

**EXHIBIT C-2**

**ACCOUNTS BLOCKED**

Blocks are for all fund company's funds unless otherwise noted:

D – all domestic funds

F – specific fund(s)

I – all international funds

FA #'s are shown if they were identified in the block.

Acct. #	Acct. Name	Date Opened	Date of Warning	Date blocked by Fund Company		Date blocked by PSI	
<b>ACM/Alliance</b>							
<b>Chronos</b>							
0BB-96657	CIBC Cayman XP-1	6/2/00		9/19/02	MD	9/19/02	MD
0BB-96658	CIBC Cayman XP-2	6/7/00		1/24/03	MD	1/24/03	MD
0BB-96659	CIBC Cayman XP-3	6/7/00		12/19/02	MD	12/27/02	AD
0BB-96713	CIBC Cayman XP-4	12/6/00		1/28/03	MD	1/28/03	MD
0BB-96714	CIBC Cayman XP-5	12/6/00		10/9/02	MD	10/15/02	MD
0BB-96716	CIBC Cayman XP-6	12/7/00		7/1/02	M5	7/10/02	M5
<b>Global</b>							
0BB-96359	Global Analytical Capital	5/19/98		9/30/02	49	9/30/02	49
0BB-96680	GACF, NV	7/28/00		3/25/03	J1	3/26/03	49
0BB-96705	Analytical Investors	11/3/00		5/8/03	41	5/8/03	41
0BB-96750	Investment Consultants	2/1/01		1/24/03	41	1/24/03	41
0BB-96752	International Advisors	2/14/01		1/24/03	49	1/24/03	49
0BB-96760	Strategic Investors	3/7/01		4/17/03	49	4/22/03	49
0BB-96761	Equity Investors	3/7/01		3/7/03	49	3/7/03	49
0BB-96771	GA Capital	5/14/01		5/6/02	J3	5/8/02	J3
0BB-96772	Global Equity Consultants	5/14/01		11/13/02	J3	11/13/02	J3
0BB-96792	Global Strategies	7/5/01		2/24/03	49	2/25/03	49
0BB-96804	Foreign Investments	8/16/01		2/20/03	49	2/21/03	49

Acct. #	Acct. Name	Date Opened	Date of Warning	Date blocked by Fund Company		Date blocked by PSI	
0BB-96825	Foreign Equity Capital	12/24/01		8/14/03	05	8/14/03	J1
0BB-96826	Int'l Capital Consultants	12/24/01		2/24/03	05	2/25/03	05
041-96620	GACF	6/10/02		8/28/02	14	8/30/02	41
0BB-96900	Global Capital	1/7/03		4/30/03	J1	5/2/03	J1
0BB-96901	Global Analytical	1/7/03		4/1/03	J3	4/2/03	J3
<b>Headstart</b>							
0BB-96552	Headstart Fund B-P5	9/23/99		10/30/02	14	10/30/02	14
0BB-96603	Headstart Fund B-P7	12/30/99		12/6/02	AD	12/6/02	AD
0BB-96615	CIBC Cayman CP-1	2/2/00		1/27/03	MD	1/27/03	MD
0BB-96640	CIBC Cayman CP-2	4/14/00		8/26/03	B6	8/27/03	B6
0BB-96665	CIBC Cayman CP-4	6/15/00				7/6/01	MD
0BB-96694	CIBC Cayman CP-6	9/13/00		8/14/03	MD	8/14/03	MD
0BB-96708	CIBC Cayman CP-7	11/20/00		1/8/02	MD	1/9/02	MD
0BB-96727	Headstart Fund B-P12	12/18/00		11/11/02	14	11/11/02	14
0BB-96746	Headstart Fund B-P15	1/24/01		7/6/01	14		
041-96586	Isis 401 Limited 1	2/15/01				7/6/01	14
041-96587	Mandrake 401 Limited 1	2/28/01				7/6/01	14
041-96589	Mandrake 401 Limited 2	3/15/01		10/29/02	14	10/30/02	14
041-96590	Isis 401 Limited 3	3/15/01		9/8/03	AD	9/8/03	AD
041-96591	Apollo 1	3/28/01				7/6/01	14
041-96592	CIBC Cayman CP-9	4/5/01				7/6/01	14
041-96595	Apollo 2	4/18/01		8/21/03	15	8/27/03	15
ERS-95308	Aquilla 401 Limited 1	4/25/01		9/5/02	15	9/10/02	15
041-96596	Mandrake 401 Limited 3	4/27/01				7/6/01	14
ERS-95309	Mercutio 401 Limited 1	6/5/01		3/25/03	15	3/26/03	15
0BB-96784	Oberon 401 Limited 1	6/5/01		12/3/02	AD	12/3/02	AD
ERS-95310	Mercutio 401 Limited 2	7/2/01		7/25/02	15	8/2/02	AD
ERS-95311	Aquilla 401 Limited 2	7/2/01		8/7/02	15	8/8/02	78
0BB-96790	Oberon 401 Limited 2	7/2/01		2/20/03	50	2/21/03	50

Acct. #	Acct. Name	Date Opened	Date of Warning	Date blocked by Fund Company		Date blocked by PSI	
0BB-96798	Oberon 3	8/10/01		2/20/03	50	2/21/03	50
041-96603	Apollo 3	8/10/01		7/25/02	14	8/2/02	AD
041-96609	CIBC Cayman CP-11	8/17/01		6/6/02	MD		
ERS-95314	Aquilla 401 Limited 3	9/5/01		9/26/02	50	9/26/02	50
041-96613	CIBC Cayman CP-12	10/9/01		8/5/02	M5	8/5/02	M5
ERS-95320	Levi 401 Limited 1	10/31/01		8/21/03	50	8/25/03	50
ERS-95321	Levi 401 Limited 2	10/31/01		11/11/02	50	11/11/02	50
ERS-95322	Mercutio 401 Limited 3	12/5/01		2/5/03	AD	2/5/03	AF
041-96615	Levi 401 Limited 3	1/7/02		1/27/03	AF	1/27/03	AF
ERS-95329	Apollo 4	1/31/02		11/19/02	AF	11/19/02	AF
041-96616	Oberon 401 Limited 4	1/31/02		2/5/03	AF	2/5/03	AF
ERS-95330	Windsor 401-1 Limited	2/5/02		12/19/02	50	12/27/02	AD
ERS-95331	Windsor 401-2 Limited	2/5/02		6/11/02 12/6/02	AD	6/12/02 (F) 12/6/02	AD AD
041-96617	Levi 401 Limited 4	3/4/02		12/6/02	AD	12/6/02	AD
ERS-95332	Mercutio 401 Limited 4	3/4/02		12/3/02	AD	12/3/02	AD
0BB-96847	Windsor 401-3	3/5/02		6/13/02	AD	6/13/02	AD
ERS-95348	Mandrake 401-5	12/5/02		3/11/03	FD	3/11/03	FD
ERS-95349	Atlantis 401 Limited 3	12/5/02		4/11/03	DA	4/16/03	DA
041-96902	Credit Lyonnais	1/10/03		9/8/03	15	9/8/03	15
0BB-96934	Credit Lyonnais	5/7/03		8/14/03	DA	8/14/03	AD
<b>Jemmco</b>							
041-96618	Triad International	4/24/02		12/31/02	15	1/2/03	15
ERS-95338	Campbell International	4/26/02		7/17/02	15	7/23/02	AF
ERS-95339	Cornell International	4/26/02		1/9/03	AD	1/9/03	AD
<b>Pentagon</b>							
0BB-19959	Pentagon Perf. Partners	3/13/00		11/21/02	15	11/21/02	FD
0BB-19960	Pentagon Mgmt. Partners	3/13/00		11/21/02	15	11/21/02	FD
0BB-96748	Pentagon Perf. Partners	1/29/01		10/22/02	AF	10/22/02	AF

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0BB-96758	Pentagon Mgmt. Partners	2/28/01		6/11/02 10/9/02	AD	6/12/02 (F) 10/15/02	15 AF
041-96593	Pentagon Perf. Partners	4/6/01		10/22/02	AF	10/22/02	AF
041-96594	Pentagon Perf. Partners	4/6/01		8/8/02	78	8/8/02	78
041-96597	PPP 4	5/24/01		1/15/03	AF	1/15/03	AF
041-96598	PMP 4	5/24/01		10/9/02	AF	10/15/02	AF
041-96602	P 5	8/3/01		1/15/03	AF	1/15/03	FD
041-96610	P 6 (PPP6)	8/17/01		6/11/02 10/29/02	50	6/12/02 (F) 10/30/02	AF AF
ERS-95312	MP6	8/28/01		8/8/02	15	8/8/02	15
ERS-95313	P 7	8/29/01		6/11/02 10/29/02	AF	6/12/02 (F) 10/30/02	15 AF
ERS-95318	Perf 8	10/4/01		9/26/02	15	9/26/02	15
ERS-95319	Man 7	10/16/01		1/15/03	AF	1/15/03	AF
ERS-95323	Pent. Perf. 9	12/6/01		4/11/03	AF	4/23/03	AF
ERS-95324	PMP 401-8	12/6/01		1/15/03	AF	1/15/03	AF
ERS-95325	PMP 401(9)	12/27/01		8/8/02	15	8/8/02	AF
ERS-95327	M 10	1/10/02		6/11/02 8/8/02	78	6/12/02 (F) 8/8/02	78 AF
ERS-95328	P 10	1/10/02		6/11/02 2/11/03	AF	6/12/02 (F) 2/11/03	DF AF
ERS-95336	PMP 11 Limited	4/17/02		8/22/02	AF	8/23/02	AF
ERS-95337	Performance 401-11	4/17/02		9/5/02	AF	9/10/02	AF
ERS-95341	Management 401-12	11/7/02		1/15/03	DA	1/15/03	DA
0BB-96877	Performance 12 401	11/7/02		1/15/03	DA	1/15/03	DA
ERS-95351	Performance Limited 13	12/23/02		3/7/03	DA	3/7/03	DA
0BB-96889	Management LTD 13	12/23/02		4/11/03	DA	4/16/03	DA
ERS-95355	Pentagon Perform 14	2/27/03		8/22/03	DA	8/25/03	DA
0BB-96919	Pentagon Management 14	2/27/03		4/11/03	23	4/23/03	23
ERS-95356	Pentagon Management 15	2/27/03		4/11/03	DA	4/23/03	DA
0BB-96920	Pentagon Performance 15	2/27/03		8/22/03	DA	8/25/03	DA

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<b>Summa</b>							
0BB-96908	Hilt Ltd.	2/3/03		8/26/03	J1	8/27/03	J1
<b>AIM</b>							
<b>Chronos</b>							
041-96606	Abby Mills	2/20/00	2/14/02				
041-96607	Buckhannon, Inc.	5/11/00		2/11/02	14	2/13/02	14
041-96608	Havers, Inc.	5/11/00		11/8/02	AD	11/11/02	AF
0BB-96799	JST Pan, Inc.	5/11/00		1/13/03	DA	2/5/03	DA
0BB-96802	OXBO, Inc.	5/11/00	11/28/01				
0BB-96803	Rodgars Als, Inc.	5/11/00		7/28/03	DA	7/29/03	DA
0BB-96657	CIBC Cayman XP-1	6/2/00	3/20/02				
0BB-96658	CIBC Cayman XP-2	6/7/00	11/19/01				
0BB-96659	CIBC Cayman XP-3	6/7/00	2/4/02				
0BB-96716	CIBC Cayman XP-6	12/7/00	11/19/01 5/28/02				
ERS-95333	OXBO Inc. II	4/3/02		11/8/02	AD	11/11/02	50
041-96626	Rodgars Als Inc. II	8/7/02		1/31/03	DA	2/5/03	DA
<b>Global</b>							
0BB-96359	Global Analytical	5/19/98	9/23/02				
0BB-96680	GACF, NV	7/28/00	12/16/02				
0BB-96696	Capital Investors	9/21/00		5/16/03	49	5/19/03	49
0BB-96705	Analytical Investors	11/3/00		6/26/01		6/29/01	41
0BB-96750	Investment Consultants	2/1/01		1/16/03	41	1/22/03	41
0BB-96760	Strategic Investors	3/7/01		3/3/03	49	3/4/03	49
0BB-96761	Equity Investors	3/7/01		1/16/03	49	1/22/03	49
0BB-96771	GA Capital	5/14/01	9/16/02	3/4/03	J3	3/11/03	J3
0BB-96792	Global Strategies	7/5/01	10/18/02				
0BB-96804	Foreign Investments	8/16/01		2/3/03	49	2/4/03	49
0BB-96825	Foreign Equity Capital	12/24/01	9/25/02				

Acct. #	Acct. Name	Date Opened	Date of Warning	Date blocked by Fund Company		Date blocked by PSI	
0BB-96826	Int'l Capital Consultants	12/24/01		11/15/02	05	11/19/02	05
041-96620	GACF	6/10/02	9/13/02				
0BB-96900	Global Capital	1/7/03		4/9/03	J1	4/10/03	J1
0BB-96901	Global Analytical	1/7/03		1/24/03	J3	1/27/03	J3
<b>Headstart</b>							
0BB-96488	Headstart Fund B-P1	7/7/99	2/27/02	8/22/00			
0BB-96523	Headstart Fund B-P2	7/23/99	2/27/02	8/22/00			
0BB-96524	Headstart Fund B-P3	7/23/99	2/27/02	6/6/00			
0BB-96525	Headstart Fund B-P4	7/23/99		11/8/02	78	11/11/02	FD
0BB-96552	Headstart Fund B-P5	9/23/99	3/6/02	6/6/00			
0BB-96582	Headstart Fund B-P6	11/18/99	12/7/01	8/22/00			
0BB-96603	Headstart Fund B-P7	12/30/99	6/26/02	8/22/00			
0BB-96640	CIBC Cayman CP-2	4/14/00				8/26/03	B6
0BB-96662	Headstart Fund B-P9	6/13/00	4/3/02				
0BB-96665	CIBC Cayman CP-4	6/15/00	2/27/02				
0BB-96677	CIBC Cayman CP-5	7/18/00	2/27/02				
0BB-96694	CIBC Cayman CP-6	9/13/00	3/20/01				
0BB-96708	CIBC Cayman CP-7	11/20/00	3/6/01 4/3/02				
0BB-96732	Headstart Fund B-P14	12/22/00		3/1/01			
0BB-96746	Headstart Fund B-P15	1/24/01	3/1/01	8/9/02	78	8/13/02	AF
0BB-96749	CIBC Cayman CP-8	2/1/01	4/3/02	3/12/01			
041-96586	Isis 401 Limited 1	2/15/01	3/12/01				
041-96587	Mandrake 401 Limited 1	2/28/01		2/19/01 12/12/02	DA	12/13/02	DA
041-96588	Isis 401 Limited 2	3/14/01	4/10/01 6/26/02				
041-96589	Mandrake 401 Limited 2	3/15/01	4/16/01	4/18/01 12/12/02	DA	12/13/02	DA
041-96590	Isis 401 Limited 3	3/15/01	5/15/01	11/8/02	AD	11/11/02	AD
041-96591	Apollo 1	3/28/01	5/7/01				

Acct. #	Acct. Name	Date Opened	Date of Warning	Date blocked by Fund Company		Date blocked by PSI	
041-96592	CIBC Cayman CP-9	4/5/01	5/15/01 2/27/02				
041-96595	Apollo 2	4/18/01	6/13/01				
ERS-95308	Aquilla 401 Limited 1	4/25/01	6/18/01 3/8/02				
041-96596	Mandrake 401 Limited 3	4/27/01		11/8/02	14	11/11/02	14
ERS-95309	Mercutio 401 Limited 1	6/5/01	7/17/01 3/8/02				
0BB-96784	Oberon 401 Limited 1	6/5/01	6/25/01				
041-96599	CIBC Cayman CP-10	6/15/01	7/17/01				
ERS-95310	Mercutio 401 Limited 2	7/2/01		7/11/03	DA	7/19/03	DA
ERS-95311	Aquilla 401 Limited 2	7/2/01	7/19/02	11/8/02	78	11/11/02	78
0BB-96798	Oberon 3	8/10/01	11/15/01				
041-96603	Apollo 3	8/10/01		11/8/02	15	11/11/02	15
041-96609	CIBC Cayman CP-11	8/17/01	11/21/01				
ERS-95314	Aquilla 401 Limited 3	9/5/01	2/4/02				
041-96613	CIBC Cayman CP-12	10/9/01	2/4/02				
ERS-95321	Levi 401 Limited 2	10/31/01	1/28/02				
ERS-95322	Mercutio 401 Limited 3	12/5/01	1/28/02				
041-96615	Levi 401 Limited 3	1/7/02	2/19/02				
ERS-95326	Mandrake 401 Limited 4	1/7/02	2/4/02				
ERS-95329	Apollo 4	1/31/02	2/27/02				
041-96616	Oberon 401 Limited 4	1/31/02	2/27/02				
ERS-95330	Windsor 401-1 Limited	2/5/02				7/10/02	
ERS-95331	Windsor 401-2 Limited	2/5/02	2/27/02				
041-96617	Levi 401 Limited 4	3/4/02	6/7/02				
ERS-95332	Mercutio 401 Limited 4	3/4/02	5/3/02				
0BB-96847	Windsor 401-3	3/5/02	5/21/02				
ERS-95340	Ratings Holdings	9/19/02		10/14/02	DA	10/16/02	DA
0BB-96870	Credit Lyonnais	9/24/02		11/8/02	DA	11/11/02	DA

Acct. #	Acct. Name	Date Opened	Date of Warning	Date blocked by Fund Company		Date blocked by PSI	
ERS-95347	Credit Lyonnais	12/2/02		1/16/03	DA	1/22/03	DA
041-96628	Atlantis 401-2	12/5/02		2/18/03	DA	2/21/03	DA
ERS-95349	Atlantis 401 Limited 3	12/5/02		3/3/03	DA	3/4/03	DA
041-83558	Marks Securities	2/27/03		6/6/03	DA	6/16/03	DA
0BB-96934	Credit Lyonnais	5/7/03		7/28/03	DA	7/29/03	DA
<b>Jemmco</b>							
041-83265	Jaguar Capital	4/4/02	6/7/02				
ERS-05756	Liverpool Capital	4/4/02		11/8/02	DA	11/11/02	DA
ERS-95339	Cornell International	4/26/02		10/14/02	DA	10/16/02	DA
<b>Pentagon</b>							
0BB-19959	Pentagon Perf. Partners	3/13/00	12/5/00				
0BB-19960	Pentagon Mgmt. Partners	3/13/00		1/25/01			
0BB-96748	Pentagon Perf. Partners	1/29/01	3/8/02	4/20/01			
0BB-96758	Pentagon Mgmt. Partners	2/28/01	4/10/01 3/25/02				
041-96593	Pentagon Perf. Partners	4/6/01	6/13/01 3/8/02				
041-96594	Pentagon Perf. Partners	4/6/01	10/26/01 4/16/02				
041-96597	PPP 4	5/24/01	6/26/01 4/23/02				
041-96598	PMP 4	5/24/01	6/27/01 5/3/02	7/16/01			
0BB-96785	Management 5	6/7/01	8/1/01 5/3/02				
041-96602	P 5	8/3/01	10/26/01	7/28/03	DA	7/29/03	DA
041-96610	P 6 (PPP6)	8/17/01	10/26/01				
ERS-95312	MP6	8/28/01	10/31/01	7/28/03	DA	7/29/03	DA
ERS-95313	P 7	8/29/01	2/4/02				
ERS-95318	Perf 8	10/4/01	11/13/01				
ERS-95319	Man 7	10/16/01	11/29/01				



