In the Matter of
KIMON P. DAIFOTIS

ORDER VACATING MUNICIPAL SECURITIES DEALER AND TRANSFER AGENT BARS

Kimon P. Daifotis seeks to vacate an order, dated July 18, 2012 (the “Order”), to the extent that it bars him from association with a municipal securities dealer or transfer agent, subject to a right to reapply after three years.¹ The bars at issue were imposed based solely on conduct occurring prior to July 22, 2010, the effective date of the Dodd-Frank Wall Street Reform and Consumer Protection Act.² Accordingly, in our discretion, we vacate the Order to the extent it prohibits Kimon P. Daifotis from associating with a municipal securities dealer or transfer agent, subject to a right to reapply after three years, but otherwise leave the Order unmodified.

By the Commission.

Vanessa A. Countryman
Secretary
