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19 CV 5718

JUDGE WOODS

**UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK**

SECURITIES AND EXCHANGE COMMISSION,

Plaintiff,

- against -

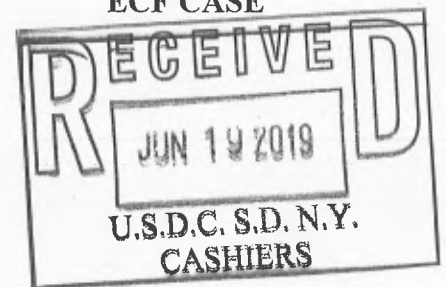
**KIT MUN CHAN,
LAU KEAN CHONG,
CHONG POUI FAN,
BINJI LU, AND
YOUN CHIEN WONG,**

Defendants.

19 Civ. ()

**COMPLAINT AND JURY
DEMAND**

ECF CASE



Plaintiff Securities and Exchange Commission (the "Commission"), for its Complaint against Defendants Kit Mun Chan ("Chan"), Lau Kean Chong ("Chong"), Chong Pou Fan ("Fan"), Binji Lu ("Lu"), and Youn Chien Wong ("Wong") (collectively, the "Defendants"), states:

SUMMARY

1. This case involves a market-manipulation scheme. Since the end of February 2019, Defendants have engaged in manipulative trades made to create the appearance of legitimate trading activity in the securities of a public microcap company Medico International Inc. (“MDDT”). As a result of the manipulation scheme, three of the Defendants reaped over \$370,000 in profits, and two of the Defendants still hold hundreds of thousands of shares of MDDT.

2. Defendants executed over 180 “matched” trades involving approximately 340,000 shares, or 45% of the total market volume from February 27, 2019 through April 15, 2019. Matched trades are the result of manipulative matched orders where the buy and sell side orders associated with the trades were made at substantially the same time and of substantially the same size and price, for the purpose of creating a false or misleading appearance of active trading in a security.

3. Defendants have collectively accounted for 70% of the total volume of trading in MDDT (including matched and non-matched trades), totaling over \$900,000 in trades.

4. Trades were executed in at least two of Defendants’ accounts through the same IP address associated with a Singaporean cell tower, and at least three of Defendants’ accounts accessed through the same IP addresses associated with virtual private networks.

VIOLATIONS

5. By virtue of their conduct, Defendants violated Section 17(a)(1) and (3) of the Securities Act of 1933 (“Securities Act”), 15 U.S.C. § 77q(a)(1) & (3), Sections 9(a)(1) and 10(b) of the Securities Exchange Act of 1934 (“Exchange Act”), 15 U.S.C. §§ 78i(a)(1) and 78j(b), and Rule 10b-5(a) and (c), 17 C.F.R. § 240.10b-5(a) & (c).

NATURE OF THE PROCEEDINGS AND REQUESTED RELIEF

6. The Commission brings this action pursuant to the authority conferred upon it by Section 20(b) of the Securities Act, 15 U.S.C. § 77t(b), and Section 21(d) of the Exchange Act, 15 U.S.C. § 78u(d), seeking permanently to enjoin Defendants from engaging in the transactions, acts, practices and courses of business alleged in this Complaint. The Commission also seeks a final judgment ordering Defendants to disgorge their ill-gotten gains, if any, with prejudgment interest thereon. The Commission seeks a final judgment ordering Defendants to pay civil monetary penalties pursuant to Section 20(d) of the Securities Act, 15 U.S.C. § 77t(d), and Section 21(d)(3) of the Exchange Act, 15 U.S.C. § 78u(d)(3). The Commission also seeks a judgment prohibiting Defendants from participating in an offering of any penny stock pursuant to Section 20(g) of the Securities Act, 15 U.S.C. § 77t(g), and Section 21(d)(6) of the Exchange Act, 15 U.S.C. § 78u(d)(6). The Commission seeks any other relief the Court may deem appropriate.

JURISDICTION AND VENUE

7. This Court has jurisdiction over this action pursuant to Sections 20(d) and 22(a) of the Securities Act, 15 U.S.C. §§ 77t(d) and 77v(a), and Sections 21(d) and 27 of the Exchange Act, 15 U.S.C. §§ 78u(d) and 78aa.

8. Venue in this District is proper pursuant to Section 22(a) of the Securities Act, 15 U.S.C. § 77v(a), and Section 27 of the Exchange Act, 15 U.S.C. § 78aa, because certain of the transactions, acts, practices, and courses of business constituting the violations alleged herein occurred within this District. For example, a Defendant deposited securities and traded MDDT securities through a registered representative located in this District.

9. Defendants, directly or indirectly, have made use of the means or instruments of transportation or communication in interstate commerce, or of a means or instrumentality of interstate commerce, or of the mails, or of the facilities of a national securities exchange, in connection with the transactions, acts, practices and courses of business alleged in this Complaint.

DEFENDANTS

10. **Kit Mun Chan** (“Chan”), age 36, is a Malaysian citizen and resides in Kuala Lumpur, Malaysia. He claims to be an e-commerce executive for an electronic company.

11. **Lau Kean Chong** (“Chong”), age 40, is a Singaporean citizen and resides in Singapore. He claims to be a director of a food and beverage business.

12. **Chong Poui Fan** (“Fan”), age 34, is a Malaysian citizen and resides in Selangor, Malaysia. He claims to be a salesperson for an air filter manufacturing company.

13. **Binji Lu** (“Lu”), age 45, is a Chinese citizen and resides in Lingshan, China. She claims to be a manager and executive of a chemical plant and mining company.

14. **Youn Chien Wong** (“Wong”), age 32, is a Malaysian citizen and resides in Titi, Malaysia. He claims to be a property manager for a real estate group.

FACTS

A. Background on MDDT

15. MDDT is a Nevada corporation purportedly having its principal executive offices in Las Vegas, NV. According to its most recent Form 10-K for the period ending December 31, 2018 (“2018 10-K”), filed with the Commission on April 10, 2019, MDDT purports to hold the patent for at least one cancer diagnostic tool, but has \$0 in cash or current assets and over \$490,000 in liabilities; despite this, between February 27, 2019 and April 15, 2019, MDDT

market capitalization at the close of trading ranged from approximately \$6 million to a high of \$12 million.

16. MDDT was originally incorporated on September 18, 2015 with its principal place of business in Grandville, MI. MDDT initially purported to be a holding company for Smile More Holding Pte. Ltd., a private Singapore corporation engaged in the dental industry. MDDT filed a registration statement with the Commission on November 16, 2015, amended in December 2015, which was declared effective on December 22, 2015. On or about February 3, 2016, MDDT issued 697,000 shares pursuant to its registration statement to 34 shareholders. Four of the shareholders acquired 520,000, or 75%, of the shares—including Chong, who acquired 140,000 shares—while the other 30 acquired the other 25% (only 5,000 to 10,000 shares each). MDDT securities are not registered with the SEC, and MDDT common stock is quoted on OTC Link, an electronic system operated by OTC Markets Group that displays quotes from broker-dealers for many low-priced stocks. OTC Link has no listing requirements, and issuers quoted on OTC Link tend to be small companies with volatile, thinly traded stocks.

17. MDDT does not have a website and has not issued any press releases in calendar year 2019.

B. Trading in MDDT

18. MDDT traded for the first time on September 19, 2016. From then until February 26, 2019, there were only six trades in MDDT for a total of 402 shares. Prior to February 27, 2019, the last trade was on August 16, 2017, for a single share.

19. On February 27, 2019, MDDT began to trade again. There was no apparent news driving the trading.

20. Defendants engaged in matched trading of MDDT using accounts at five broker-dealers (individually, “Broker 1,” “Broker 2,” “Broker 3,” “Broker 4 ” and “Broker 5”), as set forth in the following table:

Broker-Dealer	Defendant
Broker 1	Wong
Broker 5	
Broker 2	Chong
Broker 3	Fan
Broker 4	Chan
	Lu

21. MDDT opened at \$3 per share at 11:15:01 AM on February 27, 2019, when a Chinese-based individual purchased 200 shares from Chong, and shortly after, Wong and Chong began to engage in a series of matched orders, which resulted in 10 executions for a total of 10,880 shares and represented over 85% of total market volume that day. All matched trading described in this Complaint is detailed in Appendix A, attached hereto.

22. Chong and Wong continued to coordinate their trading, matching approximately 18 trades on February 28, 2019, 14 trades on March 4, 2019, and 29 trades on March 8, 2019, for a total of 71,900 additional shares that Wong purchased from Chong. For example, on March 8, Chong put in an order to sell 10,000 shares at 10:46:44 AM; within minutes, Wong put in a series of five smaller orders totaling almost 10,000 shares to buy at exactly the same price. As a result of the manipulative matched orders, seven matched trade were executed:

Date	Type	Acct name	Execution time	Execution price	Execution qty	Order time	Order price	Order qty
3/8/2019	BUY	WONG	10:47:14 AM	\$2.15	1,500	10:47:14 AM	\$2.15	1,650
3/8/2019	SALE	CHONG	10:47:14 AM	\$2.15	(1,500)	10:46:44 AM	\$2.15	(10,000)
3/8/2019	BUY	WONG	10:47:20 AM	\$2.15	150	10:47:14 AM	\$2.15	1,650
3/8/2019	SALE	CHONG	10:47:20 AM	\$2.15	(150)	10:46:44 AM	\$2.15	(10,000)

3/8/2019	BUY	WONG	10:47:27 AM	\$2.15	1,820	10:47:27 AM	\$2.15	1,820
3/8/2019	SALE	CHONG	10:47:27 AM	\$2.15	(1,820)	10:46:44 AM	\$2.15	(10,000)
3/8/2019	BUY	WONG	10:47:37 AM	\$2.15	1,000	10:47:37 AM	\$2.15	2,060
3/8/2019	SALE	CHONG	10:47:37 AM	\$2.15	(1,000)	10:46:44 AM	\$2.15	(10,000)
3/8/2019	BUY	WONG	10:47:43 AM	\$2.15	1,060	10:47:37 AM	\$2.15	2,060
3/8/2019	SALE	CHONG	10:47:43 AM	\$2.15	(1,060)	10:46:44 AM	\$2.15	(10,000)
3/8/2019	BUY	WONG	10:47:47 AM	\$2.15	1,850	10:47:47 AM	\$2.15	1,850
3/8/2019	SALE	CHONG	10:47:47 AM	\$2.15	(1,850)	10:46:44 AM	\$2.15	(10,000)
3/8/2019	BUY	WONG	10:48:01 AM	\$2.15	2,120	10:48:01 AM	\$2.15	2,120
3/8/2019	SALE	CHONG	10:48:01 AM	\$2.15	(2,120)	10:46:44 AM	\$2.15	(10,000)

23. From February 27, 2019 through March 8, 2019, these matched trades between Wong and Chong accounted for over 90% of total market volume for MDDT.