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ERS-95323	Pent. Perf. 9	12/6/01	2/22/02				
ERS-95324	PMP 401-8	12/6/01	1/31/02				
ERS-95325	PMP 401(9)	12/27/01	2/22/02				
ERS-95327	M 10	1/10/02	2/28/02	5/22/03	DA	5/29/03	DA
ERS-95328	P 10	1/10/02	3/25/02				
ERS-95337	Performance 401-11	4/17/02		11/8/02	78	11/11/02	AF
ERS-95341	Management 401-12	11/7/02		1/15/03	DA	1/16/03	DA
0BB-96877	Performance 12 401	11/7/02		1/15/03	DA	1/16/03	DA
ERS-95351	Performance Limited 13	12/23/02		1/31/03	DA	2/5/03	DA
0BB-96889	Management LTD 13	12/23/02		2/13/03	DA	2/18/03	DA
ERS-95355	Pentagon Perform 14	2/27/03		6/30/03	DA	7/9/03	DA
ERS-95356	Pentagon Mgmt. 15	2/27/03		5/22/03	DA	5/29/03	DA
0BB-96919	Pentagon Mgmt. 14	2/27/03		7/28/03	DA	7/29/03	DA
<b>Ritchie</b>							
0BB-96717	Blue Spruce Inc.	12/8/00	3/23/01				
0BB-96718	Clear Brook Inc.	12/8/00	3/2/01				
0BB-96719	Whitespruce Inc.	12/8/00	2/22/01				
0BB-96720	Pine Hills Inc.	12/8/00	11/14/01				
0BB-96721	Rock Hill Inc.	12/8/00	11/14/01				
0BB-96782	Pine Hills Inc. II	6/4/01	10/12/01				
0BB-96783	Rock Hill Inc. II	6/4/01	10/12/01				
041-96612	Canadian Imperial R-1	9/7/01	6/7/02				
ERS-95316	Canadian Imperial R-5	9/10/01	11/27/01 3/26/02				
ERS-95317	Canadian Imperial R-4	9/10/01	11/27/01 3/26/02				
0BB-96811	Clear Brook Inc. II	9/28/01	6/12/02				
0BB-96813	Whitespruce Inc. II	10/2/01	5/1/02				
0BB-96834	Blue Spruce Inc. III	1/2/02	6/17/02				
<b>Summa</b>							

Acct. #	Acct. Name	Date Opened	Date of Warning	Date blocked by Fund Company		Date blocked by PSI	
0BB-96907	Canco Ltd.	2/3/03		4/23/03	05	5/15/03	05
0BB-96909	Parr Ltd.	2/3/03		5/16/03	41	5/19/03	41
<b>American Century</b>							
<b>Chronos</b>							
041-96608	Havers, Inc.	5/11/00		2/20/02		2/21/02	14
0BB-96799	JST Pan, Inc.	5/11/00		6/26/02 (F)	14		
0BB-96657	CIBC Cayman XP-1	6/2/00		2/20/02		3/4/02	MD
0BB-96658	CIBC Cayman XP-2	6/7/00		2/20/02		3/4/02	MD
ERS-95333	OXBO Inc. II	4/3/02		6/26/02 (F) 7/24/02	50 50	7/25/02	50
<b>Global</b>							
0BB-96760	Strategic Investors	3/7/01		7/17/03	49	9/16/03?	49
0BB-96792	Global Strategies	7/5/01		2/20/02		3/4/02	49
0BB-96804	Foreign Investments	8/16/01		2/20/02		3/4/02	49
<b>Headstart</b>							
0BB-96488	Headstart Fund B-P1	7/7/99		2/20/02			
0BB-96523	Headstart Fund B-P2	7/23/99		2/20/02		3/4/02	50
0BB-96615	CIBC Cayman CP-1	2/2/00		2/20/02		3/4/02	MD
0BB-96653	CIBC Cayman CP-3	5/9/00		6/26/02 (F) 7/24/02	MD M5	7/9/02 (F) 7/25/02	14 14
0BB-96665	CIBC Cayman CP-4	6/15/00		2/20/02		3/4/02	MD
041-96586	Isis 401 Limited 1	2/15/01		2/20/02		2/21/02	50
041-96592	CIBC Cayman CP-9	4/5/01		2/20/02		2/21/02	MD
041-96599	CIBC Cayman CP-10	6/15/01		2/20/02		2/21/02	M5
0BB-96790	Oberon 401 Limited 2	7/2/01		2/20/02		3/4/02	50
0BB-96798	Oberon 3	8/10/01		2/20/02		3/4/02	50
041-96603	Apollo 3	8/10/01		7/24/02	AD	7/24/02	AD
041-96613	CIBC Cayman CP-12	10/9/01		2/20/02		2/21/02	M5
ERS-95330	Windsor 401-1 Limited	2/5/02		6/26/02 (F) 7/24/02	50 50	7/9/02 (F) 7/25/02	50 50

Acct. #	Acct. Name	Date Opened	Date of Warning	Date blocked by Fund Company		Date blocked by PSI	
ERS-95331	Windsor 401-2 Limited	2/5/02		6/26/02 (F) 7/24/02	78 AD	7/9/02 (F) 7/25/02	AD AD
<b>Jemco</b>							
041-83266	Sequential Capital	4/4/02		6/26/02 (F)	AD	7/9/02 (F)	AD
0BB-22932	Sherlock Capital	4/4/02		6/26/02 (F)	50	7/9/02 (F)	50
<b>Pentagon</b>							
0BB-19960	Pentagon Mgmt. Partners	3/13/00		1/7/03	DF		
041-96598	PMP 4	5/24/01		2/20/02		2/21/02	AF
041-96610	P 6 (PPP6)	8/17/01		2/20/02		2/21/02	AF
ERS-95313	P 7	8/29/01		2/20/02		3/4/02	15
ERS-95319	Man 7	10/16/01		2/20/02		3/4/02	15
ERS-95324	PMP 401-8	12/6/01		6/26/02 (F) 7/24/02	AF AF	7/9/02 (F) 7/25/02	AF AF
ERS-95328	P 10	1/10/02		6/26/02 (F) 7/24/02	AF AF	7/9/02 (F) 7/25/02	AF AF
ERS-95336	PMP 11 Limited	4/17/02		6/26/02 (F) 7/24/02	AF 78	7/9/02 (F) 7/25/02	78 78
ERS-95337	Performance 401-11	4/17/02		6/26/02 (F)	AF	7/9/02 (F)	78
<b>Ritchie</b>							
041-96612	Canadian Imperial R-1	9/7/01		2/20/02		2/21/02	MD
<b>American Funds</b>							
<b>Chronos</b>							
ERS-95334	JST Pan Inc. II	4/3/02				9/25/02	
<b>Global</b>							
0BB-96696	Capital Investors	9/21/00		1/9/02		1/15/02	49
0BB-96705	Analytical Investors	11/3/00		1/9/02		1/15/02	41
0BB-96750	Investment Consultants	2/1/01		1/9/02		1/15/02	41
0BB-96752	International Advisors	2/14/01		1/9/02		1/15/02	49
0BB-96760	Strategic Investors	3/7/01		1/9/02		1/15/02	49
0BB-96761	Equity Investors	3/7/01		1/9/02		1/15/02	49

Acct. #	Acct. Name	Date Opened	Date of Warning	Date blocked by Fund Company		Date blocked by PSI	
0BB-96771	GA Capital	5/14/01		1/9/02		1/15/02	J3
0BB-96772	Global Equity	5/14/01		1/9/02		1/15/02	J3
0BB-96792	Global Strategies	7/5/01		1/9/02		1/15/02	49
<b>Headstart</b>							
0BB-96695	Headstart Fund B-P10	9/13/00		1/9/02		1/15/02	DF
041-96586	Isis 401 Limited 1	2/15/01		1/9/02		1/15/02	50
041-96588	Isis 401 Limited 2	3/14/01		1/9/02		1/15/02	50
041-96590	Isis 401 Limited 3	3/15/01		1/9/02		1/15/02	50
041-96595	Apollo 2	4/18/01		1/9/02		1/15/02	15
ERS-95308	Aquilla 401 Limited 1	4/25/01		1/9/02		1/15/02	15
ERS-95309	Mercutio 401 Limited 1	6/5/01		1/9/02		1/15/02	15
041-96600	Isis 401 Limited 4	7/2/01		1/9/02		1/15/02	50
ERS-95310	Mercutio 401 Limited 2	7/2/01		1/9/02		1/15/02	15
ERS-95311	Aquilla 401 Limited 2	7/2/01		1/9/02		1/15/02	15
0BB-96790	Oberon 401 Limited 2	7/2/01		1/9/02		1/15/02	50
0BB-96798	Oberon 3	8/10/01		1/9/02		1/15/02	50
041-96603	Apollo 3	8/10/01		1/9/02		1/15/02	15
041-96611	Isis 401 Limited 5	9/5/01		1/9/02		1/15/02	50
ERS-95320	Levi 401 Limited 1	10/31/01		1/9/02		1/15/02	50
ERS-95321	Levi 401 Limited 2	10/31/01		1/9/02		1/15/02	50
<b>Pentagon</b>							
0BB-19959	Pentagon Perf. Partners	3/13/00		1/9/02		1/15/02	15
<b>Ritchie</b>							
0BB-96717	Blue Spruce Inc.	12/8/00		1/9/02		1/15/02	DF
0BB-96782	Pine Hills Inc. II	6/4/01		1/9/02		1/15/02	15
0BB-96807	Canadian Imperial R-2	9/10/01		1/9/02		1/15/02	B6
0BB-96811	Clear Brook Inc. II	9/28/01		1/9/02		1/15/02	15
0BB-96813	Whitespruce Inc. II	10/2/01		1/9/02		1/15/02	50

Acct. #	Acct. Name	Date Opened	Date of Warning	Date blocked by Fund Company		Date blocked by PSI	
<b>Ark</b>							
<b>Headstart</b>							
041-96595	Apollo 2	4/18/01		6/19/02	15	6/21/02	15
<b>Pentagon</b>							
0BB-96758	Pentagon Mgmt. Partners	2/28/01		6/19/02	15	6/21/02	15
ERS-95313	P 7	8/29/01		6/19/02	15	6/21/02	15
ERS-95325	PMP 401(9)	12/27/01		6/19/02	15	6/21/02	15
<b>Blackrock</b>							
<b>Chronos</b>							
0BB-96802	OXBO, Inc.	5/11/00		6/19/02		6/25/02	50
<b>Global</b>							
0BB-96680	GACF, NV	7/28/00		12/5/02		12/6/02	49
0BB-96771	GA Capital	5/14/01		1/30/03		1/30/03	J3
<b>Headstart</b>							
0BB-96525	Headstart Fund B-P4	7/23/99		4/6/01	DF		
0BB-96582	Headstart Fund B-P6	11/18/99		8/28/02		8/30/02	50
0BB-96615	CIBC Cayman CP-1	2/2/00		8/28/01		9/4/01	MD
0BB-96652	Headstart Fund B-P8	5/4/00		4/6/01	DF		
0BB-96653	CIBC Cayman CP-3	5/9/00		8/28/01		9/4/01	MD
0BB-96665	CIBC Cayman CP-4	6/15/00		9/27/01		10/4/01	MD
0BB-96694	CIBC Cayman CP-6	9/13/00		8/28/01		9/4/01	MD
0BB-96708	CIBC Cayman CP-7	11/20/00		9/27/01		10/4/01	MD
0BB-96749	CIBC Cayman CP-8	2/1/01		9/27/01		10/4/01	MD
041-96590	Isis 401 Limited 3	3/15/01	10/11/01				
041-96592	CIBC Cayman CP-9	4/5/01		9/27/01		10/4/01	MD
0BB-96784	Oberon 401 Limited 1	6/5/01		9/27/01		10/4/01	14
041-96599	CIBC Cayman CP-10	6/15/01		9/27/01		10/4/01	50
041-96609	CIBC Cayman CP-11	8/17/01		9/27/01			

Acct. #	Acct. Name	Date Opened	Date of Warning	Date blocked by Fund Company		Date blocked by PSI	
ERS-95314	Aquilla 401 Limited 3	9/5/01	10/11/01				
<b>Jemcco</b>							
041-83265	Jaguar Capital	4/4/02		6/20/02			
0BB-22931	Legion Capital	4/4/02		6/20/02			
<b>Pentagon</b>							
0BB-96758	Pentagon Mgmt. Partners	2/28/01	10/11/01				
041-96594	Pentagon Perf. Partners	4/6/01	10/11/01				
041-96598	PMP 4	5/24/01		8/24/01		8/24/01	15
<b>Credit Suisse</b>							
<b>Chronos</b>							
0BB-96714	CIBC Cayman XP-5	12/6/00		6/21/01		6/21/01	14
<b>Global</b>							
0BB-96760	Strategic Investors	3/7/01		8/25/03			
0BB-96792	Global Strategies	7/5/01		7/10/03		7/29/03	49
0BB-96900	Global Capital	1/7/03		9/8/03		9/9/03	49
<b>Headstart</b>							
0BB-96708	CIBC Cayman CP-7	11/20/00		11/14/01		11/14/01	MD
0BB-96749	CIBC Cayman CP-8	2/1/01		8/6/01		8/7/01	MD
041-96586	Isis 401 Limited 1	2/15/01		11/8/01		11/8/01	
041-96587	Mandrake 401 Limited 1	2/28/01		1/22/02		1/22/02	
041-96588	Isis 401 Limited 2	3/14/01		11/8/01		11/8/01	
041-96589	Mandrake 401 Limited 2	3/15/01		1/28/02		1/29/02	
041-96590	Isis 401 Limited 3	3/15/01		10/9/01		10/15/01	
041-96592	CIBC Cayman CP-9	4/5/01		8/6/01		8/7/01	MD
0BB-96790	Oberon 401 Limited 2	7/2/01		11/8/01		11/8/01	50
0BB-96798	Oberon 3	8/10/01		10/30/01		10/30/01	50
041-96609	CIBC Cayman CP-11	8/17/01		11/8/01			
041-96613	CIBC Cayman CP-12	10/9/01		12/17/01		12/20/01	M5

Acct. #	Acct. Name	Date Opened	Date of Warning	Date blocked by Fund Company	Date blocked by PSI		
<b>Jemmco</b>							
041-83266	Sequential Capital	4/4/02		5/14/02		5/14/02	AD
0BB-22932	Sherlock Capital	4/4/02		5/9/02		5/15/02	50
<b>Pentagon</b>							
041-96597	PPP 4	5/24/01		11/9/01		11/9/01	15
0BB-96785	Management 5	6/7/01		10/15/01		10/15/01	15
041-96602	P 5	8/3/01		10/15/01		10/15/01	15
041-96610	P 6 (PPP6)	8/17/01		1/4/02		1/4/02	AF
ERS-95318	Perf 8	10/4/01		12/7/01		12/20/01	15
ERS-95319	Man 7	10/16/01		12/7/01		12/20/01	15
ERS-95323	Pent. Perf. 9	12/6/01		1/25/02		1/28/02	AF
<b>Davis</b>							
<b>Chronos</b>							
041-96607	Buckhannon, Inc.	5/11/00		4/17/02		4/18/02	14
0BB-96803	Rodgars Als, Inc.	5/11/00		4/23/02		4/23/02	14
0BB-96657	CIBC Cayman XP-1	6/2/00		4/22/02		4/22/02	MD
0BB-96713	CIBC Cayman XP-4	12/6/00		4/17/02		4/18/02	MD
<b>Deutsche Asset Management</b>							
<b>Chronos</b>							
0BB-96657	CIBC Cayman XP-1	6/2/00		10/19/01	14		
0BB-96658	CIBC Cayman XP-2	6/7/00		10/19/01	14		
<b>Headstart</b>							
0BB-96488	Headstart Fund B-P1	7/7/99		10/19/01	14		
0BB-96523	Headstart Fund B-P2	7/23/99		10/19/01	14		
0BB-96582	Headstart Fund B-P6	11/18/99		10/19/01	MD		
0BB-96615	CIBC Cayman CP-1	2/2/00		10/19/01	MD		
0BB-96653	CIBC Cayman CP-3	5/9/00		10/19/01	MD		
0BB-96677	CIBC Cayman CP-5	7/18/00		10/19/01	MD		

Acct. #	Acct. Name	Date Opened	Date of Warning	Date blocked by Fund Company		Date blocked by PSI	
0BB-96695	Headstart Fund B-P10	9/13/00		10/19/01	DF		
0BB-96708	CIBC Cayman CP-7	11/20/00		10/19/01	14		
0BB-96709	Headstart Fund B-P11	11/22/00		10/19/01	14		
0BB-96749	CIBC Cayman CP-8	2/1/01		5/25/01 10/19/01	MD	6/25/01	MD
041-96592	CIBC Cayman CP-9	4/5/01		5/25/01 10/19/01	14	6/25/01	MD
<b>Pentagon</b>							
0BB-19959	Pentagon Perf. Partners	3/13/00		10/19/01	DF		
0BB-19960	Pentagon Mgmt. Partners	3/13/00		10/19/01	DF		
<b>Ritchie</b>							
0BB-96717	Blue Spruce Inc.	12/8/00		10/19/01	DF		
0BB-96718	Clear Brook Inc.	12/8/00		10/19/01	DF		
0BB-96719	Whitespruce Inc.	12/8/00		10/19/01	DF		
<b>Dreyfus</b>							
<b>Global</b>							
0BB-96761	Equity Investors	3/7/01		8/13/01		8/15/01	49
<b>Eaton Vance</b>							
<b>Chronos</b>							
041-96606	Abby Mills	2/20/00		4/3/02		4/17/02	78
041-96608	Havers, Inc.	5/11/00		4/9/02		4/17/02	14
<b>Global</b>							
0BB-96696	Capital Investors	9/21/00		5/20/03			
0BB-96750	Investment Consultants	2/1/01		7/28/03			
0BB-96760	Strategic Investors	3/7/01		6/2/03			
0BB-96804	Foreign Investments	8/16/01		5/20/03			
041-96620	GACF	6/10/02		7/25/03			
<b>Headstart</b>							
0BB-96640	CIBC Cayman CP-2	4/14/00		8/19/02		8/19/02	86



Acct. #	Acct. Name	Date Opened	Date of Warning	Date blocked by Fund Company		Date blocked by PSI	
0BB-96677	CIBC Cayman CP-5	7/18/00		1/24/02		4/17/02	MD
0BB-96708	CIBC Cayman CP-7	11/20/00		9/27/01		10/8/01	MD
0BB-96746	Headstart Fund B-P15	1/24/01		9/27/01		10/8/01	14
041-96592	CIBC Cayman CP-9	4/5/01		1/24/02		4/17/02	MD
041-96603	Apollo 3	8/10/01		1/22/02		4/17/02	15
041-96609	CIBC Cayman CP-11	8/17/01		1/24/02			
ERS-95320	Levi 401 Limited 1	10/31/01		1/22/02		4/17/02	50
ERS-95321	Levi 401 Limited 2	10/31/01		1/22/02		4/17/02	50
ERS-95322	Mercutio 401 Limited 3	12/5/01		1/22/02		4/17/02	AF
0BB-96870	Credit Lyonnais	9/24/02		10/17/02			
<b>Pentagon</b>							
041-96594	Pentagon Perf. Partners	4/6/01		1/22/02		4/17/02	AF
ERS-95319	Man 7	10/16/01		1/22/02		4/17/02	AF
ERS-95323	Pent. Perf. 9	12/6/01		1/22/02		4/17/02	AF
<b>Evergreen</b>							
<b>Headstart</b>							
0BB-96746	Headstart Fund B-P15	1/24/01		1/29/03	AF	1/31/03	AF
041-96589	Mandrake 401 Limited 2	3/15/01		1/29/03	DA	1/31/03	DA
041-96591	Apollo 1	3/28/01		2/13/03	14	2/12/03	14
041-96600	Isis 401 Limited 4	7/2/01		1/29/03	50	1/31/03	50
ERS-95322	Mercutio 401 Limited 3	12/5/01		1/29/03	AF	1/31/03	AF
041-83559	Spencer Securities II	3/4/03		7/11/03	23	7/17/03	23
<b>Pentagon</b>							
0BB-96889	Management LTD 13	12/23/02		2/11/03	DA	2/12/03	DA
<b>Federated</b>							
<b>Chronos</b>							
041-96606	Abby Mills	2/20/00		1/13/03		1/14/03	AD
041-96607	Buckhannon, Inc.	5/11/00		2/13/02		2/13/02	14

