

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION
November 20, 2015

SECURITIES ACT OF 1933
Release No. 9979 / November 20, 2015

SECURITIES EXCHANGE ACT OF 1934
Release No. 76502 / November 20, 2015

INVESTMENT ADVISERS ACT OF 1940
Release No. 4275 / November 20, 2015

INVESTMENT COMPANY ACT OF 1940
Release No. 31908 / November 20, 2015

Admin. Proc. File No. 3-15842

In the Matter of

JACOB KEITH COOPER

ORDER DIRECTING
THE FILING OF BRIEFS

On August 17, 2015, Chief Administrative Law Judge Brenda P. Murray issued an Initial Decision with respect to Jacob Keith Cooper. The Initial Decision stated, among other things, that “a party may file a petition for review of this Initial Decision within twenty-one days after service of the Initial Decision.” On October 13, 2015, the Commission issued a Notice that Initial Decision Has Become Final with respect to the Initial Decision (“Finality Notice”). The Finality Notice stated that “[t]he time for filing a petition of review of the initial decision in this proceeding has expired,” and that Jacob Keith Cooper had not filed a petition. Accordingly, the Finality Notice stated that the Initial Decision had become the final decision of the Commission with respect to Jacob Keith Cooper. On November 17, 2015, Jacob Keith Cooper filed a petition for review pursuant to Rule 410(a) of the Commission’s Rules of Practice requesting that the Commission review the initial decision.

At this time, the Commission requests the views of the parties as to whether Cooper's appeal should be dismissed as untimely pursuant to Commission Rule of Practice 410(b).

It is ORDERED that the parties file briefs on this issue, not to exceed five pages, by December 8, 2015. Responses to the initial briefs, not to exceed three pages, may be filed by December 15, 2015, and no further briefs may be filed without seeking leave from the Commission.

For the Commission, by the Office of General Counsel, pursuant to delegated authority.

Brent J. Fields
Secretary