

UNITED STATES OF AMERICA  
before the  
SECURITIES AND EXCHANGE COMMISSION

Securities Exchange Act of 1934  
Rel. No. 54894 / December 7, 2006

Admin. Proc. File No. 3-12401

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In the Matter of  
CONNIE S. FARRIS

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NOTICE THAT INITIAL DECISION HAS BECOME FINAL

The time for filing a petition for review of the initial decision in this proceeding has expired. No such petition has been filed with respect to Connie S. Farris, and the Commission has not chosen to review the decision as to her on its own initiative.

Accordingly, notice is hereby given, pursuant to Rule 360(e) of Commission's Rules of Practice, that the initial decision of the administrative law judge \*/ has become the final decision of the Commission with respect to Connie S. Farris. The order contained in the decision with respect to her is hereby declared effective. That order barred Farris from association with any broker or dealer.

For the Commission by the Office of the General Counsel, pursuant to delegated authority.

Nancy M. Morris  
Secretary

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\*/ Connie S. Farris, Initial Decision Rel. No. 321 (Nov. 7, 2006), \_\_\_ SEC \_\_\_.