Acct. #	Acct. Name	Date Opened	Date of Warning	Date blocked by Fund Company		Date blocked by PSI	
041-96608	Havers, Inc.	5/11/00		1/13/03		1/14/03	AF
0BB-96799	JST Pan, Inc.	5/11/00		1/13/03		1/14/03	DA
0BB-96800	Patten, Inc.	5/11/00		1/13/03		1/14/03	AD
0BB-96801	EVBO, Inc.	5/11/00		1/13/03		1/14/03	AD
0BB-96802	OXBO, Inc.	5/11/00		1/13/03		1/14/03	AD
0BB-96803	Rodgars Als, Inc.	5/11/00		1/13/03		1/14/03	14
0BB-96657	CIBC Cayman XP-1	6/2/00		1/13/03		1/14/03	MD
0BB-96658	CIBC Cayman XP-2	6/7/00		1/13/03		1/14/03	MD
0BB-96659	CIBC Cayman XP-3	6/7/00		1/13/03		1/14/03	MD
0BB-96713	CIBC Cayman XP-4	12/6/00		1/13/03		1/14/03	MD
0BB-96714	CIBC Cayman XP-5	12/6/00		1/13/03		1/14/03	MD
0BB-96716	CIBC Cayman XP-6	12/7/00		1/13/03		1/14/03	M5
ERS-95333	OXBO Inc. II	4/3/02		1/13/03		1/14/03	50
ERS-95334	JST Pan Inc. II	4/3/02		1/13/03		1/14/03	50
ERS-95335	Havers Inc. II	4/8/02		1/13/03		1/14/03	15
041-96625	EVBO Inc. II	8/7/02		1/13/03		1/14/03	50
041-96626	Rodgars Als Inc. II	8/7/02		6/3/03		6/4/03	DA
ERS-95354	CIBC Cayman XP-7	2/5/03		6/3/03		6/4/03	B6
<b>Global</b>							
0BB-96705	Analytical Investors	11/3/00		1/9/01			
0BB-96750	Investment Consultants	2/1/01		4/5/01			
0BB-96752	International Advisors	2/14/01		4/5/01			
0BB-96760	Strategic Investors	3/7/01		8/7/03		8/14/03	49
0BB-96761	Equity Investors	3/7/01		4/5/01			
<b>Headstart</b>							
0BB-96524	Headstart Fund B-P3	7/23/99		1/13/03		1/14/03	15
0BB-96525	Headstart Fund B-P4	7/23/99		10/11/02		10/15/02	DF
0BB-96552	Headstart Fund B-P5	9/23/99		10/11/02		10/15/02	14
0BB-96582	Headstart Fund B-P6	11/18/99		1/13/03		1/14/03	50

Acct. #	Acct. Name	Date Opened	Date of Warning	Date blocked by Fund Company		Date blocked by PSI	
0BB-96603	Headstart Fund B-P7	12/30/99		1/13/03		1/14/03	AD
0BB-96615	CIBC Cayman CP-1	2/2/00		10/11/02		10/15/02	MD
0BB-96640	CIBC Cayman CP-2	4/14/00		11/11/02		11/11/02	056 ?
0BB-96652	Headstart Fund B-P8	5/4/00		1/9/01 1/13/03		1/14/03	DF
0BB-96653	CIBC Cayman CP-3	5/9/00		1/13/03		1/14/03	M5
0BB-96662	Headstart Fund B-P9	6/13/00		2/15/01			
0BB-96694	CIBC Cayman CP-6	9/13/00		2/15/01 11/11/02		11/11/02	MD
0BB-96695	Headstart Fund B-P10	9/13/00		2/15/01			
0BB-96708	CIBC Cayman CP-7	11/20/00		2/15/01 9/17/02		9/18/02	MD
0BB-96709	Headstart Fund B-P11	11/22/00		1/9/01 2/15/01			
0BB-96727	Headstart Fund B-P12	12/18/00		2/15/01			
0BB-96749	CIBC Cayman CP-8	2/1/01		4/5/01			
041-96586	Isis 401 Limited 1	2/15/01		7/18/01		7/20/01	50
041-96587	Mandrake 401 Limited 1	2/28/01		7/18/01		7/20/01	14
041-96588	Isis 401 Limited 2	3/14/01		6/12/01		6/13/01	50
041-96589	Mandrake 401 Limited 2	3/15/01		10/11/02		10/15/02	14
041-96590	Isis 401 Limited 3	3/15/01		7/17/02		7/17/02	AD
041-96592	CIBC Cayman CP-9	4/5/01		6/12/01		6/13/01	MD
041-96595	Apollo 2	4/18/01		7/18/01		7/20/01	15
ERS-95308	Aquilla 401 Limited 1	4/25/01		7/18/01		7/20/01	15
041-96596	Mandrake 401 Limited 3	4/27/01		9/17/02		9/18/02	14
ERS-95309	Mercutio 401 Limited 1	6/5/01		7/10/03		7/11/03	15
0BB-96784	Oberon 401 Limited 1	6/5/01		2/10/03		2/10/03	AD
041-96599	CIBC Cayman CP-10	6/15/01		8/10/01		8/15/01	MD
041-96600	Isis 401 Limited 4	7/2/01		8/10/01		8/15/01	50
ERS-95310	Mercutio 401 Limited 2	7/2/01		8/10/01		8/15/01	15

Acct. #	Acct. Name	Date Opened	Date of Warning	Date blocked by Fund Company		Date blocked by PSI	
ERS-95311	Aquila 401 Limited 2	7/2/01		8/10/01		8/15/01	50
0BB-96790	Oberon 401 Limited 2	7/2/01		8/10/01		8/15/01	50
0BB-96798	Oberon 3	8/10/01		7/17/02		7/23/02	50
041-96603	Apollo 3	8/10/01		3/14/03		3/14/03	AD
041-96611	Isis 401 Limited 5	9/5/01		9/17/02		9/18/02	78
ERS-95314	Aquila 401 Limited 3	9/5/01		9/17/02		9/18/02	50
041-96613	CIBC Cayman CP-12	10/9/01		11/11/02		11/15/02	M5
ERS-95320	Levi 401 Limited 1	10/31/01		9/17/02		9/18/02	50
ERS-95321	Levi 401 Limited 2	10/31/01		9/17/02		9/18/02	50
ERS-95322	Mercutio 401 Limited 3	12/5/01		1/13/03		1/14/03	AF
041-96615	Levi 401 Limited 3	1/7/02		1/13/03		1/14/03	AF
ERS-95326	Mandrake 401 Limited 4	1/7/02		10/11/02		10/15/02	50
ERS-95329	Apollo 4	1/31/02		1/13/03		1/14/03	AF
041-96616	Oberon 401 Limited 4	1/31/02		8/14/02		8/14/02	AF
ERS-95330	Windsor 401-1 Limited	2/5/02		6/11/03		6/13/03	50
ERS-95331	Windsor 401-2 Limited	2/5/02		7/17/02		7/23/02	AD
041-96617	Levi 401 Limited 4	3/4/02		1/13/03		1/14/03	AD
ERS-95332	Mercutio 401 Limited 4	3/4/02		10/11/02		10/15/02	AF
0BB-96847	Windsor 401-3	3/5/02		7/17/02		7/23/02	AD
0BB-96870	Credit Lyonnais	9/24/02		3/14/03		3/14/03	DA
041-96627	Credit Lyonnais	10/21/02		7/10/03		7/11/03	DA
ERS-95343	CIBC Cayman CP-14	11/27/02		8/7/03		8/14/03	B6
ERS-95347	Credit Lyonnais	12/2/02		7/10/03		7/11/03	DA
0BB-96882	Aquila 401-4	12/5/02		8/7/03		8/14/03	23
041-96628	Atlantis 401-2	12/5/02		3/14/03		3/14/03	DA
ERS-95348	Mandrake 401-5	12/5/02		2/10/03		2/10/03	FD
ERS-95349	Atlantis 401 Limited 3	12/5/02		3/14/03		3/14/03	DA
0BB-96899	Credit Lyonnais	1/6/03		3/14/03		3/14/03	DA
ERS-95352	Credit Lyonnais	1/6/03		7/10/03		7/11/03	DA

Acct. #	Acct. Name	Date Opened	Date of Warning	Date blocked by Fund Company		Date blocked by PSI	
0BB-96902	Credit Lyonnais	1/10/03		8/7/03		8/14/03	15
<b>Jemcco</b>							
041-83263	Tuscany Capital	4/4/02		5/12/03		5/19/03	DA
041-83264	Meladan Capital	4/4/02		2/10/03		2/10/03	DA
041-83265	Jaguar Capital	4/4/02		6/11/03		6/13/03	AF
041-83266	Sequential Capital	4/4/02		6/11/03		6/13/03	FD
0BB-22931	Legion Capital	4/4/02		2/10/03		2/10/03	50
0BB-22932	Sherlock Capital	4/4/02		5/12/03		5/19/03	DA
ERS-05756	Liverpool Capital	4/4/02		5/12/03		5/19/03	DA
ERS-05757	Apricot Capital	4/4/02		2/10/03		2/10/03	AF
ERS-05758	Raleigh Capital	4/4/02		5/12/03		5/19/03	DA
041-96618	Triad International	4/24/02		2/10/03		2/10/03	FD
ERS-95338	Campbell International	4/26/02		6/11/03		6/13/03	AF
ERS-95339	Cornell International	4/26/02		6/11/03		6/13/03	DA
0BB-23580	Enterprise Capital	12/5/02		6/11/03		6/13/03	DA
0BB-23581	Scorpio Capital	12/5/02		6/11/03		6/13/03	23
ERS-05761	Virgo Capital	12/5/02		7/10/03		7/11/03	DA
ERS-05762	Pyramid Capital	12/5/02		6/11/03		6/13/03	23
041-83403	Nautical Capital	12/11/02		6/11/03		6/13/03	23
<b>Pentagon</b>							
0BB-19959	Pentagon Perf. Partners	3/13/00		1/13/03		1/14/03	FD
0BB-19960	Pentagon Mgmt. Partners	3/13/00		1/9/01 1/13/03		1/14/03	FD
0BB-96748	Pentagon Perf. Partners	1/29/01		5/9/01		6/25/01	15
0BB-96758	Pentagon Mgmt. Partners	2/28/01		5/9/01		6/25/01	15
041-96594	Pentagon Perf. Partners	4/6/01		2/10/03		2/10/03	AF
041-96598	PMP 4	5/24/01		7/18/01		7/20/01	15
0BB-96785	Management 5	6/7/01		2/10/03		2/10/03	AF
ERS-95312	MP6	8/28/01		2/10/03		2/10/03	FD
ERS-95323	Pent. Perf. 9	12/6/01		4/10/03		4/10/03	AF

Acct. #	Acct. Name	Date Opened	Date of Warning	Date blocked by Fund Company		Date blocked by PSI	
ERS-95324	PMP 401-8	12/6/01		2/10/03		2/10/03	AF
ERS-95325	PMP 401(9)	12/27/01		4/10/03		4/10/03	AF
ERS-95328	P 10	1/10/02		1/13/03		1/14/03	AF
ERS-95336	PMP 11 Limited	4/17/02		2/10/03		2/10/03	FD
ERS-95341	Management 401-12	11/7/02		2/10/03		2/10/03	DA
0BB-96877	Performance 12 401	11/7/02		1/13/03		1/14/03	DA
ERS-95351	Performance Limited 13	12/23/02		4/10/03		4/10/03	DA
0BB-96889	Management LTD 13	12/23/02		4/10/03		4/10/03	DA
ERS-95356	Pentagon Mgmt. 15	2/27/03		4/10/03		4/10/03	DA
<b>Ritchie</b>							
0BB-96717	Blue Spruce Inc.	12/8/00		4/5/01			
0BB-96718	Clear Brook Inc.	12/8/00		4/5/01			
0BB-96719	Whitespruce Inc.	12/8/00		4/5/01			
0BB-96812	Blue Spruce Inc. II	10/2/01				9/16/03	DA
<b>Fidelity</b>							
<b>Headstart</b>							
041-96591	Apollo 1	3/28/01		5/24/01		6/27/01	14
<b>Pentagon</b>							
0BB-96758	Pentagon Mgmt. Partners	2/28/01		5/24/01		6/27/01	15
ERS-95313	P 7	8/29/01		12/5/01		12/8/01	15
<b>First Investors</b>							
<b>Chronos</b>							
041-96608	Havers, Inc.	5/11/00		6/30/03		6/30/03	AF
0BB-96802	OXBO, Inc.	5/11/00		3/21/02			
ERS-95333	OXBO Inc. II	4/3/02		6/30/03		6/30/03	50
ERS-95334	JST Pan Inc. II	4/3/02		6/30/03		6/30/03	DA

Acct. #	Acct. Name	Date Opened	Date of Warning	Date blocked by Fund Company	Date blocked by PSI		
<b>FPA Distributors</b>							
<b>Chronos</b>							
041-96606	Abby Mills	2/20/00		3/25/03			
0BB-96803	Rodgars Als, Inc.	5/11/00		3/25/03			
0BB-96713	CIBC Cayman XP-4	12/6/00		3/24/03			
0BB-96714	CIBC Cayman XP-5	12/6/00		3/24/03			
0BB-96716	CIBC Cayman XP-6	12/7/00		2/20/03			
ERS-95335	Havers Inc. II	4/8/02		3/25/03			
041-96625	EVBO Inc. II	8/7/02		3/25/03			
041-96626	Rodgars Als Inc. II	8/7/02		5/27/03			
<b>Jemmco</b>							
041-96618	Triad International	4/24/02		9/27/02			
<b>Franklin Templeton</b>							
<b>Chronos</b>							
0BB-96802	OXBO, Inc.	5/11/00		5/24/02		5/29/02	
0BB-96803	Rodgars Als, Inc.	5/11/00		12/18/02		12/19/02	14
0BB-96716	CIBC Cayman XP-6	12/7/00		4/18/02		4/19/02	M5
ERS-95333	OXBO Inc. II	4/3/02		6/20/02		6/21/02	AD
ERS-95334	JST Pan Inc. II	4/3/02		5/24/02		5/29/02	50
ERS-95335	Havers Inc. II	4/8/02		10/23/02		10/24/02	15
041-96625	EVBO Inc. II	8/7/02		10/23/02		10/23/02	50
<b>Global</b>							
0BB-96359	Global Analytical Capital	5/19/98		2/13/02 8/7/02	49	8/8/02	49
0BB-96680	GACF, NV	7/28/00		2/13/02	49		
0BB-96696	Capital Investors	9/21/00		5/20/03		5/27/03	49
0BB-96705	Analytical Investors	11/3/00		2/13/02	41		
0BB-96752	International Advisors	2/14/01		2/13/02	41		

Acct. #	Acct. Name	Date Opened	Date of Warning	Date blocked by Fund Company		Date blocked by PSI	
0BB-96761	Equity Investors	3/7/01		2/13/02	49		
0BB-96771	GA Capital	5/14/01		2/13/02	J3		
0BB-96772	Global Equity	5/14/01		2/13/02	J3		
0BB-96792	Global Strategies	7/5/01		9/26/02		10/1/02	49
0BB-96804	Foreign Investments	8/16/01		12/18/01 2/13/02	49	12/19/01	49
0BB-96825	Foreign Equity Capital	12/24/01		5/7/02 8/7/02		5/29/02 8/8/02	005 005
0BB-96826	Int'l Capital Consultants	12/24/01		7/25/02		7/29/02	005
041-96620	Gacf	6/10/02		6/28/02			
0BB-96900	Global Capital	1/7/03		5/20/03		5/27/03	J3
0BB-96901	Global Analytical	1/7/03		5/20/03		5/27/03	J1
<b>Headstart</b>							
0BB-96488	Headstart Fund B-P1	7/7/99				9/15/03	14
0BB-96523	Headstart Fund B-P2	7/23/99				9/15/03	50
0BB-96524	Headstart Fund B-P3	7/23/99		10/11/02		10/15/02	15
0BB-96603	Headstart Fund B-P7	12/30/99		10/2/02		10/3/02	AD
0BB-96615	CIBC Cayman CP-1	2/2/00		10/23/02		10/24/02	MD
0BB-96640	CIBC Cayman CP-2	4/14/00		8/15/02		8/19/02	B6
0BB-96653	CIBC Cayman CP-3	5/9/00		7/22/02		7/23/02	M5
0BB-96665	CIBC Cayman CP-4	6/15/00		6/10/02		6/21/02	MD
0BB-96708	CIBC Cayman CP-7	11/20/00		2/13/02	MD		
0BB-96746	Headstart Fund B-P15	1/24/01		12/18/01		12/19/01	14
041-96586	Isis 401 Limited 1	2/15/01		10/11/02		10/15/02	AD
041-96588	Isis 401 Limited 2	3/14/01		12/10/01		12/13/01	50
041-96591	Apollo 1	3/28/01		12/10/01		12/13/01	14
041-96592	CIBC Cayman CP-9	4/5/01		10/11/02		10/15/02	MD
041-96595	Apollo 2	4/18/01		9/27/02		9/30/02	15
ERS-95309	Mercutio 401 Limited 1	6/5/01		8/15/02		8/19/02	15
0BB-96784	Oberon 401 Limited 1	6/5/01		12/18/01		12/19/01	14



Acct. #	Acct. Name	Date Opened	Date of Warning	Date blocked by Fund Company		Date blocked by PSI	
041-96599	CIBC Cayman CP-10	6/15/01		5/24/02		5/29/02	M5
041-96600	Isis 401 Limited 4	7/2/01		12/10/01 6/10/02	50	6/21/02	50
ERS-95310	Mercutio 401 Limited 2	7/2/01		12/27/01		1/10/02	15
ERS-95311	Aquila 401 Limited 2	7/2/01		12/27/01		1/10/02	15
0BB-96790	Oberon 401 Limited 2	7/2/01		3/6/02		3/7/02	50
0BB-96798	Oberon 3	8/10/01		6/28/02		7/10/02	50
041-96603	Apollo 3	8/10/01		7/22/02		7/23/02	AD
ERS-95314	Aquila 401 Limited 3	9/5/01		6/28/02		7/10/02	50
041-96613	CIBC Cayman CP-12	10/9/01		4/18/02 5/7/02		4/19/02 5/29/02	M5 M5
ERS-95320	Levi 401 Limited 1	10/31/01		7/24/02		7/29/02	50
ERS-95321	Levi 401 Limited 2	10/31/01		9/17/02		9/24/02	50
ERS-95322	Mercutio 401 Limited 3	12/5/01		6/20/02		6/21/02	AF
041-96615	Levi 401 Limited 3	1/7/02		8/15/02		8/19/02	AF
ERS-95326	Mandrake 401 Limited 4	1/7/02		6/19/02		6/21/02	50
041-96616	Oberon 401 Limited 4	1/31/02		8/8/02		8/13/02	AF
ERS-95330	Windsor 401-1 Limited	2/5/02		6/28/02		7/10/02	AD
ERS-95331	Windsor 401-2 Limited	2/5/02		7/25/02		7/29/02	AD
041-96617	Levi 401 Limited 4	3/4/02		7/22/02		7/23/02	AD
ERS-95332	Mercutio 401 Limited 4	3/4/02		6/26/02			
0BB-96847	Windsor 401-3	3/5/02		8/15/02		8/19/02	AD
0BB-96870	Credit Lyonnais	9/24/02		11/18/02			
041-96627	Credit Lyonnais	10/21/02		1/8/03		1/8/03	DA
ERS-95343	CIBC Cayman CP-14	11/27/02				9/15/03	B6
0BB-96899	Credit Lyonnais	1/6/03				9/15/03	DA
<b>Jemmco</b>							
041-83264	Meladan Capital	4/4/02		9/16/02		9/17/02	AD
041-83265	Jaguar Capital	4/4/02		9/26/02			
0BB-22931	Legion Capital	4/4/02		9/16/02		9/17/02	

