















# USPS Tracking® Results

FAQs > (<http://faq.usps.com/?articleId=220900>)

**Track Another Package +**

Remove X

**Tracking Number:** 70162070000111330143



## In-Transit

### Product & Tracking Information

[See Available Actions](#)

**Postal Product:**

**Features:**  
Certified Mail™

DATE & TIME	STATUS OF ITEM	LOCATION
April 23, 2017, 1:03 pm	Business Closed	WASHINGTON, DC 20549
<p>Your item is being held at the Post Office in WASHINGTON, DC 20549 on April 23, 2017 at 1:03 pm because the business was closed. The item will be delivered the next delivery day that the business is open.</p>		
April 23, 2017, 12:09 pm	Arrived at Hub	WASHINGTON, DC 20018
April 5, 2017, 9:46 am	Unclaimed/Being Returned to Sender	COPPELL, TX [REDACTED]

EXHIBIT A



DATE & TIME	STATUS OF ITEM	LOCATION
March 11, 2017, 11:00 am	Notice Left (No Authorized Recipient Available)	COPPELL, TX [REDACTED]

**See More** ▼

## Available Actions

**See Less** ▲

## Can't find what you're looking for?

Go to our FAQs section to find answers to your tracking questions.

**FAQs** (<http://faq.usps.com/?articleId=220900>)

**There's an easier way to track your packages.**

Why jump from page to page to track packages being sent to you? With My USPS™, you can easily track all your packages in one place. Sign up to:

- Set up automatic email and text alerts, so you'll never have to manually track a package again
- Provide delivery instructions, so your carrier knows where to leave packages

**Sign Up**

**(https://reg.usps.com/entreg/RegistrationAction\_input?**

**app=UspsTools&appURL=https%**

(https://www.usps.com/)

**3A%2F%2Ftools.usps.com%**

**HELPFUL LINKS**

**ON ABOUT.USPS.COM**

**OTHER USPS SITES**

**LEGAL INFORMATION**

Contact Us

About USPS Home

Business Customer Gateway

Privacy Policy

(https://www.usps.com/help/welcome.htm)

(http://about.usps.com/)

(https://gateway.usps.com/)

(http://about.usps.com/who-we-

Site Index

Newsroom

Social Usps.com

are/privacy-policy/privacy-

(https://www.usps.com/globals/site-index.htm)

(http://about.usps.com/news/welcome.htm)

(http://social.usps.com/)

policy-highlights.htm)

index.htm)

USPS Service Updates

Inspector General

Terms of Use

FAQs (http://faq.usps.com/)

(http://about.usps.com/news/service-updates/welcome.htm)

(http://www.uspsoig.gov/)

(http://about.usps.com/termsfuse.htm)

alerts/welcome.htm)

Postal Explorer

FOIA

Forms & Publications

(http://pe.usps.gov/)

(http://about.usps.com/who-we-

(http://about.usps.com/forms-

National Postal Museum

are/foia/welcome.htm)

publications/welcome.htm)

(http://www.postalmuseum.si.edu/)

o FEAR Act EEO Data

Government Services

Resources for Developers

(http://about.usps.com/who-we-

(https://www.usps.com/gov-

(https://www.usps.com/webtools/webtools-welcome.htm)

services/gov-services.htm)

Careers

(http://about.usps.com/careers/welcome.htm)

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(<https://twitter.com/usps>)



(<http://www.pinterest.com/uspsstamps/>)



(<https://www.youtube.com/usps>)

Virginia C. Moher

Halter Bran

**From:** Michelle Cochran <[REDACTED]@gmail.com>  
**Sent:** Monday, March 20, 2017 2:45 PM  
**To:** John D. Moore  
**Subject:** Re: SEC Original Decision March 7th.

Thank you.

Sent from my iPhone

On Mar 20, 2017, at 2:41 PM, E. Lance Fitzgerald <LFitzgerald@tsbpa.texas.gov> wrote:

Attached is the initial decision.

---

**From:** Michelle Cochran <[REDACTED]@gmail.com>  
**Sent:** Monday, March 20, 2017 2:40 PM  
**To:** E. Lance Fitzgerald  
**Subject:** Fwd: SEC Original Decision March 7th.

Mr. Fitzgerald – I have not been served with their decision yet – but heard through the grapevine it was out there.

I believe their are factual and judgmental issues with the ruling and will be appealing.

I've met (over the phone) with five of the countries too SEC appellate attorneys and will be engaging one soon.

Please let me know what additional information you need from me.

Sincerely,

Michelle Cochran

Sent from my iPhone

Begin forwarded message:

**From:** "E. Lance Fitzgerald" <LFitzgerald@tsbpa.texas.gov>  
**Date:** March 20, 2017 at 2:30:21 PM CDT  
**To:** "Michelle Cochran" <[REDACTED]@gmail.com>  
**Subject:** SEC Original Decision March 7th.

Ms. Cochran, are you going to contest the SEC's original administrative decision? Let me know either way. If you will not be contesting the original decision, then please respond to my letter.

