

**UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF FLORIDA**

**CASE NO. 17-MC-22665-CIV-ALTONAGA**

**SECURITIES AND EXCHANGE  
COMMISSION,**

Plaintiff,

v.

**WOODBIDGE GROUP  
OF COMPANIES, LLC,**

Defendant.

**ORDER ON SEC'S APPLICATION TO ENFORCE AN ADMINISTRATIVE  
SUBPOENA AGAINST WOODBRIDGE GROUP OF COMPANIES, LLC**

**THIS CAUSE** came before the Court on the Securities and Exchange Commission's Application for an Order Enforcing an Administrative Subpoena [ECF No. 1].

Having considered the relevant pleadings, having heard argument at the September 18, 2017 hearing [ECF No. 23], and being fully advised, it is

**ORDERED AND ADJUDGED** that the requested relief is **GRANTED** as follows. Pertaining to the SEC's January 31, 2017 Subpoena, Attachment C, 21-46 [ECF No. 1-2]] Defendant, Woodbridge Group of Companies, LLC, shall:

**A.** On or before **October 2, 2017**, produce to the SEC all non-privileged emails for the time period of January 1, 2012 to the date of this Order which were sent to, sent from, or received by:

(1) Robert Shapiro (through his aol.com account(s) and/or Woodbridge e-mail account(s));

(2) Dayne Roseman; and

(3) Nina Pederson (through her aol.com account(s) and/or Woodbridge e-mail account(s));

limited by the 23 search terms identified in Exhibit A attached hereto. Woodbridge shall also produce a log of any e-mails withheld on the basis of privilege.

**B.** On or before **October 16, 2017**, produce to the SEC all non-privileged e-mails for the time period of January 1, 2012 to the date of this Order which were sent to, sent from, or received by:

(1) Robert Shapiro (through his aol.com account(s) and/or Woodbridge e-mail account(s));

(2) Dayne Roseman; and

(3) Nina Pederson (through her aol.com account(s) and/or Woodbridge e-mail account(s));

limited by the 30 search terms identified in Exhibit B attached hereto. Woodbridge shall also produce a log of any e-mails withheld on the basis of privilege.

**C.** On or before **October 30, 2017**, produce to the SEC all non-privileged e-mails for the time period of January 1, 2012 to the date of this Order which were sent to, sent from, or received by:

(1) Diana Balayan;

(2) Rick Salvato;

(3) Matthew Schwartz;

(4) Scott Schwartz;

(5) Joe Hughis;

(6) David Goldman;

(7) Donovan Knowles;

(8) Brook Church-Koegel;

(9) Ronald Diez; and

(10) Alan Shvarts;

limited by the 23 search terms identified in Exhibit A attached hereto. Woodbridge shall also produce a log of any e-mails withheld on the basis of privilege.

**D.** On or before **November 13, 2017**, produce to the SEC all non-privileged e-mails for the time period of January 1, 2012 to the date of this Order which were sent to, sent from, or received by:

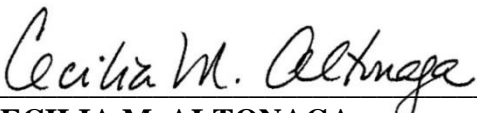
- (1) Diana Balayan;
- (2) Rick Salvato;
- (3) Matthew Schwartz;
- (4) Scott Schwartz;
- (5) Joe Hughis;
- (6) David Goldman;
- (7) Donovan Knowles;
- (8) Brook Church-Koegel;
- (9) Ronald Diez; and
- (10) Alan Shvarts;

limited by the 30 search terms identified in Exhibit B attached hereto. Woodbridge shall also produce a log of any e-mails withheld on the basis of privilege.

**E.** On or before **November 27, 2017**, Woodbridge shall produce any and all remaining documents it intends to produce pursuant to the Subpoena, along with a log of documents withheld on the basis of privilege.

The Clerk is to mark this case as **CLOSED**. However, the Court reserves jurisdiction to enter any and all further orders to enforce the Subpoena and the rulings made herein.

**DONE and ORDERED** in Miami, Florida this 19th day of September, 2017.

  
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**CECILIA M. ALTONAGA**  
**UNITED STATES DISTRICT JUDGE**

cc: counsel of record

**EXHIBIT A**

1. Account
2. Accredited
3. Asset
4. Balance
5. Bonus
6. Budget
7. Commission
8. Default
9. Fund
10. Income
11. Investment
12. Ledger
13. Liability
14. Minutes
15. Offering
16. Private Placement
17. PPM
18. Quarterly
19. Rate
20. Return
21. Revenue
22. Shapiro
23. Subscription

**EXHIBIT B**

Investor

2. Lender

3. Loan

4. "First position commercial mortgage"

5. FPCM

6. Bridge

7. Construction

8. Lottery

9. Structured

10. Settlement

11. Presentation

12. Sales agent

13. Financial planner

14. Advisor

15. Paid

16. Pay

17. Payment

18. Interest

19. Principal

20. Refund

21. Bobshap1@aol.com

22. Mortgage

23. Commission

24. Fee

25. Override

26. Roseman

27. Dayne

28. Nina

29. Pederson

30. Bob