Acct. #	Acct. Name	Date Opened	Date of Warning	Date blocked by Fund Company		Date blocked by PSI	
0BB-22932	Sherlock Capital	4/4/02		6/20/02		6/21/02	50
ERS-05756	Liverpool Capital	4/4/02		10/11/02		10/15/02	DA
ERS-05757	Apricot Capital	4/4/02		9/27/02		9/30/02	AF
041-96618	Triad International	4/24/02		10/3/02			
ERS-95338	Campbell International	4/26/02		6/10/02		6/21/02	15
<b>Pentagon</b>							
0BB-96748	Pentagon Perf. Partners	1/29/01		9/5/02			
0BB-96758	Pentagon Mgmt. Partners	2/28/01		10/2/02		10/3/02	AF
041-96593	Pentagon Perf. Partners	4/6/01		9/27/02		9/30/02	AF
0BB-96785	Management 5	6/7/01		10/3/02			
041-96602	P 5	8/3/01		9/16/02		9/17/02	AF
041-96610	P 6 (PPP6)	8/17/01		5/16/02		5/17/02	AF
ERS-95312	MP6	8/28/01		5/2/02		5/2/02	AF
ERS-95313	P 7	8/29/01		2/1/02		2/4/02	15
ERS-95318	Perf 8	10/4/01		8/15/02		8/15/02	15
ERS-95319	Man 7	10/16/01		5/24/02		5/29/02	AF
ERS-95323	Pent. Perf. 9	12/6/01		5/16/02		5/17/02	AF
ERS-95324	PMP 401-8	12/6/01		5/24/02		5/29/02	AF
ERS-95325	PMP 401(9)	12/27/01		9/5/02		9/10/02	AF
ERS-95327	M 10	1/10/02		7/25/02		7/29/02	AF
ERS-95328	P 10	1/10/02		8/15/02		8/15/02	AF
ERS-95336	PMP 11 Limited	4/17/02		6/20/02		6/21/02	78
ERS-95337	Performance 401-11	4/17/02		5/14/02		5/14/02	AF
<b>Ritchie</b>							
0BB-96720	Pine Hills Inc.	12/8/00		9/26/02		10/1/02	14
041-96612	Canadian Imperial R-1	9/7/01				9/15/03	M5
0BB-96812	Blue Spruce Inc. II	10/2/01				9/15/03	DA
0BB-96834	Blue Spruce Inc. III	1/2/02		9/26/02		10/1/02	AF

Acct. #	Acct. Name	Date Opened	Date of Warning	Date blocked by Fund Company	Date blocked by PSI	
<b>Gabelli</b>						
<b>Global</b>						
041-96620	Gacf	6/10/02		9/8/03		
<b>General Electric</b>						
<b>Chronos</b>						
ERS-95333	OXBO Inc. II	4/3/02		10/4/02		
<b>Jemcco</b>						
041-83264	Meladan Capital	4/4/02		12/11/02		
041-83265	Jaguar Capital	4/4/02		12/11/02		
<b>Goldman Sachs</b>						
<b>Chronos</b>						
0BB-96657	CIBC Cayman XP-1	6/2/00		9/30/02	10/1/02 11/18/02 (F)	MD MD
0BB-96658	CIBC Cayman XP-2	6/7/00		10/31/01	11/4/02 11/18/02 (F)	MD MD
0BB-96714	CIBC Cayman XP-5	12/6/00		10/31/02	11/4/02	MD
0BB-96716	CIBC Cayman XP-6	12/7/00		9/30/02	10/1/02	M5
ERS-95334	JST Pan Inc. II	4/3/02		10/31/02	11/4/02	50
<b>Headstart</b>						
0BB-96603	Headstart Fund B-P7	12/30/99		10/31/02	11/4/02	AD
0BB-96615	CIBC Cayman CP-1	2/2/00		10/31/02	11/4/02	MD
0BB-96640	CIBC Cayman CP-2	4/14/00		8/22/02	8/22/02	B6
0BB-96653	CIBC Cayman CP-3	5/9/00		7/12/02	7/23/02	M5
0BB-96694	CIBC Cayman CP-6	9/13/00		10/31/02	11/4/02	MD
0BB-96708	CIBC Cayman CP-7	11/20/00		9/27/02	9/30/02	MD
0BB-96709	Headstart Fund B-P11	11/22/00		10/31/02	11/4/02	14
0BB-96727	Headstart Fund B-P12	12/18/00		10/31/02	11/4/02	14
ERS-95310	Mercutio 401 Limited 2	7/2/01		10/31/02	11/4/02	AD

Acct. #	Acct. Name	Date Opened	Date of Warning	Date blocked by Fund Company		Date blocked by PSI	
041-96603	Apollo 3	8/10/01		10/31/02		11/4/02	AF
ERS-95326	Mandrake 401 Limited 4	1/7/02		10/31/02		11/4/02	50
ERS-95330	Windsor 401-1 Limited	2/5/02		10/31/02		11/4/02	50
ERS-95331	Windsor 401-2 Limited	2/5/02		9/30/02		10/1/02	AD
0BB-96847	Windsor 401-3	3/5/02		10/31/02		11/4/02	14
0BB-96870	Credit Lyonnais	9/24/02		10/31/02		11/4/02	DA
<b>Pentagon</b>							
0BB-96748	Pentagon Perf. Partners	1/29/01		10/31/02		11/4/02	AF
041-96593	Pentagon Perf. Partners	4/6/01		10/31/02		11/4/02	AF
041-96594	Pentagon Perf. Partners	4/6/01		10/31/02		11/4/02	AF
041-96597	PPP 4	5/24/01		10/31/02		11/4/02	AF
041-96598	PMP 4	5/24/01		10/31/02		11/4/02	AF
041-96610	P 6 (PPP6)	8/17/01		10/31/02		11/4/02	AF
ERS-95312	MP6	8/28/01		10/31/02		11/4/02	FD
ERS-95313	P 7	8/29/01		10/31/02		11/4/02	AF
ERS-95319	Man 7	10/16/01		10/31/02		11/4/02	AF
ERS-95323	Pent. Perf. 9	12/6/01		8/29/02		9/4/02	AF
ERS-95324	PMP 401-8	12/6/01		10/31/02		11/4/02	AF
ERS-95325	PMP 401(9)	12/27/01		10/31/02		11/4/02	AF
ERS-95327	M 10	1/10/02		10/31/02		11/4/02	AF
ERS-95336	PMP 11 Limited	4/17/02		10/31/02		11/4/02	AF
ERS-95337	Performance 401-11	4/17/02		10/31/02		11/4/02	AF
<b>Hartford</b>							
<b>Global</b>							
0BB-96359	Global Analytical Capital	5/19/98		11/29/00			
<b>Headstart (79)</b>							
0BB-96525	Headstart Fund B-P4	7/23/99	1/26/01				
0BB-96640	CIBC Cayman CP-2	4/14/00	2/16/01				

Acct. #	Acct. Name	Date Opened	Date of Warning	Date blocked by Fund Company		Date blocked by PSI	
0BB-96695	Headstart Fund B-P10	9/13/00	1/26/01	2/8/01			
0BB-96708	CIBC Cayman CP-7	11/20/00	2/16/01				
041-96588	Isis 401 Limited 2	3/14/01	7/9/01	8/16/01		10/8/01	50
041-96590	Isis 401 Limited 3	3/15/01	5/7/01	7/12/01			
041-96595	Apollo 2	4/18/01	7/9/01				
ERS-95308	Aquila 401 Limited 1	4/25/01	7/9/01				
ERS-95309	Mercutio 401 Limited 1	6/5/01	7/9/01				
0BB-96790	Oberon 401 Limited 2	7/2/01	8/16/01				
0BB-96798	Oberon 3	8/10/01	8/31/01				
<b>Pentagon</b>							
0BB-96758	Pentagon Mgmt. Partners	2/28/01	7/9/01				
<b>IDEX</b>							
<b>Headstart</b>							
0BB-96653	CIBC Cayman CP-3	5/9/00		8/21/02		9/19/02	M5
<b>Investec</b>							
<b>Chronos</b>							
0BB-96657	CIBC Cayman XP-1	6/2/00		6/26/02		7/16/02	MD
0BB-96658	CIBC Cayman XP-2	6/7/00		6/26/02		7/16/02	MD
0BB-96713	CIBC Cayman XP-4	12/6/00		6/26/02		7/16/02	MD
0BB-96716	CIBC Cayman XP-6	12/7/00		4/16/02		4/22/02	M5
<b>Headstart</b>							
041-96613	CIBC Cayman CP-12	10/9/01		4/16/02		4/22/02	M5
<b>Ivy</b>							
<b>Global</b>							
0BB-96359	Global Analytical Capital	5/19/98	10/2/00 10/25/00				
0BB-96680	GACF, NV	7/28/00	10/2/00 10/25/00				

Acct. #	Acct. Name	Date Opened	Date of Warning	Date blocked by Fund Company		Date blocked by PSI	
<b>Headstart</b>							
0BB-96652	Headstart Fund B-P8	5/4/00		4/17/01		6/25/01	DF
041-96590	Isis 401 Limited 3	3/15/01		4/17/01		6/25/01	50
<b>Pentagon</b>							
0BB-19959	Pentagon Perf. Partners	3/13/00		4/17/01		6/25/01	15
0BB-96748	Pentagon Perf. Partners	1/29/01		4/17/01		6/25/01	15
<b>Janus</b>							
<b>Chronos</b>							
0BB-96799	JST Pan, Inc.	5/11/00		3/7/02		3/11/02	14
0BB-96658	CIBC Cayman XP-2	6/7/00		11/8/02		11/9/02	MD
<b>Global</b>							
0BB-96696	Capital Investors	9/21/00		9/8/03	49	9/8/03	49
0BB-96760	Strategic Investors	3/7/01		9/8/03	49	9/8/03	49
0BB-96792	Global Strategies	7/5/01		10/11/02		10/15/02	49
0BB-96804	Foreign Investments	8/16/01		11/15/01		11/20/01	49
<b>Headstart</b>							
0BB-96488	Headstart Fund B-P1	7/7/99		9/30/02		10/3/02	14
0BB-96524	Headstart Fund B-P3	7/23/99		2/25/02		2/26/02 (F)	14
0BB-96525	Headstart Fund B-P4	7/23/99		2/19/02		2/21/02 (F) 3/15/02	DF DF
0BB-96552	Headstart Fund B-P5	9/23/99		2/25/02		2/26/02 (F)	14
0BB-96653	CIBC Cayman CP-3	5/9/00		11/1/01		11/14/01	MD
0BB-96662	Headstart Fund B-P9	6/13/00		11/20/02		11/25/02	14
0BB-96665	CIBC Cayman CP-4	6/15/00		11/1/01		11/14/01	MD
0BB-96677	CIBC Cayman CP-5	7/18/00		11/1/01		11/14/01	MD
0BB-96694	CIBC Cayman CP-6	9/13/00		5/10/02		5/17/02	MD
0BB-96708	CIBC Cayman CP-7	11/20/00		11/1/01		11/14/01	MD
0BB-96749	CIBC Cayman CP-8	2/1/01		11/12/01		11/13/01	MD
041-96587	Mandrake 401 Limited 1	2/28/01		3/5/03		3/7/03	DA

Acct. #	Acct. Name	Date Opened	Date of Warning	Date blocked by Fund Company		Date blocked by PSI	
041-96589	Mandrake 401 Limited 2	3/15/01		12/11/02		12/12/02	DA
041-96590	Isis 401 Limited 3	3/15/01		11/11/01		11/13/01	50
041-96592	CIBC Cayman CP-9	4/5/01		11/1/01		11/14/01	MD
041-96599	CIBC Cayman CP-10	6/15/01		11/21/01		11/23/01	M5
041-96603	Apollo 3	8/10/01		11/12/01		11/12/01	15
041-96609	CIBC Cayman CP-11	8/17/01		11/21/01			
041-96613	CIBC Cayman CP-12	10/9/01		2/19/02		2/23/02 (F) 3/15/02	M5 M5
ERS-95321	Levi 401 Limited 2	10/31/01		1/25/02 2/7/02	AF	1/29/02	AF
<b>Jemmco</b>							
041-83266	Sequential Capital	4/4/02		6/10/02			
0BB-22932	Sherlock Capital	4/4/02		5/30/02		5/31/02	50
ERS-05757	Apricot Capital	4/4/02		10/4/02 (F) 10/7/02 (F)		10/9/02 (F)	AF
<b>Pentagon</b>							
0BB-19959	Pentagon Perf. Partners	3/13/00		10/22/02		10/25/02	15
0BB-19960	Pentagon Mgmt. Partners	3/13/00		10/22/02		10/25/02	15
041-96597	PPP 4	5/24/01		2/7/02	15	2/8/02	15
041-96598	PMP 4	5/24/01		12/7/01			
0BB-96785	Management 5	6/7/01		1/25/02 2/7/02	AF	1/29/02	AF
041-96602	P 5	8/3/01		12/7/01			
041-96610	P 6 (PPP6)	8/17/01		12/7/01			
ERS-95312	MP6	8/28/01		1/25/02 2/7/02	AF	1/29/02	AF
ERS-95313	P 7	8/29/01		12/7/01			
ERS-95318	Perf 8	10/4/01		2/7/02	15	2/8/02	15
ERS-95319	Man 7	10/16/01		2/7/02	15	2/8/02	15
ERS-95323	Pent. Perf. 9	12/6/01		1/25/02 2/7/02	AF	1/29/02	AF

Acct. #	Acct. Name	Date Opened	Date of Warning	Date blocked by Fund Company		Date blocked by PSI	
ERS-95324	PMP 401-8	12/6/01		1/25/02 2/7/02	AF	1/29/02	AF
ERS-95337	Performance 401-11	4/17/02		6/18/02		6/21/02	78
<b>J.P. Morgan</b>							
<b>Chronos</b>							
0BB-96657	CIBC Cayman XP-1	6/2/00		6/26/02		7/9/02 (I)	MD
0BB-96716	CIBC Cayman XP-6	12/7/00		6/25/02		7/9/02 (I)	M5
<b>Global</b>							
0BB-96359	Global Analytical Capital	5/19/98		12/31/02		1/2/03 (F)	49
0BB-96705	Analytical Investors	11/3/00		12/31/02		1/2/03 (F)	49
<b>Headstart</b>							
0BB-96653	CIBC Cayman CP-3	5/9/00		7/12/02		7/16/02 (I)	M5
0BB-96694	CIBC Cayman CP-6	9/13/00		6/25/02		7/9/02 (I)	DA
0BB-96749	CIBC Cayman CP-8	2/1/01		2/13/02		3/4/02 (I)	MD
041-96599	CIBC Cayman CP-10	6/15/01		6/13/02		6/21/02 (I)	M5
ERS-95311	Aquila 401 Limited 2	7/2/01		6/7/02		6/7/02 (I)	78
0BB-96798	Oberon 3	8/10/01		6/13/02		6/21/02 (I)	50
041-96603	Apollo 3	8/10/01		8/21/01		8/21/01 (I)	15
041-96609	CIBC Cayman CP-11	8/17/01		6/6/02			
<b>Pentagon</b>							
0BB-96758	Pentagon Mgmt. Partners	2/28/01		10/22/02			
041-96598	PMP 4	5/24/01		8/27/01		9/4/01 (I)	15
041-96610	P 6 (PPP6)	8/17/01		8/24/01		8/24/01	15
<b>Lazard</b>							
<b>Chronos</b>							
041-96608	Havers, Inc.	5/11/00		2/12/02		2/13/02	14
0BB-96714	CIBC Cayman XP-5	12/6/00		2/12/02		2/13/02	MD



Acct. #	Acct. Name	Date Opened	Date of Warning	Date blocked by Fund Company	Date blocked by PSI		
<b>Global</b>							
0BB-96772	Global Equity	5/14/01		3/4/02		3/6/02	J3
<b>Headstart</b>							
0BB-96488	Headstart Fund B-P1	7/7/99		3/4/02		3/6/02	14
0BB-96615	CIBC Cayman CP-1	2/2/00		3/4/02		3/6/02	MD
0BB-96708	CIBC Cayman CP-7	11/20/00		2/12/02		2/13/02	MD
0BB-96749	CIBC Cayman CP-8	2/1/01		11/20/01		11/27/01	MD
041-96586	Isis 401 Limited 1	2/15/01		11/20/01			
041-96587	Mandrake 401 Limited 1	2/28/01		2/12/02		2/21/02	14
041-96588	Isis 401 Limited 2	3/14/01		10/18/01		10/22/01	50
041-96589	Mandrake 401 Limited 2	3/15/01		3/4/02		3/6/02	14
041-96591	Apollo 1	3/28/01		11/20/01		11/27/01	14
041-96592	CIBC Cayman CP-9	4/5/01		11/20/01		11/27/01	MD
041-96595	Apollo 2	4/18/01		11/20/01		11/27/01	15
041-96599	CIBC Cayman CP-10	6/15/01		10/18/01		10/22/01	50
041-96600	Isis 401 Limited 4	7/2/01		10/8/01		10/8/01	50
ERS-95310	Mercutio 401 Limited 2	7/2/01		2/12/02		2/13/02	15
0BB-96790	Oberon 401 Limited 2	7/2/01		10/8/01		10/8/01	50
0BB-96798	Oberon 3	8/10/01		11/20/01		11/27/01	50
041-96609	CIBC Cayman CP-11	8/17/01		10/18/01			
041-96611	Isis 401 Limited 5	9/5/01		2/12/02		2/13/02	50
041-96613	CIBC Cayman CP-12	10/9/01		2/12/02		2/13/02	MD
ERS-95320	Levi 401 Limited 1	10/31/01		2/12/02		2/13/02	50
ERS-95322	Mercutio 401 Limited 3	12/5/01		2/12/02		2/13/02	AF
<b>Pentagon</b>							
0BB-96748	Pentagon Perf. Partners	1/29/01		2/12/02		2/13/02	15
041-96597	PPP 4	5/24/01		11/20/01		11/27/01	15
0BB-96785	Management 5	6/7/01		2/12/02		2/21/02	AF
041-96602	P 5	8/3/01		11/20/01		11/27/01	AF

Acct. #	Acct. Name	Date Opened	Date of Warning	Date blocked by Fund Company		Date blocked by PSI	
041-96610	P 6 (PPP6)	8/17/01		2/12/02		2/13/02	AF
ERS-95312	MP6	8/28/01		2/12/02		2/13/02	AF
ERS-95313	P 7	8/29/01		2/12/02		2/13/02	15
ERS-95318	Perf 8	10/4/01		2/12/02		2/13/02	15
ERS-95319	Man 7	10/16/01		3/4/02		3/6/02	AF
<b>Liberty</b>							
<b>Chronos</b>							
0BB-96803	Rodgars Als, Inc.	5/11/00		10/17/02	14	10/22/02 (F)	14
<b>Global</b>							
0BB-96680	GACF, NV	7/28/00		8/5/02 8/14/02 8/21/02 8/29/02	49 49 49 49	8/6/02 (F) 8/15/02 (F) 8/21/02 (F) 8/30/02 (F)	49 49 49 49
0BB-96705	Analytical Investors	11/3/00		10/23/02 10/25/02 10/29/02 11/8/02 11/13/02 11/19/02	41 41 41 41 41 41	10/23/02 (F) 10/28/02 (F) 10/30/02 (F) 11/11/02 (F) 11/13/02 (F) 11/19/02 (F)	41 41 41 41 41 41
0BB-96752	International Advisors	2/14/01		11/8/02 11/19/02	49 49	11/11/02 (F) 11/19/02 (F)	49 49
0BB-96760	Strategic Investors	3/7/01		1/8/02			
0BB-96761	Equity Investors	3/7/01		10/23/02 10/25/02 10/29/02 10/31/02 11/8/02	49 49 49 49 49	10/23/02 (F) 10/28/02 (F) 10/30/02 (F) 11/5/02 (F) 11/11/02 (F)	49 49 49 49 49
0BB-96771	GA Capital	5/14/01		9/30/02 10/17/02 10/23/02 10/29/02 11/8/02 11/15/02	J3 J3 J3 J3 J3 J3	10/1/02 (F) 10/22/02 (F) 10/23/02 (F) 10/30/02 (F) 11/11/02 (F) 11/15/02 (F)	J3 J3 J3 J3 J3 J3
0BB-96792	Global Strategies	7/5/01		8/6/02	49	8/6/02 (F)	49
0BB-96825	Foreign Equity Capital	12/24/01		4/16/02 3/25/03	 005	4/16/02 (F) 3/26/03	86 005

