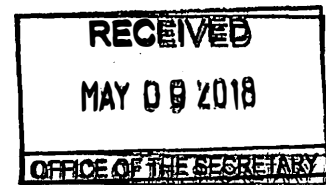


May 4, 2018

Mr. Brent J. Fields
Secretary
Securities and Exchange Commission
100 F Street, NE
Washington, D.C. 20549



RE: In the Matter of the Application for Review of Dakota Securities International, Inc. Administrative Proceeding No. 3-18382

Dear Mr. Fields:

Enclosed please find the original and three copies of Dakota Securities, Exhibit C, a letter from the hearing officer dated February 6, 2018 which demonstrates Dakota Securities has the right to appeal the arbitration fees decision. Please contact me at 732-713-9607 if you have any questions.

Sincerely,

A handwritten signature in black ink that reads "Gary Cuccia". The signature is written in a cursive style with a prominent loop at the end of the last name.

Gary Cuccia

EXHIBIT "C"

FINANCIAL INDUSTRY REGULATORY AUTHORITY OFFICE OF HEARING OFFICERS

REGULATORY OPERATIONS,

Complainant,

v.

DAKOTA SECURITIES
INTERNATIONAL, INC.,
(CRD No. 132700),

Respondent.

Expedited Proceeding
No. DFC170004

STAR No. 20170560282

Hearing Officer—CC

NOTICE OF EXPEDITED DECISION

The Hearing Officer's decision in this expedited proceeding dated February 6, 2018 ("Decision") is enclosed. This Decision was not called for review by the National Adjudicatory Council ("NAC"), pursuant to Rule 9559(q), and therefore it shall be effective upon service and shall constitute the final action of FINRA in this proceeding.

Appeal Rights

You may appeal this Decision to the U.S. Securities and Exchange Commission ("Commission"). To do so, you must file an application with the Commission within 30 days of your receipt of this Decision. You also must send a copy of your Application to FINRA Office of General Counsel, together with copies of all documents you file with the Commission. If you send any documents to the Commission by facsimile or overnight mail, you shall provide copies by like means to FINRA Office of General Counsel. The filing of an application for review shall not stay the effectiveness of final FINRA action, unless the Commission otherwise orders.

Your Application must identify the expedited proceeding case number and set forth in summary form a brief statement of the grounds for your appeal. You also must include an address where you may be served and a telephone number where you may be reached during business hours. If your address or phone number changes, you must advise the Commission and FINRA Office of General Counsel. If an attorney represents you, he or she must file a Notice of Appearance with your Application. The addresses of the Commission and FINRA Office of General Counsel are:

Office of the Secretary
U.S. Securities and Exchange Commission
100 F Street NE
Mail Stop 1090 – Room #10915
Washington, DC 20549

**Alan Lawhead, Director – Appellate Group
FINRA
Office of General Counsel
1735 K Street, NW
Washington, DC 20006**

Questions concerning the appeal process should be directed to the Office of the Secretary at the Commission at (202) 551-5400.



**Carla Carloni
Hearing Officer**

Dated: February 6, 2018

Copies:

**Dakota Securities International, Inc. (via overnight courier, electronic and first-class mail)
Sora Lee, Esq. (via electronic and first-class mail)
Meredith MacVicar, Esq. (via electronic mail)
Ann-Marie Mason, Esq. (via electronic mail)**