
EDGAR FormType: 10-12G **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Initial general form for registration of a class of securities pursuant to section 12(g)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 10-12G/A **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to a registration of a class of securities pursuant to section 12(g)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 10-D **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Periodic distribution report by Asset-Backed issuers filed pursuant to Rules 13a-17 or 15d-17
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 10-D/A **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to periodic distribution report by Asset-Backed issuers filed pursuant to Rules 13a-17 or 15d-17
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 10-K **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Annual report pursuant to section 13 and 15(d)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 10-K/A **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to annual report pursuant to section 13 and 15(d)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 10-KT **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Transition report pursuant to Rule 13a-10 or 15d-10
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 10-KT/A **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to a transition report pursuant to rule 13a-10 or 15d-10
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 10-M **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Irrevocable appointment of agent by non-resident general partner of broker or dealer
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 10-Q **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Quarterly report pursuant to sections 13 or 15(d)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 10-Q/A **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to a quarterly report pursuant to sections 13 or 15(d)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 10-QT **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Transition report pursuant to Rule 13a-10 or 15d-10
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 10-QT/A **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to a transition report pursuant to rule 13a-10 or 15d-10
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 11-K **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Annual report of employee stock purchase, savings and similar plans
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 11-K/A **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to an annual report of employee stock purchase, savings and similar plans
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 11-KT **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Transition report pursuant to Rule 13a-10 or 15d-10
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 11-KT/A **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to a transition report pursuant to rule 13a-10 or 15d-10
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 12G-2 **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Securities deemed to be registered pursuant to section 12(g)(1) of the Securities Exchange Act of 1934 upon termination of exemptions pursuant to section 12(g)(2)(a) because it was listed on a national securities exchange or section 12(g)(2)(b) because it was issued by an investment company pursuant to section 8 of the Investment Company Act of 1940
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 12G3-2A **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Exemptions for American depositary receipts and certain foreign issuers if the class of securities is held by fewer than 300 holders resident in the United States
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 12G3-2B **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Provisional exemption for foreign private issuers from Section 12(g) of the Securities Exchange Act of 1934 pursuant to Rule 12g3-2(b)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 12G32BR **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Application for reinstatement of a previously granted 12g3-2b exemption
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 13FCON **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Confidential **Priority** Low **EDGARLink Template:** NA
Period: RA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Confidential quarterly report of an institutional investment manager pursuant to Section 13(f) of the Securities Exchange Act of 1934
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 13FCON/A **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Confidential **Priority** Low **EDGARLink Template:** NA
Period: RA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: An amendment to a confidential quarterly report of an institutional investment manager pursuant to Section 13(f) of the Securities Exchange Act of 1934
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 13FCONP **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: RA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Confidential quarterly report of an institutional investment manager pursuant to Section 13(f) of the Securities Exchange Act of 1934 that is now public
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 13FCONP/A **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: RA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: An amendment to a confidential quarterly report of an institutional investment manager pursuant to Section 13(f) of the Securities Exchange Act of 1934 that is now public
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 13F-HR **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Initial Quarterly Form 13F Holdings report filed by institutional managers
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 13F-HR/A **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Amendment to a Quarterly Form 13F Holdings report filed by institutional managers
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 13F-NT **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Initial Quarterly Form 13F Notice Report filed by institutional managers
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 13F-NT/A **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Amendment to a Quarterly Form 13F Notice report filed by institutional managers
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 144 **Source:** Electronic Only
Form Status Effective **Act:** 33
Privilege: Public **Priority** Medium **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Ownership CIK & Issuer CIK
Description: Filing for proposed sale of securities under Rule 144. Note: Form 144 may be filed electronically on a voluntary basis if the issuer of the securities is a public company
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 144/A **Source:** Electronic Only
Form Status Effective **Act:** 33
Privilege: Public **Priority** Low **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Ownership CIK & Issuer CIK
Description: An amendment to a filing for proposed sale of securities under Rule 144. Note:
Form 144 may be filed electronically on a voluntary basis if the issuer of the
securities is a public company
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 15-12B **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Notice of termination of registration of a class of securities under Section 12(b)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 15-12B/A **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to a notice of termination of registration of a class of securities
under Section 12(b)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 15-12G **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Notice of termination of registration of a class of securities under Section 12(g)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 15-12G/A **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to a notice of termination of registration of a class of securities under Section 12(g)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 15-15D **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Notice of suspension of duty to file reports pursuant to Section 13 and 15(d) of the Act
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 15-15D/A **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to a notice of suspension of duty to file reports pursuant to Section 13 and 15(d) of the Act
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 15F-12B **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Notice of termination by a Foreign Private Issuer of a class of equity securities under Section 12(b)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 15F-12B/A **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to a notice of termination by a Foreign Private Issuer of a class of equity securities under Section 12(b)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 15F-12G **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Notice of termination by a Foreign Private Issuer of a class of securities under Section 12(g)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 15F-12G/A **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to a notice of termination by a Foreign Private Issuer of a class of securities under Section 12(g)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 15F-15D **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Notice of a Foreign Private Issuer of suspension of duty to file reports pursuant to Section 13 and 15(d) of the Act
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 15F-15D/A **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to a notice of a Foreign Private Issuer of suspension of duty to file reports pursuant to Section 13 and 15(d) of the Act
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 17HACON **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Confidential **Priority** Low **EDGARLink Template:** NA
Period: RA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Confidential broker dealer annual 17H report
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 17HACON/ **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Confidential **Priority** Low **EDGARLink Template:** NA
Period: RA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Pre-effective amendment for confidential broker dealer annual 17H report
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 17HQCON **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Confidential **Priority** Low **EDGARLink Template:** NA
Period: RA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Confidential broker dealer quarterly 17H report
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 17HQCON/ **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Confidential **Priority** Low **EDGARLink Template:** NA
Period: RA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Pre-effective amendment for confidential broker dealer quarterly 17H report
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 18-12B **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Form for initial registration of securities of foreign governments or political subdivisions pursuant to section 12(b)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 18-12B/A **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to a form for registration of securities of foreign governments or political subdivisions pursuant to section 12(b)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 18-12G **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Form for initial registration of securities of foreign governments or political subdivisions thereof pursuant to section 12(g)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 18-12G/A **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to a form for registration of securities of foreign governments or political subdivisions thereof pursuant to section 12(g)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 18-K **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Annual report for foreign governments and political subdivisions
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 18-K/A **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to an annual report for foreign governments and political subdivisions
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 19B-4 **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Filings with respect to proposed rule changes by all self-regulatory organizations (Securities Exchange Act of 1934)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 19B-4/A **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Pre-effective amendment for filings with respect to proposed rule changes by all self-regulatory organizations (Securities Exchange Act of 1934)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 19B-4E **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: This form permits self-regulatory organizations to list and trade new securities products pursuant to existing self-regulatory trading rules etc, without submitting a proposed rule change pursuant to section 19(b)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 1-A **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Offering statement under Regulation A
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 1-A/A **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Pre-effective amendment for offering statement under Regulation A
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 1-E AD/A **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Pre-effective amendment for sales literature submitted pursuant to Rule 607
(Regulation E) Securities Act of 1933
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 1-E/A **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Pre-effective amendment for notification under Regulation E by small business
investment companies and business development companies
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 20-F **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Annual and transition report of foreign private issuers pursuant to sections 13
or 15(d)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 20-F/A **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to an annual and transition report of foreign private issuers
pursuant to sections 13 or 15(d)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 20FR12B **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Form for initial registration of a class of securities of foreign private issuers pursuant to section 12(b)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 20FR12B/A **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to a form for registration of a class of securities of foreign private issuers pursuant to section 12(b)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 20FR12G **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Form for initial registration of a class of securities of foreign private issuers pursuant to section 12(g)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 20FR12G/A **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to a form for registration of a class of securities of foreign private issuers pursuant to section 12(g)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 24F-2NT **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Low **EDGARLink Template:** 1
Period: RA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Rule 24f-2 notice filed on Form 24F-2
Fee Type Description: Offering forms based on sales proceeds pursuant to section 6(b) of the Securities Act of 1933

EDGAR FormType: 24F-2NT/A **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Medium **EDGARLink Template:** 1
Period: RA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Amendment to Rule 24f-2 notice filed on Form 24F-2
Fee Type Description: Offering forms based on sales proceeds pursuant to section 6(b) of the Securities Act of 1933

