

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF FLORIDA

Case No. 17-24624-Civ-COOKE/GOODMAN

SECURITIES AND EXCHANGE COMMISSION,

Plaintiff,

vs.

ROBERT H. SHAPIRO, *et al.*,

Defendants.

**FINAL JUDGMENT AGAINST RELIEF DEFENDANT
CARBONDALE BASALT OWNERS, LLC**

The Securities and Exchange Commission having filed an Amended Complaint and Relief Defendant Carbondale Basalt Owners, LLC (“Relief Defendant” or “Carbondale Basalt”) having entered a general appearance; consented to the Court’s jurisdiction over Relief Defendant and the subject matter of this action; consented to entry of this Final Judgment without admitting or denying the allegations of the Amended Complaint (except as to jurisdiction); waived findings of fact and conclusions of law; and waived any right to appeal from this Final Judgment:

I. DISGORGEMENT AND PREJUDGMENT INTEREST

It is hereby **ORDERED and ADJUDGED** that Carbondale Basalt is liable to the Commission for disgorgement of \$781,287, representing profits gained as a result of the conduct alleged in the Amended Complaint, together with prejudgment interest on disgorgement in the amount of \$91,143.35 for a total of \$872,430.35.

It is further **ORDERED and ADJUDGED** that Carbondale Basalt shall satisfy the disgorgement and prejudgment interest due to the Commission within 5 days of entry of this Final Judgment by disgorging the following assets to the bankruptcy estate in the matter of *In Re Woodbridge Group of Companies, LLC, et al.*, Case No. 17-12560-KJC (D. DE) (Jointly Administered) (“Bankruptcy Case”) by releasing the executed documents that are held with its counsel which transfer the property identified below to the entity or entities which are

debtor(s) in the Bankruptcy Case, as designated by the Commission (“Debtor Designee(s)”):

- Lot L-16 Aspen Glen (Carbondale, Colorado 81623 - Parcel No. 2393-191-03-022)
- Lot L-17 Aspen Glen (115 Midland Loop, Carbondale, Colorado 81623 – Parcel No. 2393-191-03-021)

Upon turnover of the aforementioned property, Carbondale Basalt’s legal and equitable right, title and interest in the property shall be relinquished, and no part shall be returned to it.

The Commission may enforce the Court’s judgment for disgorgement and prejudgment interest by moving for civil contempt (and/or through other collection procedures authorized by law) at any time after 14 days following entry of the Final Judgment. Relief Defendant shall pay post judgment interest on any delinquent amounts pursuant to 28 U.S.C. § 1961.

II. ASSET FREEZE

It is further **ORDERED and ADJUDGED** that if Carbondale Basalt complies with Section I of this Final Judgment, the Commission, shall, within 5 days of such compliance, notify the Court. Upon such notification, by operation of this Final Judgment, the Asset Freeze the Court previously entered against Carbondale Basalt [DE 13 and 41] shall be lifted and extinguished in its entirety.

III. INCORPORATION OF CONSENT

It is further **ORDERED and ADJUDGED** that the Consent is incorporated herein with the same force and effect as if fully set forth herein, and that Carbondale Basalt shall comply with all of the undertakings and agreements set forth therein.

IV. RETENTION OF JURISDICTION

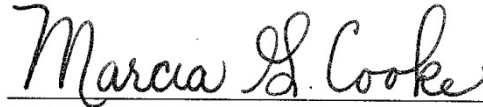
It is further **ORDERED and ADJUDGED** that this Court shall retain jurisdiction of this matter for the purposes of enforcing the terms of this Final Judgment.

V. RULE 54(b) CERTIFICATION

There being no just reason for delay, pursuant to Rule 54(b) of the Federal Rules of

Civil Procedure, the Clerk is ordered to enter this Judgment forthwith and without further notice.

DONE and ORDERED in chambers, at Miami, Florida, this 27th day of December 2018.

Handwritten signature of Marcia G. Cooke in cursive script.

MARCIA G. COOKE
United States District Judge

Copies furnished to:
Jonathan Goodman, Magistrate Judge
Counsel of record