

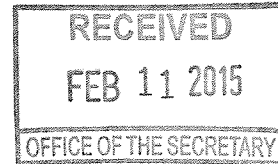
UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION

ADMINISTRATIVE PROCEEDING
File No. 3-16178

In the Matter of

Gregory T. Bolan, Jr. and
Joseph C. Ruggieri,

Respondents.

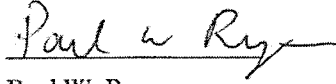


WITNESS LIST FOR RESPONDENT JOSEPH C. RUGGIERI

1. Christopher Bartlett
2. Gregory Bolan
3. Matthew Brown
4. Bruce Mackle
5. Christopher Malcolm
6. David Memmott
7. Joseph Ruggieri
8. William Short
9. Vinayak Singh
10. Todd Wickwire
11. Any witness identified on any other party's Witness List
12. This list excludes rebuttal witnesses.

Dated: New York, New York
February 9, 2015

SERPE RYAN LLP



Paul W. Ryan
Silvia L. Serpe
Attorneys for Joseph Ruggieri

[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]