EDGAR FormType: 25 **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Notification filed by issuer to voluntarily withdraw a class of securities from listing and registration on a national securities exchange
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 25/A **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to a notification filed by issuer to voluntarily withdraw a class of securities from listing and registration on a national securities exchange
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 25-NSE **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Subject Company CIK & Filed By CIK
Description: Notification filed by national security exchange to report the removal from listing and registration of matured, redeemed or retired securities
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 25-NSE/A **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Subject Company CIK & Filed By CIK
Description: Amendment to a notification filed by national security exchange to report the removal from listing and registration of matured, redeemed or retired securities
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 26 **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Notification of admission to trading of a substituted or additional class of security on a national securities exchange pursuant to Rule 12a-5
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 28 **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Notification of the termination or suspension of unlisted trading privileges on a national securities exchange
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 28/A **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment for notification of the termination or suspension of unlisted trading privileges on a national securities exchange
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 2-A **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Report of sales of securities pursuant to Rule 260 (Regulation A) Securities Act of 1933
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 2-A/A **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to a report of sales of securities pursuant to Rule 260 (Regulation A) Securities Act of 1933
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 33-APP **Source:** Paper Only
Form Status Effective **Act:** 33
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Miscellaneous exemptive applications filed pursuant to the Securities Act of 1933
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 33-APP/A **Source:** Paper Only
Form Status Effective **Act:** 33
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Pre-effective amendment for miscellaneous exemptive applications filed pursuant to the Securities Act of 1933
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 34-12H **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Application for exemption from Section 12(g) or from filing certain reports pursuant to Section 13(a) of the Securities Exchange Act of 1934
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 34-12H/A **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to an application for exemption from Section 12(g) or from filing certain reports pursuant to Section 13(a) of the Securities Exchange Act of 1934
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 34-36CF **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Application for exemptive relief pursuant to Section 36 of the Securities Exchange Act of 1934
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 34-36MR **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Application for exemptive relief pursuant Securities Exchange Act of 1934 for Market Regulations
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 39-10B2 **Source:** Paper Only
Form Status Effective **Act:** 39
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Application pursuant to Rule 10b-2 of the general rules and regulations pursuant to the Trust Indenture Act of 1939
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 39-10B2/A **Source:** Paper Only
Form Status Effective **Act:** 39
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to an application pursuant to Rule 10b-2 of the general rules and regulations of the Trust Indenture Act of 1939
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 39-304C **Source:** Paper Only
Form Status Effective **Act:** 39
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Application for exemption from certain provisions of the Trust Indenture Act of 1939
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 39-304C/A **Source:** Paper Only
Form Status Effective **Act:** 39
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to an application for exemption from certain provisions of the Trust Indenture Act of 1939
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 39-304D **Source:** Paper Only
Form Status Effective **Act:** 39
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Application to exempt any person, registration statement, indenture security or transaction, or any class or classes of persons, registration statements, indentures, securities or transactions from one or more provisions of the Trust Indenture Act of 1939
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 39-304D/A **Source:** Paper Only
Form Status Effective **Act:** 39
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to an application to exempt any person, registration statement, indenture security or transaction, or any class or classes of persons, registration statements, indentures, securities or transactions from one or more provisions of the Trust Indenture Act of 1939
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 39-310B **Source:** Paper Only
Form Status Effective **Act:** 39
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Application regarding conflict of interest of the Trust Indenture Act of 1939
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 39-310B/A **Source:** Paper Only
Form Status Effective **Act:** 39
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to an application regarding conflict of interest of the Trust Indenture Act of 1939
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 4 **Source:** Electronic Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: RA **Delaying Amendment:** NA
Filer Code: Ownership CIK & Issuer CIK
Description: Statement of changes in beneficial ownership of securities
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 4/A **Source:** Electronic Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: RA **Delaying Amendment:** NA
Filer Code: Ownership CIK & Issuer CIK
Description: Amendment to a statement of changes in beneficial ownership of securities
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 40-17F1 **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Subject Company CIK & Filed By CIK
Description: Initial certificate of accounting of securities and similar investments in the custody of management investment companies filed pursuant to Rule 17f-1 of the Investment Company Act of 1940 filed on Form N-17F-1
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 40-17F1/A **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Subject Company CIK & Filed By CIK
Description: Amendment to a certificate of accounting of securities and similar investments in the custody of management investment companies filed pursuant to Rule 17f-1 of the Investment Company Act of 1940 filed on Form N-17F-1
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 40-17F2 **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Subject Company CIK & Filed By CIK
Description: Initial certificate of accounting of securities and similar investments in the custody of management investment companies filed pursuant to Rule 17f-2 of the Investment Company Act of 1940 filed on Form N-17F-2
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 40-17F2/A **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Subject Company CIK & Filed By CIK
Description: Amendment to a certificate of accounting of securities and similar investments in the custody of management investment companies filed pursuant to Rule 17f-2 of the Investment Company Act of 1940 filed on Form N-17F-2
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 40-17G **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Fidelity bond filed pursuant to Rule 17g-1(g)(1) of the Investment Company Act of 1940. Note: Mandatory electronic submission on and after June 12, 2006.
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 40-17G/A **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment for fidelity bond filed pursuant to Rule 17g-1(g)(1) of the Investment Company Act of 1940. Note: Mandatory electronic submission on and after June 12, 2006.
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 40-17GCS **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Filings of claim or settlement pursuant to rule 17g-1(g)(1)(2) or (3) of the Investment Company Act of 1940. Note: Mandatory electronic submission on and after June 12, 2006.
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 40-17GCS/A **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to filing of claim or settlement pursuant to rule 17g-1(g)(1)(2) or (3) of the Investment Company Act of 1940. Note: Mandatory electronic submission on and after June 12, 2006.
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 40-202A **Source:** Paper Only
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Application to designate applicant a person not within the intent of the Investment Advisers Act of 1940
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 40-202A/A **Source:** Paper Only
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Amendment to application to designate applicant a person not within the intent of the Investment Advisers Act of 1940
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 40-203A **Source:** Paper Only
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Application for exemption from the prohibition on Commission registration
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 40-203A/A **Source:** Paper Only
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Amendment to application for the exemption from the prohibition on
Commission registration
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 40-205E **Source:** Paper Only
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Application for performance fees exemption that would permit investment
advisers to charge performance fees to certain types of clients or for certain
transactions.
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 40-205E/A **Source:** Paper Only
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Amendment to application for performance fees exemption that would permit
investment advisers to charge performance fees to certain types of clients or for
certain transactions
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 40-206A **Source:** Paper Only
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Application for exemption from any other provision of the Investment Advisers Act of 1940 or regulation under the Act
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 40-206A/A **Source:** Paper Only
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Amendment to application for exemption from any other provision of the Investment Advisers Act of 1940 or regulation under the Act
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 40-24B2 **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Filing of sales literature pursuant to Rule 24b-2 under the Investment Company Act of 1940. Note: Mandatory electronic submission on and after June 12, 2006.
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 40-24B2/A **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment for sales literature pursuant to Rule 24b-2 under the Investment Company Act of 1940. Note: Mandatory electronic submission on and after June 12, 2006.
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 40-33 **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Copies of all stockholder derivative actions filed with a court against an investment company or an affiliate thereof pursuant to Section 33 of the Investment Company Act of 1940. Note: May be filed electronically on a voluntary basis.
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 40-33/A **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment for copies of all stockholder derivative actions filed with a court against an investment company or an affiliate thereof pursuant to Section 33 of the Investment Company Act of 1940. Note: May be filed electronically on a voluntary basis.
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 40-8F-2 **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Initial application for deregistration pursuant to Investment Company Act Rule 0-2
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 40-8F-2/A **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to an application for deregistration pursuant to Investment Company Act Rule 0-2
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 40-APP **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Application for an order of exemptive or other relief filed under the Investment Company Act of 1940
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 40-APP/A **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to application for an order of exemptive or other relief filed under the Investment Company Act of 1940
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 40-F **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Annual reports filed by certain Canadian issuers pursuant to Section 15(d) and Rule 15d-4
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 40-F/A **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment for annual reports filed by certain Canadian issuers pursuant to Section 15(d) and Rule 15d-4
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 40FR12B **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Registration of a class of securities of certain Canadian issuers pursuant to Section 12(b) of the 1934 Act
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 40FR12B/A **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment for registration of a class of securities of certain Canadian issuers pursuant to Section 12(b) of the 1934 Act
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 40FR12G **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Registration of a class of securities of certain Canadian issuers pursuant to
Section 12(g) of the 1934 Act
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 40FR12G/A **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment for registration of a class of securities of certain Canadian issuers
pursuant to Section 12(g) of the 1934 Act
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 40-OIP **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Application for an order of exemptive or other relief filed under the Investment
Company Act of 1940
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 40-OIP/A **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to application for an order of exemptive or other relief filed under
the Investment Company Act of 1940
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 40-RPT/A **Source:** Paper Only
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment for reports required to be filed by certain applicants for
exemptions from the Investment Company Act of 1940
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 424A **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Medium **EDGARLink Template:** 3
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Prospectus filed pursuant to Rule 424(a)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 424B1 **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Medium **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg and Serial Co-reg
Description: Prospectus filed pursuant to Rule 424(b)(1)
Fee Type Description: Offering forms based on shares pursuant to section 6(b) of the
Securities Act of 1933

EDGAR FormType: 424B2 **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Medium **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg and Serial Co-reg
Description: Prospectus filed pursuant to Rule 424(b)(2)
Fee Type Description: Offering forms based on shares pursuant to section 6(b) of the
Securities Act of 1933

EDGAR FormType: 424B3 **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Medium **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg and Serial Co-reg
Description: Prospectus filed pursuant to Rule 424(b)(3)
Fee Type Description: Offering forms based on shares pursuant to section 6(b) of the Securities Act of 1933

EDGAR FormType: 424B4 **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Medium **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg and Serial Co-reg
Description: Prospectus filed pursuant to Rule 424(b)(4)
Fee Type Description: Offering forms based on shares pursuant to section 6(b) of the Securities Act of 1933

EDGAR FormType: 424B5 **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Medium **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg and Serial Co-reg
Description: Prospectus filed pursuant to Rule 424(b)(5)
Fee Type Description: Offering forms based on shares pursuant to section 6(b) of the Securities Act of 1933

EDGAR FormType: 424B6 **Source:** Paper Only
Form Status Effective **Act:** 33
Privilege: Public **Priority** Medium **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg and Serial Co-reg
Description: Prospectuses filed pursuant to Rule 424(b)6
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 424B7 **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Medium **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg and Serial Co-reg
Description: Prospectus filed pursuant to Rule 424(b)(7)
Fee Type Description: Offering forms based on shares pursuant to section 6(b) of the Securities Act of 1933

EDGAR FormType: 424B8 **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Medium **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg and Serial Co-reg
Description: Prospectus filed pursuant to Rule 424(b)(8)
Fee Type Description: Offering forms based on shares pursuant to section 6(b) of the Securities Act of 1933

