

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION
April 2, 2018

SECURITIES EXCHANGE ACT OF 1934
Release No. 82980 / April 2, 2018

Admin. Proc. File No. 3-18382

In the Matter of the Application of
DAKOTA SECURITIES INTERNATIONAL, INC.
For Review of Action Taken by
FINRA

ORDER
SCHEDULING
BRIEFS

Dakota Securities International, Inc. filed an application for review of action taken against it by the Financial Industry Regulatory Authority (“FINRA”). FINRA filed a copy of the index to the record on March 12, 2018, pursuant to Rule 420(d) of the Rules of Practice.¹

Accordingly, IT IS ORDERED, pursuant to Rule 450(a) of the Rules of Practice,² that a brief in support of the application for review shall be filed by May 2, 2018. A brief in opposition shall be filed by June 1, 2018, and any reply brief shall be filed by June 15, 2018.³ Pursuant to Rule 180(c) of the Rules of Practice,⁴ failure to file a brief in support of the application may result in dismissal of this review proceeding.

¹ 17 C.F.R. § 201.420(d).

² 17 C.F.R. § 201.450(a).

³ As provided by Rule 450(a), no briefs in addition to those specified in this schedule may be filed without leave of the Commission. Attention is called to Rules of Practice 150 - 153, 17 C.F.R. § 201.150 - 153, with respect to form and service, and Rule of Practice 450(b) and (c), 17 C.F.R. § 201.450(b), (c), with respect to content and length limitations. Requests for extensions of time to file briefs will be disfavored.

⁴ 17 C.F.R. § 201.180(c).

In their briefs, the parties should address, in addition to any other issues on appeal, whether the Commission has jurisdiction over Dakota's appeal pursuant to Section 19(d)(2) of the Securities Exchange Act of 1934.⁵

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Brent J. Fields
Secretary

⁵ 15 U.S.C. § 78s(d)(2).