UNIVERSAL STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934
Release No. 96114 / October 20, 2022

ADMINISTRATIVE PROCEEDING
File No. 3-18968

In the Matter of
Perry Douglas West, Esq.,
Respondent.

ORDER PERMITTING ATTORNEY TO RESUME APPEARING AND PRACTICING PURSUANT TO RULE 102(e) OF THE COMMISSION’S RULES OF PRACTICE

I.

On January 29, 2019, the Commission instituted this proceeding, pursuant to Rule 102(e)(3) of the Commission’s Rules of Practice, and entered an order (“Order”) suspending Perry Douglas West, Esq. (“West” or “Respondent”) from appearing or practicing before the Commission as an attorney. See In the Matter of Perry Douglas West, Esq., Admin Proc. No. 3-18968 (Jan. 29, 2019). The Commission’s suspension was based on West’s permanent injunction, by consent, from future violations of Section 5(a) of the Securities Act of 1933 in an enforcement action brought by the Commission in the United States District Court for the Central District of California. SEC v. David Howard Welch, et al., Civil Action No. 5:17-cv-01968. The Commission’s Order included a provision allowing West to apply for reinstatement at the end of three years upon a showing that he meets certain conditions. This matter is before the Commission on West’s application for reinstatement.

II.

On September 27, 2017, the Commission filed the above-referenced complaint against West (and others). The Commission’s complaint alleged, among other things, that as the Chief Executive Officer of New Global Energy Inc., West directly or indirectly offered and sold securities of New Global when no registration statement was filed or in effect with the Commission and no exemption from registration applied. See Order at ¶¶ 2, 4. On December 26, 2018, the Court entered the permanent injunction referenced above, and also barred West from participating in the offering of penny stock with the right to reapply after three years, and ordered him to pay a civil penalty of $30,000. See Order at ¶ 3.

III.

By letter to the Commission’s Office of the General Counsel more than three years after the Commission’s Order was issued, West applied for reinstatement of the privilege of appearing and practicing before the Commission as an attorney. His application included a
certificate of good standing from his state bar (Florida) and an affidavit addressing the conditions for reinstatement set forth in the Order. He also provided information and supplemental affidavits at the staff’s request about his post-suspension practice and activities.

West has paid the civil penalty imposed on him by the district court. He has also sworn under penalty of perjury that since entry of the Order, except for any actions concerning the conduct that was the basis for the Order, he (i) has complied with the Order; (ii) is not currently suspended or disbarred as an attorney by any court of the United States, any agency of the United States, or the bar or court of any state, territory, district, commonwealth, or possession; (iii) has not been suspended as an attorney for any offense involving moral turpitude by a court of the United States, any agency of the United States, or the bar or court of any state, territory, district, commonwealth, or possession; (iv) has not been convicted of a felony or misdemeanor involving moral turpitude; (v) has not been found by the Commission or a court of the United States to have committed a violation of the federal securities laws; (vi) has not been charged by the Commission or the United States with a violation of the federal securities laws; (vii) has not been found by any court of the United States, any agency of the United States, or any state, territory, district, commonwealth, or possession, or any bar thereof, to have committed an offense involving moral turpitude; and (viii) has not been charged by the United States, any agency of the United States, or any state, territory, district, commonwealth, or possession, or any bar thereof, with having committed an offense involving moral turpitude.

Since entry of the Order, no information has come to the attention of the Commission relating to West’s character, integrity, professional conduct, or qualifications to practice before the Commission that would be a basis for denying his application, or that would be a basis for an adverse action against him pursuant to Rule 102(e) of the Commission’s Rules of Practice.

IV.

Based on the foregoing, the Commission has determined that it is appropriate to reinstate West to appear and practice as an attorney before the Commission.

Accordingly, it is HEREBY ORDERED that Perry Douglas West, Esq., is reinstated to appear and practice as an attorney before the Commission.

By the Commission.

Vanessa A. Countryman
Secretary