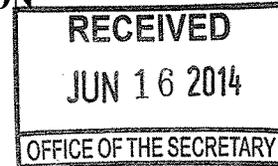


UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION

ADMINISTRATIVE PROCEEDING
File No. 3-15619



In the Matter of

JOSEPH P. DOXEY and
WILLIAM J. DANIELS,

Respondents.

DIVISION OF ENFORCEMENT'S
OPPOSITION TO RESPONDENT DOXEY'S PETITION FOR REVIEW

The Division of Enforcement ("Division") opposes the petition for review of Respondent Joseph P. Doxey ("Respondent" or "Doxey") seeking the Commission's review of the May 15, 2014 Initial Decision of the Administrative Law Judge ("ALJ"). In the Initial Decision, the ALJ granted the Division's motion for summary disposition and found that Doxey willfully violated Section 10(b) of the Securities Exchange Act of 1934 ("Exchange Act"), Exchange Act Rule 10b-5 thereunder, and Sections 5(a), 5(c) and 17(a)(1), (2) and (3) of the Securities Act of 1933, and imposed certain relief. The petition for review should be denied for the reasons stated herein.

Respondent's ambiguous June 10, 2014 petition (which was not served on the Division and which the Division did not receive until June 12, when the Office of Administrative Law Judges forwarded a courtesy copy) can be construed as a motion to correct a manifest error of fact pursuant to Commission Rule of Practice 111(h), which the ALJ appropriately denied in the

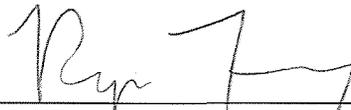
order of June 12. To the extent that Respondent's motion constitutes a petition for review pursuant to Commission Rule of Practice 410, that petition should likewise be denied.

Rule 410(b) of the Commission's Rules of Practice requires that a petition for review "shall set forth the specific findings and conclusions of the initial decision as to which exception is taken, together with supporting reasons for each exception." Doxey has failed to meet this standard. Instead, he baldly states that he is "filing this motion for a petition for review in order to correct numerous errors of fact" in the initial decision, without identifying any specific findings or conclusions he finds problematic, let alone giving any supporting reasons for those exceptions. Simply stated, he has not offered any basis on which to conclude that any finding or conclusion of the Initial Decision is incorrect.

Accordingly, the Division respectfully requests that the Commission deny the petition and grant such other and further relief as it may deem just and proper.

Dated: June 16, 2014

Respectfully submitted,



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COUNSEL FOR
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