EDGAR FormType: 424D **Source:** Paper Only
Form Status Effective **Act:** 33
Privilege: Public **Priority** Medium **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Prospectuses filed pursuant to Rule 424(d)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 425 **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** High **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Group Member/Subject Company/Filed By
Description: Filing under Securities Act Rule 425 of certain prospectuses and communications in connection with business combination transactions. Note: Form 425 can be filed as part of Form 8-K. For filers subject to 8-K filing requirements, we recommend the use of the 8-K combined form type as the easiest method for fulfilling your filing requirement for both forms
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 485APOS **Source:** Both electronic and paper
Form Status Effective **Act:** 33,33&40
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Post-effective amendment filed pursuant to Securities Act Rule 485(a) (this filing cannot be submitted as a 1940 Act only filing)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 485BPOS **Source:** Both electronic and paper
Form Status Effective **Act:** 33,33&40
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Post-effective amendment filed pursuant to Securities Act Rule 485(b) (this filing cannot be submitted as a 1940 Act only filing)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 485BXT **Source:** Both electronic and paper
Form Status Effective **Act:** 33,33&40
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Post-effective amendment filed pursuant to Securities Act Rule 485(b)(1)(iii) to designate a new effective date for a post-effective amendment previously filed pursuant to Securities Act Rule 485(a) (this filing cannot be submitted as a 1940 Act only filing)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 486APOS **Source:** Both electronic and paper
Form Status Effective **Act:** 33,33&40
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Post-effective amendment to filing filed pursuant to Securities Act Rule 486(a)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 486BPOS **Source:** Both electronic and paper
Form Status Effective **Act:** 33,33&40
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Post-effective amendment to filing filed pursuant to Securities Act Rule 486(b)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 487 **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Medium **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Pre-effective pricing amendments filed pursuant to Securities Act Rule 487
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 494 **Source:** Paper Only
Form Status Effective **Act:** 33
Privilege: Public **Priority** Medium **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Newspaper prospectuses
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 497 **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Definitive materials filed under paragraph (a), (b), (c), (d), (e) or (f) of
Securities Act Rule 497
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 497AD **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Filing by certain investment companies of Securities Act Rule 482 advertising
in accordance with Securities Act Rule 497 and the Note to Rule 482(c)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 497H2 **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Medium **EDGARLink Template:** 3
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Filings made pursuant to Securities Act Rule 497(h)(2)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 497J **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Certification of no change in definitive materials under paragraph (j) of Securities Act Rule 497
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 497K **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: NA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Summary prospectuses for open-end management investment companies registered on Form N-1A filed pursuant to Securities Act Rule 497(k)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 497K1 **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Profiles for certain open-end management investment companies filed pursuant to Securities Act Rule 497(k)(1)(i)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 497K2 **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Profiles for certain open-end management investment companies filed pursuant to Securities Act Rule 497(k)(1)(ii)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 497K3A **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Profiles for certain open-end management investment companies filed pursuant to Securities Act Rule 497(k)(1)(iii)(A)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 497K3B **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Profiles for certain open-end management investment companies filed pursuant to Securities Act Rule 497(k)(1)(iii)(B)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 5 **Source:** Electronic Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: RA **Delaying Amendment:** NA
Filer Code: Ownership CIK & Issuer CIK
Description: Annual statement of changes in beneficial ownership of securities
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 5/A **Source:** Electronic Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: RA **Delaying Amendment:** NA
Filer Code: Ownership CIK & Issuer CIK
Description: Amendment to an annual statement of changes in beneficial ownership of securities
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 6B NTC **Source:** Internally Generated
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: 40-6B Notice
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 6B ORDR **Source:** Internally Generated
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: 40-6B Order
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 6-K **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA+ **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Current report of foreign issuer pursuant to Rules 13a-16 and 15d-16
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 6-K/A **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA+ **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to a report of foreign issuer pursuant to Rules 13a-16 and 15d-16
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 7-A **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Optional form of escrow for securities that are subject to the provisions of Rule 253(c) of Regulation A
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 7-A/A **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment for optional form of escrow for securities that are subject to the provisions of Rule 253(c) of Regulation A
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 7-M **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Irrevocable appointment of agent by an individual non-resident broker or dealer
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 8-A12B **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Form for the registration / listing of a class of securities on a national securities exchange pursuant to section 12(b)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 8-A12B/A **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to a registration statement for the registration / listing of a class of securities on a national securities exchange pursuant to section 12(b)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 8-A12G **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Form for registration of a class of securities pursuant to section 12(g)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 8-A12G/A **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to a registration statement for registration of a class of securities pursuant to section 12(g)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 8F-2 NTC **Source:** Internally Generated
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: 40-8F-2 Notice
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 8F-2 ORDR **Source:** Internally Generated
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: 40-8F-2 Order
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 8-K **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Current report filing
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 8-K/A **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to a current report filing
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 8-K12B **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Notification that a class of securities of successor issuer is deemed to be registered pursuant to section 12(b)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 8-K12B/A **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to a notification that a class of securities of successor issuer is deemed to be registered pursuant to section 12(b)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 8-K12G3 **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Notification that a class of securities of successor issuer is deemed to be registered pursuant to section 12(g)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 8-K12G3/A **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to a notification that a class of securities of successor issuer is deemed to be registered pursuant to section 12(g)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 8-K15D5 **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Notification of assumption of duty to report by successor issuer under section 15(d)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 8-K15D5/A **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to a notification of assumption of duty to report by successor issuer under section 15(d)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 8-M **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Irrevocable appointment of agent by corporation non-resident broker or dealer
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: 9-M **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Irrevocable appointment of agent by a partnership non-resident broker or dealer
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: ACCEL **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Medium **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Request for clearance acceleration (Regulation A)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: ACCEL/A **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Medium **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment for request for clearance acceleration (Regulation A)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: ADN-MTL **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Additional or detached materials for which no rule or regulation applies or no specific form is prescribed
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: ADV **Source:** NASD IARD Feed
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Application for registration as investment adviser
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: ADV/A **Source:** NASD IARD Feed
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Amendment for application for registration as investment adviser
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: ADVCO **Source:** Paper Only
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Cancellation of registered investment advisers by commission order
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: ADVCON **Source:** Paper Only
Form Status Effective **Act:** 40
Privilege: Confidential **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Application requesting confidential treatment for registration as investment
adviser
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: ADV-E **Source:** Paper Only
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Accountant's examination certificate required by Investment Advisers Act of
1940
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: ADV-H-C **Source:** Paper Only
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Application for continuing hardship exemption for Investment Advisers
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: ADV-H-T **Source:** Paper Only
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Application for temporary hardship exemption for Investment Advisers
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: ADV-NR **Source:** Paper Only
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Appointment of agent for service by non-resident general partner and non-resident managing agent of an Investment Adviser
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: ADVW **Source:** NASD IARD Feed
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Notice of withdrawal from registration as investment adviser
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: ANNLRPT **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 2
Period: RA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Periodic Development Bank filing, submitted annually
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: ANNLRPT/ **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 2
Period: RA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Amendment to an annual Development Bank filing
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: APP NTC **Source:** Internally Generated
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: 40-APP Notice
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: APP ORDR **Source:** Internally Generated
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: 40-APP Order
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: APP WD **Source:** Both electronic and paper
Form Status Effective **Act:** 34,40
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Withdrawal of an application for exemptive or other relief from the federal securities laws
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: APP WD/A **Source:** Both electronic and paper
Form Status Effective **Act:** 34,40
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to withdrawal of an application for exemptive or other relief from the federal securities laws
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: ARS **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Annual report to security holders. Note: Use this submission type when furnishing the annual report to security holders for the information of the Commission pursuant to Rule 14a-3(c) or Rule 14c-3(b). Portions of the annual report to security holders filed with the Commission as part of a registration statement or periodic report should be filed as Exhibit 13, as provided by Item 601 of Regulation S-K and S-B. See Appendix C for proper tagging of Exhibit 13
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: ARS/A **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to annual report to security holders
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: AW **Source:** Both electronic and paper
Form Status Effective **Act:** NE
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Withdrawal of amendment to a registration statement filed under the Securities Act
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: AW WD **Source:** Both electronic and paper
Form Status Effective **Act:** NE
Privilege: Public **Priority** Low **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Withdrawal of a request for withdrawal of an amendment to a registration statement
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: BD **Source:** NASD CRD Feed
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Application for registration as broker or dealer
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: BD/A **Source:** NASD CRD Feed
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Amendment for application for registration as broker or dealer
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: BDCO **Source:** NASD CRD Feed
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Cancellation of registered brokers or dealers by commission order
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: BDCON **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Confidential **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Application requesting confidential treatment for registration as broker or dealer
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: BDW **Source:** NASD CRD Feed
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Notice of withdrawal from registration as a broker or dealer
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: BDWCR **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Notice of withdrawal from registration as a 15C broker dealer and changing to 15b, or vice versa withdrawing as a 15b to become a 15C
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: CA-1 **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Registration or exemption from registration as a clearing agency and for amendment to registration as a clearing agency (Securities Exchange Act of 1934)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: CA-1/A **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment for registration or exemption from registration as a clearing agency and for amendment to registration as a clearing agency (Securities Exchange Act of 1934)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: CA-1A **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Annual amendment to the Form CA-1
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: CERTBSE **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Certification by the Boston Stock Exchange approving securities for listing
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: CERTCBO **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Certification by the Chicago Board of Options approving securities for listing
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: CERTCIN **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Certification by the Cincinnati Stock Exchange approving securities for listing
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: CERTCSE **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Certification by the Central Stock Exchange, formerly Midwest Stock Exchange, to approve listing of securities
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: CERTISE **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Certification by the International Stock Exchange approving securities for listing
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: CERTNAS **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Certification by the National Association of Securities Dealers Automated Quotation approving securities for listing
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: CERTNYS **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Certification by the New York Stock Exchange approving securities for listing
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: CERTPAC **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Certification by the Pacific Coast Stock Exchange approving securities for listing
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: CERTPBS **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Certification by the Philadelphia Stock Exchange approving securities for listing
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: CONSENT **Source:** Paper Only
Form Status Effective **Act:** 33
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Letters or other documents received by the Commission authorizing third parties to execute certain documents required to be filed with the Commission. Consent of attorneys and other experts authorizing registrants to use opinions furnished by such experts.
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: CONSENT/ **Source:** Paper Only
Form Status Effective **Act:** 33
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to letters or other documents received by the Commission authorizing third parties to execute certain documents required to be filed with the Commission. Consent of attorneys and other experts authorizing registrants to use opinions furnished by such experts
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: CT ORDER **Source:** Internally Generated
Form Status Effective **Act:** 33,34,40
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Confidential treatment order
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: D **Source:** Electronic Only
Form Status Effective **Act:** 33
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Notice of sales of securities on Form D (Regulation D)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: D/A **Source:** Electronic Only
Form Status Effective **Act:** 33
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to a notice of sales of securities on Form D (Regulation D)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: DEF 14A **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 2
Period: RA+ **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Definitive proxy statements
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: DEF 14C **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 2
Period: RA+ **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Definitive information statements
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: DEFA14A **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Definitive additional proxy soliciting materials including Rule 14(a)(12) material. Note: Submission type DEFA14A can be filed as part of Form 8-K. For filers subject to 8-K filing requirements, we recommend the use of the 8-K combined form type as the easiest method for fulfilling your filing requirement for both forms.
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: DEFA14C **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Definitive additional information statement materials including Rule 14(a)(12) material
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: DEFC14A **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Subject Company CIK & Filed By CIK
Description: Definitive proxy statement in connection with contested solicitations
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: DEFC14C **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Subject Company CIK & Filed By CIK
Description: Definitive information statement - contested solicitations
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: DEFM14A **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Definitive proxy statement relating to a merger, acquisition, or disposition
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: DEFM14C **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Definitive information statement relating to merger or acquisition
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: DEFN14A **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 2
Period: RA+ **Delaying Amendment:** NA
Filer Code: Subject Company CIK & Filed By CIK
Description: Definitive proxy statement filed by non-management
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: DEF-OC **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Definitive offering circular (Regulation A)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: DEF-OC/A **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment for definitive offering circular (Regulation A)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: DEFR14A **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Definitive revised proxy soliciting materials
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: DEFR14C **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Definitive revised information statement materials
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: DEL AM **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** High **EDGARLink Template:** 3
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Separately filed delaying amendment under Securities Act Rule 473 to delay effectiveness of a 1933 Act registration statement
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: DEL AMR **Source:** Paper Only
Form Status Effective **Act:** 33
Privilege: Public **Priority** High **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Separately filed notification of removal of Rule 473 delaying amendment to 1933 Act registration statement
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: DFAN14A **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Subject Company CIK & Filed By CIK
Description: Definitive additional proxy soliciting materials filed by non-management including Rule 14(a)(12) material. Note: Submission type DFAN14A can be filed as part of Form 8-K. For filers subject to 8-K filing requirements, we recommend the use of the 8-K combined form type as the easiest method for fulfilling your filing requirement for both forms.
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: DFRN14A **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Subject Company CIK & Filed By CIK
Description: Revised definitive proxy statement filed by non-management
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: DIV-NTC **Source:** Paper Only
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Dividend notice
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: DIV-NTC/A **Source:** Paper Only
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to a dividend notice
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: DSTRBRPT **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Distribution of primary obligations Development Bank report
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: DSTRBRPT/ **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Amendment to a distribution of primary obligations Development Bank report
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: EFFECT **Source:** Internally Generated
Form Status Effective **Act:** 33,34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Effectiveness filing by commission order
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: F-1 **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Low **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** RWA
Filer Code: Allows Co-reg
Description: Registration statement for certain foreign private issuers
Fee Type Description: Offering forms based on shares pursuant to section 6(b) of the Securities Act of 1933