Acct. #	Acct. Name	Date Opened	Date of Warning	Date blocked by Fund Company		Date blocked by PSI	
0BB-96826	Int'l Capital Consultants	12/24/01		10/31/02 11/13/02	005 005	11/5/02 (F) 11/13/02 (F)	005 005
041-96620	Gacf	6/10/02		8/5/02 8/14/02 8/21/02	41 41 41	8/6/02 (F) 8/15/02 (F) 8/21/02 (F)	41 41 41
<b>Headstart</b>							
0BB-96603	Headstart Fund B-P7	12/30/99		8/23/01	DF	8/23/01	DF
0BB-96652	Headstart Fund B-P8	5/4/00		6/18/02		6/25/02 (F)	DF
0BB-96653	CIBC Cayman CP-3	5/9/00		10/17/02 10/25/02 11/1/02	M5 MD M5	10/22/02 (F) 10/28/02 (F) 11/5/02 (F)	M5 M5 M5
0BB-96662	Headstart Fund B-P9	6/13/00		8/7/02		8/8/02 (F)	14
0BB-96665	CIBC Cayman CP-4	6/15/00		5/21/02 10/3/02 10/17/02 10/30/02 11/8/02	MD MD MD MD MD	5/21/02 (F) 10/3/02 (F) 10/22/02 (F) 10/30/02 (F) 11/11/02 (F)	MD MD MD MD MD
0BB-96677	CIBC Cayman CP-5	7/18/00		11/30/01		12/3/01	MD
0BB-96695	Headstart Fund B-P10	9/13/00		3/19/01	DF		
0BB-96708	CIBC Cayman CP-7	11/20/00		3/20/01 10/17/02	14 MD	10/22/02 (F)	MD
0BB-96709	Headstart Fund B-P11	11/22/00		10/3/02 10/17/02	14 14	10/3/02 (F) 10/22/02 (F)	14 14
0BB-96732	Headstart Fund B-P14	12/22/00		6/18/02 10/3/02 10/17/02		6/25/02 10/3/02 (F) 10/22/02 (F)	14 14 14
0BB-96746	Headstart Fund B-P15	1/24/01		1/8/02	14	1/10/02	14
0BB-96749	CIBC Cayman CP-8	2/1/01		1/3/02		1/8/02	MD
041-96586	Isis 401 Limited 1	2/15/01		10/17/02 10/29/02 11/1/02 11/19/02	AD AF AD AF	10/22/02 (F) 10/30/02 (F) 11/5/02 (F) 11/19/02 (F)	AF AF AF AF
041-96587	Mandrake 401 Limited 1	2/28/01		4/12/01 5/7/01	14 14	6/22/01 (F) 8/23/01	15 15
041-96588	Isis 401 Limited 2	3/14/01		1/3/02 1/9/02	50 50	1/8/02 1/10/02	50 50

Acct. #	Acct. Name	Date Opened	Date of Warning	Date blocked by Fund Company		Date blocked by PSI	
041-96589	Mandrake 401 Limited 2	3/15/01		11/26/01	14	11/26/01	14
041-96590	Isis 401 Limited 3	3/15/01		10/2/01	50	10/4/01	50
041-96592	CIBC Cayman CP-9	4/5/01		10/5/01	MD	10/8/01	MD
041-96595	Apollo 2	4/18/01		9/4/01	15	9/5/01	15
ERS-95308	Aquilla 401 Limited 1	4/25/01		5/1/02	15	5/1/02	15
041-96596	Mandrake 401 Limited 3	4/27/01		11/20/01	14	11/20/01	14
ERS-95309	Mercutio 401 Limited 1	6/5/01		10/2/01		10/4/01	15
0BB-96784	Oberon 401 Limited 1	6/5/01		9/25/01		10/4/01	14
041-96599	CIBC Cayman CP-10	6/15/01		10/5/01	MD	10/8/01	50
ERS-95310	Mercutio 401 Limited 2	7/2/01		6/18/02 8/19/02 10/3/02 10/17/02		6/21/02 (F) 6/25/02 (F) 8/19/02 (F) 10/3/02 (F) 10/22/02 (F)	AD AD AD AD AD
ERS-95311	Aquilla 401 Limited 2	7/2/01		11/14/01		11/26/01	15
0BB-96790	Oberon 401 Limited 2	7/2/01		1/8/02 9/11/03	50 23	1/10/02 9/12/03 (F)	50 23
041-96603	Apollo 3	8/10/01		8/19/02 10/3/02 10/17/02 9/11/03	15 15 15 15	8/19/02 (F) 10/3/02 (F) 10/22/02 (F) 9/12/03 (F)	AD AD AD 23
041-96609	CIBC Cayman CP-11	8/17/01		11/14/01	MD	11/20/01	14
041-96613	CIBC Cayman CP-12	10/9/01		10/3/02 10/17/02 10/25/02 11/1/02	M5 M5 M5 M5	10/3/02 (F) 10/22/02 (F) 10/28/02 (F) 11/5/02 (F)	M5 M5 M5 M5
ERS-95320	Levi 401 Limited 1	10/31/01		1/31/02	50	2/5/02	50
ERS-95321	Levi 401 Limited 2	10/31/01		1/28/02	50	1/29/02	50
ERS-95322	Mercutio 401 Limited 3	12/5/01		1/28/02	AF	1/29/02	AF
041-96615	Levi 401 Limited 3	1/7/02		6/18/02	DF	6/25/02 (F)	DF
ERS-95329	Apollo 4	1/31/02		5/23/02	14	5/23/02 (F)	14
041-96616	Oberon 401 Limited 4	1/31/02		5/10/02 6/18/02 7/26/02	15	5/20/02 (F) 6/25/02 (F) 7/29/02 (F)	15 15 AF

Acct. #	Acct. Name	Date Opened	Date of Warning	Date blocked by Fund Company		Date blocked by PSI	
041-96617	Levi 401 Limited 4	3/4/02		8/19/02 10/17/02	AD	8/19/02 (F) 10/22/02 (F)	AD AD
ERS-95332	Mercutio 401 Limited 4	3/4/02		8/13/02 8/21/02 10/17/02 10/23/02		8/13/02 (F) 8/21/02 (F) 10/22/02 (F) 10/23/02 (F)	AD AD AD AD
0BB-96847	Windsor 401-3	3/5/02		10/29/02 11/8/02 11/9/02	AD AD AD	10/30/02 (F) 11/11/02 (F) 11/19/02 (F)	AD AD AD
041-96627	Credit Lyonnais	10/21/02		11/8/02 11/19/02	DA DA	11/11/02 (F) 11/19/02 (F)	AD AD
041-96628	Atlantis 401-2	12/5/02		9/11/03	23	9/12/03 (F)	23
<b>Pentagon</b>							
0BB-19959	Pentagon Perf. Partners	3/13/00		3/30/01	15		
0BB-96748	Pentagon Perf. Partners	1/29/01		3/30/01 9/30/02 11/19/02	DF AF	10/1/02 (F) 11/19/02 (F)	AF AF
0BB-96758	Pentagon Mgmt. Partners	2/28/01		3/30/01	DF		
041-96594	Pentagon Perf. Partners	4/6/01		5/8/01	15		
041-96597	PPP 4	5/24/01		7/3/01		7/5/01	15
041-96598	PMP 4	5/24/01		8/3/01	14	8/3/01	15
0BB-96785	Management 5	6/7/01		7/19/01	15	7/26/01	15
ERS-95312	MP6	8/28/01		10/22/01	15	10/30/01	AF
ERS-95327	M 10	1/10/02		11/5/02	AF		
ERS-95328	P 10	1/10/02		6/7/02 6/18/02	DF	6/12/02 (F) 6/21/02 (F)	DF DF
ERS-95336	PMP 11 Limited	4/17/02		11/5/02 11/19/02	AF AF	11/6/02 (F) 11/19/02 (F)	AF AF
<b>Summa</b>							
0BB-96907	Canco Ltd.	2/3/03		5/28/03 6/6/03	005 005	6/4/03 (F) 6/6/03 (F)	005 005
0BB-96908	Hilt Ltd.	2/3/03		5/28/03 6/6/03	005 005	6/4/03 (F) 6/6/03 (F)	005 005

Acct. #	Acct. Name	Date Opened	Date of Warning	Date blocked by Fund Company		Date blocked by PSI	
<b>Lord Abbett</b>							
<b>Global</b>							
0BB-96696	Capital Investors	9/21/00		7/10/01		7/17/01	49
0BB-96705	Analytical Investors	11/3/00		7/10/01		7/17/01	41
<b>Headstart</b>							
0BB-96640	CIBC Cayman CP-2	4/14/00		10/3/02			
ERS-95308	Aquilla 401 Limited 1	4/25/01		7/10/01		7/17/01	15
041-96628	Atlantis 401-2	12/5/02		6/10/03 6/24/03			
ERS-95349	Atlantis 401 Limited 3	12/5/02		6/24/03			
041-96902	Credit Lyonnais	1/10/03		6/24/03			
<b>Mercury/Merrill Lynch</b>							
<b>Chronos</b>							
041-96608	Havers, Inc.	5/11/00	3/25/03				
0BB-96657	CIBC Cayman XP-1	6/2/00	9/20/02 10/3/02				
0BB-96658	CIBC Cayman XP-2	6/7/00	12/30/02				
0BB-96713	CIBC Cayman XP-4	12/6/00	1/27/03				
0BB-96714	CIBC Cayman XP-5	12/6/00	11/27/02 12/30/02 1/27/03				
0BB-96716	CIBC Cayman XP-6	12/7/00	12/30/02 4/28/03				
ERS-95333	OXBO Inc. II	4/3/02	1/8/03 3/25/03				
ERS-95334	JST Pan Inc. II	4/3/02	8/19/02 9/20/02 9/26/02	10/2/02	50	10/3/02	50
<b>Global</b>							
0BB-96696	Capital Investors	9/21/00	4/28/03				
0BB-96750	Investment Consultants	2/1/01	9/8/03				

Acct. #	Acct. Name	Date Opened	Date of Warning	Date blocked by Fund Company		Date blocked by PSI	
0BB-96760	Strategic Investors	3/7/01	4/21/03				
0BB-96761	Equity Investors	3/7/01	4/28/03 9/10/03				
0BB-96825	Foreign Equity Capital	12/24/01	9/10/03				
0BB-96826	Int'l Capital Consultants	12/24/01	4/28/03				
041-96620	GACF	6/10/02	9/8/03				
<b>Headstart</b>							
0BB-96615	CIBC Cayman CP-1	2/2/00	8/19/02 8/20/02				
0BB-96640	CIBC Cayman CP-2	4/14/00	1/10/03 1/24/03 1/27/03				
0BB-96653	CIBC Cayman CP-3	5/9/00	11/4/02				
0BB-96665	CIBC Cayman CP-4	6/15/00	10/31/02				
0BB-96694	CIBC Cayman CP-6	9/13/00	11/4/02 11/15/02				
0BB-96749	CIBC Cayman CP-8	2/1/01	11/4/02 11/15/02				
041-96592	CIBC Cayman CP-9	4/5/01	8/28/02 9/5/02	9/9/02	MD		
041-96609	CIBC Cayman CP-11	8/17/01	8/28/02 9/5/02	9/9/02 10/24/02	MD MD		
041-96613	CIBC Cayman CP-12	10/9/01	1/24/03 2/3/03 2/5/03				
0BB-96870	Credit Lyonnais	9/24/02	9/10/03				
ERS-95343	CIBC Cayman CP-14	11/27/02	1/24/03 6/20/03				
<b>Munder</b>							
<b>Chronos</b>							
0BB-96800	Patten, Inc.	5/11/00		4/15/03	AD		
0BB-96801	EVBO, Inc.	5/11/00		4/15/03	AD		

Acct. #	Acct. Name	Date Opened	Date of Warning	Date blocked by Fund Company		Date blocked by PSI	
ERS-95335	Havers Inc. II	4/8/02		4/15/03	15		
<b>Global</b>							
0BB-96696	Capital Investors	9/21/00		1/2/01	49		
0BB-96705	Analytical Investors	11/3/00		12/4/00	41		
0BB-96900	Global Capital	1/7/03		4/15/03	J1		
0BB-96901	Global Analytical	1/7/03		4/15/03	J3		
<b>Headstart</b>							
0BB-96665	CIBC Cayman CP-4	6/15/00		6/8/01	MD	6/27/01	MD
0BB-96677	CIBC Cayman CP-5	7/18/00		8/13/01	MD	8/16/01	MD
0BB-96695	Headstart Fund B-P10	9/13/00		2/20/01	DF		
0BB-96727	Headstart Fund B-P12	12/18/00		2/5/02	14	2/6/02	14
0BB-96749	CIBC Cayman CP-8	2/1/01		2/5/02	MD	2/6/02	MD
041-96586	Isis 401 Limited 1	2/15/01		5/16/01	14	6/27/01	50
041-96587	Mandrake 401 Limited 1	2/28/01		1/25/02	14	1/29/02	14
041-96589	Mandrake 401 Limited 2	3/15/01		5/3/01	14	6/27/01	14
041-96590	Isis 401 Limited 3	3/15/01		5/24/01	15	6/27/01	50
041-96591	Apollo 1	3/28/01		11/1/01	14	11/5/01	14
041-96592	CIBC Cayman CP-9	4/5/01		8/13/01	MD	8/16/01	MD
041-96596	Mandrake 401 Limited 3	4/27/01		8/13/01	14	8/16/01	14
ERS-95309	Mercutio 401 Limited 1	6/5/01		7/13/01	15	7/17/01	15
0BB-96784	Oberon 401 Limited 1	6/5/01		10/15/01	50	10/18/01	50
041-96599	CIBC Cayman CP-10	6/15/01		10/2/01	50	10/4/01	50
0BB-96798	Oberon 3	8/10/01		11/23/01	50	11/26/01	50
041-96603	Apollo 3	8/10/01		11/1/01	15	11/5/01	15
041-96609	CIBC Cayman CP-11	8/17/01		10/15/01	MD	10/18/01	MD
ERS-95314	Aquila 401 Limited 3	9/5/01		10/15/01	50	10/18/01	50
ERS-95320	Levi 401 Limited 1	10/31/01		1/16/02	50	1/17/02	50
ERS-95321	Levi 401 Limited 2	10/31/01		1/25/02	50	1/29/02	50
ERS-95322	Mercutio 401 Limited 3	12/5/01		1/16/02	AF	1/17/02	AF



Acct. #	Acct. Name	Date Opened	Date of Warning	Date blocked by Fund Company		Date blocked by PSI	
041-96615	Levi 401 Limited 3	1/7/02		2/5/02	DF	2/6/02	DF
ERS-95326	Mandrake 401 Limited 4	1/7/02		1/25/02	50	1/29/02	50
041-96627	Credit Lyonnais	10/21/02		4/15/03	DA		
ERS-95343	CIBC Cayman CP-14	11/27/02		4/15/03	B6		
ERS-95347	Credit Lyonnais	12/2/02		4/15/03	DA		
ERS-95348	Mandrake 401-5	12/5/02		4/15/03	FD		
041-83558	Marks Securities	2/27/03		4/15/03	DA		
ERS-05764	Marks Securities II	3/4/03		4/15/03	DA		
<b>Pentagon</b>							
0BB-96748	Pentagon Perf. Partners	1/29/01		1/16/02	15	1/17/02	15
ERS-95318	Perf 8	10/4/01		1/16/02	15	1/17/02	15
ERS-95328	P 10	1/10/02		4/15/03	AF		
ERS-95341	Management 401-12	11/7/02		4/15/03	DA		
0BB-96877	Performance 12 401	11/7/02		4/15/03	DA		
ERS-95355	Pentagon Perform 14	2/27/03		4/15/03	23		
<b>Neuberger Berman</b>							
<b>Chronos</b>							
0BB-96657	CIBC Cayman XP-1	6/2/00		8/23/02		8/27/02	MD
0BB-96658	CIBC Cayman XP-2	6/7/00		8/23/02		8/27/02	MD
0BB-96713	CIBC Cayman XP-4	12/6/00		8/23/02		8/27/02	MD
<b>Headstart</b>							
0BB-96665	CIBC Cayman CP-4	6/15/00		8/23/02		8/27/02	MD
0BB-96708	CIBC Cayman CP-7	11/20/00		8/21/02		8/23/02	MD
041-96603	Apollo 3	8/10/01		8/21/02		8/23/02	AD
ERS-95330	Windsor 401-1 Limited	2/5/02		8/21/02		8/23/02	50
<b>Nuveen</b>							
<b>Global</b>							
0BB-96804	Foreign Investments	8/16/01		6/10/03			

Acct. #	Acct. Name	Date Opened	Date of Warning	Date blocked by Fund Company		Date blocked by PSI	
0BB-96900	Global Capital	1/7/03		6/10/03			
0BB-96901	Global Analytical	1/7/03		6/9/03 6/10/03			
<b>Headstart</b>							
ERS-05765	Ratings Holdings	3/4/03		9/10/03		9/12/03	DA
<b>One Group</b>							
<b>Chronos</b>							
041-96606	Abby Mills	2/20/00		6/17/02		6/21/02	AD
<b>Global</b>							
0BB-96772	Global Equity	5/14/01		8/6/03		8/7/03	J3
0BB-96900	Global Capital	1/7/03		8/14/03		8/22/03	J1
<b>Jemmco</b>							
ERS-05757	Apricot Capital	4/4/02		9/18/02		9/18/02	AF
<b>Oppenheimer</b>							
<b>Chronos</b>							
0BB-96716	CIBC Cayman XP-6	12/7/00		5/16/01		6/25/01	14
<b>Global</b>							
0BB-96696	Capital Investors	9/21/00		9/4/01	49		
0BB-96705	Analytical Investors	11/3/00		9/4/01	41		
0BB-96752	International Advisors	2/14/01		9/4/01	49		
<b>Headstart</b>							
0BB-96709	Headstart Fund B-P11	11/22/00		5/16/01		6/25/01	14
0BB-96727	Headstart Fund B-P12	12/18/00		5/16/01		6/25/01	14
0BB-96732	Headstart Fund B-P14	12/22/00		5/16/01		6/25/01	14
0BB-96746	Headstart Fund B-P15	1/24/01		5/16/01		6/25/01	14
041-96586	Isis 401 Limited 1	2/15/01		8/15/01		8/15/01	50
041-96588	Isis 401 Limited 2	3/14/01		5/16/01 8/15/01		6/25/01 8/15/01	50 50

Acct. #	Acct. Name	Date Opened	Date of Warning	Date blocked by Fund Company		Date blocked by PSI	
041-96590	Isis 401 Limited 3	3/15/01		8/15/01		8/15/01	50
041-96600	Isis 401 Limited 4	7/2/01		8/15/01		8/15/01	50
<b>Pentagon</b>							
0BB-19959	Pentagon Perf. Partners	3/13/00		5/16/01		6/20/01	15
0BB-19960	Pentagon Mgmt. Partners	3/13/00		5/16/01		6/20/01	15
0BB-96748	Pentagon Perf. Partners	1/29/01		5/16/01		6/20/01	15
0BB-96758	Pentagon Mgmt. Partners	2/28/01		5/16/01		6/20/01	15
<b>Phoenix</b>							
<b>Chronos</b>							
0BB-96803	Rodgars Als, Inc.	5/11/00		2/26/03		3/3/03	DA
0BB-96657	CIBC Cayman XP-1	6/2/00		3/18/03		3/20/03	MD
0BB-96658	CIBC Cayman XP-2	6/7/00		3/18/03		3/20/03	MD
0BB-96659	CIBC Cayman XP-3	6/7/00		2/28/03		3/3/03	MD
0BB-96713	CIBC Cayman XP-4	12/6/00		3/18/03		3/20/03	MD
0BB-96714	CIBC Cayman XP-5	12/6/00		3/18/03		3/20/03	M5
0BB-96716	CIBC Cayman XP-6	12/7/00		3/18/03		3/20/03	M5
ERS-95333	OXBO Inc. II	4/3/02		3/18/03		3/20/03	50
041-96625	EVBO Inc. II	8/7/02		3/18/03		3/20/03	50
<b>Global</b>							
0BB-96761	Equity Investors	3/7/01		2/25/02		3/5/02	49
0BB-96825	Foreign Equity Capital	12/24/01		10/10/02	005	10/15/02	005
0BB-96826	Int'l Capital Consultants	12/24/01		10/4/02		10/7/02	005
<b>Headstart</b>							
0BB-96603	Headstart Fund B-P7	12/30/99		10/7/02		10/7/02	AD
0BB-96640	CIBC Cayman CP-2	4/14/00		10/15/02		10/17/02	B6
0BB-96653	CIBC Cayman CP-3	5/9/00		10/15/02		10/17/02	M5
0BB-96695	Headstart Fund B-P10	9/13/00		12/11/00			
0BB-96708	CIBC Cayman CP-7	11/20/00		10/15/02		10/17/02	MD

