

UNITED STATES OF AMERICA  
before the  
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934  
Release No. 92683 / August 17, 2021

Admin. Proc. File No. 3-19333

In the Matter of  
  
BARRY LISS

ORDER DISMISSING PROCEEDING

On August 13, 2019, we instituted an administrative proceeding against Barry Liss, pursuant to Section 15(b) of the Securities Exchange Act of 1934, based on allegations that Liss had been permanently enjoined from violations of Sections 5(a) and 5(c) of the Securities Act of 1933 and Exchange Act Section 15(a) for misconduct that occurred while he was associated with a broker-dealer.<sup>1</sup> On July 27, 2021, the Division filed a Notice of Respondent's Death stating that it had learned of Liss's death and requesting that the proceeding be discontinued as a result. Under the circumstances, it is appropriate to grant the Division's request.

Accordingly, IT IS ORDERED that the administrative proceeding against Barry Liss be dismissed.

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Vanessa A. Countryman  
Secretary

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<sup>1</sup> *Barry Liss*, Exchange Act Release No. 86638, 2019 WL 3814363 (Aug. 13, 2019).