Lance Fitzgerald  
Staff Attorney - Enforcement Division

Texas State Board of Public Accountancy

P: (512) 305-7840

[L.Fitzgerald@tsbpa.texas.gov](mailto:L.Fitzgerald@tsbpa.texas.gov)

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<id1114ce.pdf>

**From:** Evans, Timothy  
**To:** Michelle Cochran; Minnick, Deborah  
**Subject:** Re: Initial Decision  
**Date:** Tuesday, March 28, 2017 10:23:04 AM

---

Michelle,

We were served a copy of the Initial Decision via mail on March 10.

If you have any questions regarding the service of the Initial Decision, you should reach out to the Commission's Office of the Secretary.

Thank you,

Timothy Evans  
Trial Counsel - SEC  
817-978-5036  
Original Message  
From: Michelle Cochran  
Sent: Monday, March 27, 2017 2:14 PM  
To: Evans, Timothy; Minnick, Deborah  
Subject: Initial Decision

Hi Tim & Deborah --

I have heard through the grapevine that an Initial Decision has been made. I have not received a copy of it either through email or certified mail.

I am concerned about the ability to have a time to respond.

Please advise.

Michelle Cochran

Sent from my iPhone

**From:** Michelle Cochran  
**To:** Evans, Timothy  
**Subject:** Fwd: message on telephone  
**Date:** Friday, August 04, 2017 1:34:02 PM

---

Mr. Evans,

Pursuant to our conversation this afternoon, attached is the communication I had with Ms. Baldwin after you suggested I contact her to get served with the Initial Decision.

Sincerely,

Michelle Helterbran

Sent from my iPhone

Begin forwarded message:

**From:** Michelle Cochran [REDACTED]@gmail.com>  
**Date:** April 3, 2017 at 11:37:54 AM CDT  
**To:** Margaret Baldwin <baldwinm@sec.gov>  
**Subject:** Fwd: message on telephone

Ms. Baldwin --

I attempted to pick up the certified letter at my post office and they said it had been returned to sender. Would you please have it resent and let me know when to expect it?

Thank you for the information below.

Sent from my iPhone

Begin forwarded message:

**From:** "Baldwin, Margaret" <baldwinm@SEC.GOV>  
**Date:** April 3, 2017 at 11:34:57 AM CDT  
**To:** '[REDACTED]@gmail.com'  
[REDACTED]@gmail.com>  
**Subject:** message on telephone

Dear Ms. Cochran,

I received your voice mail asking about the deadline to file a petition for review of the initial decision.

EXHIBIT D

I am unable to answer any legal questions, including specifying any due dates, but would refer you to Rules 410 and 411 of the Rules of Practice. The Rules of Practice are available on [sec.gov](http://sec.gov) and the link is below.

<https://www.sec.gov/about/rules-of-practice-2016.pdf>

Additionally, initial decisions are posted on [sec.gov](http://sec.gov), and the link to that page is below. Your initial decision release number is 1114.

<https://www.sec.gov/alj/aljorders.shtml>

Thank you,

Maggie

**Margaret Baldwin**  
**Senior Program Information Specialist**  
**U.S. Securities and Exchange Commission**  
**Office of the Secretary**  
**100 F Street, NE, Room 10914B**  
**Mail Stop 1090**  
**Washington, DC 20549**  
**Office: 202-551-7875**  
**Cell: [REDACTED]**



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RECEIVED  
2017 AUG -1 AM 11:03  
TEXAS STATE BOARD OF  
PUBLIC ACCOUNTANCY

July 29, 2017

Mr. John Moore, Attorney  
Enforcement Division  
The Texas State Board of Public Accountancy  
333 Guadalupe, Tower 3  
Suite 900  
Austin, TX 78701-3900

ENF  
AUG 01 2017

In Re: File No. 16-11-05L

Dear Mr. Moore:

I am responding to your letter dated July 5, 2017 regarding the filing of an Initial Decision by the Securities and Exchange Commission.

Attached is a letter sent to Mr. Brent Fields at the Securities and Exchange Commission informing him that I have not been served with the Initial Decision as required to affect a Final Order. I have been working with appellate counsel and intend on filing a response and requesting the Commissioners have an opportunity to review the case as well.

Therefore, I request that the deadline for the response to your November 1, 2016 Initial Investigation is postponed until this matter is properly concluded.

Please do not hesitate to give me a call with any questions.

Respectfully submitted,



Michelle L. Helterbran

██████████  
██████████  
Coppell, TX ██████████

EXHIBIT E

July 15, 2017

Mr. Brent J. Fields  
Secretary  
Securities and Exchange Commission  
100 F. Street NE  
Washington, DC 20549

Admin Proc. File No. 3-17228

Dear Mr. Fields:

I am writing to you regarding your notice titled "Notice That Initial Decision Has Become Final" in the above referenced matter.

I would like the opportunity to respond, and for the Commission to review the decision, however, I have never been served with the Initial Decision.

My address for service remains the same, as indicated below.

Respectfully submitted,



Michelle Helterbran

██████████  
Coppell, TX ██████████

Cc: Eduardo A. Aleman  
Assistant Secretary