Acct. #	Acct. Name	Date Opened	Date of Warning	Date blocked by Fund Company		Date blocked by PSI	
0BB-96727	Headstart Fund B-P12	12/18/00		2/9/01			
0BB-96746	Headstart Fund B-P15	1/24/01		3/6/01			
0BB-96749	CIBC Cayman CP-8	2/1/01		3/6/01			
041-96590	Isis 401 Limited 3	3/15/01		5/9/01		6/25/01	50
041-96592	CIBC Cayman CP-9	4/5/01		10/17/01		10/17/01	MD
041-96599	CIBC Cayman CP-10	6/15/01		12/19/01		12/20/01	M5
ERS-95310	Mercutio 401 Limited 2	7/2/01		7/18/01		7/23/01	15
0BB-96790	Oberon 401 Limited 2	7/2/01		7/19/01		7/23/01	50
041-96603	Apollo 3	8/10/01		3/18/03		3/20/03	AD
041-96609	CIBC Cayman CP-11	8/17/01		10/17/01			
041-96613	CIBC Cayman CP-12	10/9/01		1/30/02		2/5/02	M5
041-96616	Oberon 401 Limited 4	1/31/02		1/24/03		1/27/03	AF
ERS-95330	Windsor 401-1 Limited	2/5/02		11/5/02		11/6/02	50
ERS-95331	Windsor 401-2 Limited	2/5/02		11/5/02		11/6/02	AD
<b>Pentagon</b>							
0BB-19959	Pentagon Perf. Partners	3/13/00		5/9/01		6/25/01	15
0BB-19960	Pentagon Mgmt. Partners	3/13/00		2/13/03		2/14/03	FD
041-96597	PPP 4	5/24/01		7/16/01		7/17/01	15
ERS-95319	Man 7	10/16/01		5/9/02		5/14/02	AF
ERS-95323	Pent. Perf. 9	12/6/01		11/5/02		11/6/02	AF
ERS-95328	P 10	1/10/02		11/5/02		11/6/02	AF
ERS-95336	PMP 11 Limited	4/17/02		2/13/03		2/14/03	FD
ERS-95337	Performance 401-11	4/17/02		5/9/02		5/14/02	AF
0BB-96877	Performance 12 401	11/7/02		2/13/03		2/14/03	DA
<b>Pimco</b>							
<b>Global</b>							
0BB-96760	Strategic Investors	3/7/01				7/18/03	49

Acct. #	Acct. Name	Date Opened	Date of Warning	Date blocked by Fund Company		Date blocked by PSI	
<b>Headstart</b>							
0BB-96665	CIBC Cayman CP-4	6/15/00		8/24/01	MD	8/24/01	MD
0BB-96749	CIBC Cayman CP-8	2/1/01		7/17/01		7/17/01	50
041-96586	Isis 401 Limited 1	2/15/01		8/24/01	50	8/24/01	50
041-96590	Isis 401 Limited 3	3/15/01		8/24/01	50	8/24/01	50
041-96591	Apollo 1	3/28/01		11/5/01	14	11/7/01	14
041-96592	CIBC Cayman CP-9	4/5/01		11/5/01	MD	11/7/01	MD
041-96595	Apollo 2	4/18/01		8/24/01	15	8/24/01	15
ERS-95308	Aquilla 401 Limited 1	4/25/01		8/24/01	15	8/24/01	15
ERS-95309	Mercutio 401 Limited 1	6/5/01		10/30/01	DF	10/31/01	15
041-96599	CIBC Cayman CP-10	6/15/01		11/5/01	50	11/7/01	M5
041-96600	Isis 401 Limited 4	7/2/01		11/5/01	50	11/7/01	50
ERS-95311	Aquilla 401 Limited 2	7/2/01		8/24/01	15	8/24/01	15
0BB-96790	Oberon 401 Limited 2	7/2/01		11/5/01	50	11/7/01	50
0BB-96798	Oberon 3	8/10/01		11/5/01	50	11/7/01	50
041-96603	Apollo 3	8/10/01		11/5/01	15	11/7/01	15
041-96609	CIBC Cayman CP-11	8/17/01		11/5/01	MD	11/7/01	MD
ERS-95314	Aquilla 401 Limited 3	9/5/01		10/30/01	50	10/31/01	50
<b>Pioneer</b>							
<b>Chronos</b>							
0BB-96716	CIBC Cayman XP-6	12/7/00		6/17/02		6/21/02	M5

Acct. #	Acct. Name	Date Opened	Date of Warning	Date blocked by Fund Company		Date blocked by PSI	
<b>Global</b>							
0BB-96680	GACF, NV	7/28/00		10/9/01		10/11/01 (I)	49
0BB-96750	Investment Consultants	2/1/01		10/8/01		10/8/01 (I)	49
0BB-96761	Equity Investors	3/7/01		9/30/02		10/3/02 (D)	J3
0BB-96771	GA Capital	5/14/01		10/8/01		10/8/01 (I)	J3
0BB-96772	Global Equity	5/14/01		10/8/01		10/8/01 (I)	49
0BB-96804	Foreign Investments	8/16/01		9/25/02 7/17/03		9/26/02 (D)	005
0BB-96825	Foreign Equity Capital	12/24/01		9/25/02		9/26/02 (D)	005
<b>Headstart</b>							
0BB-96523	Headstart Fund B-P2	7/23/99		6/12/02		6/21/02 (D)	50
0BB-96615	CIBC Cayman CP-1	2/2/00		3/6/02	MD	3/7/02 (D)	MD
0BB-96732	Headstart Fund B-P14	12/22/00		5/24/01		6/22/01 (D)	14
041-96599	CIBC Cayman CP-10	6/15/01		10/30/01 5/16/02	M5	11/7/01 (D) 5/17/02 (I)	M5 M5
041-96613	CIBC Cayman CP-12	10/9/01		6/17/02		6/21/02 (D)	M5
<b>Pentagon</b>							
ERS-95313	P 7	8/29/01		1/23/02		1/24/02 (D)	15
<b>Ritchie</b>							
0BB-96813	Whitespruce Inc. II	10/2/01		12/6/01		12/20/01(D)	50
<b>Summa</b>							
0BB-96909	Parr Ltd.	2/3/03		4/25/03		5/5/03	41
<b>Putnam</b>							
<b>Global</b>							
0BB-96705	Analytical Investors	11/3/00		7/19/02		7/23/02	41
0BB-96750	Investment Consultants	2/1/01		4/11/02	41	4/15/02	41
0BB-96804	Foreign Investments	8/16/01		4/11/02	49	4/15/02	49
<b>Headstart</b>							
ERS-95314	Aquila 401 Limited 3	9/5/01		4/11/02	50	4/15/02	50

Acct. #	Acct. Name	Date Opened	Date of Warning	Date blocked by Fund Company		Date blocked by PSI	
ERS-95321	Levi 401 Limited 2	10/31/01		4/11/02	50	4/15/02	50
ERS-95331	Windsor 401-2 Limited	2/5/02		6/11/03		6/16/03	DA
041-83558	Marks Securities	2/27/03		6/11/03		6/16/03	DA
<b>Ritchie</b>							
0BB-96718	Clear Brook Inc.	12/8/00		4/11/02	DF	4/15/02	DF
<b>Scudder</b>							
<b>Chronos</b>							
041-96604	Confidential Acct. #5400	1/6/00				1/28/02 (F)	DF
041-96605	Confidential Acct. #5475	1/11/00		2/19/02 (F)	DF	1/28/02 (F) 2/26/02 (F)	DF DF
041-96606	Abby Mills	2/20/00		1/22/02 (F) 2/19/02 (F)	78	1/28/02 (F) 2/26/02 (F)	78 78
0BB-96657	CIBC Cayman XP-1	6/2/00		3/8/01 (I)			
0BB-96658	CIBC Cayman XP-2	6/7/00		10/22/01		10/23/01	MD
0BB-96659	CIBC Cayman XP-3	6/7/00		2/19/02 (F)	14	2/26/02 (F)	MD
0BB-96716	CIBC Cayman XP-6	12/7/00		10/22/01		10/23/01	MD
<b>Global</b>							
0BB-96359	Global Analytical Capital	5/19/98		9/13/02 (F)	49	9/17/02 (F)	49
0BB-96680	GACF, NV	7/28/00		3/12/02		3/21/02 (F)	49
0BB-96750	Investment Consultants	2/1/01		7/12/01 (I) 3/12/02		7/16/01 (I) 3/21/02 (I) 11/19/02 (F)	49 41 41
0BB-96752	International Advisors	2/14/01		7/24/01 (I)		7/24/01 (I)	49
0BB-96760	Strategic Investors	3/7/01		11/19/02 (F) 11/21/02 (F)	49 49	11/20/02 (F) 11/25/02 (F)	49 49
0BB-96761	Equity Investors	3/7/01		7/12/01 (I)		7/16/01 (I)	49
0BB-96771	GA Capital	5/14/01		7/12/01 (I) 8/16/02 (I)		7/16/01 (I)	J3
0BB-96792	Global Strategies	7/5/01		3/12/02 11/13/02 (F) 11/21/02 (F)	49 49 49	3/21/02 (I) 11/19/02 (F) 11/25/02 (F)	49 49 49
0BB-96804	Foreign Investments	8/16/01		11/9/01 (I)		11/9/01 (I)	49

Acct. #	Acct. Name	Date Opened	Date of Warning	Date blocked by Fund Company		Date blocked by PSI	
0BB-96825	Foreign Equity Capital	12/24/01		8/16/02 (I)			
0BB-96826	Int'l Capital Consultants	12/24/01		8/16/02 (I) 9/13/02 (F) 9/16/02 (F) 9/20/02 (F)	005 005	9/17/02 (F) 10/3/02 (F)	005 005
0BB-96900	Global Capital	1/7/03		5/21/03 (I)	J1	5/30/03 (I)	J1
0BB-96901	Global Analytical	1/7/03					
<b>Headstart</b>							
0BB-96523	Headstart Fund B-P2	7/23/99		6/25/03 (F)	50	6/26/03 (F)	50
0BB-96525	Headstart Fund B-P4	7/23/99		9/6/02 (F) 9/27/02 (F) 10/17/02 (F) 10/30/02 (F) 6/25/03 (F)	DF DF DF DF FD	8/27/02 (F) 9/10/02 (F) 10/3/02 (F) 10/22/02 (F) 11/5/02 (F) 6/26/03 (F)	DF DF DF DF DF FD
0BB-96552	Headstart Fund B-P5	9/23/99		3/5/01 (I) 3/8/01 (I)			
0BB-96582	Headstart Fund B-P6	11/18/99		3/5/01 (I) 3/12/01 (I)			
0BB-96615	CIBC Cayman CP-1	2/2/00		3/8/01 (I) 8/19/02 (F) 8/23/02 (F) 6/25/03 (F)	MD MD MD	8/20/02 (F) 8/27/02 (F) 6/26/03 (F)	MD MD MD
0BB-96640	CIBC Cayman CP-2	4/14/00		3/5/01 (I) 3/8/01 (I) 8/26/02 (F) 10/25/02 (F) 10/30/02 (F)	B6 B6 B6	8/30/02 (F) 10/30/02 (F) 11/5/02 (F)	B6 B6 B6
0BB-96652	Headstart Fund B-P8	5/4/00		3/5/01 (I)			
0BB-96653	CIBC Cayman CP-3	5/9/00		3/5/01 (I) 3/8/01 (I) 7/31/03 (F)	M5	7/31/03 (F)	M5
0BB-96662	Headstart Fund B-P9	6/13/00		3/5/01 (I) 3/12/01 (I)			
0BB-96665	CIBC Cayman CP-4	6/15/00		3/5/01 (I) 3/12/01 (I) 7/31/03 (F)	MD	7/31/03 (F)	MD
0BB-96677	CIBC Cayman CP-5	7/18/00		2/22/01 (I)			



Acct. #	Acct. Name	Date Opened	Date of Warning	Date blocked by Fund Company		Date blocked by PSI	
0BB-96694	CIBC Cayman CP-6	9/13/00		3/8/01 (I) 10/11/02 (F) 10/23/02 (F) 10/25/02 (F) 7/15/03 (F)	MD MD MD MD	10/15/02 (F) 10/23/02 (F) 10/30/02 (F) 7/17/03 (F)	MD MD MD MD
0BB-96695	Headstart Fund B-P10	9/13/00		3/5/01 (I) 3/8/01 (I)			
0BB-96709	Headstart Fund B-P11	11/22/00		7/12/01 (I)		7/16/01 (I)	14
0BB-96727	Headstart Fund B-P12	12/18/00		3/8/01 (I) 7/12/01 (I)		7/16/01 (I)	14
0BB-96732	Headstart Fund B-P14	12/22/00		3/8/01 (I)			
0BB-96749	CIBC Cayman CP-8	2/1/01		6/11/01			
041-96586	Isis 401 Limited 1	2/15/01		10/3/01 (I)		10/8/01 (I)	50
041-96587	Mandrake 401 Limited 1	2/28/01		7/12/01 (I)		7/16/01 (I)	14
041-96588	Isis 401 Limited 2	3/14/01		7/12/01 (I)		7/16/01 (I)	50
041-96589	Mandrake 401 Limited 2	3/15/01		10/3/01 (I)		10/8/01 (I)	14
041-96590	Isis 401 Limited 3	3/15/01		10/3/01 (I)		10/8/01 (I)	50
041-96592	CIBC Cayman CP-9	4/5/01		7/12/01 (I) 3/12/02 7/31/03 (F)	MD	7/16/01 (I) 7/31/03 (F)	MD MD
ERS-95308	Aquilla 401 Limited 1	4/25/01		10/3/01 (I)		10/8/01 (I)	15
041-96596	Mandrake 401 Limited 3	4/27/01		10/3/01 (I)		10/8/01 (I)	14
ERS-95309	Mercutio 401 Limited 1	6/5/01		10/3/01 (I) 10/22/01		10/8/01 (I) 10/23/01	15 15
0BB-96784	Oberon 401 Limited 1	6/5/01		10/3/01 (I) 10/22/01		10/8/01 (I) 10/23/01	14 14
041-96599	CIBC Cayman CP-10	6/15/01		11/9/01 (I) 3/12/02 8/17/02 (F) 8/23/02 (F) 9/12/02 (F) 9/27/02 (F) 10/2/02 (F) 10/23/02 (F) 10/25/02 (F) 7/31/03 (F)	MD MD MD MD MD MD MD MD MD M5	11/9/01 (I) 8/20/02 (F) 8/27/02 (F) 9/12/02 (F) 10/3/02 (F) 10/23/02 (F) 10/30/02 (F) 7/31/03 (F)	M5 MD M5 M5 M5 M5 MD M5
041-96600	Isis 401 Limited 4	7/2/01		10/3/01 (I) 8/16/02 (I)		10/8/01 (I)	50

Acct. #	Acct. Name	Date Opened	Date of Warning	Date blocked by Fund Company		Date blocked by PSI	
ERS-95310	Mercutio 401 Limited 2	7/2/01		10/3/01 (I) 10/22/01		10/8/01 (I) 10/23/01	15 15
ERS-95311	Aquilla 401 Limited 2	7/2/01		10/3/01 (I)		10/8/01 (I)	15
0BB-96790	Oberon 401 Limited 2	7/2/01		10/3/01 (I)		10/8/01 (I)	50
0BB-96798	Oberon 3	8/10/01		10/3/01 (I)		10/8/01 (I)	50
041-96603	Apollo 3	8/10/01		11/9/01 (I)		11/9/01 (I)	15
041-96609	CIBC Cayman CP-11	8/17/01		10/3/01 (I) 10/22/01 3/12/02			
041-96611	Isis 401 Limited 5	9/5/01		10/3/01 (I) 1/22/02 (F)		10/8/01 (I) 1/24/02 (F)	50 50
041-96613	CIBC Cayman CP-12	10/9/01		12/17/01 (F) 12/21/01 (F) 8/23/02 (F) 7/31/03 (F)	M5 M5	12/31/01 (F) 8/27/02 (F) 7/31/03 (F)	M5 M5 M5
ERS-95320	Levi 401 Limited 1	10/31/01		12/4/01 (F) 12/17/01 (F) 8/16/02 (I)		12/31/01 (F)	50
ERS-95321	Levi 401 Limited 2	10/31/01		1/7/02 (F)		1/8/02 (F)	50
ERS-95322	Mercutio 401 Limited 3	12/5/01		1/7/02 (F)		1/8/02 (F)	AF
ERS-95329	Apollo 4	1/31/02		3/12/02		3/21/02 (I)	14
041-96616	Oberon 401 Limited 4	1/31/02		3/12/02		3/21/02 (I)	15
ERS-95330	Windsor 401-1 Limited	2/5/02		2/14/03 (F) 3/7/03 (F) 3/18/03 (F)	50 50 50	2/18/03 (F) 3/11/03 (F) 3/26/03 (F)	50 50 50
ERS-95331	Windsor 401-2 Limited	2/5/02		10/30/02 (F) 11/13/02 (F) 11/15/02 (F)	AD AD AD	11/5/02 (F) 11/13/02 (F)	AD AD
041-96617	Levi 401 Limited 4	3/4/02		8/16/02 (I)			
ERS-95332	Mercutio 401 Limited 4	3/4/02		8/16/02 (I)			
0BB-96847	Windsor 401-3	3/5/02		2/14/03 (F) 2/28/03 (F) 3/6/03 (F)	DA DA DA	2/18/03 (F) 3/3/03 (F) 3/11/03 (F)	DA DA DA
0BB-96870	Credit Lyonnais	9/24/02		2/14/03 (F) 2/28/03 (F) 3/6/03 (F) 3/28/03 (F)	DA DA DA DA	2/18/03 (F) 3/3/03 (F) 3/11/03 (F) 4/1/03 (F)	DA DA DA DA

Acct. #	Acct. Name	Date Opened	Date of Warning	Date blocked by Fund Company		Date blocked by PSI	
ERS-95347	Credit Lyonnais	12/2/02		8/19/03 (F)	DA	8/22/03 (F)	DA
0BB-96880	Aquilla 401-4	12/5/02		8/19/03 (F)	23	8/22/03 (F)	23
ERS-95348	Mandrake 401-5	12/5/02		7/31/03 (F)	FD	7/31/03 (F)	FD
0BB-96883	Atlantis 401-1	12/5/02		6/30/03		7/9/03 (I)	DA
0BB-96899	Credit Lyonnais	1/6/03		1/22/03 (F) 3/28/03 (F)	DA DA	1/22/03 (F) 4/1/03 (F)	DA DA
0BB-96934	Credit Lyonnais	5/7/03		7/14/03	DA	7/17/03 (F)	DA
<b>Jemmco</b>							
041-83263	Tuscany Capital	4/4/02		1/24/03 (F)	DA	1/27/03 (F)	DA
041-83264	Meladan Capital	4/4/02		1/24/03 (F)	DA	1/27/03 (F)	DA
ERS-05757	Apricot Capital	4/4/02		9/27/02 (F)	AF	10/3/02 (F)	AF
ERS-05758	Raleigh Capital	4/4/02		1/24/03 (F)	DA	1/27/03 (F)	DA
041-96618	Triad International	4/24/02		5/21/03 (I)	FD	5/30/03 (I)	FD
ERS-95338	Campbell International	4/26/02		5/21/03 (I)	AF	5/30/03 (I)	AF
ERS-95339	Cornell International	4/26/02		5/21/03 (I)	DA	5/30/03 (I)	DA
<b>Pentagon</b>							
0BB-19959	Pentagon Perf. Partners	3/13/00		3/8/01 (I)			
0BB-19960	Pentagon Mgmt. Partners	3/13/00		3/8/01 (I) 5/21/03 (I)	FD	5/30/03 (I)	FD
0BB-96758	Pentagon Mgmt. Partners	2/28/01		5/21/03 (I)	AF	5/30/03 (I)	AF
041-96593	Pentagon Perf. Partners	4/6/01		10/22/01		10/23/01	15
041-96594	Pentagon Perf. Partners	4/6/01		10/22/01		10/23/01	15
041-96597	PPP 4	5/24/01		5/21/03 (I)	AF	5/30/03 (I)	FD
0BB-96785	Management 5	6/7/01		10/3/01 (I) 10/22/01		10/8/01 (I) 10/23/01	15 15
041-96602	P 5	8/3/01		10/3/01 (I) 10/22/01		10/8/01 (I) 10/23/01	15 15
041-96610	P 6 (PPP6)	8/17/01		10/3/01 (I) 10/22/01		10/8/01 (I) 10/23/01	15 15
ERS-95312	MP6	8/28/01		10/3/01 (I) 5/21/03 (I)	FD	10/8/01 (I) 5/30/03 (I)	15 DA
ERS-95318	Perf 8	10/4/01		11/26/01 (F)		11/27/01 (F)	15

