

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

INVESTMENT ADVISERS ACT OF 1940
Release No. 5273 / July 2, 2019

Admin. Proc. File No. 3-15631

In the Matter of

COREY RIBOTSKY

**CORRECTED ORDER VACATING BROKER, DEALER, MUNICIPAL SECURITIES
DEALER, AND TRANSFER AGENT BARS**

Corey Ribotsky seeks to vacate an order, dated December 3, 2013 (the “Order”), to the extent that it bars him from association with a broker, dealer, municipal securities dealer, or transfer agent, subject to a right to reapply after four years.¹ The bars at issue were imposed based solely on conduct occurring prior to July 22, 2010, the effective date of the Dodd-Frank Wall Street Reform and Consumer Protection Act.² Accordingly, in our discretion, we vacate the Order to the extent it prohibits Corey Ribotsky from associating with a broker, dealer, municipal securities dealer, or transfer agent, subject to a right to reapply after four years, but otherwise leave the Order unmodified.

By the Commission.

Vanessa A. Countryman
Secretary

¹ See *Corey Ribotsky*, Advisers Act Release No. 3730, 2013 WL 6237447 (Dec. 3, 2013). We previously vacated bars from associating with a municipal advisor and a nationally recognized statistical rating organization that were imposed in the Order. *Corey Ribotsky*, Advisers Act Release No. 4396, 2016 WL 3030886 (May 26, 2016).

² See *Bartko v. SEC*, 845 F.3d 1217, 1225 (D.C. Cir. 2017); Commission Statement Regarding Decision in *Bartko v. SEC* (Feb. 23, 2017), available at <https://www.sec.gov/news/statement/commission-statement-regarding-bartko-v-sec.html>.