24. On March 15, 2019 and March 18, 2019, Fan and Chong engaged in matched trades in MDDT for a total of 51,800 shares, accounting for 100% of the market volume on these days. As an example, on March 15, Chong put in an order to sell 4,000 shares at 12:53:22 PM; in less than a minute, Fan put in a series of four smaller orders totaling almost 4,000 shares to buy at exactly the same price. As a result of the manipulative matched orders, four matched trade were executed:

Date	Type	Acct name	Execution time	Execution price	Execution qty	Order time	Order price	Order qty
3/15/2019	BUY	FAN	12:53:22 PM	\$2.15	900	12:53:22 PM	\$2.15	900
3/15/2019	SALE	CHONG	11:53:22 PM	\$2.15	(900)	12:52:37 PM	\$2.15	(4,000)
3/15/2019	BUY	FAN	12:53:23 PM	\$2.15	1,000	12:53:22 PM	\$2.15	1,000
3/15/2019	SALE	CHONG	12:53:23 PM	\$2.15	(1,000)	12:52:37 PM	\$2.15	(4,000)
3/15/2019	BUY	FAN	12:53:23 PM	\$2.15	1,000	12:53:22 PM	\$2.15	1,000
3/15/2019	SALE	CHONG	12:53:23 PM	\$2.15	(1,000)	12:52:37 PM	\$2.15	(4,000)

3/15/2019	BUY	FAN	12:53:23 PM	\$2.15	1,000	12:53:22 PM	\$2.15	1,000
3/15/2019	SALE	CHONG	12:53:23 PM	\$2.15	(1,000)	12:52:37 PM	\$2.15	(4,000)

25. From March 28, 2019 to April 1, 2019, Wong sold some of the shares he had purchased through matched orders with Fan, for a total of 48,000 shares, or 20% of the total market volume.

26. On April 3, 2019, Wong matched orders with Lu, selling 35,280 shares, or 32% of the total market volume.

27. On April 15, 2019, Chan purchased approximately 124,000 shares from matched orders entered in coordination with Wong, Lu, and Fan. The matched orders resulted in 41 trades, which accounted for over 85% of total market volume. For example, a small subset of the trading from this day (just ten of the 41 trades), shows that Chan's buy orders were nearly identical to Wong's and Lu's sale orders, with identical order quantities and nearly identical order prices, and made within seconds:

Date	Type	Acct name	Execution time	Execution price	Execution qty	Order time	Order price	Order qty
4/15/2019	BUY	CHAN	10:26:51 AM	\$3.83	5,000	10:26:46 AM	\$3.83	5,000
4/15/2019	SALE	WONG	10:26:51 AM	\$3.83	(5,000)	10:26:36 AM	\$3.83	(5,000)
4/15/2019	BUY	CHAN	10:27:16 AM	\$3.83	4,000	10:27:15 AM	\$3.83	4,000
4/15/2019	SALE	LU	10:27:16 AM	\$3.83	(4,000)	10:27:09 AM	\$3.83	(4,000)
4/15/2019	BUY	CHAN	10:28:45 AM	\$3.83	4,000	10:28:14 AM	\$3.83	4,000
4/15/2019	SALE	LU	10:28:45 AM	\$3.83	(4,000)	10:28:04 AM	\$3.83	(4,000)
4/15/2019	BUY	CHAN	10:29:47 AM	\$3.83	3,000	10:29:47 AM	\$3.83	3,000
4/15/2019	SALE	WONG	10:29:47 AM	\$3.83	(3,000)	10:29:38 AM	\$3.83	(3,000)
4/15/2019	BUY	CHAN	10:34:21 AM	\$3.82	4,000	10:34:18 AM	\$3.82	4,000
4/15/2019	SALE	LU	10:34:21 AM	\$3.82	(4,000)	10:34:16 AM	\$3.82	(4,000)

4/15/2019	BUY	CHAN	10:35:13 AM	\$3.82	5,000	10:35:11 AM	\$3.82	5,000
4/15/2019	SALE	WONG	10:35:13 AM	\$3.82	(5,000)	10:35:07 AM	\$3.82	(5,000)
4/15/2019	BUY	CHAN	10:39:48 AM	\$3.82	3,500	10:39:48 AM	\$3.82	3,500
4/15/2019	SALE	WONG	10:39:48 AM	\$3.82	(3,500)	10:38:22 AM	\$3.83	(3,500)
4/15/2019	BUY	CHAN	10:43:23 AM	\$3.83	5,000	10:43:23 AM	\$3.83	5,000
4/15/2019	SALE	LU	10:43:23 AM	\$3.83	(5,000)	10:43:16 AM	\$3.83	(5,000)
4/15/2019	BUY	CHAN	10:47:22 AM	\$3.83	4,500	10:47:22 AM	\$3.83	4,500
4/15/2019	SALE	LU	10:47:22 AM	\$3.83	(4,500)	10:47:15 AM	\$3.83	(4,500)
4/15/2019	BUY	CHAN	10:49:26 AM	\$3.83	5,000	10:49:26 AM	\$3.83	5,000
4/15/2019	SALE	LU	10:49:26 AM	\$3.83	(5,000)	10:49:18 AM	\$3.83	(5,000)

This pattern is reflected in the other trades that day.

28. The collective trading by Chan, Wong, Lu, and Chong accounted for over 95% of total market volume on April 15, 2019.

29. In sum, between February 27, 2019 and April 15, 2019, the matched orders between Defendants accounted for approximately 45% of the total market volume, resulting in over 180 matched trades, and their overall trading (including both matched and not-matched trades) accounted for approximately 70% of the total market volume. These orders were made at substantially the same time (often within seconds) and of substantially the same size and price, which is indicative of matched trading, a form of market manipulation.

30. Defendants' matched trading gave the appearance of liquidity in MDDT shares while allowing Defendants to maintain control of the public shares. By engaging in this fake trading activity, Defendants created the public appearance that MDDT was actively being invested in and traded by independent market participants.

C. **VPNs and IP Addresses Used for Trading MDDT**

31. Defendants Chan, Wong, and Lu used overlapping Internet protocol (“IP”) addresses to access their brokerage accounts while trading MDDT, demonstrating that those accounts were likely accessed by the same user or users during the period of manipulation.

32. An IP address is a unique identifier—the address—of a computer or other device that connects to a network like the internet. Broker-dealers that permit customers to access their accounts and place orders online generally track the IP addresses their customers use to log into their brokerage accounts.

33. The accounts of Chan and Wong were accessed in different weeks through a single IP address associated with a cellphone tower in Singapore—though both Defendants reside in Malaysia—as reflected in the following chart:

IP Address	Cell Tower Location	Date	Proposed Defendant	Broker-Dealer
49.245.0.170	Singapore	3/25/2019	Chan	Broker 3
		4/10/2019	Wong	Broker 5
		4/15/2019	Chan	Broker 3

34. Chan, Wong, and Lu all used Virtual Privacy Networks (“VPNs”) to access their brokerage accounts to trade MDDT. VPNs are private computer networks that an outside user can remotely access by creating a connection between the VPN server and the user’s device. A VPN redirects the user’s traffic through a remote server run by the VPN provider. Once connected, the VPN allows the user to access the public internet using one of the VPN’s IP addresses (“VPN IP Address”). The VPN encrypts the user’s traffic and hides the user’s IP address. When a VPN is used, only the VPN IP address is visible to the public internet. The user’s IP address is hidden.

35. Three unique VPN IP addresses accessed more than one of the accounts, indicating that the accounts were accessed by individual or individuals selecting the same VPN service provider, VPN location and VPN IP Address. The following chart shows the common IP addresses, VPN service provider, and server location used to access different account by date:

IP Address	VPN Service Provider	Server Location	Date	Proposed Defendant	Broker-Dealer
104.151.6.52	VPN 1	Los Angeles, CA	4/1/2019	Lu	Broker 4
			4/1/2019	Wong	Broker 1
192.69.254.130	VPN 2	Atlanta, GA	3/20/2019	Chan	Broker 3
			3/25/2019	Chan	Broker 3
			4/10/2019	Wong	Broker 5
			4/12/2019	Wong	Broker 5
			4/15/2019	Lu	Broker 4
213.181.205.78	VPN 3	Hungary	4/3/2019	Lu	Broker 4
			4/3/2019	Wong	Broker 1
			4/4/2019	Chan	Broker 3

36. Fan also used a VPN to access his account through a VPN server located in Japan.

37. The use of VPNs allowed Defendants to hide their true location from their broker-dealers, because the VPNs reflected IP addresses that link to random locations throughout the world. The VPN usage by Chan, Lu, and Wong also demonstrates that their accounts were likely accessed by the same user or users located in a single location.

D. Profits and Remaining Funds and Shares from Trading MDDT

38. Chong, Lu, and Wong profited from the matched trading. Chong sold all 140,000 shares he deposited in 2016 for gross proceeds of approximately \$300,000 and profits of approximately \$160,000. Lu sold approximately 70,000 shares for gross proceeds of approximately \$267,000 and profits of approximately \$145,000. Wong sold approximately 145,280 shares for gross proceeds of approximately \$372,000 and profits of approximately \$68,000.

39. All of the Defendants wired funds from their accounts, some within days of trading: Chong wired a total of \$300,141, Lu wired a total of \$252,000, Wong wired a total of \$416,271, Fan wired a total of \$24,000 (before and after trading), and Chan wired a total of \$300,000 (before trading).

40. While Chong and Wong have no funds or assets remaining in their accounts, Fan's account still holds 177,874 shares of MDDT, Chan's account still holds 136,446 shares of MDDT, and Lu's account still holds approximately \$144,000 of her profits.

FIRST CLAIM FOR RELIEF

Violations of Exchange Act Section 9(a)(1)

41. The Commission realleges and incorporates by reference paragraphs 1 through 40, as though fully set forth herein.

42. Defendants, directly or indirectly, by the use of the mails or any means or instrumentality of interstate commerce, or of any facility of any national securities exchange, or for any member of a national securities exchange, for the purpose of creating a false or misleading appearance of active trading in any security other than a government security, or a false or misleading appearance with respect to the market for any such security, have entered, or are entering, or are about to enter an order or orders for the sale of any such security with the knowledge that an order or orders of substantially the same size, at substantially the same time, and at substantially the same price, for the purchase of such security, has been or will be entered by or for the same or different parties.

43. Defendants knowingly or recklessly engaged in matched trading in MDDT stock with the intent of manipulating the market for MDDT stock.

44. By reason of the foregoing, Defendants, singly or in concert, directly or indirectly, have violated, are violating, and unless enjoined will again violate, Section 9(a)(1) of the Exchange Act, 15 U.S.C. § 78i(a)(1).

SECOND CLAIM FOR RELIEF

Violations of Securities Act Section 17(a)(1) and (3)

45. The Commission realleges and incorporates by reference paragraphs 1 through 40, as though fully set forth herein.

46. Defendants, directly or indirectly, singly or in concert, in the offer and sale of securities, by the use of the means or instruments of transportation or communication in interstate commerce, or by the use of the mails, (1) have employed, are employing, or are about to employ, devices, schemes, or artifices to defraud; and/or (3) have engaged, are engaging, or are about to engage in transactions, practices, or courses of business which operate, operated, or would operate as a fraud or deceit upon the purchasers of securities.

47. Defendants knowingly or recklessly engaged in matched trading in MDDT stock with the intent of manipulating the market for MDDT stock.

48. By reason of the foregoing, Defendants, singly or in concert, directly or indirectly, have violated, are violating, and unless enjoined will again violate, Section 17(a)(1) and (3) of the Securities Act, 15 U.S.C. § 77q(a)(1) & (3).