Acct. #	Acct. Name	Date Opened	Date of Warning	Date blocked by Fund Company		Date blocked by PSI	
ERS-95319	Man 7	10/16/01		8/17/02 (F)	AF	8/20/02 (F)	AF
ERS-95323	Pent. Perf. 9	12/6/01		5/21/03 (I)	AF	5/30/03 (I)	AF
ERS-95324	PMP 401-8	12/6/01		2/28/02 (F)	AF	3/1/02 (F)	AF
ERS-95325	PMP 401(9)	12/27/01		5/21/03 (I)	AF	5/30/03 (I)	AF
ERS-95327	M 10	1/10/02		5/21/03 (F)	DA	5/30/03 (I)	DA
ERS-95336	PMP 11 Limited	4/17/02		8/19/02 (F)	AF	8/20/02 (F)	AF
0BB-96889	Management Ltd 13	12/23/02		6/30/03 (I)	23	6/30/03 (I)	23
<b>Ritchie</b>							
041-96612	Canadian Imperial R-1	9/7/01		1/29/03 (F)	M5	1/31/03 (F)	M5
0BB-96807	Canadian Imperial R-2	9/10/01		10/2/02 (F)	B6	10/3/02 (F)	B6
ERS-95316	Canadian Imperial R-5	9/10/01		11/30/01 (F)		12/3/01 (F)	M5
ERS-95317	Canadian Imperial R-4	9/10/01		11/30/01 (F)		12/3/01 (F)	B6
0BB-96811	Clear Brook Inc. II	9/28/01		6/27/03 (F)	23	6/30/03 (F)	23
<b>Seligman</b>							
<b>Chronos</b>							
041-96607	Buckhannon, Inc.	5/11/00		2/13/02			
0BB-96713	CIBC Cayman XP-4	12/6/00		2/13/02			
0BB-96714	CIBC Cayman XP-5	12/6/00		2/13/02			
0BB-96716	CIBC Cayman XP-6	12/7/00	4/16/02				
ERS-95334	JST Pan Inc. II	4/3/02		4/4/03			
<b>Global</b>							
0BB-96825	Foreign Equity Capital	12/24/01		4/8/03 4/25/03			
0BB-96901	Global Analytical	1/7/03		5/20/03		5/29/03	J3
<b>Headstart</b>							
0BB-96727	Headstart Fund B-P12	12/18/00		2/19/02			
041-96599	CIBC Cayman CP-10	6/15/01		4/16/02		4/18/02 (I)	M5
041-96613	CIBC Cayman CP-12	10/9/01	4/16/02				
041-96615	Levi 401 Limited 3	1/7/02		2/13/02			

Acct. #	Acct. Name	Date Opened	Date of Warning	Date blocked by Fund Company		Date blocked by PSI	
0BB-96934	Credit Lyonnais	5/7/03				7/9/03 (I)	DA
<b>Jemcco</b>							
ERS-05758	Raleigh Capital	4/4/02		6/10/03		6/27/03 (F)	DA
<b>Pentagon</b>							
ERS-95341	Management 401-12	11/7/02		12/19/02 1/31/03 2/25/03		5/12/03	DA
0BB-96877	Performance 12 401	11/7/02		12/19/02 1/31/03 2/25/03		5/12/03	DA
ERS-95351	Performance Limited 13	12/23/02		1/31/03 2/25/03		5/12/03	DA
0BB-96889	Management LTD 13	12/23/02		5/30/03 7/25/03		6/27/03 (F) 7/31/03	23 23
ERS-95355	Pentagon Perform 14	2/27/03		4/8/03			
<b>Summa</b>							
0BB-96907	Canco Ltd.	2/3/03		4/8/03 4/17/03 4/25/03			
0BB-96908	Hilt Ltd.	2/3/03		4/8/03			
<b>State Street</b>							
<b>Chronos</b>							
041-96606	Abby Mills	2/20/00		3/6/02			
0BB-96799	JST Pan, Inc.	5/11/00		7/12/02		7/23/02	AD
0BB-96800	Patten, Inc.	5/11/00		3/6/02			
0BB-96803	Rodgars Als, Inc.	5/11/00		9/5/02		9/12/02	14
0BB-96657	CIBC Cayman XP-1	6/2/00		9/5/02		9/12/02	MD
0BB-96658	CIBC Cayman XP-2	6/7/00		3/6/02			
0BB-96713	CIBC Cayman XP-4	12/6/00		12/26/01		12/31/01	MD
0BB-96714	CIBC Cayman XP-5	12/6/00		7/12/02		7/23/02	MD
0BB-96716	CIBC Cayman XP-6	12/7/00		3/6/02			
ERS-95333	OXBO Inc. II	4/3/02		11/4/02		11/5/02	50

Acct. #	Acct. Name	Date Opened	Date of Warning	Date blocked by Fund Company	Date blocked by PSI
<b>Global</b>					
0BB-96359	Global Analytical Capital	5/19/98		9/6/02	9/10/02 49
0BB-96705	Analytical Investors	11/3/00		3/14/03	
0BB-96760	Strategic Investors	3/7/01		9/6/02	9/10/02 49
0BB-96761	Equity Investors	3/7/01		10/23/02	10/30/02 49
0BB-96826	Int'l Capital Consultants	12/24/01		9/6/02	9/10/02 005
0BB-96901	Global Analytical	1/7/03		3/14/03	3/26/03 J3
<b>Headstart</b>					
0BB-96523	Headstart Fund B-P2	7/23/99		11/4/02	11/5/02 50
0BB-96524	Headstart Fund B-P3	7/23/99		11/4/02	11/5/02 15
0BB-96525	Headstart Fund B-P4	7/23/99		9/5/02	9/12/02 DF
0BB-96552	Headstart Fund B-P5	9/23/99		11/4/02	11/5/02 14
0BB-96582	Headstart Fund B-P6	11/18/99		11/4/02	11/5/02 50
0BB-96603	Headstart Fund B-P7	12/30/99		11/4/02	11/5/02 AD
0BB-96615	CIBC Cayman CP-1	2/2/00		8/29/01	9/4/01 MD
0BB-96640	CIBC Cayman CP-2	4/14/00		9/5/02	9/12/02 B6
0BB-96652	Headstart Fund B-P8	5/4/00		9/5/02	9/12/02 DF
0BB-96653	CIBC Cayman CP-3	5/9/00		8/29/01	9/4/01 MD
0BB-96662	Headstart Fund B-P9	6/13/00		9/5/02	9/12/02 14
0BB-96665	CIBC Cayman CP-4	6/15/00		8/29/01	9/4/01 MD
0BB-96677	CIBC Cayman CP-5	7/18/00		8/29/01	9/4/01 MD
0BB-96694	CIBC Cayman CP-6	9/13/00		8/29/01	9/4/01 MD
0BB-96695	Headstart Fund B-P10	9/13/00		11/4/02	11/5/02 DF
0BB-96708	CIBC Cayman CP-7	11/20/00		8/29/01	9/4/01 MD
0BB-96709	Headstart Fund B-P11	11/22/00		11/4/02	11/5/02 14
0BB-96727	Headstart Fund B-P12	12/18/00		11/4/02	11/5/02 14
0BB-96732	Headstart Fund B-P14	12/22/00		9/5/02	9/12/02 14
0BB-96746	Headstart Fund B-P15	1/24/01		11/4/02	11/5/02 AF
0BB-96749	CIBC Cayman CP-8	2/1/01		8/29/01	9/4/01 MD

Acct. #	Acct. Name	Date Opened	Date of Warning	Date blocked by Fund Company		Date blocked by PSI	
041-96586	Isis 401 Limited 1	2/15/01		12/7/01 (F)	50	12/20/01 (F)	50
041-96587	Mandrake 401 Limited 1	2/28/01		11/4/02		11/5/02	14
041-96588	Isis 401 Limited 2	3/14/01		3/6/02			
041-96589	Mandrake 401 Limited 2	3/15/01		3/6/02			
041-96591	Apollo 1	3/28/01		7/20/01	14	7/23/01	14
041-96592	CIBC Cayman CP-9	4/5/01		8/29/01		9/4/01	MD
041-96595	Apollo 2	4/18/01		3/6/02			
ERS-95308	Aquilla 401 Limited 1	4/25/01		9/5/02		9/12/02	15
041-96596	Mandrake 401 Limited 3	4/27/01		11/4/02		11/5/02	14
ERS-95309	Mercutio 401 Limited 1	6/5/01		9/5/02		9/12/02	15
0BB-96784	Oberon 401 Limited 1	6/5/01		3/6/02			
041-96599	CIBC Cayman CP-10	6/15/01		8/29/01		9/4/01	MD
041-96600	Isis 401 Limited 4	7/2/01		11/4/02		11/5/02	50
ERS-95310	Mercutio 401 Limited 2	7/2/01		3/6/02			
ERS-95311	Aquilla 401 Limited 2	7/2/01		11/4/02		11/5/02	78
0BB-96790	Oberon 401 Limited 2	7/2/01		3/6/02			
0BB-96798	Oberon 3	8/10/01		9/5/02		9/12/02	50
041-96603	Apollo 3	8/10/01		12/7/01 (F)	15	12/20/01 (F)	15
041-96609	CIBC Cayman CP-11	8/17/01		11/7/01	MD		
041-96611	Isis 401 Limited 5	9/5/01		11/4/02		11/5/02	78
ERS-95314	Aquilla 401 Limited 3	9/5/01		12/7/01 (F) 9/8/03	50	12/20/01 (F) 9/9/03	50 50
041-96613	CIBC Cayman CP-12	10/9/01		3/6/02 11/4/02		11/5/02	M5
ERS-95320	Levi 401 Limited 1	10/31/01		3/6/02			
ERS-95321	Levi 401 Limited 2	10/31/01		12/7/01 (F) 9/8/03	50	12/20/01 (F) 9/9/03	50 50
ERS-95322	Mercutio 401 Limited 3	12/5/01		11/4/02		11/5/02	AF
041-96615	Levi 401 Limited 3	1/7/02		9/5/02		9/12/02	AF
ERS-95326	Mandrake 401 Limited 4	1/7/02		11/4/02		11/5/02	50

Acct. #	Acct. Name	Date Opened	Date of Warning	Date blocked by Fund Company		Date blocked by PSI	
ERS-95329	Apollo 4	1/31/02		11/4/02		11/5/02	AF
041-96616	Oberon 401 Limited 4	1/31/02		11/4/02		11/5/02	AF
ERS-95330	Windsor 401-1 Limited	2/5/02		9/5/02		9/12/02	50
ERS-95331	Windsor 401-2 Limited	2/5/02		8/6/02		8/7/02	AD
041-96617	Levi 401 Limited 4	3/4/02		6/16/03		6/17/03	AD
ERS-95332	Mercutio 401 Limited 4	3/4/02		11/4/02		11/5/02	AD
0BB-96847	Windsor 401-3	3/5/02		9/5/02		9/12/02	AD
ERS-95343	CIBC Cayman CP-14	11/27/02		6/20/03		6/23/03	B6
0BB-96880	Aquilla 401-4	12/5/02		6/16/03		6/17/03	DA
0BB-96883	Atlantis 401-1	12/5/02		7/22/03		7/29/03	DA
041-96628	Atlantis 401-2	12/5/02		6/20/03		6/23/03	23
ERS-95348	Mandrake 401-5	12/5/02		7/8/03		7/9/03	FD
ERS-95349	Atlantis 401 Limited 3	12/5/02		7/8/03		7/9/03	DA
041-83558	Marks Securities	2/27/03		6/16/03		6/17/03	DA
ERS-05763	Spencer Securities	2/27/03		7/8/03		7/9/03	DA
ERS-05764	Marks Securities II	3/4/03		6/16/03		6/17/03	DA
041-83559	Spencer Securities II	3/4/03		6/20/03		6/23/03	23
0BB-23942	Galahad Securities	5/2/03		7/22/03		7/29/03	15
0BB-96934	Credit Lyonnais	5/7/03		7/22/03		7/29/03	DA
ERS-95357	Credit Lyonnais	5/7/03		6/20/03		6/23/03	DA
<b>Pentagon</b>							
041-96593	Pentagon Perf. Partners	4/6/01		10/31/01 12/7/01 (F)	15 15	12/20/01 (F) 2/8/02	15 15
041-96594	Pentagon Perf. Partners	4/6/01		10/31/01 12/7/01 (F)	AF AF	12/20/01 (F) 2/8/02	AF AF
041-96597	PPP 4	5/24/01		12/7/01 (F)	15	12/20/01 (F)	15
0BB-96785	Management 5	6/7/01		12/7/01 (F)	AF	12/20/01 (F)	AF
041-96602	P 5	8/3/01		12/7/01 (F)	AF	12/20/01 (F)	AF



Acct. #	Acct. Name	Date Opened	Date of Warning	Date blocked by Fund Company		Date blocked by PSI	
041-96610	P 6 (PPP6)	8/17/01		10/31/01 12/7/01 (F)	AF AF	12/20/01 (F) 2/8/02	AF AF
ERS-95312	MP6	8/28/01		12/7/01 (F)	AF	12/20/01 (F)	AF
ERS-95313	P 7	8/29/01		12/7/01 (F)	AF	12/20/01 (F)	AF
ERS-95318	Perf 8	10/4/01		12/7/01 (F)	AF	12/20/01 (F)	15
ERS-95319	Man 7	10/16/01		12/7/01 (F)	15	12/20/01 (F)	15
<b>Strong</b>							
<b>Chronos</b>							
041-96608	Havers, Inc.	5/11/00		2/15/02 (F) 6/20.02 (F)		2/15/02 (F) 7/9/02 (F)	14 AD
0BB-96799	JST Pan, Inc.	5/11/00		1/30/02 (F)		1/30/02 (F)	14
0BB-96714	CIBC Cayman XP-5	12/6/00		2/15/01 (F)			
<b>Global</b>							
0BB-96792	Global Strategies	7/5/01		8/1/03 (F)		8/5/03 (F)	49
<b>Headstart</b>							
0BB-96652	Headstart Fund B-P8	5/4/00		3/19/01 (F)			
0BB-96677	CIBC Cayman CP-5	7/18/00		7/2/01 (F) 9/6/01 (F)		7/11/01 (F) 10/3/01 (F)	MD MD
0BB-96746	Headstart Fund B-P15	1/24/01		3/19/01 (F)			
0BB-96749	CIBC Cayman CP-8	2/1/01		3/30/01 (F)			
041-96586	Isis 401 Limited 1	2/15/01		8/14/01 (F)		8/15/01 (F)	50
041-96588	Isis 401 Limited 2	3/14/01		8/20/01 (F)		8/21/01 (F)	50
041-96592	CIBC Cayman CP-9	4/5/01		7/2/01 (F)		7/11/01 (F)	MD
041-96599	CIBC Cayman CP-10	6/15/01		7/10/01 (F)		7/11/01 (F)	MD
<b>Jemmco</b>							
041-83266	Sequential Capital	4/4/02		5/22/02 (F) 6/14/02 (F) 7/8/02 (F)		5/22/02 (F) 6/21/02 (F) 7/16/02 (F)	AD AD AD
0BB-22932	Sherlock Capital	4/4/02		5/21/02 (F) 6/11/02 (F)		5/22/02 (F) 6/12/02 (F)	50 50

Acct. #	Acct. Name	Date Opened	Date of Warning	Date blocked by Fund Company	Date blocked by PSI		
<b>Pentagon</b>							
0BB-96748	Pentagon Perf. Partners	1/29/01		2/20/01 (F) 3/29/01 (F)			
041-96598	PMP 4	5/24/01		10/8/01 (F)		10/10/01 (F)	15
041-96610	P 6 (PPP6)	8/17/01		10/8/01 (F)		10/10/01 (F)	15
<b>Ritchie</b>							
0BB-96717	Blue Spruce Inc.	12/8/00		2/20/01 (F) 3/2/01 (F)			
0BB-96718	Clear Brook Inc.	12/8/00		2/20/01 (F)			
0BB-96719	Whitespruce Inc.	12/8/00		2/8/01 (F)			
041-96612	Canadian Imperial R-1	9/7/01		10/23/01 (F) 11/19/01 (F)		10/23/01 (F) 11/20/01 (F)	14 14
<b>Thornburg</b>							
<b>Headstart</b>							
0BB-96488	Headstart Fund B-P1	7/7/99		7/30/02		8/20/02	14
0BB-96524	Headstart Fund B-P3	7/23/99		10/11/02	15		
0BB-96552	Headstart Fund B-P5	9/23/99		7/30/02		8/20/02	14
0BB-96615	CIBC Cayman CP-1	2/2/00		7/30/02		8/20/02	MD
0BB-96640	CIBC Cayman CP-2	4/14/00		9/12/02	B6	9/12/02	B6
0BB-96653	CIBC Cayman CP-3	5/9/00		8/23/02	M5	8/27/02	M5
0BB-96694	CIBC Cayman CP-6	9/13/00		7/30/02			
0BB-96708	CIBC Cayman CP-7	11/20/00		7/30/02		8/20/02	MD
041-96592	CIBC Cayman CP-9	4/5/01		7/30/02		8/20/02	MD
041-96599	CIBC Cayman CP-10	6/15/01		7/30/02		8/20/02	M5
041-96600	Isis 401 Limited 4	7/2/01		7/19/01		7/20/01	50
ERS-95310	Mercutio 401 Limited 2	7/2/01		8/15/01		8/16/01	15
0BB-96790	Oberon 401 Limited 2	7/2/01		7/19/01		7/20/01	50
041-96609	CIBC Cayman CP-11	8/17/01		7/30/02			
041-96611	Isis 401 Limited 5	9/5/01		8/27/02	78	8/27/02	78
041-96613	CIBC Cayman CP-12	10/9/01		7/30/02		8/20/02	M5