EDGAR FormType: F-1/A **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Medium **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Pre-effective amendment to a registration statement for certain foreign private issuers
Fee Type Description: Offering forms based on shares pursuant to section 6(b) of the Securities Act of 1933

EDGAR FormType: F-10 **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Medium **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** RWA
Filer Code: Allows Co-reg
Description: Registration statement for securities of certain Canadian issuers under the Securities Act of 1933
Fee Type Description: Offering forms based on shares pursuant to section 6(b) of the Securities Act of 1933

EDGAR FormType: F-10/A **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Medium **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Pre-effective amendment for registration statement for securities of certain Canadian issuers under the Securities Act of 1933
Fee Type Description: Offering forms based on shares pursuant to section 6(b) of the Securities Act of 1933

EDGAR FormType: F-10EF **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Low **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Auto effective registration statement for securities of certain Canadian issuers under the Securities Act of 1933
Fee Type Description: Offering forms based on shares pursuant to section 6(b) of the Securities Act of 1933

EDGAR FormType: F-10POS **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Low **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Post-effective amendment to a F-10EF registration
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: F-1MEF **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Medium **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: A new registration statement filed under Rule 462(b) to add securities to a prior related effective registration statement filed on Form F-1
Fee Type Description: T+3 Offering forms based on shares pursuant to section 6(b) of the Securities Act of 1933

EDGAR FormType: F-3 **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Low **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** RWA
Filer Code: Allows Co-reg
Description: Registration statement for specified transactions by certain foreign private issuers
Fee Type Description: Offering forms based on shares pursuant to section 6(b) of the Securities Act of 1933

EDGAR FormType: F-3/A **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Medium **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Pre-Effective amendment to a registration statement for specified transactions by certain foreign private issuers
Fee Type Description: Offering forms based on shares pursuant to section 6(b) of the Securities Act of 1933

EDGAR FormType: F-3ASR **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Low **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Automatic shelf registration statement of securities of well-known seasoned issuers
Fee Type Description: Offering forms based on shares pursuant to section 6(b) of the Securities Act of 1933

EDGAR FormType: F-3D **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Low **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Registration statement for dividend or interest reinvestment plan securities of foreign private issuers
Fee Type Description: Offering forms based on shares pursuant to section 6(b) of the Securities Act of 1933

EDGAR FormType: F-3DPOS **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Low **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Post-Effective amendment to a F-3D registration
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: F-3MEF **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Medium **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: A new registration statement filed under Rule 462(b) to add securities to a prior related effective registration statement filed on Form F-3
Fee Type Description: T+3 Offering forms based on shares pursuant to section 6(b) of the Securities Act of 1933

EDGAR FormType: F-4 **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Low **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** RWA
Filer Code: Allows Co-reg
Description: Registration statement for securities issued by foreign private issuers in certain business combination transactions
Fee Type Description: Offering forms based on shares pursuant to section 6(b) of the Securities Act of 1933

EDGAR FormType: F-4 POS **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Low **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Post-Effective amendment to an F-4EF registration
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: F-4/A **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Medium **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Pre-effective amendment to a registration statement for securities issued by foreign private issuers in certain business combination transactions
Fee Type Description: Offering forms based on shares pursuant to section 6(b) of the Securities Act of 1933

EDGAR FormType: F-4EF **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Low **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Auto effective registration statement for securities by certain foreign private issuers in connection with certain business combination transactions
Fee Type Description: Offering forms based on shares pursuant to section 6(b) of the Securities Act of 1933

EDGAR FormType: F-4MEF **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Medium **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: A new registration statement filed under Rule 462(b) to add securities to a prior related effective registration statement filed on Form F-4
Fee Type Description: T+3 Offering forms based on shares pursuant to section 6(b) of the Securities Act of 1933

EDGAR FormType: F-6 **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Low **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** RWA
Filer Code: Subject Company CIK & Filed By CIK
Description: Registration statement for American Depositary Receipts representing securities of certain foreign private issuers
Fee Type Description: Offering forms based on shares pursuant to section 6(b) of the Securities Act of 1933

EDGAR FormType: F-6 POS **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Low **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Subject Company CIK & Filed By CIK
Description: Post-effective amendment to a F-6EF registration
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: F-6/A **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Medium **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Subject Company CIK & Filed By CIK
Description: Pre-effective amendment to a registration statement for American Depositary Receipts representing securities of certain foreign private issuers
Fee Type Description: Offering forms based on shares pursuant to section 6(b) of the Securities Act of 1933

EDGAR FormType: F-6EF **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Low **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Subject Company CIK & Filed By CIK
Description: Auto effective registration statement for American Depositary Receipts
representing securities of certain foreign private issuers
Fee Type Description: Offering forms based on shares pursuant to section 6(b) of the
Securities Act of 1933

EDGAR FormType: F-7 **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Medium **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Registration statement for securities of certain Canadian issuers offered for
cash upon the exercise of rights granted to existing security holders under the
Securities Act of 1933
Fee Type Description: Offering forms based on shares pursuant to section 6(b) of the
Securities Act of 1933

EDGAR FormType: F-7 POS **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Low **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Post-effective amendment to a F-7 registration
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: F-7/A **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Medium **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Pre-effective amendment for registration statement for securities of certain Canadian issuers offered for cash upon the exercise of rights granted to existing security holders under the Securities Act of 1933
Fee Type Description: Offering forms based on shares pursuant to section 6(b) of the Securities Act of 1933

EDGAR FormType: F-8 **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Medium **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Registration statement for securities of certain Canadian issuers to be issued in exchange offers or a business combination under the Securities Act of 1933
Fee Type Description: Offering forms based on shares pursuant to section 6(b) of the Securities Act of 1933

EDGAR FormType: F-8 POS **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Low **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Post-effective amendment to a F-8 registration
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: F-8/A **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Medium **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Pre-effective amendment for registration statement for securities of certain Canadian issuers to be issued in exchange offers or a business combination under the Securities Act of 1933
Fee Type Description: Offering forms based on shares pursuant to section 6(b) of the Securities Act of 1933

EDGAR FormType: F-80 **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Medium **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Registration of securities of certain Canadian issuers to be issued in exchange offers or a business combination under the Securities Act of 1933
Fee Type Description: Offering forms based on shares pursuant to section 6(b) of the Securities Act of 1933

