

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934
Release No. 78470 / August 2, 2016

Admin. Proc. File No. 3-15266

In the Matter of

SEAN NATHAN HEALY

ORDER DENYING REQUEST TO VACATE BARS

Sean Nathan Healy seeks to vacate an administrative order, dated April 8, 2013 (the "Order"), to the extent that it bars him from association with any nationally recognized statistical rating organization ("NRSRO") or municipal advisor.¹

The Order barred Healy from "association with any broker, dealer, investment adviser, municipal securities dealer, or transfer agent" and from "participating in any offering of a penny stock, including: acting as a promoter, finder, consultant, agent or other person who engages in activities with a broker, dealer or issuer for purposes of the issuance or trading in any penny stock, or inducing or attempting to induce the purchase or sale of any penny stock. . . ." It did not, however, bar him from association with an NRSRO or a municipal advisor. Accordingly, Sean Nathan Healy's request to vacate NRSRO and municipal advisor bars is denied.

By the Commission.

Brent J. Fields
Secretary

¹ See *Sean Nathan Healy*, Exchange Act Release No. 69345, 2013 WL 1401635 (Apr. 8, 2013).