THIRD CLAIM FOR RELIEF

Violations of Exchange Act Section 10(b)(5) and Rule 10b-5

49. The Commission realleges and incorporates by reference paragraphs 1 through 40, as though fully set forth herein.

50. Defendants, directly and indirectly, singly or in concert, in connection with the purchase and sale of securities, by use of the means and instrumentalities of interstate commerce, or of the mails, or of the facilities of a national securities exchange: (a) have employed, are employing, or are about to employ, devices, schemes, or artifices to defraud; and/or (c) have engaged, are engaging, or are about to engage in acts, practices, or courses of business which operate, operated, or would operate as a fraud or deceit upon other persons.

51. Defendants knowingly or recklessly engaged in matched trading in MDDT stock with the intent of manipulating the market for MDDT stock.

52. By reason of the foregoing, Defendants, singly or in concert, directly or indirectly, have violated, are violating, and unless enjoined will again violate, Section 10(b) of the Exchange Act, 15 U.S.C. § 78j(b), and Rule 10b-5(a) and (c), 17 C.F.R. §240.10b-5(a) & (c).

RELIEF SOUGHT

WHEREFORE, the Commission respectfully requests that this Court enter a show cause order and an order temporarily and preliminarily freezing Defendants' assets and MDDT shares in the accounts in which the trading described in this Complaint occurred, and enter a Final Judgment:

I.

Permanently enjoining the Defendants from violating Section 17(a)(1) and (3) of the Securities Act, 15 U.S.C. §77q(a)(1) & (3), Sections 9(a)(1) and 10(b) of the Exchange Act, 15 U.S.C. §§ 78i(a)(1) and 78j(b), and Rule 10b-5(a) and (c), 17 C.F.R. § 240.10b-5(a) & (c);

II.

Ordering Defendants to disgorge, with prejudgment interest, all ill-gotten gains, if any, received as a result of the conduct alleged in this Complaint;

III.

Imposing civil monetary penalties upon Defendants pursuant to Section 20(d) of the Securities Act, 15 U.S.C. § 77t(d), and Section 21(d)(3) of the Exchange Act, 15 U.S.C. § 18u(d)(3);

IV.

Prohibiting Defendants from participating in any offering of any penny stock pursuant to Section 20(g) of the Securities Act, 15 U.S.C. § 77t(g), and Section 21(d)(6) of the Exchange Act, 15 U.S.C. § 78u(d)(6); and

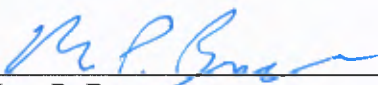
V.

Granting such other and further relief as this Court may deem just and proper.

JURY DEMAND

The Commission demands a trial by jury on all claims so triable.

Dated: June 19, 2019



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SECURITIES AND EXCHANGE COMMISSION
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APPENDIX A

Appendix A
Matched Trades in MDDT
February 27, 2019 to April 15, 2019

DATE	TYPE	ACCT NAME	EXECUTION TIME	EXECUTION PRICE	EXECUTION QUANTITY	ORDER TIME	ORDER PRICE	ORDER QUANTITY	BROKER
2/27/2019	BUY	YOUN CHIEN WONG	11:21:33 AM	\$3.00	2,800	11:21:25 AM	\$3.00	2,800	BROKER 1
2/27/2019	SALE	LAU KEAN CHONG	11:21:33 AM	\$3.00	(2,800)	11:14:18 AM	\$3.00	(5,000)	BROKER 2
2/27/2019	BUY	YOUN CHIEN WONG	11:54:57 AM	\$3.00	200	11:33:51 AM	\$3.10	1,000	BROKER 1
2/27/2019	SALE	LAU KEAN CHONG	11:54:57 AM	\$3.00	(200)	11:14:18 AM	\$3.00	(10,000)	BROKER 2
2/27/2019	BUY	YOUN CHIEN WONG	11:55:02 AM	\$3.00	100	11:34:21 AM	\$3.05	2,000	BROKER 1
2/27/2019	SALE	LAU KEAN CHONG	11:55:02 AM	\$3.00	(100)	11:14:18 AM	\$3.00	(10,000)	BROKER 2
2/27/2019	BUY	YOUN CHIEN WONG	11:55:08 AM	\$3.00	200	11:34:21 AM	\$3.05	2,000	BROKER 1
2/27/2019	SALE	LAU KEAN CHONG	11:55:08 AM	\$3.00	(200)	11:14:18 AM	\$3.00	(10,000)	BROKER 2
2/27/2019	BUY	YOUN CHIEN WONG	11:55:14 AM	\$3.00	300	11:34:21 AM	\$3.05	2,000	BROKER 1
2/27/2019	SALE	LAU KEAN CHONG	11:55:14 AM	\$3.00	(300)	11:14:18 AM	\$3.00	(10,000)	BROKER 2
2/27/2019	BUY	YOUN CHIEN WONG	11:55:20 AM	\$3.00	600	11:34:21 AM	\$3.05	2,000	BROKER 1
2/27/2019	SALE	LAU KEAN CHONG	11:55:20 AM	\$3.00	(600)	11:14:18 AM	\$3.00	(10,000)	BROKER 2
2/27/2019	BUY	YOUN CHIEN WONG	11:55:26 AM	\$3.00	800	11:34:21 AM	\$3.05	2,000	BROKER 1
2/27/2019	SALE	LAU KEAN CHONG	11:55:26 AM	\$3.00	(800)	11:14:18 AM	\$3.00	(10,000)	BROKER 2
2/27/2019	BUY	YOUN CHIEN WONG	11:57:41 AM	\$3.00	1,880	11:57:33 AM	\$3.00	2,000	BROKER 1
2/27/2019	SALE	LAU KEAN CHONG	11:57:41 AM	\$3.00	(1,880)	11:14:18 AM	\$3.00	(10,000)	BROKER 2
2/27/2019	BUY	YOUN CHIEN WONG	1:37:00 PM	\$3.10	1,000	12:00:01 PM	\$3.10	1,000	BROKER 1
2/27/2019	SALE	LAU KEAN CHONG	1:37:00 PM	\$3.10	(1,000)	1:36:38 PM	MKT	(4,000)	BROKER 2
2/27/2019	BUY	YOUN CHIEN WONG	1:37:08 PM	\$3.00	3,000	1:37:08 PM	\$3.00	3,000	BROKER 1
2/27/2019	SALE	LAU KEAN CHONG	1:37:08 PM	\$3.00	(3,000)	1:36:38 PM	MKT	(4,000)	BROKER 2
2/28/2019	BUY	YOUN CHIEN WONG	10:52:33 AM	\$2.60	800	10:51:22 AM	\$2.60	800	BROKER 1
2/28/2019	SALE	LAU KEAN CHONG	10:52:33 AM	\$2.60	(800)	10:45:04 AM	\$2.50	(5,000)	BROKER 2
2/28/2019	BUY	YOUN CHIEN WONG	10:52:33 AM	\$2.50	1,000	10:32:36 AM	\$2.50	1,000	BROKER 1
2/28/2019	SALE	LAU KEAN CHONG	10:52:33 AM	\$2.50	(1,000)	10:45:04 AM	\$2.50	(5,000)	BROKER 2
2/28/2019	BUY	YOUN CHIEN WONG	10:52:33 AM	\$2.55	1,500	10:51:39 AM	\$2.55	1,500	BROKER 1
2/28/2019	SALE	LAU KEAN CHONG	10:52:33 AM	\$2.55	(1,500)	10:45:04 AM	\$2.50	(5,000)	BROKER 2
2/28/2019	BUY	YOUN CHIEN WONG	10:52:54 AM	\$2.50	700	10:52:54 AM	\$2.50	2,500	BROKER 1
2/28/2019	SALE	LAU KEAN CHONG	10:52:54 AM	\$2.50	(700)	10:45:04 AM	\$2.50	(5,000)	BROKER 2
2/28/2019	BUY	YOUN CHIEN WONG	10:53:31 AM	\$2.50	1,000	10:52:54 AM	\$2.50	2,500	BROKER 1
2/28/2019	SALE	LAU KEAN CHONG	10:53:31 AM	\$2.50	(1,000)	10:45:04 AM	\$2.50	(5,000)	BROKER 2
2/28/2019	BUY	YOUN CHIEN WONG	11:22:03 AM	\$2.70	200	11:14:40 AM	\$3.00	2,500	BROKER 1
2/28/2019	SALE	LAU KEAN CHONG	11:22:03 AM	\$2.70	(200)	11:07:48 AM	MKT	(5,000)	BROKER 2
2/28/2019	BUY	YOUN CHIEN WONG	11:22:03 AM	\$2.70	600	11:09:45 AM	\$2.70	600	BROKER 1
2/28/2019	SALE	LAU KEAN CHONG	11:22:03 AM	\$2.70	(600)	11:07:48 AM	MKT	(5,000)	BROKER 2

