

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION
November 24, 2015

SECURITIES EXCHANGE ACT OF 1934
Release No. 76517 / November 24, 2015

Admin. Proc. File No. 3-16933

In the Matter of the Application of
DAWSON JAMES SECURITIES, INC.
For Review of Action Taken by
FINRA

ORDER
SCHEDULING
BRIEFS

Dawson James Securities, Inc., filed an application, pursuant to Section 19(d)(2) of the Securities Exchange Act of 1934,¹ for review of action taken against it by the Financial Industry Regulatory Authority ("FINRA"). FINRA filed a copy of the index to the record on November 12, 2015, pursuant to Rule 420(d) of the Rules of Practice.²

Accordingly, IT IS ORDERED, pursuant to Rule 450(a) of the Rules of Practice,³ that a brief in support of the application for review shall be filed by December 28, 2015. A brief in

¹ 15 U.S.C. § 78s(d)(2).

² 17 C.F.R. § 201.420(d).

³ 17 C.F.R. § 201.450(a).

opposition shall be filed by January 27, 2016, and any reply brief shall be filed by February 11, 2016.⁴ Pursuant to Rule 180(c) of the Rules of Practice,⁵ failure to file a brief in support of the application may result in dismissal of this review proceeding.

For the Commission, by the Office of General Counsel, pursuant to delegated authority.

Brent J. Fields
Secretary

⁴ As provided by Rule 450(a), no briefs in addition to those specified in this schedule may be filed without leave of the Commission. Attention is called to Rules of Practice 150 - 153, 17 C.F.R. § 201.150 - 153, with respect to form and service, and Rule of Practice 450(b) and (c), 17 C.F.R. § 201.450(b), (c), with respect to content and length limitations. Requests for extensions of time to file briefs will be disfavored.

⁵ 17 C.F.R. § 201.180(c).