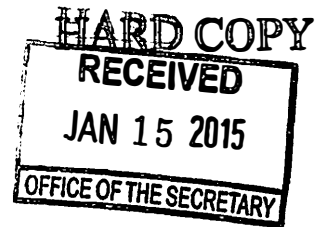


**UNITED STATES OF AMERICA  
Before the  
SECURITIES AND EXCHANGE COMMISSION**



**In the Matter of:**

**THE ROBARE GROUP, LTD.,  
MARK L. ROBARE, AND  
JACK L. JONES, JR.**

**ADMINISTRATIVE PROCEEDING  
File No. 3-16047**

**RESPONDENTS' WITNESS LIST**

**Respondents.**

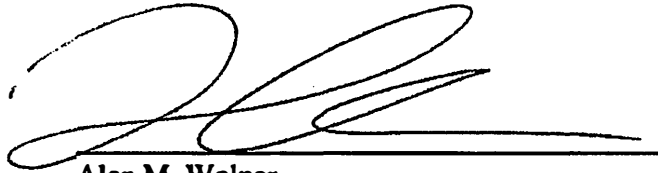
Respondents Robare Group, Ltd., Mark L. Robare, and Jack L. Jones, Jr. ("Robare Group"), by and through their attorneys, hereby submit the following list of witnesses who may be called to testify at the hearing of this matter, pursuant to the October 7, 2014 Order Setting Prehearing Schedule and General Prehearing Order entered in this proceeding.

**WITNESS LIST**

1. Mark Robare. Partner/Owner Robare Group. Mr. Robare will testify as to the facts relevant to the claims and defenses at issue in this case.
2. Jack Jones. Partner/Owner Robare Group. Mr. Jones will testify as to the facts relevant to the claims and defenses at issue in this case.
3. Bart McDonald. Renaissance Regulatory Services. Mr. McDonald will testify about Renaissance's compliance consulting relationship with Robare Group and the facts and events relevant thereto.
4. Ernest Strauss. Triad Advisors, Inc. Mr. Strauss will testify as to Triad's relationship with Robare Group as well as the Commission Schedule and Servicing Fee Agreement (to which it was a party) and the commission payments paid to Triad by Fidelity Investments pursuant thereto.
5. Miriam Lefkowitz. Miriam Lefkowitz, LLC. Expert witness. Miriam will provide expert testimony and render opinions related to the Robare Group's disclosures to its clients regarding the commission payments received by Robare Group in connection with investments made by their clients in certain mutual funds.
6. Any person identified by the Commission as a witness.

Respectfully submitted this 13<sup>th</sup> day of January, 2015.

**ULMER & BERNE LLP**



**Alan M. Wolper**

[REDACTED]

**Heidi VonderHeide**

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

*Counsel for Respondents*