DATE	TYPE	ACCT NAME	EXECUTION TIME	EXECUTION PRICE	EXECUTION QUANTITY	ORDER TIME	ORDER PRICE	ORDER QUANTITY	BROKER
2/28/2019	BUY	YOUN CHIEN WONG	11:23:07 AM	\$2.60	900	10:54:30 AM	\$2.60	900	BROKER 1
2/28/2019	SALE	LAU KEAN CHONG	11:23:07 AM	\$2.60	(900)	11:07:48 AM	MKT	(5,000)	BROKER 2
2/28/2019	BUY	YOUN CHIEN WONG	11:24:06 AM	\$2.55	100	10:54:09 AM	\$2.55	500	BROKER 1
2/28/2019	SALE	LAU KEAN CHONG	11:24:06 AM	\$2.55	(100)	11:07:48 AM	MKT	(5,000)	BROKER 2
2/28/2019	BUY	YOUN CHIEN WONG	11:24:23 AM	\$2.55	400	10:54:09 AM	\$2.55	500	BROKER 1
2/28/2019	SALE	LAU KEAN CHONG	11:24:23 AM	\$2.55	(400)	11:07:48 AM	MKT	(5,000)	BROKER 2
2/28/2019	BUY	YOUN CHIEN WONG	11:24:48 AM	\$2.50	1,400	11:24:30 AM	\$2.50	2,000	BROKER 1
2/28/2019	SALE	LAU KEAN CHONG	11:24:48 AM	\$2.50	(1,400)	11:07:48 AM	MKT	(5,000)	BROKER 2
2/28/2019	BUY	YOUN CHIEN WONG	12:19:10 PM	\$2.50	600	11:24:30 AM	\$2.50	2,000	BROKER 1
2/28/2019	SALE	LAU KEAN CHONG	12:19:10 PM	\$2.50	(600)	12:18:45 AM	\$2.50	(5,000)	BROKER 2
2/28/2019	BUY	YOUN CHIEN WONG	12:19:10 PM	\$2.50	1,000	12:05:56 PM	\$2.55	1,000	BROKER 1
2/28/2019	SALE	LAU KEAN CHONG	12:19:10 PM	\$2.50	(1,000)	11:07:48 AM	MKT	(5,000)	BROKER 2
2/28/2019	BUY	YOUN CHIEN WONG	12:19:10 PM	\$2.50	1,000	12:05:56 PM	\$2.55	1,000	BROKER 1
2/28/2019	SALE	LAU KEAN CHONG	12:19:10 PM	\$2.50	(1,000)	12:18:45 AM	\$2.50	(5,000)	BROKER 2
2/28/2019	BUY	YOUN CHIEN WONG	12:19:16 PM	\$2.50	200	12:07:12 PM	\$2.50	2,400	BROKER 1
2/28/2019	SALE	LAU KEAN CHONG	12:19:16 PM	\$2.50	(200)	12:18:45 AM	\$2.50	(5,000)	BROKER 2
2/28/2019	BUY	YOUN CHIEN WONG	12:19:22 PM	\$2.50	400	12:07:12 PM	\$2.50	2,400	BROKER 1
2/28/2019	SALE	LAU KEAN CHONG	12:19:22 PM	\$2.50	(400)	12:18:45 AM	\$2.50	(5,000)	BROKER 2
2/28/2019	BUY	YOUN CHIEN WONG	12:19:28 PM	\$2.50	800	12:07:12 PM	\$2.50	2,400	BROKER 1
2/28/2019	SALE	LAU KEAN CHONG	12:19:28 PM	\$2.50	(800)	12:18:45 AM	\$2.50	(5,000)	BROKER 2
2/28/2019	BUY	YOUN CHIEN WONG	12:19:34 PM	\$2.50	800	12:07:12 PM	\$2.50	2,400	BROKER 1
2/28/2019	SALE	LAU KEAN CHONG	12:19:34 PM	\$2.50	(800)	12:18:45 AM	\$2.50	(5,000)	BROKER 2
3/4/2019	BUY	YOUN CHIEN WONG	1:36:28 PM	\$2.20	1,400	1:34:24 PM	\$2.30	1,500	BROKER 1
3/4/2019	SALE	LAU KEAN CHONG	1:36:28 PM	\$2.20	(1,400)	1:36:09 PM	\$2.20	(5,000)	BROKER 2
3/4/2019	BUY	YOUN CHIEN WONG	1:36:34 PM	\$2.20	100	1:34:24 PM	\$2.30	1,500	BROKER 1
3/4/2019	SALE	LAU KEAN CHONG	1:36:34 PM	\$2.20	(100)	1:36:09 PM	\$2.20	(5,000)	BROKER 2
3/4/2019	BUY	YOUN CHIEN WONG	1:37:27 PM	\$2.20	1,500	1:37:27 PM	\$2.20	3,500	BROKER 1
3/4/2019	SALE	LAU KEAN CHONG	1:37:27 PM	\$2.20	(1,500)	1:36:09 PM	\$2.20	(5,000)	BROKER 2
3/4/2019	BUY	YOUN CHIEN WONG	1:37:33 PM	\$2.20	2,000	1:37:27 PM	\$2.20	3,500	BROKER 1
3/4/2019	SALE	LAU KEAN CHONG	1:37:33 PM	\$2.20	(2,000)	1:36:09 PM	\$2.20	(5,000)	BROKER 2
3/4/2019	BUY	YOUN CHIEN WONG	1:44:22 PM	\$2.20	2,500	1:40:57 PM	\$2.20	2,500	BROKER 1
3/4/2019	SALE	LAU KEAN CHONG	1:44:22 PM	\$2.20	(2,500)	1:44:09 PM	\$2.20	(5,000)	BROKER 2
3/4/2019	BUY	YOUN CHIEN WONG	1:44:30 PM	\$2.20	2,500	1:44:30 PM	\$2.20	2,500	BROKER 1
3/4/2019	SALE	LAU KEAN CHONG	1:44:30 PM	\$2.20	(2,500)	1:44:09 PM	\$2.20	(5,000)	BROKER 2
3/4/2019	BUY	YOUN CHIEN WONG	1:52:21 PM	\$2.20	1,000	1:46:08 PM	\$2.20	1,000	BROKER 1
3/4/2019	SALE	LAU KEAN CHONG	1:52:21 PM	\$2.20	(1,000)	1:52:04 PM	\$2.20	(5,000)	BROKER 2
3/4/2019	BUY	YOUN CHIEN WONG	1:52:21 PM	\$2.20	1,500	1:49:07 PM	\$2.20	1,500	BROKER 1
3/4/2019	SALE	LAU KEAN CHONG	1:52:21 PM	\$2.20	(1,500)	1:52:04 PM	\$2.20	(5,000)	BROKER 2

DATE	TYPE	ACCT NAME	EXECUTION TIME	EXECUTION PRICE	EXECUTION QUANTITY	ORDER TIME	ORDER PRICE	ORDER QUANTITY	BROKER
3/4/2019	BUY	YOUN CHIEN WONG	1:52:21 PM	\$2.20	2,000	1:48:00 PM	\$2.20	2,000	BROKER 1
3/4/2019	SALE	LAU KEAN CHONG	1:52:21 PM	\$2.20	(2,000)	1:52:04 PM	\$2.20	(5,000)	BROKER 2
3/4/2019	BUY	YOUN CHIEN WONG	2:04:11 PM	\$2.20	900	1:54:30 PM	\$2.20	1,000	BROKER 1
3/4/2019	SALE	LAU KEAN CHONG	2:04:11 PM	\$2.20	(900)	2:03:45 PM	\$2.20	(5,000)	BROKER 2
3/4/2019	BUY	YOUN CHIEN WONG	2:04:11 PM	\$2.20	1,000	1:54:52 PM	\$2.20	1,000	BROKER 1
3/4/2019	SALE	LAU KEAN CHONG	2:04:11 PM	\$2.20	(1,000)	2:03:45 PM	\$2.20	(5,000)	BROKER 2
3/4/2019	BUY	YOUN CHIEN WONG	2:04:11 PM	\$2.20	1,100	1:55:08 PM	\$2.20	1,100	BROKER 1
3/4/2019	SALE	LAU KEAN CHONG	2:04:11 PM	\$2.20	(1,100)	2:03:45 PM	\$2.20	(5,000)	BROKER 2
3/4/2019	BUY	YOUN CHIEN WONG	2:04:11 PM	\$2.20	1,200	1:55:19 PM	\$2.20	1,200	BROKER 1
3/4/2019	SALE	LAU KEAN CHONG	2:04:11 PM	\$2.20	(1,200)	2:03:45 PM	\$2.20	(5,000)	BROKER 2
3/4/2019	BUY	YOUN CHIEN WONG	2:04:12 PM	\$2.20	800	1:54:19 PM	\$2.20	800	BROKER 1
3/4/2019	SALE	LAU KEAN CHONG	2:04:12 PM	\$2.20	(800)	2:03:45 PM	\$2.20	(5,000)	BROKER 2
3/8/2019	BUY	YOUN CHIEN WONG	9:56:16 AM	\$2.15	500	9:51:38 AM	\$2.15	500	BROKER 1
3/8/2019	SALE	LAU KEAN CHONG	9:56:16 AM	\$2.15	(500)	9:55:55 AM	\$2.15	(5,000)	BROKER 2
3/8/2019	BUY	YOUN CHIEN WONG	9:56:51 AM	\$2.15	2,500	9:56:51 AM	\$2.15	2,500	BROKER 1
3/8/2019	SALE	LAU KEAN CHONG	9:56:51 AM	\$2.15	(2,500)	9:55:55 AM	\$2.15	(5,000)	BROKER 2
3/8/2019	BUY	YOUN CHIEN WONG	9:57:06 AM	\$2.15	2,000	9:57:06 AM	\$2.15	2,000	BROKER 1
3/8/2019	SALE	LAU KEAN CHONG	9:57:06 AM	\$2.15	(2,000)	9:55:55 AM	\$2.15	(5,000)	BROKER 2
3/8/2019	BUY	YOUN CHIEN WONG	10:18:05 AM	\$2.15	500	10:02:34 AM	\$2.15	500	BROKER 1
3/8/2019	SALE	LAU KEAN CHONG	10:18:05 AM	\$2.15	(500)	10:17:45 AM	\$2.15	(10,000)	BROKER 2
3/8/2019	BUY	YOUN CHIEN WONG	10:19:20 AM	\$2.15	2,500	10:19:20 AM	\$2.15	2,500	BROKER 1
3/8/2019	SALE	LAU KEAN CHONG	10:19:20 AM	\$2.15	(2,500)	10:17:45 AM	\$2.15	(10,000)	BROKER 2
3/8/2019	BUY	YOUN CHIEN WONG	10:19:36 AM	\$2.15	1,500	10:19:36 AM	\$2.15	1,500	BROKER 1
3/8/2019	SALE	LAU KEAN CHONG	10:19:36 AM	\$2.15	(1,500)	10:17:45 AM	\$2.15	(10,000)	BROKER 2
3/8/2019	BUY	YOUN CHIEN WONG	10:19:47 AM	\$2.15	1,000	10:19:47 AM	\$2.15	1,000	BROKER 1
3/8/2019	SALE	LAU KEAN CHONG	10:19:47 AM	\$2.15	(1,000)	10:17:45 AM	\$2.15	(10,000)	BROKER 2
3/8/2019	BUY	YOUN CHIEN WONG	10:19:57 AM	\$2.15	2,000	10:19:57 AM	\$2.15	2,000	BROKER 1
3/8/2019	SALE	LAU KEAN CHONG	10:19:57 AM	\$2.15	(2,000)	10:17:45 AM	\$2.15	(10,000)	BROKER 2
3/8/2019	BUY	YOUN CHIEN WONG	10:20:16 AM	\$2.15	2,500	10:20:15 AM	\$2.15	3,000	BROKER 1
3/8/2019	SALE	LAU KEAN CHONG	10:20:16 AM	\$2.15	(2,500)	10:17:45 AM	\$2.15	(10,000)	BROKER 2
3/8/2019	BUY	YOUN CHIEN WONG	10:47:01 AM	\$2.15	500	10:20:15 AM	\$2.15	3,000	BROKER 1
3/8/2019	SALE	LAU KEAN CHONG	10:47:01 AM	\$2.15	(500)	10:46:44 AM	\$2.15	(10,000)	BROKER 2
3/8/2019	BUY	YOUN CHIEN WONG	10:47:14 AM	\$2.15	1,500	10:47:14 AM	\$2.15	1,650	BROKER 1
3/8/2019	SALE	LAU KEAN CHONG	10:47:14 AM	\$2.15	(1,500)	10:46:44 AM	\$2.15	(10,000)	BROKER 2
3/8/2019	BUY	YOUN CHIEN WONG	10:47:20 AM	\$2.15	150	10:47:14 AM	\$2.15	1,650	BROKER 1
3/8/2019	SALE	LAU KEAN CHONG	10:47:20 AM	\$2.15	(150)	10:46:44 AM	\$2.15	(10,000)	BROKER 2
3/8/2019	BUY	YOUN CHIEN WONG	10:47:27 AM	\$2.15	1,820	10:47:27 AM	\$2.15	1,820	BROKER 1
3/8/2019	SALE	LAU KEAN CHONG	10:47:27 AM	\$2.15	(1,820)	10:46:44 AM	\$2.15	(10,000)	BROKER 2

