 5         | 10/24/86 |       |
| LUCKY STORES INC                   | 5,7       | 10/24/86 |       |

RECENT 8K FILINGS CONT.

|  |          |          |       |
|--|----------|----------|-------|
| MAMMATECH CORP                           | 4        | 09/10/86 |       |
| MCDOWELL ENTERPRISES INC                 | 5        | 10/20/86 |       |
| MEREDITH CORP                            | 5        | 10/22/86 |       |
| MISSOURI KANSAS TEXAS RAILROAD CO        | 5,7      | 10/16/86 |       |
| MLH INCOME REALTY PARTNERSHIP V          | 7        | 08/08/86 | AMEND |
| MLH INCOME REALTY PARTNERSHIP V          | 7        | 08/21/86 | AMEND |
| MODINE MANUFACTURING CO                  | 5,7      | 10/15/86 |       |
| MORTON THIOKOL INC                       | 5        | 10/23/86 |       |
| NATIONAL COLLATERALIZED FUNDING I LTD PA | 5,7      | 09/30/86 |       |
| NATIONAL HOUSING PARTNERSHIP REALTY FUND | 1        | 10/23/86 |       |
| NATIONAL HOUSING PARTNERSHIP REALTY FUND | 1        | 10/23/86 |       |
| NATIONAL HOUSING PARTNERSHIP REALTY FUND | 1        | 10/23/86 |       |
| NATIONAL PROPERTY INVESTORS 4            | 5,7      | 10/13/86 |       |
| NATPAC INC/DE                            | 7        | 09/08/86 | AMEND |
| NAVISTAR FINANCIAL CORP                  | 5,7      | 10/17/86 |       |
| NEOAX INC /OH/                           | 7        | 09/16/86 | AMEND |
| NOARKO RESOURCES INC/CO                  | 1,2,7    | 10/09/86 |       |
| NOBEL INSURANCE LTD                      | NO ITEMS | 09/25/86 | AMEND |
| NRM 84-A INCOME LTD                      | 2,7      | 08/14/86 | AMEND |
| NRM 84-B INCOME LTD                      | 2,7      | 08/14/86 | AMEND |
| NRM 84-C INCOME LTD                      | 2,7      | 08/14/86 | AMEND |
| NWA INC/DE                               | 7        | 08/12/86 | AMEND |
| OKLAHOMA GAS & ELECTRIC CO               | 5,7      | 10/22/86 |       |
| PANATECH RESEARCH & DEVELOPMENT CORP     | NO ITEMS | 08/06/86 | AMEND |
| PENTAIR INC                              | 5,7      | 08/11/86 | AMEND |
| PIZZA INN INC                            | 1,7      | 10/14/86 |       |
| PLANO PETROLEUM CORP                     | 5        | 10/15/86 |       |
| POLLUTION RESEARCH & CONTROL CORP        | 5,7      | 10/12/86 |       |
| POWER TECH SYSTEMS INC                   | 6        | 08/31/86 |       |
| PRESCRIPTION PEOPLE INC                  | 4        | 10/06/86 |       |
| RAMAPO FINANCIAL CORP                    | 5        | 10/16/86 |       |
| RE CAPITAL CORP                          | 5        | 10/24/86 |       |
| RELIANCE ENTERPRISES INC                 | 5,7      | 10/09/86 |       |
| RESOURCES ACCRUED MORTGAGE INVESTORS LP  | 7        | 10/01/86 | AMEND |
| RIC 19 LTD                               | 2,7      | 09/30/86 |       |
| RIC 19 LTD                               | 2,7      | 10/17/86 |       |
| ROBINTECH INC /DE/                       | 2,7      | 10/03/86 |       |
| SCHICK INC                               | 7        | 05/06/86 | AMEND |
| SFN COMPANIES INC                        | 2,7      | 10/09/86 |       |
| SHELLY ASSOCIATES INC                    | 5        | 10/23/86 |       |
| SIM KAR LIGHTING FIXTURE CO INC          | 1,7      | 10/16/86 |       |
| SPENDTHRIFT FARM INC                     | 4        | 10/22/86 |       |
| SUMMIT PETROLEUM CORP                    | 4,7      | 10/06/86 |       |
| SUMMIT TAX EXEMPT BOND FUND LTD PARTNERS | 2,7      | 10/09/86 |       |
| SUMMIT TAX EXEMPT BOND FUND LTD PARTNERS | 2,7      | 10/09/86 |       |
| SUNGARD DATA SYSTEMS INC                 | 2,7      | 10/10/86 |       |