EDGAR FormType: F-80/A **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Medium **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Pre-effective amendment for registration of securities of certain Canadian issuers to be issued in exchange offers or a business combination under the Securities Act of 1933
Fee Type Description: Offering forms based on shares pursuant to section 6(b) of the Securities Act of 1933

EDGAR FormType: F-80POS **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Low **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Post-effective amendment to a F-80 registration
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: F-9 **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Medium **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** RWA
Filer Code: Allows Co-reg
Description: Registration of securities of certain investment grade debt or investment grade preferred securities of certain Canadian issuers under the Securities Act of 1933
Fee Type Description: Offering forms based on shares pursuant to section 6(b) of the Securities Act of 1933

EDGAR FormType: F-9 POS **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Low **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Post-effective amendment to a F-9EF registration
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: F-9/A **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Medium **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Pre-effective amendment for registration of securities of certain investment grade debt or investment grade preferred securities of certain Canadian issuers under the Securities Act of 1933
Fee Type Description: Offering forms based on shares pursuant to section 6(b) of the Securities Act of 1933

EDGAR FormType: F-9EF **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Low **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Auto effective registration of securities of certain investment grade debt or investment grade preferred securities of certain Canadian issuers under the Securities Act of 1933
Fee Type Description: Offering forms based on shares pursuant to section 6(b) of the Securities Act of 1933

EDGAR FormType: F-N **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Low **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Subject Company CIK & Filed By CIK
Description: Notification of the appointment of an agent for service by certain foreign institutions.
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: F-N/A **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Low **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Subject Company CIK & Filed By CIK
Description: Amendment to a notification of the appointment of an agent for service by certain foreign institutions.
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: FOCUSN **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: RA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Non-public annual audit reports filed by brokers or dealers
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: FOCUSN/A **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: RA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Amendment to non-public annual audit reports filed by brokers or dealers
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: FWP **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** High **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Group Member/Subject Company/Filed By
Description: Filing under Securities Act Rule 163/433 of free writing prospectuses.
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: F-X **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Low **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Subject Company CIK & Filed By CIK
Description: For appointment of agent for service of process by issuers registering securities (if filed on Form F-8, F-9, F-10 or F-80, or registering securities or filing periodic reports on Form 40-F, or by any person filing certain tender offer documents, or by any person acting as trustee with respect to securities registered on Form F-7, F-8, F-9 or F-10
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: F-X/A **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Low **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Subject Company CIK & Filed By CIK
Description: Amendment for appointment of agent for service of process by issuers registering securities (if filed on Form F-8, F-9, F-10 or F-80, or registering securities or filing periodic reports on Form 40-F, or by any person filing certain tender offer documents, or by any person acting as trustee with respect to securities registered on Form F-7, F-8, F-9 or F-10
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: G-405 **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: RA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Equivalent to the Form X-17A-5 and filed by sole government securities broker-dealers registered with the commission
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: G-405/A **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: RA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Amendment for equivalent to the Form X-17A-5 and filed by sole government securities broker-dealers registered with the commission
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: G-405N **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: RA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Non-public annual audit report filed by brokers or dealers registered pursuant to Section 15C
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: G-405N/A **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: RA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Amendment to non-public annual audit report filed by brokers or dealers registered pursuant to Section 15C
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: GFCO **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Cancellation of notice of government securities broker or dealer activity by financial institutions by Commission order. This form type is being used to identify the status of the entity as inactive based on information received by the regulatory agency
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: G-FIN **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Notice of government securities broker or dealer activities by financial institutions
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: G-FIN/A **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Amendment for notice of government securities broker or dealer activities by financial institutions
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: G-FINW **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Notice of withdrawal from government securities broker or dealer by financial institutions
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: HISTORY **Source:** Paper Only
Form Status Effective **Act:** NE
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Originally designed to capture historical file numbers assigned to issuers or applicants prior to the recording of information on the automated workload filings system
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: MSD **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Application for registration as municipal securities dealer by a bank or a separately identifiable department or division of a bank
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: MSD/A **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Amendment for application for registration as municipal securities dealer by a bank or a separately identifiable department or division of a bank
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: MSDCO **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Cancellation of registered municipal securities dealers by commission order
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: MSDW **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Notice of withdrawal from registration as municipal securities dealer pursuant to Section 15Bc3-1
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: N-1 **Source:** Both electronic and paper
Form Status Effective **Act:** 33,40,33&40
Privilege: Public **Priority** Low **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** RWA
Filer Code: Allows Co-reg
Description: Initial registration statement filed on Form N-1 for open-end management investment companies
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: N-1/A **Source:** Both electronic and paper
Form Status Effective **Act:** 33,33&40
Privilege: Public **Priority** Medium **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Pre-effective amendment to a registration statement filed on Form N-1 for open-end management investment companies (this filing cannot be submitted as a 1940 Act only filing)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: N-14 **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Low **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** RWA
Filer Code: Allows Co-reg
Description: Initial registration statement filed on Form N-14 for open-end investment company, including those filed with automatic effectiveness under Rule 488 (business combinations).
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: N-14 8C **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Low **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** RWA
Filer Code: Allows Co-reg
Description: Initial registration statement filed on Form N-14 by closed-end investment company (business combinations)
Fee Type Description: Offering forms based on shares pursuant to section 6(b) of the Securities Act of 1933

EDGAR FormType: N-14 8C/A **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Low **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Pre-effective amendment to a registration statement filed on Form N-14 by closed-end investment company (business combinations)
Fee Type Description: Offering forms based on shares pursuant to section 6(b) of the Securities Act of 1933

EDGAR FormType: N-14/A **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Medium **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Pre-effective amendment to registration statement filed on Form N-14 for open-end investment company, including those filed with automatic effectiveness under Rule 488 (business combinations).
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: N-14MEF **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Medium **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: A new registration statement filed on Form N-14 by closed end investment companies filed under Securities Act Rule 462(b) of up to an additional 20% of securities for an offering that was registered on Form N-14
Fee Type Description: T+3 Offering forms based on shares pursuant to section 6(b) of the Securities Act of 1933

EDGAR FormType: N-17D-1 **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Report by registered small business investment companies and affiliated banks with respect to investments (quarterly)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: N-17D-1/A **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment for report by registered small business investment companies and affiliated banks with respect to investments (quarterly)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: N-18F1 **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Initial notification of election pursuant to Rule 18f-1 filed on Form N-18F-1
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: N-18F1/A **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to a notification of election pursuant to Rule 18f-1 filed on Form N-18F-1
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: N-1A **Source:** Both electronic and paper
Form Status Effective **Act:** 33,40,33&40
Privilege: Public **Priority** Low **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** RWA
Filer Code: Allows Co-reg
Description: Initial registration statement filed on Form N-1A for open-end management investment companies
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: N-1A/A **Source:** Both electronic and paper
Form Status Effective **Act:** 33,33&40
Privilege: Public **Priority** Medium **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Pre-effective amendment to a registration statement filed on Form N-1A for open-end management investment companies (this filing cannot be submitted as a 1940 Act only filing)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: N-23C-2 **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 2
Period: RA+ **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Notice by closed-end investment companies of intention to call or redeem their own securities under Investment Company Act Rule 23c-2
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: N-23C-2/A **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 2
Period: RA+ **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to a notice by closed-end investment companies of intention to call or redeem their own securities under Investment Company Act Rule 23c-2
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: N-23C3A **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 2
Period: RA+ **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Notification of periodic repurchase offer filed pursuant to Rule 23c-3(b) only on Form N-23C-3
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: N-23C3A/A **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 2
Period: RA+ **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to a notification of periodic repurchase offer filed pursuant to Rule 23c-3(b) only on Form N-23C-3
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: N-23C3B **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 2
Period: RA+ **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Filing pursuant to Rule 23c-3(c) only on Form N-23C-3
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: N-23C3B/A **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 2
Period: RA+ **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to filing pursuant to Rule 23c-3(c) only on Form N-23C-3
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: N-23C3C **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 2
Period: RA+ **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Filing pursuant to Rule 23c-3(b) and (c) on Form N-23C-3
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: N-23C3C/A **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 2
Period: RA+ **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to filing pursuant to Rule 23c-3(b) and (c) on Form N-23C-3
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: N-27D-1 **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 2
Period: RA **Delaying Amendment:** NA
Filer Code: Subject Company CIK & Filed By CIK
Description: Accounting for segregated trust accounts on Form N-27D-1
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: N-27D-1/A **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 2
Period: RA **Delaying Amendment:** NA
Filer Code: Subject Company CIK & Filed By CIK
Description: Amendment to an accounting for segregated trust accounts on Form N-27D-1
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: N-27E-1 **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Notice to periodic payment plan certificate holders of 18-month surrender rights with respect to periodic payment plan certificates under the Investment Company Act of 1940
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: N-27E-1/A **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment for notice to periodic payment plan certificate holders of 18-month surrender rights with respect to periodic payment plan certificates under the Investment Company Act of 1940
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: N-27F-1 **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Notice to periodic payment plan certificate holders of 45-day withdrawal right with respect to periodic payment plan certificates under the Investment Company Act of 1940
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: N-27F-1/A **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment for notice to periodic payment plan certificate holders of 45-day withdrawal right with respect to periodic payment plan certificates under the Investment Company Act of 1940
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: N-2MEF **Source:** Both electronic and paper
Form Status Effective **Act:** 33,33&40
Privilege: Public **Priority** Medium **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: A new registration statement on Form N-2 filed under the Securities Act Rule 462(b) by closed-end investment companies to register up to an additional 20% of securities for an offering that was registered on Form N-2
Fee Type Description: T+3 Offering forms based on shares pursuant to section 6(b) of the Securities Act of 1933