DATE	TYPE	ACCT NAME	EXECUTION TIME	EXECUTION PRICE	EXECUTION QUANTITY	ORDER TIME	ORDER PRICE	ORDER QUANTITY	BROKER
3/8/2019	BUY	YOUN CHIEN WONG	10:47:37 AM	\$2.15	1,000	10:47:37 AM	\$2.15	2,060	BROKER 1
3/8/2019	SALE	LAU KEAN CHONG	10:47:37 AM	\$2.15	(1,000)	10:46:44 AM	\$2.15	(10,000)	BROKER 2
3/8/2019	BUY	YOUN CHIEN WONG	10:47:43 AM	\$2.15	1,060	10:47:37 AM	\$2.15	2,060	BROKER 1
3/8/2019	SALE	LAU KEAN CHONG	10:47:43 AM	\$2.15	(1,060)	10:46:44 AM	\$2.15	(10,000)	BROKER 2
3/8/2019	BUY	YOUN CHIEN WONG	10:47:47 AM	\$2.15	1,850	10:47:47 AM	\$2.15	1,850	BROKER 1
3/8/2019	SALE	LAU KEAN CHONG	10:47:47 AM	\$2.15	(1,850)	10:46:44 AM	\$2.15	(10,000)	BROKER 2
3/8/2019	BUY	YOUN CHIEN WONG	10:48:01 AM	\$2.15	2,120	10:48:01 AM	\$2.15	2,120	BROKER 1
3/8/2019	SALE	LAU KEAN CHONG	10:48:01 AM	\$2.15	(2,120)	10:46:44 AM	\$2.15	(10,000)	BROKER 2
3/8/2019	BUY	YOUN CHIEN WONG	11:12:56 AM	\$2.15	800	11:01:46 AM	\$2.15	800	BROKER 1
3/8/2019	SALE	LAU KEAN CHONG	11:12:56 AM	\$2.15	(800)	11:12:32 AM	\$2.15	(14,000)	BROKER 2
3/8/2019	BUY	YOUN CHIEN WONG	11:13:14 AM	\$2.15	1,650	11:13:14 AM	\$2.15	1,650	BROKER 1
3/8/2019	SALE	LAU KEAN CHONG	11:13:14 AM	\$2.15	(1,650)	11:12:32 AM	\$2.15	(14,000)	BROKER 2
3/8/2019	BUY	YOUN CHIEN WONG	11:13:23 AM	\$2.15	1,700	11:13:23 AM	\$2.15	1,820	BROKER 1
3/8/2019	SALE	LAU KEAN CHONG	11:13:23 AM	\$2.15	(1,700)	11:12:32 AM	\$2.15	(14,000)	BROKER 2
3/8/2019	BUY	YOUN CHIEN WONG	11:13:29 AM	\$2.15	120	11:13:23 AM	\$2.15	1,820	BROKER 1
3/8/2019	SALE	LAU KEAN CHONG	11:13:29 AM	\$2.15	(120)	11:12:32 AM	\$2.15	(14,000)	BROKER 2
3/8/2019	BUY	YOUN CHIEN WONG	11:13:35 AM	\$2.15	2,060	11:13:35 AM	\$2.15	2,060	BROKER 1
3/8/2019	SALE	LAU KEAN CHONG	11:13:35 AM	\$2.15	(2,060)	11:12:32 AM	\$2.15	(14,000)	BROKER 2
3/8/2019	BUY	YOUN CHIEN WONG	11:13:45 AM	\$2.15	1,850	11:13:45 AM	\$2.15	1,850	BROKER 1
3/8/2019	SALE	LAU KEAN CHONG	11:13:45 AM	\$2.15	(1,850)	11:12:32 AM	\$2.15	(14,000)	BROKER 2
3/8/2019	BUY	YOUN CHIEN WONG	11:13:55 AM	\$2.15	2,120	11:13:55 AM	\$2.15	2,120	BROKER 1
3/8/2019	SALE	LAU KEAN CHONG	11:13:55 AM	\$2.15	(2,120)	11:12:32 AM	\$2.15	(14,000)	BROKER 2
3/8/2019	BUY	YOUN CHIEN WONG	11:14:04 AM	\$2.15	1,500	11:14:04 AM	\$2.15	1,940	BROKER 1
3/8/2019	SALE	LAU KEAN CHONG	11:14:04 AM	\$2.15	(1,500)	11:12:32 AM	\$2.15	(14,000)	BROKER 2
3/8/2019	BUY	YOUN CHIEN WONG	11:14:10 AM	\$2.15	440	11:14:04 AM	\$2.15	1,940	BROKER 1
3/8/2019	SALE	LAU KEAN CHONG	11:14:10 AM	\$2.15	(440)	11:12:32 AM	\$2.15	(14,000)	BROKER 2
3/8/2019	BUY	YOUN CHIEN WONG	11:14:15 AM	\$2.15	600	11:14:15 AM	\$2.15	1,760	BROKER 1
3/8/2019	SALE	LAU KEAN CHONG	11:14:15 AM	\$2.15	(600)	11:12:32 AM	\$2.15	(14,000)	BROKER 2
3/8/2019	BUY	YOUN CHIEN WONG	11:14:21 AM	\$2.15	1,040	11:14:15 AM	\$2.15	1,760	BROKER 1
3/8/2019	SALE	LAU KEAN CHONG	11:14:21 AM	\$2.15	(1,040)	11:12:32 AM	\$2.15	(14,000)	BROKER 2
3/8/2019	BUY	YOUN CHIEN WONG	11:14:27 AM	\$2.15	120	11:14:15 AM	\$2.15	1,760	BROKER 1
3/8/2019	SALE	LAU KEAN CHONG	11:14:27 AM	\$2.15	(120)	11:12:32 AM	\$2.15	(14,000)	BROKER 2
3/15/2019	BUY	CHONG POUI FAN	12:53:13 PM	\$2.15	100	12:16:32 PM	\$2.15	100	BROKER 3
3/15/2019	SALE	LAU KEAN CHONG	12:53:13 PM	\$2.12	(100)	12:52:37 PM	\$2.15	(4,000)	BROKER 2
3/15/2019	BUY	CHONG POUI FAN	12:53:22 PM	\$2.15	900	12:53:22 PM	\$2.15	900	BROKER 3
3/15/2019	SALE	LAU KEAN CHONG	12:53:22 PM	\$2.15	(900)	12:52:37 PM	\$2.15	(4,000)	BROKER 2
3/15/2019	BUY	CHONG POUI FAN	12:53:23 PM	\$2.15	1,000	12:53:22 PM	\$2.15	1,000	BROKER 3
3/15/2019	SALE	LAU KEAN CHONG	12:53:23 PM	\$2.15	(1,000)	12:52:37 PM	\$2.15	(4,000)	BROKER 2

DATE	TYPE	ACCT NAME	EXECUTION TIME	EXECUTION PRICE	EXECUTION QUANTITY	ORDER TIME	ORDER PRICE	ORDER QUANTITY	BROKER
3/15/2019	BUY	CHONG POUI FAN	12:53:23 PM	\$2.15	1,000	12:53:22 PM	\$2.15	1,000	BROKER 3
3/15/2019	SALE	LAU KEAN CHONG	12:53:23 PM	\$2.15	(1,000)	12:52:37 PM	\$2.15	(4,000)	BROKER 2
3/15/2019	BUY	CHONG POUI FAN	12:53:23 PM	\$2.15	1,000	12:53:22 PM	\$2.15	1,000	BROKER 3
3/15/2019	SALE	LAU KEAN CHONG	12:53:23 PM	\$2.15	(1,000)	12:52:37 PM	\$2.15	(4,000)	BROKER 2
3/18/2019	BUY	CHONG POUI FAN	1:05:35 PM	\$2.05	1,000	1:05:35 PM	\$2.05	1,000	BROKER 3
3/18/2019	SALE	LAU KEAN CHONG	1:05:35 PM	\$2.05	(1,000)	1:05:06 PM	\$2.05	(5,000)	BROKER 2
3/18/2019	BUY	CHONG POUI FAN	1:05:35 PM	\$2.05	1,000	1:05:35 PM	\$2.05	1,000	BROKER 3
3/18/2019	SALE	LAU KEAN CHONG	1:05:35 PM	\$2.05	(1,000)	1:05:06 PM	\$2.05	(5,000)	BROKER 2
3/18/2019	BUY	CHONG POUI FAN	1:05:35 PM	\$2.05	1,000	1:05:35 PM	\$2.05	1,000	BROKER 3
3/18/2019	SALE	LAU KEAN CHONG	1:05:35 PM	\$2.05	(1,000)	1:05:06 PM	\$2.05	(5,000)	BROKER 2
3/18/2019	BUY	CHONG POUI FAN	1:05:35 PM	\$2.05	1,000	1:05:35 PM	\$2.05	1,000	BROKER 3
3/18/2019	SALE	LAU KEAN CHONG	1:05:35 PM	\$2.05	(1,000)	1:05:06 PM	\$2.05	(5,000)	BROKER 2
3/18/2019	BUY	CHONG POUI FAN	1:05:40 PM	\$2.05	1,000	1:05:35 PM	\$2.05	1,000	BROKER 3
3/18/2019	SALE	LAU KEAN CHONG	1:05:40 PM	\$2.05	(1,000)	1:05:06 PM	\$2.05	(5,000)	BROKER 2
3/18/2019	BUY	CHONG POUI FAN	1:36:43 PM	\$2.05	2,200	1:36:43 PM	\$2.05	2,200	BROKER 3
3/18/2019	SALE	LAU KEAN CHONG	1:36:43 PM	\$2.05	(2,200)	1:36:36 PM	\$2.05	(8,000)	BROKER 2
3/18/2019	BUY	CHONG POUI FAN	1:37:11 PM	\$2.05	1,800	1:36:43 PM	\$2.05	1,800	BROKER 3
3/18/2019	SALE	LAU KEAN CHONG	1:37:11 PM	\$2.05	(1,800)	1:36:36 PM	\$2.05	(8,000)	BROKER 2
3/18/2019	BUY	CHONG POUI FAN	1:37:11 PM	\$2.05	2,000	1:36:43 PM	\$2.05	2,000	BROKER 3
3/18/2019	SALE	LAU KEAN CHONG	1:37:11 PM	\$2.05	(2,000)	1:36:36 PM	\$2.05	(8,000)	BROKER 2
3/18/2019	BUY	CHONG POUI FAN	1:37:11 PM	\$2.05	2,000	1:36:43 PM	\$2.05	2,000	BROKER 3
3/18/2019	SALE	LAU KEAN CHONG	1:37:11 PM	\$2.05	(2,000)	1:36:36 PM	\$2.05	(8,000)	BROKER 2
3/18/2019	BUY	CHONG POUI FAN	2:52:05 PM	\$2.05	1,000	2:52:05 PM	\$2.05	1,000	BROKER 3
3/18/2019	SALE	LAU KEAN CHONG	2:52:05 PM	\$2.05	(1,000)	2:50:49 PM	\$2.05	(8,000)	BROKER 2
3/18/2019	BUY	CHONG POUI FAN	2:52:05 PM	\$2.05	1,600	2:52:05 PM	\$2.05	1,600	BROKER 3
3/18/2019	SALE	LAU KEAN CHONG	2:52:05 PM	\$2.05	(1,600)	2:50:49 PM	\$2.05	(8,000)	BROKER 2
3/18/2019	BUY	CHONG POUI FAN	2:52:05 PM	\$2.05	1,900	2:52:05 PM	\$2.05	1,900	BROKER 3
3/18/2019	SALE	LAU KEAN CHONG	2:52:05 PM	\$2.05	(1,900)	2:50:49 PM	\$2.05	(8,000)	BROKER 2
3/18/2019	BUY	CHONG POUI FAN	2:52:05 PM	\$2.05	2,000	2:52:05 PM	\$2.05	2,000	BROKER 3
3/18/2019	SALE	LAU KEAN CHONG	2:52:05 PM	\$2.05	(2,000)	2:50:49 PM	\$2.05	(8,000)	BROKER 2
3/18/2019	BUY	CHONG POUI FAN	2:52:37 PM	\$2.05	1,500	2:52:05 PM	\$2.05	1,500	BROKER 3
3/18/2019	SALE	LAU KEAN CHONG	2:52:37 PM	\$2.05	(1,500)	2:50:49 PM	\$2.05	(8,000)	BROKER 2
3/18/2019	BUY	CHONG POUI FAN	3:02:26 PM	\$2.05	2,000	3:02:26 PM	\$2.05	2,000	BROKER 3
3/18/2019	SALE	LAU KEAN CHONG	3:02:26 PM	\$2.05	(2,000)	3:01:36 PM	\$2.05	(10,000)	BROKER 2
3/18/2019	BUY	CHONG POUI FAN	3:02:26 PM	\$2.05	2,000	3:02:26 PM	\$2.05	2,000	BROKER 3
3/18/2019	SALE	LAU KEAN CHONG	3:02:26 PM	\$2.05	(2,000)	3:01:36 PM	\$2.05	(10,000)	BROKER 2
3/18/2019	BUY	CHONG POUI FAN	3:02:34 PM	\$2.05	2,000	3:02:26 PM	\$2.05	2,000	BROKER 3
3/18/2019	SALE	LAU KEAN CHONG	3:02:34 PM	\$2.05	(2,000)	3:01:36 PM	\$2.05	(10,000)	BROKER 2

