

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934
Release No. 83573 / June 29, 2018

Admin. Proc. File No. 3-18416

In the Matter of the Application of

BRADLEY C. WILLIAMS

For Review of Disciplinary Action Taken by

FINRA

ORDER GRANTING MOTION TO WITHDRAW APPLICATION FOR REVIEW

On March 8, 2018, FINRA barred Bradley C. Williams from associating with any FINRA member. Williams's bar stemmed from his failure to timely respond to requests for information and documents under FINRA Rule 8210. On March 28, 2018, Williams filed an application for review of FINRA's action, to which he attached documents responsive to FINRA's original inquiry.

On April 11, 2018, Williams filed a notice of withdrawal of his application for review. In the notice, Williams explained that, "[s]ince filing the application for review," he had "communicated with the staff of FINRA and FINRA has agreed to terminate the suspension and vacate the bar." Under the circumstances, it is appropriate to grant Williams' request.

Accordingly, IT IS ORDERED that the request by Bradley C. Williams to withdraw his application for review is granted and that this review proceeding is dismissed.

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Brent J. Fields
Secretary