

August 3, 2014

Administrative Proceeding File No. 3-15935

United States Securities and Exchange Commission

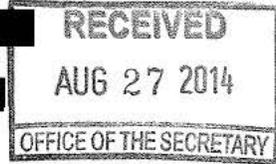
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Michael A. Pino

**HARD COPY**



Dear SEC,

Please accept this motion for relief of FINRA Decision No. 201002162201, dated May 20, 2014 of unauthorized transactions allegedly conducted by respondent on grounds of evidence not supportive of claim, other allegations presented that were again refuted by previous written testimony and overt witness coaching and written instructions for testimony.

Pursuant to Rule 321 Respondent objects to admission of evidence and assertions that :

- 1) Respondent violated compliance rules of employing firm and FINRA
- 2) Respondent lost client money through trading
- 3) Client, [REDACTED]), was inexperienced, unsophisticated, risk adverse and an investor of conservative objectives
- 4) Respondent was immoral to promise client he would try harder to recoup monies lost due to the devastating market decline of 2008-2010
- 5) Client was coached,( FINRA counsel Mr. Marsh flew to client's home the day before hearing),and submitted written and oral communications that were not authored by himself rather through a registered representative and subsequently FINRA counsel
- 6) Client testimony was inconsistent and did not substantiate allegation that respondent violated NASD Rule 2510(b) Fact is in hand written notes of FINRA staff of first contact with client via telephone interview, ( 09/15/2010), client admitted that" Pino would call before placing trades". This was changed in subsequent communications. Ms. Gloria Almazan testified to the authenticity of this note in testimony given at FINRA disciplinary hearing on November 8,2012 Client was unable to attend hearing due to a medical condition which included use of a wheelchair and many daily prescriptions including barbiturates.
- 7) Ignoring by hearing officers and FINRA of the written testimony of compliance representatives from Respondent's employing refuting any violation of said firm's and FINRA's rules, regulations and conduct. Also, questioning of respondent resulted in his testimony that was construed to make it appear as activity violating NASD Rule 2510 (b) (Result of self-representation)

Due to the original filing FINRA counsel bullying tactics to settle, (who left FINRA shortly after his complaint filing), I continue to represent myself, (hardship), to seek the value of truth over allegations made that benefit someone else's pocketbook or career. FINRA made overtures,

accusations and assumptions on several matters that were not proven and had nothing to be with the alleged rule violation yet undertook great length and effort to portray respondent as a rogue broker despite his advisor record of 25 years to be exemplary and ignored the fact that client was responding to another's, (registered representative who subsequently acquired client's account), encouragement to complain simply to get the securities firm to make whole his original investment whose decline, (like almost all investors), was caused by the illegal mortgage activities that occurred without regulatory oversight resulting in large temporary declines of stock and bond market prices.

[REDACTED]

Michael A Pino [REDACTED]