DATE	TYPE	ACCT NAME	EXECUTION TIME	EXECUTION PRICE	EXECUTION QUANTITY	ORDER TIME	ORDER PRICE	ORDER QUANTITY	BROKER
3/18/2019	BUY	CHONG POUI FAN	3:02:34 PM	\$2.05	2,000	3:02:26 PM	\$2.05	2,000	BROKER 3
3/18/2019	SALE	LAU KEAN CHONG	3:02:34 PM	\$2.05	(2,000)	3:01:36 PM	\$2.05	(10,000)	BROKER 2
3/18/2019	BUY	CHONG POUI FAN	3:02:42 PM	\$2.05	2,000	3:02:26 PM	\$2.05	2,000	BROKER 3
3/18/2019	SALE	LAU KEAN CHONG	3:02:42 PM	\$2.05	(2,000)	3:01:36 PM	\$2.05	(10,000)	BROKER 2
3/18/2019	BUY	CHONG POUI FAN	3:11:15 PM	\$2.05	1,000	3:11:15 PM	\$2.05	1,000	BROKER 3
3/18/2019	SALE	LAU KEAN CHONG	3:11:15 PM	\$2.05	(1,000)	3:11:07 PM	\$2.05	(10,000)	BROKER 2
3/18/2019	BUY	CHONG POUI FAN	3:11:15 PM	\$2.05	2,000	3:11:15 PM	\$2.05	2,000	BROKER 3
3/18/2019	SALE	LAU KEAN CHONG	3:11:15 PM	\$2.05	(2,000)	3:11:07 PM	\$2.05	(10,000)	BROKER 2
3/18/2019	BUY	CHONG POUI FAN	3:11:15 PM	\$2.05	2,000	3:11:15 PM	\$2.05	2,000	BROKER 3
3/18/2019	SALE	LAU KEAN CHONG	3:11:15 PM	\$2.05	(2,000)	3:11:07 PM	\$2.05	(10,000)	BROKER 2
3/18/2019	BUY	CHONG POUI FAN	3:11:15 PM	\$2.05	3,000	3:11:15 PM	\$2.05	3,000	BROKER 3
3/18/2019	SALE	LAU KEAN CHONG	3:11:15 PM	\$2.05	(3,000)	3:11:07 PM	\$2.05	(10,000)	BROKER 2
3/18/2019	BUY	CHONG POUI FAN	3:11:20 PM	\$2.05	2,000	3:11:15 PM	\$2.05	2,000	BROKER 3
3/18/2019	SALE	LAU KEAN CHONG	3:11:20 PM	\$2.05	(2,000)	3:11:07 PM	\$2.05	(10,000)	BROKER 2
3/18/2019	BUY	CHONG POUI FAN	3:20:22 PM	\$2.05	800	3:20:23 PM	\$2.05	800	BROKER 3
3/18/2019	SALE	LAU KEAN CHONG	3:20:22 PM	\$2.05	(800)	3:20:11 PM	\$2.05	(6,800)	BROKER 2
3/18/2019	BUY	CHONG POUI FAN	3:20:22 PM	\$2.05	1,000	3:20:23 PM	\$2.05	1,000	BROKER 3
3/18/2019	SALE	LAU KEAN CHONG	3:20:22 PM	\$2.05	(1,000)	3:20:11 PM	\$2.05	(6,800)	BROKER 2
3/18/2019	BUY	CHONG POUI FAN	3:20:22 PM	\$2.05	2,000	3:20:23 PM	\$2.05	2,000	BROKER 3
3/18/2019	SALE	LAU KEAN CHONG	3:20:22 PM	\$2.05	(2,000)	3:20:11 PM	\$2.05	(6,800)	BROKER 2
3/18/2019	BUY	CHONG POUI FAN	3:20:31 PM	\$2.05	3,000	3:20:23 PM	\$2.05	3,000	BROKER 3
3/18/2019	SALE	LAU KEAN CHONG	3:20:31 PM	\$2.05	(3,000)	3:20:11 PM	\$2.05	(6,800)	BROKER 2
3/28/2019	BUY	CHONG POUI FAN	11:43:04 AM	\$1.90	100	11:00:05 AM	\$1.90	100	BROKER 3
3/28/2019	SALE	YOUN CHIEN WONG	11:43:04 AM	\$1.90	(100)	11:43:03 AM	\$1.90	(1,000)	BROKER 1
3/28/2019	BUY	CHONG POUI FAN	11:43:34 AM	\$1.90	900	11:43:21 AM	\$1.90	1,000	BROKER 3
3/28/2019	SALE	YOUN CHIEN WONG	11:43:34 AM	\$1.90	(900)	11:43:03 AM	\$1.90	(1,000)	BROKER 1
3/28/2019	BUY	CHONG POUI FAN	11:49:13 AM	\$1.81	100	11:43:21 AM	\$1.90	1,000	BROKER 3
3/28/2019	SALE	YOUN CHIEN WONG	11:49:13 AM	\$1.81	(100)	11:49:04 AM	\$1.60	(2,000)	BROKER 1
3/28/2019	BUY	CHONG POUI FAN	11:49:51 AM	\$1.78	1,900	11:49:26 AM	\$1.81	2,000	BROKER 3
3/28/2019	SALE	YOUN CHIEN WONG	11:49:51 AM	\$1.78	(1,900)	11:49:04 AM	\$1.60	(2,000)	BROKER 1
3/28/2019	BUY	CHONG POUI FAN	11:54:02 AM	\$1.81	2,000	11:54:02 AM	\$1.90	2,000	BROKER 3
3/28/2019	SALE	YOUN CHIEN WONG	11:54:02 AM	\$1.81	(2,000)	11:50:40 AM	\$1.60	(2,000)	BROKER 1
3/28/2019	BUY	CHONG POUI FAN	11:59:54 AM	\$1.81	2,000	11:59:52 AM	\$1.90	2,000	BROKER 3
3/28/2019	SALE	YOUN CHIEN WONG	11:59:54 AM	\$1.81	(2,000)	11:57:35 AM	\$1.70	(2,000)	BROKER 1
3/28/2019	BUY	CHONG POUI FAN	12:02:06 PM	\$1.81	3,000	12:01:56 PM	\$1.85	3,000	BROKER 3
3/28/2019	SALE	YOUN CHIEN WONG	12:02:06 PM	\$1.81	(3,000)	12:01:33 PM	\$1.80	(3,000)	BROKER 1
3/28/2019	BUY	CHONG POUI FAN	12:20:19 PM	\$1.85	2,000	12:20:13 PM	\$1.85	2,000	BROKER 3
3/28/2019	SALE	YOUN CHIEN WONG	12:20:19 PM	\$1.85	(2,000)	12:19:55 PM	\$1.85	(2,000)	BROKER 1