EDGAR FormType: N-3 **Source:** Both electronic and paper
Form Status Effective **Act:** 33,40,33&40
Privilege: Public **Priority** Low **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** RWA
Filer Code: Allows Co-reg
Description: Initial registration statement on Form N-3 for separate accounts (management investment companies)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: N-3/A **Source:** Both electronic and paper
Form Status Effective **Act:** 33,33&40
Privilege: Public **Priority** Medium **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Pre-effective amendment to a registration statement on Form N-3 for separate accounts (management investment companies)(this filing cannot be submitted as a 1940 Act only filing)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: N-30B-2 **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Periodic and interim reports mailed to investment company shareholders (other than annual and semi-annual reports mailed to shareholders pursuant to Rule 30e-1)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: N-30D **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Initial annual and semi-annual reports mailed to investment company
shareholders pursuant to Rule 30e-1 (other than those required to be submitted
as part of Form N-CSR)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: N-30D/A **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to an annual and semi-annual reports mailed to investment
company shareholders pursuant to Rule 30e-1 (other than those required to be
submitted as part of Form N-CSR)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: N-4 **Source:** Both electronic and paper
Form Status Effective **Act:** 33,40,33&40
Privilege: Public **Priority** Low **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** RWA
Filer Code: Allows Co-reg
Description: Initial registration statement on Form N-4 for separate accounts (unit
investment trusts)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: N-4/A **Source:** Both electronic and paper
Form Status Effective **Act:** 33,33&40
Privilege: Public **Priority** Medium **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Pre-effective amendment to a registration statement on Form N-4 for separate accounts (unit investment trusts)(this filing cannot be submitted as a 1940 Act only filing)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: N-54A **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Notification of election by business development companies filed on Form N-54A
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: N-54A/A **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Amendment to a notification of election by business development companies filed on Form N-54A
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: N-54C **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Notification of withdrawal by business development companies filed on Form N-54C
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: N-54C/A **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Amendment to a notification of withdrawal by business development companies filed on Form N-54C
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: N-6 **Source:** Both electronic and paper
Form Status Effective **Act:** 33,40,33&40
Privilege: Public **Priority** Low **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** RWA
Filer Code: Allows Co-reg
Description: Initial registration statement filed on Form N-6 for separate accounts (unit investment trusts)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: N-6/A **Source:** Both electronic and paper
Form Status Effective **Act:** 33,33&40
Privilege: Public **Priority** Medium **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Pre-effective amendment to a registration statement filed on Form N-6 for separate accounts (unit investment trusts)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: N-6E-1 **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Notification of claim of exemption of certain separate accounts of insurance companies funding certain variable account contracts filed pursuant to Rule 6e-2 of the Investment Company Act of 1940 (filed on Form N-6EI-1)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: N-6E-1/A **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment for notification of claim of exemption of certain separate accounts of insurance companies funding certain variable account contracts filed pursuant to Rule 6e-2 of the Investment Company Act of 1940 (filed on Form N-6EI-1)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: N-6F **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Notice of intent by business development companies to elect to be subject to Sections 55 through 65 of the 1940 Act filed on Form N-6F
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: N-6F/A **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Amendment to a notice of intent by business development companies to elect to be subject to Sections 55 through 65 of the 1940 Act filed on Form N-6F
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: N-8A **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Initial notification of registration under section 8(a) filed on Form N-8A
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: N-8A/A **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Amendment to a notification of registration under section 8(a) filed on Form N-8A
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: N-8B-2 **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Initial registration statement for unit investment trusts filed on Form N-8B-2
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: N-8B-2/A **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to a registration statement for unit investment trusts filed on Form N-8B-2
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: N-8B-3 **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Initial registration statement for periodic payment plans filed on Form N-8B-3
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: N-8B-3/A **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to a registration statement for periodic payment plans filed on Form N-8B-3
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: N-8B-4 **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Initial registration statement for face-amount certificate companies filed on Form N-8B-4
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: N-8B-4/A **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to a registration statement for face-amount certificate companies filed on Form N-8B-4
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: N-8F **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Application for deregistration made on Form N-8F
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: N-8F NTC **Source:** Internally Generated
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: N-8F Notice
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: N-8F ORDR **Source:** Internally Generated
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: N-8F Order
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: N-8F/A **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to an application for deregistration made on Form N-8F
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: N-CSR **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Certified annual shareholder report of registered management investment companies filed on Form N-CSR
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: N-CSR/A **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to certified annual shareholder report of registered management investment companies filed on Form N-CSR
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: N-CSRS **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Certified semi-annual shareholder report of registered management investment companies filed on Form N-CSR
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: N-CSRS/A **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to certified semi-annual shareholder report of registered management investment companies filed on Form N-CSR
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: NO ACT **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Public response to a specific request for staff views regarding the federal securities laws or SEC rules, regulations or orders
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: N-PX **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Annual Report of Proxy Voting Record of Registered Management Investment Companies filed on Form N-PX
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: N-PX/A **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to an Annual Report of Proxy Voting Record of Registered Management Investment Companies filed on Form N-PX
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: N-Q **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Quarterly Schedule of Portfolio Holdings of Registered Management
Investment Company filed on Form N-Q
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: N-Q/A **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to a Quarterly Schedule of Portfolio Holdings of Registered
Management Investment Company filed on Form N-Q
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: NSAR-A **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Semi-annual report for management companies filed on Form N-SAR
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: NSAR-A/A **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to a semi-annual report for management companies filed on Form
N-SAR
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: NSAR-AT **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Transitional semi-annual report filed on Form N-SAR
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: NSAR-AT/A **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to a transitional semi-annual report filed on Form N-SAR
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: NSAR-B **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Annual report for management companies filed on Form N-SAR
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: NSAR-B/A **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to an annual report for management companies filed on Form N-SAR
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: NSAR-BT **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Transitional annual report filed on Form N-SAR
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: NSAR-BT/A **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to a transitional annual report filed on Form N-SAR
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: NSARCON **Source:** Paper Only
Form Status Effective **Act:** 40
Privilege: Confidential **Priority** Low **EDGARLink Template:** NA
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Semi-annual or annual reports by management investment companies, or unit investments trusts which are granted confidential treatment
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: NSARCON/ **Source:** Paper Only
Form Status Effective **Act:** 40
Privilege: Confidential **Priority** Low **EDGARLink Template:** NA
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to a semi-annual or annual report by management investment companies, or unit investments trusts which are granted confidential treatment
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: NSAR-U **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Annual report for unit investment trusts filed on Form N-SAR
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: NSAR-U/A **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to an annual report for unit investment trusts filed on Form N-SAR
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: NT 10-D **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Notice under Rule 12b25 of inability to timely file all or part of a Form 10-D
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: NT 10-D/A **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to a notice under Rule 12b25 of inability to timely file all or part
of a Form 10-D
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: NT 10-K **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Notice under Rule 12b25 of inability to timely file all or part of a Form 10-K, 10-KSB, or 10-KT
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: NT 10-K/A **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to a notice under Rule 12b25 of inability to timely file all or part of a Form 10-K, 10-KSB, or 10-KT
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: NT 10-Q **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Notice under Rule 12b25 of inability to timely file all or part of a Form 10-Q or 10-QSB
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: NT 10-Q/A **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to a notice under Rule 12b25 of inability to timely file all or part of a Form 10-Q or 10-QSB
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: NT 11-K **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Notice under Rule 12b25 of inability to timely file all or part of a Form 11-K
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: NT 11-K/A **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to a notice under Rule 12b25 of inability to timely file all or part
of a Form 11-K
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: NT 15D2 **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Notice under Rule 12b25 of inability to timely file a special report pursuant to
section 15d-2
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: NT 15D2/A **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to a notice under Rule 12b25 of inability to timely file a special
report pursuant to section 15d-2
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: NT 20-F **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Notice under Rule 12b25 of inability to timely file all or part of an annual report of Form 20-F
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: NT 20-F/A **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to a notice under Rule 12b25 of inability to timely file all or part of an annual report of Form 20-F
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: NTFNCSR **Source:** Internally Generated
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: EDGAR generated form type for late filing of notice under Exchange Act Rule 12b-25 of inability to timely file Form N-CSR
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: NTFNCSR/A **Source:** Internally Generated
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: EDGAR generated form type for late amendment to a notice under Exchange Act Rule 12b-25 of inability to timely file Form N-CSR
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: NTFNSAR **Source:** Internally Generated
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: EDGAR generated form type for late filing of notice under Exchange Act Rule 12b-25 of inability to timely file Form N-SAR
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: NTFNSAR/ **Source:** Internally Generated
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: EDGAR generated form type for late amendment to a notice under Exchange Act Rule 12b-25 of inability to timely file Form N-SAR
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: NTN 10D **Source:** Internally Generated
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: EDGAR generated form type for late filing of Rule 12b25 notice of inability to file all or part of Form 10-D
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: NTN 10D/A **Source:** Internally Generated
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: EDGAR generated form type for late amendment to a Rule 12b25 notice of inability to file all or part of Form 10-D
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: NTN 10K **Source:** Internally Generated
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: EDGAR generated form type for late filing of Rule 12b25 notice of inability to file all or part of a Form 10-K, 10-KSB, or 10-KT
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: NTN 10K/A **Source:** Internally Generated
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: EDGAR generated form type for late amendment to a Rule 12b25 notice of inability to file all or part of a Form 10-K, 10-KSB, or 10-KT
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: NTN 10Q **Source:** Internally Generated
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: EDGAR generated form type for late filing of Rule 12b25 notice of inability to file all or part of Form 10-Q or 10-QSB
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: NTN 10Q/A **Source:** Internally Generated
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: EDGAR generated form type for late amendment to a Rule 12b25 notice of inability to file all or part of Form 10-Q or 10-QSB
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: NTN 11K **Source:** Internally Generated
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: EDGAR generated form type for late filing of Rule 12b25 notice of inability to file all or part of Form 11-K
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: NTN 11K/A **Source:** Internally Generated
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: EDGAR generated form type for late amendment to a Rule 12b25 notice of inability to file all or part of Form 11-K
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: NTN 20F **Source:** Internally Generated
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: EDGAR generated form type for late filing of Rule 12b25 notice of inability to file all or part of Form 20-F
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: NTN 20F/A **Source:** Internally Generated
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: EDGAR generated form type for late amendment to a Rule 12b25 notice of inability to file all or part of Form 20-F
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: NTN15D2 **Source:** Internally Generated
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: EDGAR generated form type for late filing of notice under Rule 12b25 of inability to timely file a special report pursuant to section 15d-2
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: NTN15D2/A **Source:** Internally Generated
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: EDGAR generated form type for late amendment to a filing of notice under Rule 12b25 of inability to timely file a special report pursuant to section 15d-2
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: NT-NCSR **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Notice under Exchange Act Rule 12b-25 of inability to timely file Form N-CSR (annual or semi-annual report)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: NT-NCSR/A **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to a notice under Exchange Act Rule 12b-25 of inability to timely file Form N-CSR (annual or semi-annual report)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: NT-NSAR **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Notice under Exchange Act Rule 12b-25 of inability to timely file Form N-SAR
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: NT-NSAR/A **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to a notice under Exchange Act Rule 12b-25 of inability to timely file Form N-SAR
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: OC **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Offering circular under Regulation A
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: OC/A **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Pre-effective amendment for offering circular under Regulation A
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: OIP NTC **Source:** Internally Generated
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: 40-OIP Notice
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: OIP ORDR **Source:** Internally Generated
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: 40-OIP Order
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: OP-COUN **Source:** Paper Only
Form Status Effective **Act:** 33
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Opinion of counsel.
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: OP-COUN/A **Source:** Paper Only
Form Status Effective **Act:** 33
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to an opinion of counsel
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: POS 8C **Source:** Both electronic and paper
Form Status Effective **Act:** 33,33&40
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Post-effective amendment filed under the 1933 Act only or under both the 1933 and 1940 Acts pursuant to Section 8(c) of the 1933 Act by closed-end investment companies (this filing cannot be submitted as a 1940 Act only filing)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: POS AM **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Low **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Post-effective amendment to a registration statement that is not immediately effective upon filing
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: POS AMI **Source:** Both electronic and paper
Form Status Effective **Act:** 40
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment (for filings made under the 1940 Act only)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: POS EX **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Post-effective amendment filed solely to add exhibits to a registration statement
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: POS462B **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Post-effective amendment to Securities Act Rule 462(b) registration statement
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: POS462C **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Post-effective amendment to a registration statement filed under Securities Act Rule 462(c)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: POSASR **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Low **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Post-effective amendment to an automatic shelf registration statement of Form S-3ASR or Form F-3ASR
Fee Type Description: Offering forms based on shares pursuant to section 6(b) of the Securities Act of 1933