| TACO VIVA INC                            | 4        | 10/10/86 |       |
| TECHNICAL COATINGS INC                   | 4        | 07/24/86 | AMEND |
| TEMP STIK CORP                           | 5,7      | 07/31/86 |       |
| TERRAPET ENERGY CORP                     | 5,7      | 10/10/86 |       |
| TEXFI INDUSTRIES INC                     | 2,7      | 10/14/86 |       |
| TIME DC INC                              | 5,7      | 09/12/86 |       |
| TOPSYS INTERNATIONAL INC                 | 1        | 07/25/86 | AMEND |
| TRC COMPANIES INC /DE/                   | 5        | 10/07/86 |       |
| UNITED PARK CITY MINES CO                | 5        | 10/09/86 |       |
| UNITEL CORPORATION                       | 2,7      | 03/25/86 | AMEND |
| VICTORIA BANKSHARES INC                  | 5,7      | 10/17/86 |       |
| VMS MORTGAGE INVESTORS LP                | 7        | 07/22/86 | AMEND |
| VMS SHORT TERM INCOME TRUST              | NO ITEMS | 10/15/86 |       |
| WESTERN STAR BUSINESS SYSTEMS INC        | 4,7      | 09/25/86 |       |
| WILDLIFE VACCINES INC                    | 5        | 09/24/86 |       |
| WINN ENTERPRISES                         | 7,3      | 10/14/86 |       |
| ADVANCED MAGNETICS INC                   | 2,7      | 10/30/86 |       |
| ALATENN RESOURCES INC                    | 2,7      | 10/15/86 |       |
| AMCAST INDUSTRIAL CORP                   | 2,7      | 10/15/86 |       |
| AMERICANC INVESTORS GROUP                | 5,7      | 10/22/86 |       |
| AMERICAN EXPRESS CREDIT CORP             | 5,7      | 10/29/86 |       |
| AMERICAN HOME PROPERTIES 1980            | 3        | 10/14/86 |       |
| AMS REALSTAR INC                         | 3        | 10/24/86 |       |
| ARGO PETROLEUM CORP                      | 5,7      | 10/20/86 |       |
| AVERY INTERNATIONAL CORP                 | 2,7      | 10/29/86 |       |
| AYDIN CORP                               | 5        | 08/28/86 |       |

RECENT 8K FILINGS CONT.

|  |          |          |       |
|--|----------|----------|-------|
| BANCSERVE GROUP INC                      | 5        | 10/15/86 |       |
| BELLMETHER EXPLORATION CO                | 4,7      | 10/14/86 |       |
| BIRDFINDER CORP                          | 6        | 06/12/86 |       |
| BRAE CORP/NEW/                           | 7        | 10/01/86 | AMEND |
| BUFFALO INC                              | 7        | 09/02/86 |       |
| BYBLOS CORP                              | 1,4,6    | 10/15/86 |       |
| CABLE TV FUND 12 D LTD                   | 2,7      | 10/27/86 |       |
| CABLE TV FUND 12-B LTD                   | 2,7      | 10/27/86 |       |
| CABLE TV FUND 12-C LTD                   | 2,7      | 10/27/86 |       |
| CAPITAL SOURCE L P                       | 2,7      | 10/15/86 |       |
| CB&T FINANCIAL CORP                      | 5        | 10/23/86 |       |
| CHESAPEAKE CORP/VA                       | 5,7      | 10/16/86 |       |
| COASTAL BANCORP                          | 5        | 10/27/86 |       |
| CORPORATE PROPERTY ASSOCIATES 6          | 2,7      | 10/15/86 |       |
| CSX TRANSPORTATION INC                   | 5,7      | 10/14/86 |       |
| DEXTER CORP                              | 2,7      | 10/22/86 |       |
| DIGITAL COMMUNICATIONS INC               | 1,2,7    | 10/15/86 |       |
| DOME PETROLEUM LTD                       | 5,7      | 10/29/86 |       |
| DYCOM INDUSTRIES INC                     | 2,7      | 06/30/86 | AMEND |
| ENHIS BROADCASTING CORP                  | 2,7      | 08/14/86 |       |
| ENRON CORP                               | 5        | 10/27/86 |       |
| ENVIRODYNE INDUSTRIES INC                | 5        | 10/15/86 |       |