DATE	TYPE	ACCT NAME	EXECUTION TIME	EXECUTION PRICE	EXECUTION QUANTITY	ORDER TIME	ORDER PRICE	ORDER QUANTITY	BROKER
3/28/2019	BUY	CHONG POUI FAN	12:25:51 PM	\$1.85	2,000	12:25:51 PM	\$1.85	2,000	BROKER 3
3/28/2019	SALE	YOUN CHIEN WONG	12:25:51 PM	\$1.85	(2,000)	12:25:31 PM	\$1.85	(2,000)	BROKER 1
3/28/2019	BUY	CHONG POUI FAN	12:38:24 PM	\$1.85	2,000	12:38:24 PM	\$1.85	2,000	BROKER 3
3/28/2019	SALE	YOUN CHIEN WONG	12:38:24 PM	\$1.85	(2,000)	12:38:03 PM	\$1.85	(2,000)	BROKER 1
3/28/2019	BUY	CHONG POUI FAN	12:48:06 PM	\$1.85	2,000	12:48:06 PM	\$1.85	2,000	BROKER 3
3/28/2019	SALE	YOUN CHIEN WONG	12:48:06 PM	\$1.85	(2,000)	12:47:40 PM	\$1.85	(2,000)	BROKER 1
3/28/2019	BUY	CHONG POUI FAN	1:24:47 PM	\$1.85	3,000	1:24:47 PM	\$1.85	3,000	BROKER 3
3/28/2019	SALE	YOUN CHIEN WONG	1:24:47 PM	\$1.85	(3,000)	1:24:19 PM	\$1.85	(3,000)	BROKER 1
3/28/2019	BUY	CHONG POUI FAN	1:44:57 PM	\$1.85	5,000	1:44:57 PM	\$1.85	5,000	BROKER 3
3/28/2019	SALE	YOUN CHIEN WONG	1:44:57 PM	\$1.85	(5,000)	1:44:57 PM	\$1.85	(5,000)	BROKER 1
3/29/2019	BUY	CHONG POUI FAN	10:30:50 AM	\$1.76	200	10:30:50 AM	\$2.00	200	BROKER 3
3/29/2019	SALE	YOUN CHIEN WONG	10:30:50 AM	\$1.76	(200)	10:30:05 AM	\$1.75	(2,000)	BROKER 1
3/29/2019	BUY	CHONG POUI FAN	10:35:34 AM	\$1.75	800	10:35:34 AM	\$1.76	800	BROKER 3
3/29/2019	SALE	YOUN CHIEN WONG	10:35:34 AM	\$1.75	(800)	10:34:30 AM	\$1.75	(2,000)	BROKER 1
3/29/2019	BUY	CHONG POUI FAN	10:36:02 AM	\$1.75	1,200	10:36:02 AM	\$1.76	1,200	BROKER 3
3/29/2019	SALE	YOUN CHIEN WONG	10:36:02 AM	\$1.75	(1,200)	10:34:30 AM	\$1.75	(2,000)	BROKER 1
3/29/2019	BUY	CHONG POUI FAN	10:47:10 AM	\$1.73	200	10:47:10 AM	\$1.73	200	BROKER 3
3/29/2019	SALE	YOUN CHIEN WONG	10:47:10 AM	\$1.73	(200)	10:46:49 AM	\$1.73	(2,000)	BROKER 1
3/29/2019	BUY	CHONG POUI FAN	10:47:38 AM	\$1.73	1,800	10:47:30 AM	\$1.73	1,800	BROKER 3
3/29/2019	SALE	YOUN CHIEN WONG	10:47:38 AM	\$1.73	(1,800)	10:46:49 AM	\$1.73	(2,000)	BROKER 1
3/29/2019	BUY	CHONG POUI FAN	10:52:08 AM	\$1.73	500	10:52:08 AM	\$1.73	500	BROKER 3
3/29/2019	SALE	YOUN CHIEN WONG	10:52:08 AM	\$1.73	(500)	10:51:48 AM	\$1.73	(5,000)	BROKER 1
3/29/2019	BUY	CHONG POUI FAN	10:52:19 AM	\$1.73	1,000	10:52:19 AM	\$1.73	1,000	BROKER 3
3/29/2019	SALE	YOUN CHIEN WONG	10:52:19 AM	\$1.73	(1,000)	10:51:48 AM	\$1.73	(5,000)	BROKER 1
3/29/2019	BUY	CHONG POUI FAN	10:52:34 AM	\$1.73	2,000	10:52:33 AM	\$1.73	2,000	BROKER 3
3/29/2019	SALE	YOUN CHIEN WONG	10:52:34 AM	\$1.73	(2,000)	10:51:48 AM	\$1.73	(5,000)	BROKER 1
3/29/2019	BUY	CHONG POUI FAN	10:52:57 AM	\$1.73	1,500	10:52:47 AM	\$1.73	1,500	BROKER 3
3/29/2019	SALE	YOUN CHIEN WONG	10:52:57 AM	\$1.73	(1,500)	10:51:48 AM	\$1.73	(5,000)	BROKER 1
3/29/2019	BUY	CHONG POUI FAN	11:25:50 AM	\$1.70	2,000	11:25:40 AM	\$1.73	2,000	BROKER 3
3/29/2019	SALE	YOUN CHIEN WONG	11:25:50 AM	\$1.70	(2,000)	11:25:10 AM	\$1.70	(2,000)	BROKER 1
3/29/2019	BUY	CHONG POUI FAN	11:35:45 AM	\$1.80	2,000	11:35:35 AM	\$1.80	2,000	BROKER 3
3/29/2019	SALE	YOUN CHIEN WONG	11:35:45 AM	\$1.80	(2,000)	11:35:33 AM	\$1.80	(2,000)	BROKER 1
3/29/2019	BUY	CHONG POUI FAN	11:38:22 AM	\$1.80	2,000	11:38:10 AM	\$1.80	2,000	BROKER 3
3/29/2019	SALE	YOUN CHIEN WONG	11:38:22 AM	\$1.80	(2,000)	11:38:07 AM	\$1.80	(2,000)	BROKER 1
4/1/2019	BUY	CHONG POUI FAN	10:10:05 AM	\$1.84	2,500	10:10:05 AM	\$1.84	2,500	BROKER 3
4/1/2019	SALE	YOUN CHIEN WONG	10:10:05 AM	\$1.84	(2,500)	10:09:51 AM	\$1.84	(2,500)	BROKER 1
4/1/2019	BUY	CHONG POUI FAN	10:13:40 AM	\$1.84	2,500	10:13:05 AM	\$1.84	2,500	BROKER 3
4/1/2019	SALE	YOUN CHIEN WONG	10:13:40 AM	\$1.84	(2,500)	10:13:36 AM	\$1.84	(2,500)	BROKER 1

DATE	TYPE	ACCT NAME	EXECUTION TIME	EXECUTION PRICE	EXECUTION QUANTITY	ORDER TIME	ORDER PRICE	ORDER QUANTITY	BROKER
4/1/2019	BUY	CHONG POUI FAN	10:45:04 AM	\$1.85	2,000	9:45:04 AM	\$1.85	2,000	BROKER 3
4/1/2019	SALE	YOUN CHIEN WONG	10:45:04 AM	\$1.85	(2,000)	10:43:39 AM	\$1.85	(2,000)	BROKER 1
4/3/2019	BUY	BINJI LU	10:17:40 AM	\$1.84	1,780	10:17:40 AM	\$1.84	1,780	BROKER 4
4/3/2019	SALE	YOUN CHIEN WONG	10:17:40 AM	\$1.84	(1,280)	10:16:50 AM	\$1.84	(1,780)	BROKER 1
4/3/2019	BUY	BINJI LU	10:19:55 AM	\$1.84	3,500	10:19:55 AM	\$1.84	3,500	BROKER 4
4/3/2019	SALE	YOUN CHIEN WONG	10:19:55 AM	\$1.84	(3,500)	10:19:49 AM	\$1.84	(3,000)	BROKER 1
4/3/2019	BUY	BINJI LU	10:23:13 AM	\$1.84	5,000	10:23:12 AM	\$1.84	5,000	BROKER 4
4/3/2019	SALE	YOUN CHIEN WONG	10:23:13 AM	\$1.84	(5,000)	10:23:00 AM	\$1.84	(5,000)	BROKER 1
4/3/2019	BUY	BINJI LU	10:36:32 AM	\$1.73	1,000	10:35:11 AM	\$1.80	1,000	BROKER 4
4/3/2019	SALE	YOUN CHIEN WONG	10:36:32 AM	\$1.73	(1,000)	10:34:17 AM	\$1.70	(3,000)	BROKER 1
4/3/2019	BUY	BINJI LU	10:36:32 AM	\$1.70	2,000	10:36:31 AM	\$1.70	2,000	BROKER 4
4/3/2019	SALE	YOUN CHIEN WONG	10:36:32 AM	\$1.70	(2,000)	10:34:17 AM	\$1.70	(3,000)	BROKER 1
4/3/2019	BUY	BINJI LU	10:39:00 AM	\$1.65	2,000	10:39:00 AM	\$1.65	2,000	BROKER 4
4/3/2019	SALE	YOUN CHIEN WONG	10:39:00 AM	\$1.65	(2,000)	10:38:52 AM	\$1.65	(5,000)	BROKER 1
4/3/2019	BUY	BINJI LU	10:39:16 AM	\$1.65	3,000	10:39:15 AM	\$1.65	3,000	BROKER 4
4/3/2019	SALE	YOUN CHIEN WONG	10:39:16 AM	\$1.65	(3,000)	10:38:52 AM	\$1.65	(5,000)	BROKER 1
4/3/2019	BUY	BINJI LU	10:52:15 AM	\$1.62	5,000	10:52:15 AM	\$1.62	5,000	BROKER 4
4/3/2019	SALE	YOUN CHIEN WONG	10:52:15 AM	\$1.62	(5,000)	10:52:10 AM	\$1.62	(5,000)	BROKER 1
4/3/2019	BUY	BINJI LU	10:53:38 AM	\$1.62	5,000	10:53:38 AM	\$1.62	5,000	BROKER 4
4/3/2019	SALE	YOUN CHIEN WONG	10:53:38 AM	\$1.62	(5,000)	10:53:33 AM	\$1.62	(5,000)	BROKER 1
4/3/2019	BUY	BINJI LU	10:58:58 AM	\$1.65	2,500	10:58:58 AM	\$1.65	2,500	BROKER 4
4/3/2019	SALE	YOUN CHIEN WONG	10:58:58 AM	\$1.65	(2,500)	10:58:50 AM	\$1.65	(2,500)	BROKER 1
4/3/2019	BUY	BINJI LU	11:00:50 AM	\$1.65	2,500	11:00:49 AM	\$1.65	2,500	BROKER 4
4/3/2019	SALE	YOUN CHIEN WONG	11:00:50 AM	\$1.65	(2,500)	11:00:41 AM	\$1.65	(2,500)	BROKER 1
4/3/2019	BUY	BINJI LU	11:01:20 AM	\$1.65	2,500	11:01:19 AM	\$1.65	2,500	BROKER 4
4/3/2019	SALE	YOUN CHIEN WONG	11:01:20 AM	\$1.65	(2,500)	11:01:09 AM	\$1.65	(2,500)	BROKER 1
4/15/2019	BUY	KIT MUN CHAN	10:00:05 AM	\$3.50	1,000	10:00:05 AM	\$3.50	1,500	BROKER 3
4/15/2019	SALE	YOUN CHIEN WONG	10:00:05 AM	\$3.50	(1,000)	9:58:48 AM	\$3.50	(1,000)	BROKER 5
4/15/2019	BUY	KIT MUN CHAN	10:03:47 AM	\$3.88	3,000	10:03:27 AM	\$3.92	8,000	BROKER 3
4/15/2019	SALE	BINJI LU	10:03:47 AM	\$3.88	(3,000)	10:02:57 AM	\$3.88	(3,000)	BROKER 4
4/15/2019	BUY	KIT MUN CHAN	10:04:00 AM	\$3.90	2,500	10:03:27 AM	\$3.92	8,000	BROKER 3
4/15/2019	SALE	BINJI LU	10:04:00 AM	\$3.90	(1,500)	10:02:21 AM	\$3.90	(1,500)	BROKER 4
4/15/2019	SALE	YOUN CHIEN WONG	10:04:00 AM	\$3.90	(1,000)	9:59:18 AM	\$3.90	(1,000)	BROKER 5
4/15/2019	BUY	KIT MUN CHAN	10:16:03 AM	\$3.89	2,400	10:15:10 AM	\$3.89	4,000	BROKER 3
4/15/2019	SALE	BINJI LU	10:16:03 AM	\$3.89	(1,610)	10:14:06 AM	\$3.89	(1,610)	BROKER 4
4/15/2019	BUY	KIT MUN CHAN	10:18:16 AM	\$3.88	4,000	10:17:50 AM	\$3.88	5,000	BROKER 3
4/15/2019	SALE	YOUN CHIEN WONG	10:18:16 AM	\$3.88	(4,000)	10:17:17 AM	\$3.88	(4,000)	BROKER 5