EDGAR FormType: PREC14C **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** High **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Subject Company CIK & Filed By CIK
Description: Preliminary information statements - contested solicitations
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: PREM14A **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Medium **EDGARLink Template:** 2
Period: O+ **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Preliminary proxy statement relating to a merger, acquisition, or disposition
Fee Type Description: Proxy forms pursuant to the Securities Exchange Act of 1934

EDGAR FormType: PREM14C **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Medium **EDGARLink Template:** 2
Period: O+ **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Preliminary information statements relating to merger or acquisition
Fee Type Description: Proxy forms pursuant to the Securities Exchange Act of 1934

EDGAR FormType: PREN14A **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Medium **EDGARLink Template:** 2
Period: RA+ **Delaying Amendment:** NA
Filer Code: Subject Company CIK & Filed By CIK
Description: Preliminary proxy statement filed by non-management
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: PRER14A **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Medium **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Preliminary revised proxy soliciting materials
Fee Type Description: Proxy forms pursuant to the Securities Exchange Act of 1934

EDGAR FormType: PRER14C **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Medium **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Preliminary revised information statements
Fee Type Description: Proxy forms pursuant to the Securities Exchange Act of 1934

EDGAR FormType: PRERCON **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Confidential **Priority** High **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Revised preliminary proxy that has a confidential status (PRE CON)
Fee Type Description: Proxy forms pursuant to the Securities Exchange Act of 1934

EDGAR FormType: PRRN14A **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Medium **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Subject Company CIK & Filed By CIK
Description: Revised preliminary proxy statement filed by non-management
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: PWR-ATT **Source:** Paper Only
Form Status Effective **Act:** 33,40
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Power of attorney.
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: PWR-ATT/A **Source:** Paper Only
Form Status Effective **Act:** 33,40
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to a Power of attorney
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: PX14A6G **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Subject Company CIK & Filed By CIK
Description: Notice of exempt solicitation
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: PX14A6N **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Subject Company CIK & Filed By CIK
Description: Notice of exempt solicitation for the purpose of determining whether to solicit proxies, consents, or authorizations in opposition to a proposed roll-up transaction filed pursuant to Rule 14a6(g) of the Securities Exchange Act of 1934
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: QRTLYRPT **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 2
Period: RA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Periodic Development Bank filing, submitted quarterly
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: QRTLYRPT/ **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 2
Period: RA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Amendment to a quarterly Development Bank filing
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: REGDEX **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Notice of sales of securities on Form D (Regulation D)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: REGDEX/A **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to a notice of sales of securities on Form D (Regulation D)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: REG-NR **Source:** Paper Only
Form Status Effective **Act:** 34,40
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Initial undertaking of records for non-resident brokers, dealers and investment advisers
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: REG-NR/A **Source:** Paper Only
Form Status Effective **Act:** 34,40
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Amendment to undertaking of records for non-resident brokers, dealers and investment advisers
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: REV-AO **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Revised amendment to offering circular (Regulation A)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: REV-OC **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Revised offering circular (Regulation A)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: RW **Source:** Both electronic and paper
Form Status Effective **Act:** NE
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Registration Withdrawal Request
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: RW WD **Source:** Both electronic and paper
Form Status Effective **Act:** NE
Privilege: Public **Priority** Medium **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Withdrawal of a request for withdrawal of a registration statement
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: S-1 **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Low **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** RWA
Filer Code: Allows Co-reg
Description: General form of registration statement for all companies including face-amount certificate companies
Fee Type Description: Offering forms based on shares pursuant to section 6(b) of the Securities Act of 1933

EDGAR FormType: S-1/A **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Medium **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Pre-effective amendment to a S-1 registration statement
Fee Type Description: Offering forms based on shares pursuant to section 6(b) of the Securities Act of 1933

EDGAR FormType: S-11 **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Low **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** RWA
Filer Code: Allows Co-reg
Description: Registration statement for securities to be issued by real estate companies
Fee Type Description: Offering forms based on shares pursuant to section 6(b) of the Securities Act of 1933

EDGAR FormType: S-11/A **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Medium **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Pre-effective amendment to a S-11 registration statement
Fee Type Description: Offering forms based on shares pursuant to section 6(b) of the Securities Act of 1933

EDGAR FormType: S-11MEF **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Medium **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: A new registration statement filed under Rule 462(b) to add securities to a prior related effective registration statement filed on Form S-11
Fee Type Description: T+3 Offering forms based on shares pursuant to section 6(b) of the Securities Act of 1933

EDGAR FormType: S-1MEF **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Medium **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: A new registration statement filed under Rule 462(b) to add securities to a prior related effective registration statement filed on Form S-1
Fee Type Description: T+3 Offering forms based on shares pursuant to section 6(b) of the Securities Act of 1933

EDGAR FormType: S-20 **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Low **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** RWA
Filer Code: Allows Co-reg
Description: Registration statement for standardized options
Fee Type Description: Offering forms based on shares pursuant to section 6(b) of the Securities Act of 1933

EDGAR FormType: S-20/A **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Medium **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Pre-effective amendment to a S-20 registration statement
Fee Type Description: Offering forms based on shares pursuant to section 6(b) of the Securities Act of 1933

EDGAR FormType: S-3 **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Low **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** RWA
Filer Code: Allows Co-reg
Description: Registration statement for specified transactions by certain issuers including face-amount certificate companies
Fee Type Description: Offering forms based on shares pursuant to section 6(b) of the Securities Act of 1933

EDGAR FormType: S-3/A **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Medium **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Pre-effective amendment to a S-3 registration statement
Fee Type Description: Offering forms based on shares pursuant to section 6(b) of the Securities Act of 1933

EDGAR FormType: S-3ASR **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Low **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Automatic shelf registration statement of securities of well-known seasoned issuers
Fee Type Description: Offering forms based on shares pursuant to section 6(b) of the Securities Act of 1933

EDGAR FormType: S-3D **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Low **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Automatically effective registration statement for securities issued pursuant to dividend or interest reinvestment plans
Fee Type Description: Offering forms based on shares pursuant to section 6(b) of the Securities Act of 1933

EDGAR FormType: S-3DPOS **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Low **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Post-effective amendment to a S-3D registration statement
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: S-3MEF **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Medium **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: A new registration statement filed under Rule 462(b) to add securities to a prior related effective registration statement filed on Form S-3
Fee Type Description: T+3 Offering forms based on shares pursuant to section 6(b) of the Securities Act of 1933

EDGAR FormType: S-4 **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Low **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** RWA
Filer Code: Allows Co-reg
Description: Registration statement for securities to be issued in business combination transactions
Fee Type Description: Offering forms based on shares pursuant to section 6(b) of the Securities Act of 1933

EDGAR FormType: S-4 POS **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Low **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Post-effective amendment to a S-4EF registration statement
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: S-4/A **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Medium **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Pre-effective amendment to a S-4 registration statement
Fee Type Description: Offering forms based on shares pursuant to section 6(b) of the Securities Act of 1933

EDGAR FormType: S-4EF **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Low **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Auto effective registration statement for securities issued in connection with the formation of a bank or savings and loan holding company in compliance with General Instruction G
Fee Type Description: Offering forms based on shares pursuant to section 6(b) of the Securities Act of 1933

EDGAR FormType: S-4MEF **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Medium **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: A new registration statement filed under Rule 462(b) to add securities to a prior related effective registration statement filed on Form S-4
Fee Type Description: T+3 Offering forms based on shares pursuant to section 6(b) of the Securities Act of 1933

