

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934
Release No. 85929 / May 23, 2019

Admin. Proc. File No. 3-19006

In the Matter of
ERNEST J. ROMER, III

ORDER REGARDING SERVICE

On February 22, 2019, the Securities and Exchange Commission issued an order instituting administrative proceedings (“OIP”) against Ernest J. Romer, III, pursuant to Section 15(b) of the Securities Exchange Act of 1934.¹ It appears that there have been no other filings in this proceeding since issuance of the OIP, including as to whether the OIP was served upon Romer. Accordingly, IT IS ORDERED that the Division of Enforcement file a status report concerning service of the OIP by June 6, 2019, and every 28 days thereafter until service is accomplished.

The parties are reminded that an electronic courtesy copy of each filing should be emailed to APFilings@sec.gov in PDF text-searchable format.

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Vanessa A. Countryman
Acting Secretary

¹ *Ernest J. Romer, III*, Exchange Act Release No. 85177, 2019 WL 857541; *see* 15 U.S.C. § 78o(b).