

# HARD COPY

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December 20, 2017

### BY ELECTRONIC MAIL

Hon. James Grimes  
Administrative Law Judge  
U.S. Securities and Exchange Commission  
100 F Street, N.E.  
Washington, DC 20549  
Email: alj@sec.gov



Re: *In the Matter of Barbara Duka, File No. 3-16349*

Dear Judge Grimes:

In connection with the above-captioned matter, and on behalf of Respondent Barbara Duka and the Division, we respectfully submit this letter to inform the Court that pursuant to the *Notice to the Parties and Order Following Remand, Administrative Proceedings Rulings Release No. 5325 (Dec. 7, 2017)*, neither Ms. Duka nor the Division intends to submit "new evidence," *see Pending Admin. Proc., Securities Act of 1933 Release No. 10440, 2017 WL 5969234 (Nov. 30, 2017)*, or a brief on or before January 5, 2018.

Respectfully submitted,

*Guy Petrillo / DZG*

Guy Petrillo  
Daniel Goldman  
Theresa Gue

cc: Stephen McKenna, Esq. (by electronic mail)  
Alfred Day, Esq. (by electronic mail)  
Rua Kelly, Esq. (by electronic mail)

**UNITED STATES OF AMERICA**  
**Before the**  
**SECURITIES AND EXCHANGE COMMISSION**

**ADMINISTRATIVE PROCEEDING**

**File No. 3-16349**

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: :  
**In the Matter of** : :  
: :

**BARBARA DUKA** : :  
: :

**Respondent.** : :  
: :  
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**CERTIFICATE OF SERVICE**

On December 20, 2017, Respondent Barbara Duka's letter concerning the *Notice to the Parties and Order Following Remand*, Administrative Proceedings Rulings Release No. 5325

(Dec. 7, 2017) was sent to the following parties and other persons entitled to notice as follows:

Brent Fields, Secretary  
Office of the Secretary  
Securities and Exchange Commission  
100 F Street, N.E.  
Washington, DC 20549  
Phone: 202-551-5400  
Fax: 703-813-9793  
(Original and three copies by Federal Express; one copy by facsimile)

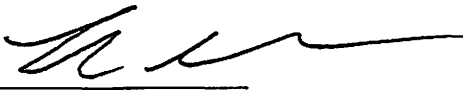
Hon. James Grimes  
Administrative Law Judge  
U.S. Securities and Exchange Commission  
100 F Street, N.E.  
Washington, DC 20549  
Email: alj@sec.gov  
(by electronic mail)

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By: 

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