EDGAR FormType: S-6 **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Low **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** RWA
Filer Code: Allows Co-reg
Description: Initial registration statement filed on Form S-6 for unit investment trusts
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: S-6/A **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Medium **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Pre-effective amendment to registration statement filed on Form S-6 for unit investment trusts
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: S-8 **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Low **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Initial registration statement for securities to be offered to employees pursuant to employee benefit plans
Fee Type Description: Offering forms based on shares pursuant to section 6(b) of the Securities Act of 1933

EDGAR FormType: S-8 POS **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Low **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Post-effective amendment to a S-8 registration statement
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: S-B **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Low **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** RWA
Filer Code: Allows Co-reg
Description: Registration statement for securities of foreign governments and subdivisions thereof under the Securities Act of 1933 (Schedule b)
Fee Type Description: Offering forms based on shares pursuant to section 6(b) of the Securities Act of 1933

EDGAR FormType: S-B/A **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Low **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Pre-effective amendment for S-B registration statement
Fee Type Description: Offering forms based on shares pursuant to section 6(b) of the Securities Act of 1933

EDGAR FormType: S-BMEF **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Medium **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: A new registration statement filed under Rule 462(b) to add securities to a prior related effective registration statement filed on Form S-B
Fee Type Description: T+3 Offering forms based on shares pursuant to section 6(b) of the Securities Act of 1933

EDGAR FormType: SC 13D **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** High **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Group Member/Subject Company/Filed By
Description: Schedule filed to report acquisition of beneficial ownership of 5% or more of a class of equity securities
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: SC 13D/A **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** High **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Group Member/Subject Company/Filed By
Description: Amendment to a Schedule 13-D filing
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: SC 13E1 **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** High **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Subject Company CIK & Filed By CIK
Description: Schedule 13-E1 statement of issuer required by Rule 13e-1
Fee Type Description: Transactional forms pursuant to the Securities Exchange Act of 1934

EDGAR FormType: SC 13E1/A **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** High **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Subject Company CIK & Filed By CIK
Description: Amendment to a Schedule 13-E1 filing
Fee Type Description: Transactional forms pursuant to the Securities Exchange Act of 1934

EDGAR FormType: SC 13E3 **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** High **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Group Member/Subject Company/Filed By
Description: Schedule filed to report going private transactions
Fee Type Description: Transactional forms pursuant to the Securities Exchange Act of 1934

EDGAR FormType: SC 13E3/A **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** High **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Group Member/Subject Company/Filed By
Description: Amendment to a Schedule 13-E3 filing
Fee Type Description: Transactional forms pursuant to the Securities Exchange Act of 1934

EDGAR FormType: SC 13G **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** High **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Group Member/Subject Company/Filed By
Description: Schedule filed to report acquisition of beneficial ownership of 5% or more of a class of equity securities by passive investors and certain institutions
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: SC 13G/A **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** High **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Group Member/Subject Company/Filed By
Description: Amendment to a Schedule 13-G filing
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: SC 14D9 **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** High **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Group Member/Subject Company/Filed By
Description: Tender offer solicitation / recommendation statements filed under Rule 14-d9
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: SC 14D9/A **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** High **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Group Member/Subject Company/Filed By
Description: Amendment to a Schedule 14-D9 filing
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: SC 14F1 **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** High **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Subject Company CIK & Filed By CIK
Description: Statement regarding change in majority of directors pursuant to Rule 14f-1
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: SC 14F1/A **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** High **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Subject Company CIK & Filed By CIK
Description: Amendment to a Schedule 14-F1 filing
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: SC TO-C **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** High **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Group Member/Subject Company/Filed By
Description: Written communication relating to an issuer or third party tender offer. Note:
Form Schedule TO-C can be filed as part of Form 8-K. For filers subject to 8-K
filing requirements, we recommend the use of the 8-K combined form type as
the easiest method for fulfilling your filing requirement for both forms.
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: SC TO-I **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** High **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Group Member/Subject Company/Filed By
Description: Issuer tender offer statement
Fee Type Description: Transactional forms pursuant to the Securities Exchange Act of 1934

EDGAR FormType: SC TO-I/A **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** High **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Group Member/Subject Company/Filed By
Description: Amendment to a Schedule TO-I filing
Fee Type Description: Transactional forms pursuant to the Securities Exchange Act of 1934

EDGAR FormType: SC TO-T **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** High **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Group Member/Subject Company/Filed By
Description: Third party tender offer statement
Fee Type Description: Transactional forms pursuant to the Securities Exchange Act of 1934

EDGAR FormType: SC TO-T/A **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** High **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Group Member/Subject Company/Filed By
Description: Amendment to a Schedule TO-T filing
Fee Type Description: Transactional forms pursuant to the Securities Exchange Act of 1934

EDGAR FormType: SC13E4F **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** High **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Group Member/Subject Company/Filed By
Description: Issuer tender offer statement filed pursuant to Rule 13(e)(4) by foreign issuers
Fee Type Description: Transactional forms pursuant to the Securities Exchange Act of 1934

EDGAR FormType: SC13E4F/A **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** High **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Group Member/Subject Company/Filed By
Description: Amendment to a Schedule 13E4F filing
Fee Type Description: Transactional forms pursuant to the Securities Exchange Act of 1934

EDGAR FormType: SC14D1F **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** High **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Group Member/Subject Company/Filed By
Description: Third party tender offer statement filed pursuant to Rule 14d-1(b) by foreign issuers
Fee Type Description: Transactional forms pursuant to the Securities Exchange Act of 1934

EDGAR FormType: SC14D1F/A **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** High **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Group Member/Subject Company/Filed By
Description: Pre-effective amendment for Schedule 14D1F filing
Fee Type Description: Transactional forms pursuant to the Securities Exchange Act of 1934

EDGAR FormType: SC14D9C **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** High **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Group Member/Subject Company/Filed By
Description: Written communication by the subject company relating to a third party tender offer
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: SC14D9F **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** High **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Group Member/Subject Company/Filed By
Description: Solicitation/recommendation statement pursuant to Section 14(d)(4) of the Securities Exchange Act of 1934 and Rules 14d-1(b) and 14e-2(c) by foreign issuers
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: SC14D9F/A **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** High **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Group Member/Subject Company/Filed By
Description: Pre-effective amendment for Schedule 14D9F filing
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: SH-NT/A **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Amendment to a Weekly Form SH Notice Report Filed by Institutional
Investment Managers
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: SL **Source:** Paper Only
Form Status Effective **Act:** NE
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Sales literature (not for use by registered investment companies)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: SL/A **Source:** Paper Only
Form Status Effective **Act:** NE
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment for sales literature (not for use by registered investment
companies)
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: SP 15D2 **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Special Financial Report filed under Rule 15d-2
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: SP 15D2/A **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: RA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to a Special Financial Report filed under Rule 15d-2
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: SUPPL **Source:** Both electronic and paper
Form Status Effective **Act:** NE
Privilege: Public **Priority** Low **EDGARLink Template:** 2
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Voluntary supplemental material filed pursuant to Section 11(a) of the Securities Act of 1933 by foreign issuers
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: T-3 **Source:** Both electronic and paper
Form Status Effective **Act:** 39
Privilege: Public **Priority** Low **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Initial application for qualification of trust indentures
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: T-3/A **Source:** Both electronic and paper
Form Status Effective **Act:** 39
Privilege: Public **Priority** Medium **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Pre-effective amendment to an application for qualification of trust indentures
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: T-6 **Source:** Both electronic and paper
Form Status Effective **Act:** 39
Privilege: Public **Priority** Low **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Application for determination of eligibility of a foreign person to act as institutional trustee filed pursuant to Section 310(a)(1) of the Trust Indenture Act of 1939
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: T-6/A **Source:** Both electronic and paper
Form Status Effective **Act:** 39
Privilege: Public **Priority** Low **EDGARLink Template:** 1
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Pre-effective amendment for application for determination of eligibility of a foreign person to act as institutional trustee filed pursuant to Section 310(a)(1) of the Trust Indenture Act of 1939
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: TA-1 **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Application for registration as a transfer agent filed pursuant to the Securities Exchange Act of 1934
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: TA-1/A **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Amendment for application for registration as a transfer agent filed pursuant to the Securities Exchange Act of 1934
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: TA-2 **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: RA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Annual report of transfer agent activities filed pursuant to the Securities Exchange Act of 1934
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: TA-2/A **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: RA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Amendment for annual report of transfer agent activities filed pursuant to the Securities Exchange Act of 1934
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: TACO **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Cancellation of registered transfer agents by commission order
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: TA-W **Source:** Both electronic and paper
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Notice of withdrawal from registration as transfer agent filed pursuant to the Securities Exchange Act of 1934
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: TTW **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: A "test the waters" submission pursuant to Regulation A. The purpose of this filing is to test for potential investor interest before an offering circular is filed
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: TTW/A **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment for a "test the waters" submission pursuant to Regulation A
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: UNDER **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Initial undertaking to file reports
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: UNDER/A **Source:** Both electronic and paper
Form Status Effective **Act:** 33
Privilege: Public **Priority** Low **EDGARLink Template:** 3
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Amendment to undertaking to file reports
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: WDL-REQ **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: NA **Delaying Amendment:** NA
Filer Code: Allows Co-reg
Description: Withdrawal request for materials filed under Regulation A only
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: X-17A-5 **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: RA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Annual audit report by brokers or dealers
Fee Type Description: Non Fee Bearing Form

EDGAR FormType: X-17A-5/A **Source:** Paper Only
Form Status Effective **Act:** 34
Privilege: Public **Priority** Low **EDGARLink Template:** NA
Period: RA **Delaying Amendment:** NA
Filer Code: Single Registrant
Description: Amendment to annual audit report by brokers or dealers
Fee Type Description: Non Fee Bearing Form