DATE	TYPE	ACCT NAME	EXECUTION TIME	EXECUTION PRICE	EXECUTION QUANTITY	ORDER TIME	ORDER PRICE	ORDER QUANTITY	BROKER
4/15/2019	BUY	KIT MUN CHAN	10:19:59 AM	\$3.88	4,200	10:19:58 AM	\$3.88	5,000	BROKER 3
4/15/2019	SALE	YOUN CHIEN WONG	10:19:59 AM	\$3.88	(5,000)	10:19:27 AM	\$3.88	(5,000)	BROKER 5
4/15/2019	BUY	KIT MUN CHAN	10:20:24 AM	\$3.88	800	10:19:58 AM	\$3.88	5,000	BROKER 3
4/15/2019	SALE	BINJI LU	10:20:24 AM	\$3.88	(800)	10:20:23 AM	\$3.88	(3,000)	BROKER 4
4/15/2019	BUY	KIT MUN CHAN	10:20:46 AM	\$3.88	3,200	10:20:34 AM	\$3.88	5,000	BROKER 3
4/15/2019	SALE	BINJI LU	10:20:46 AM	\$3.88	(3,200)	10:20:23 AM	\$3.88	(3,500)	BROKER 4
4/15/2019	BUY	KIT MUN CHAN	10:21:22 AM	\$3.88	800	10:20:34 AM	\$3.88	5,000	BROKER 3
4/15/2019	SALE	BINJI LU	10:21:22 AM	\$3.88	(800)	10:21:05 AM	\$3.88	(2,000)	BROKER 4
4/15/2019	BUY	KIT MUN CHAN	10:21:25 AM	\$3.88	4,200	10:21:25 AM	\$3.88	3,000	BROKER 3
4/15/2019	SALE	BINJI LU	10:21:25 AM	\$3.88	(4,200)	10:21:05 AM	\$3.88	(2,000)	BROKER 4
4/15/2019	BUY	KIT MUN CHAN	10:23:35 AM	\$3.86	5,000	10:23:25 AM	\$3.86	2,500	BROKER 3
4/15/2019	SALE	YOUN CHIEN WONG	10:23:35 AM	\$3.86	(5,000)	10:23:25 AM	\$3.86	(5,000)	BROKER 5
4/15/2019	BUY	KIT MUN CHAN	10:25:55 AM	\$3.83	5,000	10:25:44 AM	\$3.83	3,000	BROKER 3
4/15/2019	SALE	BINJI LU	10:25:55 AM	\$3.83	(5,000)	10:25:31 AM	\$3.83	(5,000)	BROKER 4
4/15/2019	BUY	KIT MUN CHAN	10:26:51 AM	\$3.83	5,000	10:26:46 AM	\$3.83	5,000	BROKER 3
4/15/2019	SALE	YOUN CHIEN WONG	10:26:51 AM	\$3.83	(5,000)	10:26:36 AM	\$3.83	(5,000)	BROKER 5
4/15/2019	BUY	KIT MUN CHAN	10:27:16 AM	\$3.83	4,000	10:27:15 AM	\$3.83	4,000	BROKER 3
4/15/2019	SALE	BINJI LU	10:27:16 AM	\$3.83	(4,000)	10:27:09 AM	\$3.83	(4,000)	BROKER 4
4/15/2019	BUY	KIT MUN CHAN	10:28:45 AM	\$3.83	4,000	10:28:14 AM	\$3.83	4,000	BROKER 3
4/15/2019	SALE	BINJI LU	10:28:45 AM	\$3.83	(4,000)	10:28:04 AM	\$3.83	(4,000)	BROKER 4
4/15/2019	BUY	KIT MUN CHAN	10:29:47 AM	\$3.83	3,000	10:29:47 AM	\$3.83	3,000	BROKER 3
4/15/2019	SALE	YOUN CHIEN WONG	10:29:47 AM	\$3.83	(3,000)	10:29:38 AM	\$3.83	(3,000)	BROKER 5
4/15/2019	BUY	KIT MUN CHAN	10:34:21 AM	\$3.82	4,000	10:34:18 AM	\$3.82	4,000	BROKER 3
4/15/2019	SALE	BINJI LU	10:34:21 AM	\$3.82	(4,000)	10:34:16 AM	\$3.82	(4,000)	BROKER 4
4/15/2019	BUY	KIT MUN CHAN	10:35:13 AM	\$3.82	5,000	10:35:11 AM	\$3.82	5,000	BROKER 3
4/15/2019	SALE	YOUN CHIEN WONG	10:35:13 AM	\$3.82	(5,000)	10:35:07 AM	\$3.82	(5,000)	BROKER 5
4/15/2019	BUY	KIT MUN CHAN	10:39:48 AM	\$3.82	3,500	10:39:48 AM	\$3.82	3,500	BROKER 3
4/15/2019	SALE	YOUN CHIEN WONG	10:39:48 AM	\$3.82	(3,500)	10:38:22 AM	\$3.83	(3,500)	BROKER 5
4/15/2019	BUY	KIT MUN CHAN	10:42:41 AM	\$3.83	5,000	10:42:31 AM	\$3.83	5,000	BROKER 3
4/15/2019	SALE	YOUN CHIEN WONG	10:42:41 AM	\$3.83	(5,000)	10:42:21 AM	\$3.83	(5,000)	BROKER 5
4/15/2019	BUY	KIT MUN CHAN	10:43:23 AM	\$3.83	5,000	10:43:23 AM	\$3.83	5,000	BROKER 3
4/15/2019	SALE	BINJI LU	10:43:23 AM	\$3.83	(5,000)	10:43:16 AM	\$3.83	(5,000)	BROKER 4
4/15/2019	BUY	KIT MUN CHAN	10:47:22 AM	\$3.83	4,500	10:47:22 AM	\$3.83	4,500	BROKER 3
4/15/2019	SALE	BINJI LU	10:47:22 AM	\$3.83	(4,500)	10:47:15 AM	\$3.83	(4,500)	BROKER 4
4/15/2019	BUY	KIT MUN CHAN	10:49:26 AM	\$3.83	5,000	10:49:26 AM	\$3.83	5,000	BROKER 3
4/15/2019	SALE	BINJI LU	10:49:26 AM	\$3.83	(5,000)	10:49:18 AM	\$3.83	(5,000)	BROKER 4
4/15/2019	BUY	KIT MUN CHAN	10:50:41 AM	\$3.83	1,000	10:50:27 AM	\$3.83	2,000	BROKER 3
4/15/2019	SALE	YOUN CHIEN WONG	10:50:41 AM	\$3.83	(2,000)	10:50:24 AM	\$3.83	(2,000)	BROKER 5

DATE	TYPE	ACCT NAME	EXECUTION TIME	EXECUTION PRICE	EXECUTION QUANTITY	ORDER TIME	ORDER PRICE	ORDER QUANTITY	BROKER
4/15/2019	BUY	KIT MUN CHAN	10:51:59 AM	\$3.83	1,000	10:50:27 AM	\$3.83	2,000	BROKER 3
4/15/2019	SALE	BINJI LU	10:51:59 AM	\$3.83	(1,000)	10:51:55 AM	\$3.83	(2,500)	BROKER 4
4/15/2019	BUY	KIT MUN CHAN	10:52:47 AM	\$3.83	1,000	10:52:07 AM	\$3.83	2,500	BROKER 3
4/15/2019	SALE	BINJI LU	10:52:47 AM	\$3.83	(1,000)	10:52:47 AM	\$3.83	(3,500)	BROKER 4
4/15/2019	BUY	KIT MUN CHAN	10:53:01 AM	\$3.83	2,500	10:53:01 AM	\$3.83	3,500	BROKER 3
4/15/2019	SALE	BINJI LU	10:53:01 AM	\$3.83	(2,500)	10:51:55 AM	\$3.83	(2,500)	BROKER 4
4/15/2019	BUY	KIT MUN CHAN	10:53:20 AM	\$3.83	1,000	10:53:01 AM	\$3.83	3,500	BROKER 3
4/15/2019	SALE	BINJI LU	10:53:20 AM	\$3.83	(1,000)	10:53:19 AM	\$3.83	(1,000)	BROKER 4
4/15/2019	BUY	KIT MUN CHAN	10:54:52 AM	\$3.83	5,000	10:54:30 AM	\$3.83	5,000	BROKER 3
4/15/2019	SALE	BINJI LU	10:54:52 AM	\$3.83	(5,000)	10:53:33 AM	\$3.83	(5,000)	BROKER 4
4/15/2019	BUY	KIT MUN CHAN	10:56:04 AM	\$3.83	3,000	10:55:36 AM	\$3.83	3,000	BROKER 3
4/15/2019	SALE	YOUN CHIEN WONG	10:56:04 AM	\$3.83	(3,000)	10:55:36 AM	\$3.83	(3,000)	BROKER 5
4/15/2019	BUY	KIT MUN CHAN	10:56:34 AM	\$3.83	2,000	10:56:34 AM	\$3.83	2,000	BROKER 3
4/15/2019	SALE	YOUN CHIEN WONG	10:56:34 AM	\$3.83	(2,000)	10:56:32 AM	\$3.83	(2,000)	BROKER 5
4/15/2019	BUY	KIT MUN CHAN	10:57:17 AM	\$3.83	2,500	10:57:17 AM	\$3.83	2,500	BROKER 3
4/15/2019	SALE	YOUN CHIEN WONG	10:57:17 AM	\$3.83	(2,500)	10:57:11 AM	\$3.83	(2,500)	BROKER 5
4/15/2019	BUY	KIT MUN CHAN	10:58:54 AM	\$3.83	2,500	10:57:17 AM	\$3.83	2,500	BROKER 3
4/15/2019	SALE	YOUN CHIEN WONG	10:58:54 AM	\$3.83	(2,500)	10:58:51 AM	\$3.83	(2,500)	BROKER 5
4/15/2019	BUY	KIT MUN CHAN	11:31:40 AM	\$3.50	1,500	10:31:28 AM	\$3.50	3,250	BROKER 3
4/15/2019	SALE	CHONG POUI FAN	11:31:40 AM	\$3.50	(1,500)	10:30:29 AM	\$3.50	(1,500)	BROKER 3
4/15/2019	BUY	KIT MUN CHAN	11:32:08 AM	\$3.50	1,750	11:31:28 AM	\$3.50	3,250	BROKER 3
4/15/2019	SALE	CHONG POUI FAN	11:32:08 AM	\$3.50	(1,750)	10:32:03 AM	\$3.50	(1,750)	BROKER 3
4/15/2019	BUY	KIT MUN CHAN	11:34:20 AM	\$3.50	3,000	11:34:20 AM	\$3.50	3,000	BROKER 3
4/15/2019	SALE	YOUN CHIEN WONG	11:34:20 AM	\$3.50	(3,000)	11:34:11 AM	\$3.50	(3,000)	BROKER 5
4/15/2019	BUY	KIT MUN CHAN	11:37:23 AM	\$3.56	5,000	11:37:22 AM	\$3.56	5,000	BROKER 3
4/15/2019	SALE	YOUN CHIEN WONG	11:37:23 AM	\$3.56	(5,000)	11:37:12 AM	\$3.56	(5,000)	BROKER 5
4/15/2019	BUY	KIT MUN CHAN	11:41:46 AM	\$3.56	2,000	11:41:46 AM	\$3.56	2,000	BROKER 3
4/15/2019	SALE	BINJI LU	11:41:46 AM	\$3.56	(2,000)	11:41:34 AM	\$3.56	(2,000)	BROKER 4
4/15/2019	BUY	KIT MUN CHAN	11:45:18 AM	\$3.56	3,000	11:45:08 AM	\$3.56	3,000	BROKER 3
4/15/2019	SALE	BINJI LU	11:45:18 AM	\$3.56	(3,000)	11:44:55 AM	\$3.56	(3,000)	BROKER 4
4/15/2019	BUY	KIT MUN CHAN	11:49:22 AM	\$3.50	3,000	11:48:48 AM	\$3.50	3,000	BROKER 3
4/15/2019	SALE	BINJI LU	11:49:22 AM	\$3.50	(3,000)	11:48:28 AM	\$3.50	(3,000)	BROKER 4
4/15/2019	BUY	KIT MUN CHAN	12:00:47 PM	\$3.50	476	12:00:36 PM	\$3.50	476	BROKER 3
4/15/2019	SALE	CHONG POUI FAN	12:00:47 PM	\$3.50	(476)	12:00:47 PM	\$3.50	(476)	BROKER 3