UNITED STATES OF AMERICA  
Before the  
SECURITIES AND EXCHANGE COMMISSION  

SECURITIES EXCHANGE ACT OF 1934  
Release No. 52246 / August 12, 2005  

ADMINISTRATIVE PROCEEDING  
File No. 3-12009  

In the Matter of  
F. THOMAS ECK, III,  
Respondent.  

ORDER OF SUSPENSION PURSUANT TO  
RULE 102(e)(2) OF THE COMMISSION’S  
RULES OF PRACTICE  

I.  

The Securities and Exchange Commission (“Commission”) deems it appropriate to issue an  
order of forthwith suspension of F. Thomas Eck, III (“Respondent” or “Eck”) pursuant to Rule  
102(e)(2) of the Commission’s Rules of Practice [17 C.F.R. § 200.102(e)(2)].1  

II.  

The Commission finds that:  

1. Eck, approximately 57, and formerly of Napa, California, is now incarcerated at the  
Taft Correctional Institution, in Taft, California. Eck was an attorney licensed to practice law in  
the States of California and Nevada until June 2000, when he resigned as a member of each bar  
based on his conviction for federal criminal wire fraud in the Eastern District of Kentucky.  

2. On July 9, 2004, a judgment was entered in the criminal case, United States v. F.  
Thomas Eck, III, Case No. CR-01-0325 VRW, in the United States District Court for the Northern  
District of California. The judgment adjudicated Eck guilty of two counts of securities fraud and  
aiding and abetting in violation of 15 U.S.C. §§ 78j(b) and 78ff, and 18 U.S.C. § 2, based on Eck’s  
prior plea agreement dated September 16, 2003. Eck was sentenced to a prison term of 70 months  

1 Rule 102(e)(2) provides in pertinent part: “Any attorney who has been suspended or  
disbarred by a court of the United States or of any State; . . . or any person who has been  
convicted of a felony or a misdemeanor involving moral turpitude shall be forthwith suspended  
from appearing or practicing before the Commission.”
followed by three years of supervised release and ordered to forfeit his interest in $15.4 million of property to the United States, which included but was not limited to defendant’s interest in real property located in Sonoma County and Marin County, California.

3. On July 27, 1999, a judgment was entered against Eck in the criminal case, United States v. Thomas Eck, Case No. CR-98-0092-2, in the United States District Court for the Eastern District of Kentucky. The judgment was based upon a jury verdict of guilty against Eck, returned on March 24, 1999, for conspiring to engage in wire fraud, in violation of 18 U.S.C. § 371, and committing wire fraud, in violation of 18 U.S.C. § 1343. Eck was sentenced to a year and a day in prison, and three years of supervised release, and was ordered to pay restitution.

III.

In view of the foregoing, the Commission finds that Eck has been convicted of a felony within the meaning of Rule 102(e)(2) of the Commission’s Rules of Practice.

Accordingly, IT IS ORDERED that F. Thomas Eck, III is forthwith suspended from appearing or practicing before the Commission pursuant to Rule 102(e)(2) of the Commission’s Rules of Practice.

By the Commission.

Jonathan G. Katz
Secretary