

MEMORANDUM

To: Admin Proc. File Nos. 3-11445, 3-11446, 3-11447, 3-11448, 3-11449, 3-11558, 3-11559 (In the Matter of Bear Wagner Specialists LLC, Fleet Specialist, Inc., LaBranche & Co. LLC, Spear, Leeds & Kellogg Specialists LLC , Van der Moolen Specialists USA, LLC, Performance Specialist Group LLC, and SIG Specialists, Inc.)

From: William M. Schulz
Counsel to Commissioner Casey

Date: April 27, 2011

Re: Meeting with Robert J. Peacock

On August 13, 2010, Commissioner Casey and I met with Robert J. Peacock. Mr. Peacock addressed potential uses of the residual funds remaining in the distribution fund established in the above-referenced matters. Mr. Peacock expressed his view that he was damaged by the violations of the federal securities laws at issue in these matters and should receive a distribution from the residual funds. Mr. Peacock supplied us with a binder of materials, which will be placed in the public comment file associated with the above-reference matters.