| FIRST STOP PROFESSIONAL SERVICES INC     | 5        | 10/24/86 |       |
| GENERAL CINEMA CORP                      | 5        | 10/15/86 |       |
| HARTFORD NATIONAL CORP                   | 5,7      | 10/20/86 |       |
| HIRAM WALKER RESOURCES LTD               | 5,7      | 10/23/86 |       |
| HUGHES TOOL CO                           | 5,7      | 10/29/86 |       |
| INVESTITECH LTD                          | 7        | 09/08/86 | AMEND |
| IVEY PROPERTIES INC                      | 2        | 10/07/86 |       |
| JONES INTERCABLE INC                     | 5,7      | 10/15/86 |       |
| JONES INTERCABLE INC                     | 2,7      | 10/27/86 |       |
| LATBLAW INDUSTRIES INC                   | 2,7      | 10/14/86 |       |
| LATBLAW TRANSPORTATION LTD               | 2,7      | 10/14/86 |       |
| LANE MOOD INC                            | 7        | 10/22/86 |       |
| LONG ISLAND LIGHTING CO                  | 5        | 10/24/86 |       |
| MAY DEPARTMENT STORES CO                 | 7        | 10/29/86 |       |
| MELLON PARTICIPATING MORTGAGE TRUST SERI | 2,7      | 10/20/86 |       |
| MERRILL LYNCH & CO INC                   | 5,7      | 10/27/86 |       |
| MULTI MEDIA BARTER LTD                   | 5        | 09/29/86 |       |
| NATIONAL EDUCATION CORP                  | 5,7      | 10/29/86 |       |
| NATIONAL HEALTH CARE SYSTEMS INC         | 5        | 09/16/86 |       |
| NL INDUSTRIES INC                        | 5,7      | 10/22/86 |       |
| NORTHERN TRUST CORP                      | 5        | 10/29/86 |       |
| NUCLEAR RESEARCH CORP                    | 2,7      | 08/05/86 | AMEND |
| ONEOK INC                                | 5        | 10/21/86 |       |
| PIONEER WESTERN ENERGY 1985-B INCOME LTD | 5        | 10/17/86 |       |
| PREFERRED FINANCIAL CORP                 | 2        | 10/27/86 |       |
| OVA CORP                                 | NO ITEMS | 01/24/86 |       |
| RAYMOND ENGINEERING INC/CT               | 1,7      | 10/28/86 |       |
| RE CAPITAL CORP                          | NO ITEMS | 05/30/86 | AMEND |
| RSR ASSOCIATES LP                        | 2,7      | 10/20/86 |       |
| SAINT LOUIS STEEL CASTING INC            | 5,7      | 10/27/86 |       |
| SCHOLASTIC INC                           | 5        | 10/22/86 |       |
| SCHWERMANN TRUCKING CO                   | 5        | 10/15/86 |       |
| SEARS MORTGAGE FUNDING TRUST 1986-1      | 1,5,7    | 10/15/86 |       |
| SERVICE CORP INTERNATIONAL               | 5        | 10/29/86 |       |
| SIERRA CAPITAL REALTY TRUST IV           | 2,7      | 10/15/86 |       |
| SNYDER OIL PARTNERS LP                   | 2,7      | 10/15/86 |       |
| SONESTA INTERNATIONAL HOTELS CORP        | 7        | 08/28/86 | AMEND |
| SPORTING LIFE INC                        | 2        | 09/25/86 |       |
| STEEP ROCK RESOURCES INC                 | 5        | 10/24/86 |       |
| SUNGROUP INC                             | 5,7      | 10/15/86 |       |
| TEL MAN INC                              | 5        | 10/14/86 |       |
| TEXAS AMERICAN ENERGY CORP               | 4,7      | 10/15/86 |       |
| TEXAS AMERICAN OIL CORP                  | 4,7      | 10/15/86 |       |
| TRANSCANADA PIPELINES LTD                | 5,7      | 10/27/86 |       |
| TRANSCONTINENTAL GAS PIPE LINE CORP      | 5,7      | 10/29/86 |       |
| ULTRAK INC                               | 4,7      | 10/23/86 |       |
| UNIVERSAL FURNITURE LTD                  | 2,7      | 10/16/86 |       |
| VECTOR GRAPHIC INC                       | 5,7      | 09/30/86 |       |
| VERNITRON CORP                           | 1        | 10/14/86 |       |