Acct. #	Acct. Name	Date Opened	Date of Warning	Date blocked by Fund Company		Date blocked by PSI	
041-96616	Oberon 401 Limited 4	1/31/02		7/30/02		8/20/02	AF
ERS-95330	Windsor 401-1 Limited	2/5/02		9/5/02	50	9/10/02	50
0BB-96847	Windsor 401-3	3/5/02		7/30/02		9/5/02	AD
0BB-96870	Credit Lyonnais	9/24/02		3/25/03	DA	3/26/03	DA
041-96627	Credit Lyonnais	10/21/02		3/25/03	DA	3/26/03	DA
ERS-95347	Credit Lyonnais	12/2/02		3/25/03	DA	3/26/03	DA
<b>Pentagon</b>							
0BB-96748	Pentagon Perf. Partners	1/29/01				9/10/02	AF
<b>UBS</b>							
<b>Chronos</b>							
0BB-96716	CIBC Cayman XP-6	12/7/00		10/14/02 (F)		10/15/02 (F)	M5
<b>Headstart</b>							
0BB-96523	Headstart Fund B-P2	7/23/99		12/4/02 (F)		12/6/02 (F)	50
0BB-96552	Headstart Fund B-P5	9/23/99		12/4/02 (F)		12/6/02 (F)	14
0BB-96615	CIBC Cayman CP-1	2/2/00		10/29/02 (F) 1/31/03 (F) 2/20/03 (F) 2/27/03 (F)		10/30/02 (F) 1/31/03 (F) 2/24/03 (F) 3/5/03 (F)	MD MD MD MD
0BB-96653	CIBC Cayman CP-3	5/9/00		10/3/02 (F) 10/22/02 (F) 1/31/03 (F) 2/20/03 (F) 2/27/03 (F)		10/3/02 (F) 10/24/02 (F) 1/31/03 (F) 2/24/03 (F) 3/5/03 (F)	M5 M5 M5 M5 M5
0BB-96662	Headstart Fund B-P9	6/13/00		11/27/02 (F) 1/10/03 (F)		12/2/02 (F) 1/14/03 (F)	14 14
0BB-96665	CIBC Cayman CP-4	6/15/00		2/12/03 (F) 2/20/03 (F) 2/27/03 (F)		2/12/03 (F) 2/24/03 (F) 3/5/03 (F)	MD MD MD
0BB-96694	CIBC Cayman CP-6	9/13/00		12/4/02 (F) 2/5/03 (F) 2/20/03 (F) 2/25/03 (F)		12/6/02 (F) 2/7/03 (F) 2/24/03 (F) 2/26/03 (F)	14 MD MD MD
0BB-96708	CIBC Cayman CP-7	11/20/00		10/28/02 (F)		10/30/02 (F)	MD
0BB-96727	Headstart Fund B-P12	12/18/00		1/10/03 (F)		1/14/03 (F)	14

Acct. #	Acct. Name	Date Opened	Date of Warning	Date blocked by Fund Company		Date blocked by PSI	
0BB-96749	CIBC Cayman CP-8	2/1/01		1/16/03 (F) 1/31/03 (F) 2/27/03 (F)		1/16/03 (F) 1/31/03 (F) 3/5/03 (F)	MD MD MD
041-96587	Mandrake 401 Limited 1	2/28/01		1/31/03 (F) 2/12/03 (F) 3/11/03 (F) 3/17/03 (F)		1/31/03 (F) 2/12/03 (F) 3/11/03 (F) 3/18/03 (F)	DA DA DA DA
041-96592	CIBC Cayman CP-9	4/5/01		2/12/03 (F) 2/25/03 (F)		2/12/03 (F) 2/26/03 (F)	MD MD
0BB-96784	Oberon 401 Limited 1	6/5/01		2/5/03 (F)		2/7/03 (F)	AD
041-96599	CIBC Cayman CP-10	6/15/01		11/26/02 (F)		11/26/02 (F)	M5
041-96609	CIBC Cayman CP-11	8/17/01		1/31/03 (F) 3/3/03 (F)			
041-96613	CIBC Cayman CP-12	10/9/01		10/3/02 (F) 10/23/02 (F) 11/27/02 (F) 1/16/03 (F) 2/20/03 (F)		10/3/02 (F) 10/23/02 (F) 12/2/02 (F) 1/12/03 (F) 2/24/03 (F)	M5 M5 M5 M5 M5
041-96615	Levi 401 Limited 3	1/7/02		7/25/03 (F)		7/29/03 (F)	DA
ERS-95343	CIBC Cayman CP-14	11/27/02		1/16/03 (F) 2/5/03 (F) 2/20/03 (F)		1/16/03 (F) 2/24/03 (F) 5/12/03 (F)	B6 B6 B6
ERS-95347	Credit Lyonnais	12/2/02		6/30/03 (F)		7/9/03 (F)	DA
ERS-95348	Mandrake 401-5	12/5/02		6/20/03 (F) 6/30/03 (F)		6/20/03 (F) 7/9/03 (F)	FD FD
0BB-96883	Atlantis 401-1	12/5/02		7/22/03 (F) 7/23/03 (F)		7/28/03 (F) 7/29/03 (F)	DA DA
041-83558	Marks Securities	2/27/03		5/8/03 (F) 5/28/03 (F)		5/8/03 (F) 6/4/03 (F)	DA DA
ERS-05763	Spencer Securities	2/27/03		5/14/03 (F) 6/30/03 (F) 7/17/03 (F) 7/30/03 (F) 8/27/03 (F)		5/19/03 (F) 7/9/03 (F) 7/18/03 (F) 7/31/03 (F) 9/4/03 (F)	DA DA DA DA DA
ERS-05764	Marks Securities II	3/4/03		6/20/03 (F) 7/22/03 (F)		6/20/03 (F) 7/28/03 (F)	DA DA
041-83559	Spencer Securities II	3/4/03		6/20/03 (F) 7/8/03 (F)		6/20/03 (F) 7/9/03 (F)	23 23

Acct. #	Acct. Name	Date Opened	Date of Warning	Date blocked by Fund Company		Date blocked by PSI	
0BB-23942	Galahad Securities	5/2/03		7/28/03 (F)		7/29/03 (F)	15
ERS-95357	Credit Lyonnais	5/7/03		8/18/03 (F)		9/3/03 (F)	DA
<b>Van Kampen</b>							
<b>Chronos</b>							
041-96604	Confidential Acct. #5400	1/6/00		1/6/03	AD	12/27/02	AD
041-96605	Confidential Acct. #5475	1/11/00		8/15/02 1/6/03	DF	8/19/02 (F) 12/27/02	DF DF
041-96606	Abby Mills	2/20/00		6/11/02 1/6/03	78	6/12/02 (F) 12/27/02	AD AD
0BB-96801	EVBO, Inc.	5/11/00		11/7/02 1/6/03	AD	11/11/02 (F) 12/27/02	AD AD
0BB-96803	Rodgars Als, Inc.	5/11/00		8/2/02 1/6/03	14	8/5/02 (F) 12/27/02	14 14
0BB-96657	CIBC Cayman XP-1	6/2/00		8/26/02 9/11/02 10/18/02 11/7/02 11/29/02 1/6/03	MD	10/22/02 (F) 11/11/02 (F) 12/2/02 (F)	MD MD FD
0BB-96659	CIBC Cayman XP-3	6/7/00		8/26/02 9/12/02 10/18/02 11/7/02 11/25/02 1/6/03	MD	10/22/02 (F) 11/11/02 (F) 11/27/02 (F)	MD MD MD
0BB-96713	CIBC Cayman XP-4	12/6/00		8/26/02 9/11/02 11/7/02 11/25/02 12/4/02 1/6/03	14	8/27/02 (F) 11/11/02 (F) 11/27/02 (F) 12/6/02 (F)	MD MD MD MD
0BB-96716	CIBC Cayman XP-6	12/7/00		5/2/02		6/27/02 (F)	M5
ERS-95333	OXBO Inc. II	4/3/02		11/7/02 1/6/03	AD	11/11/02 (F) 12/27/02	50 50
<b>Headstart</b>							
0BB-96523	Headstart Fund B-P2	7/23/99		5/23/02 1/6/03	50	5/24/02 (F) 12/27/02	50 50

Acct. #	Acct. Name	Date Opened	Date of Warning	Date blocked by Fund Company		Date blocked by PSI	
0BB-96525	Headstart Fund B-P4	7/23/99		12/11/02 12/19/02		12/12/02 (F) 12/27/02 (F)	FD FD
0BB-96582	Headstart Fund B-P6	11/18/99		1/6/03	50	12/27/02	50
0BB-96615	CIBC Cayman CP-1	2/2/00		7/25/02 11/4/02 12/11/02 1/6/03	MD	7/29/02 (F) 11/5/02 (F) 12/12/02 (F) 12/27/02	MD AF MD MD
0BB-96652	Headstart Fund B-P8	5/4/00		9/12/02 10/1/02 1/6/03	DF	9/17/02 (F) 10/3/02 (F)	DF DF
0BB-96653	CIBC Cayman CP-3	5/9/00		7/19/02 1/6/03	MD	7/23/02 (F) 12/27/02	M5 M5
0BB-96708	CIBC Cayman CP-7	11/20/00		7/19/01		7/23/01	14
0BB-96727	Headstart Fund B-P12	12/18/00		11/29/02 1/6/03	14	12/2/02 (F) 12/27/02	14 14
0BB-96746	Headstart Fund B-P15	1/24/01		11/29/02 1/6/03	14	12/2/02 (F) 12/27/02	AF AF
041-96586	Isis 401 Limited 1	2/15/01		7/18/01 7/11/02		7/11/02 (F)	AD
041-96587	Mandrake 401 Limited 1	2/28/01		7/18/01 1/6/03	14	7/23/01	14
041-96588	Isis 401 Limited 2	3/14/01		6/19/02 9/12/02 1/6/03	50	6/21/02 (F)	AD
0BB-96784	Oberon 401 Limited 1	6/5/01		6/28/02 10/7/02		7/10/02 (F) 10/7/02 (F)	AD AD
041-96599	CIBC Cayman CP-10	6/15/01		6/28/02 11/4/02 11/21/02 1/6/03	M5	7/10/02 (F) 11/5/02 (F) 12/27/02	M5 M5 M5
041-96603	Apollo 3	8/10/01		9/12/02 1/6/03	AD		
041-96609	CIBC Cayman CP-11	8/17/01		9/12/02 1/6/03	MD		
041-96611	Isis 401 Limited 5	9/5/01		6/6/02 1/6/03	78	6/12/02 (F) 12/27/02	AD 78
041-96613	CIBC Cayman CP-12	10/9/01		1/6/03	M5	12/27/02	M5

Acct. #	Acct. Name	Date Opened	Date of Warning	Date blocked by Fund Company		Date blocked by PSI	
ERS-95321	Levi 401 Limited 2	10/31/01		6/19/02 8/2/02 8/13/02 9/3/02 9/6/02 9/12/02 10/18/02 1/6/03	50	6/21/02 (F) 8/5/02 (F) 8/14/02 (F) 9/4/02 (F) 9/10/02 (F) 10/22/02 (F) 12/27/02	50 50 50 50 50 50 50
ERS-95322	Mercutio 401 Limited 3	12/5/01		8/2/02 8/28/02 9/6/02 9/12/02 10/1/02 1/6/03	AF	8/5/02 (F) 8/30/02 (F) 10/3/02 (F) 12/27/02	AF AF AF AF
041-96615	Levi 401 Limited 3	1/7/02		11/5/02 11/25/02 12/11/02 12/19/02 1/6/03	DF	11/26/02 (F) 12/12/02 (F) 12/27/02 (F) 12/27/02	AF AF AF AF
ERS-95329	Apollo 4	1/31/02		9/12/02 10/4/02 10/29/02 11/29/02 1/6/03	AF	10/7/02 (F) 10/30/02 (F) 12/2/02 (F) 12/27/02	AF AF AF AF
041-96616	Oberon 401 Limited 4	1/31/02		6/19/02 1/6/03	AF	6/21/02 (F) 12/27/02	AF AF
ERS-95330	Windsor 401-1 Limited	2/5/02		11/4/02		11/5/02 (F)	50
ERS-95331	Windsor 401-2 Limited	2/5/02		6/13/02 1/6/03	78	6/21/02 (F) 12/27/02	AD AD
041-96617	Levi 401 Limited 4	3/4/02		9/12/02 10/1/02 10/4/02 10/18/02 11/5/02 11/29/02 1/6/03	AD	10/3/02 (F) 10/7/02 (F) 10/22/02 (F) 11/11/02 (F) 11/26/02 (F) 12/2/02 (F) 12/27/02	AD AD AD AD AD AD AD
ERS-95332	Mercutio 401 Limited 4	3/4/02		9/3/02 9/4/02 10/1/02 1/6/03	AD	9/3/02 (F) 9/4/02 (F) 10/3/02 (F) 12/27/02	AD AD AD AD
0BB-96847	Windsor 401-3	3/5/02		7/2/02 1/6/03	AD	7/10/02 (F) 12/27/02	AD AD

Acct. #	Acct. Name	Date Opened	Date of Warning	Date blocked by Fund Company		Date blocked by PSI	
041-83559	Spencer Securities II	3/4/03		7/8/03		7/9/03 (F)	23
<b>Jemcco</b>							
041-83264	Meladan Capital	4/4/02		9/12/02 10/1/02 1/6/03	AD	12/27/02	AD
041-83265	Jaguar Capital	4/4/02		9/12/02 10/3/02 1/6/03	AF	10/3/02 (F) 12/27/02	AF AF
041-83266	Sequential Capital	4/4/02		9/12/02 9/20/02 10/3/02 1/6/03	78	10/3/02 (F) 12/27/02	78 FD
0BB-22931	Legion Capital	4/4/02		7/10/02 1/6/03	AD	12/27/02	50
0BB-22932	Sherlock Capital	4/4/02		9/12/02 1/6/03	AF	12/27/02	AF
ERS-05757	Apricot Capital	4/4/02		8/14/02 10/1/02		12/27/02	AF
<b>Pentagon</b>							
0BB-96748	Pentagon Perf. Partners	1/29/01		8/15/01		8/16/01	15
041-96594	Pentagon Perf. Partners	4/6/01		7/27/01		7/27/01	15
0BB-96785	Management 5	6/7/01		7/18/01		7/23/01	15
041-96602	P 5	8/3/01		9/12/02 1/6/03	AF	9/17/02 (F) 12/27/02	AF AF
ERS-95312	MP6	8/28/01		9/12/02 1/6/03	AF	9/17/02 (F) 12/27/02	AF AF
ERS-95318	Perf 8	10/4/01		9/12/02 1/6/03	AF	9/17/02 (F) 12/27/02	AF AF
ERS-95336	PMP 11 Limited	4/17/02		9/12/02 1/6/03	AF	9/17/02 (F) 12/27/02	AF AF
ERS-95337	Performance 401-11	4/17/02		9/12/02 1/6/03	AF	9/17/02 (F) 12/27/02	AF AF



Acct. #	Acct. Name	Date Opened	Date of Warning	Date blocked by Fund Company		Date blocked by PSI	
<b>Wells Fargo</b>							
<b>Chronos</b>							
0BB-96799	JST Pan, Inc.	5/11/00		8/21/02	0AD	8/22/02	0AD
0BB-96714	CIBC Cayman XP-5	12/6/00		8/22/02	MD	8/23/02	MD
ERS-95333	OXBO Inc. II	4/3/02		6/18/02	0AD		
<b>Headstart</b>							
0BB-96653	CIBC Cayman CP-3	5/9/00		7/18/02	M5	7/23/02	M5
0BB-96662	Headstart Fund B-P9	6/13/00		8/19/02	14	8/20/02	14
0BB-96694	CIBC Cayman CP-6	9/13/00		6/18/02	MD		
0BB-96746	Headstart Fund B-P15	1/24/01		8/19/02	AF	8/20/02	AF
041-96586	Isis 401 Limited 1	2/15/01		5/9/02	50	5/14/02	50
041-96587	Mandrake 401 Limited 1	2/28/01		6/18/02	14		
041-96588	Isis 401 Limited 2	3/14/01		5/9/02	50	5/14/02	50
041-96589	Mandrake 401 Limited 2	3/15/01		6/13/02	14		
041-96590	Isis 401 Limited 3	3/15/01		4/27/01 6/7/01	15	6/25/01	15
041-96592	CIBC Cayman CP-9	4/5/01		6/13/02	MD		
041-96609	CIBC Cayman CP-11	8/17/01		5/21/02	MD	5/21/02	MD
041-96611	Isis 401 Limited 5	9/5/01		6/13/02	50		
041-96615	Levi 401 Limited 3	1/7/02		7/18/02	DF	7/23/02	DF
ERS-95331	Windsor 401-2 Limited	2/5/02		5/9/02	78	5/14/02	78
<b>Pentagon</b>							
0BB-96748	Pentagon Perf. Partners	1/29/01		4/11/02	15	4/15/02	15
0BB-96758	Pentagon Mgmt. Partners	2/28/01		6/18/02	15		
041-96593	Pentagon Perf. Partners	4/6/01		6/13/02	AF	7/9/02	AF
0BB-96785	Management 5	6/7/01		6/13/02	AF	7/9/02	DF
041-96602	P 5	8/3/01		6/13/02	AF	7/9/02	AF
ERS-95319	Man 7	10/16/01		4/11/02	15	4/15/02	15
ERS-95324	PMP 401-8	12/6/01		4/10/02	15	4/15/02	15

Acct. #	Acct. Name	Date Opened	Date of Warning	Date blocked by Fund Company		Date blocked by PSI	
ERS-95325	PMP 401(9)	12/27/01		6/13/02	15	7/9/02	15
<b>WM Group</b>							
<b>Chronos</b>							
041-96608	Havers, Inc.	5/11/00		8/14/02		8/14/02	AF
0BB-96799	JST Pan, Inc.	5/11/00		8/14/02		8/14/02	0AD
0BB-96802	OXBO, Inc.	5/11/00		2/22/02		2/27/02	50
0BB-96803	Rodgars Als, Inc.	5/11/00		12/18/02		12/19/02	14
0BB-96657	CIBC Cayman XP-1	6/2/00		8/28/02		8/30/02	MD
0BB-96658	CIBC Cayman XP-2	6/7/00		8/20/02		8/23/02	MD
0BB-96659	CIBC Cayman XP-3	6/7/00		4/15/02		4/18/02	MD
0BB-96716	CIBC Cayman XP-6	12/7/00		8/28/02		8/30/02	M5
<b>Headstart</b>							
0BB-96524	Headstart Fund B-P3	7/23/99		12/23/02		12/27/02	15
0BB-96582	Headstart Fund B-P6	11/18/99		7/29/02		7/31/02	50
0BB-96640	CIBC Cayman CP-2	4/14/00		11/26/02		1/15/03	B6
0BB-96653	CIBC Cayman CP-3	5/9/00		11/27/02			
0BB-96677	CIBC Cayman CP-5	7/18/00		1/22/02		1/28/02	MD
0BB-96708	CIBC Cayman CP-7	11/20/00	7/13/01	7/18/01		7/20/01	14
0BB-96732	Headstart Fund B-P14	12/22/00	7/11/01	7/18/01		7/20/01	14
0BB-96749	CIBC Cayman CP-8	2/1/01		10/31/01			
041-96588	Isis 401 Limited 2	3/14/01	7/11/01	7/18/01		7/20/01	50
041-96592	CIBC Cayman CP-9	4/5/01	7/11/01	7/18/01		7/20/01	MD
041-96596	Mandrake 401 Limited 3	4/27/01		11/27/02		12/4/02	14
041-96613	CIBC Cayman CP-12	10/9/01		4/3/02 10/18/02		10/23/02	M5
ERS-95321	Levi 401 Limited 2	10/31/01		1/22/02		1/28/02	50
041-96615	Levi 401 Limited 3	1/7/02		1/22/02		1/28/02	DF
ERS-95326	Mandrake 401 Limited 4	1/7/02		1/22/02		1/28/02	50
ERS-95329	Apollo 4	1/31/02		8/15/02		8/19/02	AF

<b>Acct. #</b>	<b>Acct. Name</b>	<b>Date Opened</b>	<b>Date of Warning</b>	<b>Date blocked by Fund Company</b>		<b>Date blocked by PSI</b>	
ERS-95330	Windsor 401-1 Limited	2/5/02		10/10/02		10/15/02	50
ERS-95331	Windsor 401-2 Limited	2/5/02		10/10/02		10/15/02	0AD
0BB-96870	Credit Lyonnais	9/24/02		7/30/03		7/31/03	0DA
ERS-95343	CIBC Cayman CP-14	11/27/02		1/21/03		1/22/03	B6
ERS-95347	Credit Lyonnais	12/2/02		7/30/03		7/31/03	0DA
0BB-96899	Credit Lyonnais	1/6/03		2/27/03		3/3/03	0DA
ERS-95352	Credit Lyonnais	1/6/03		8/21/03		8/27/03	0DA
.0BB-96902	Credit Lyonnais	1/10/03		8/21/03			