

**UNITED STATES OF AMERICA**  
**Before the**  
**SECURITIES AND EXCHANGE COMMISSION**

**SECURITIES EXCHANGE ACT OF 1934**  
**Release No. 93832 / December 20, 2021**

**ADMINISTRATIVE PROCEEDING**  
**File No. 3-20686 / December 20, 2021**

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**In the Matter of**

**JESSE W. ERWIN, Jr., Esq.,**

**Respondent.**

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**ORDER OF SUSPENSION PURSUANT  
TO RULE 102(e)(2) OF THE  
COMMISSION'S RULES OF PRACTICE**

**I.**

The Securities and Exchange Commission deems it appropriate to issue an order of forthwith suspension of Jesse W. Erwin, Jr. pursuant to Rule 102(e)(2) of the Commission's Rules of Practice [17 C.F.R. § 201.102(e)(2)].<sup>1</sup>

**II.**

The Commission finds that:

1. Erwin is an attorney, whom the State of New York admitted to practice law in 2000.
2. On December 12, 2013, the Commission filed a complaint against Erwin in *SEC v. Daniel Dirk Coddington, etc. al. 13-cv-03363*, in the United States District Court for the District of Colorado.
3. On October 16, 2018, a judgment of conviction was entered against Erwin in *U.S. v. Jesse W. Erwin*, 15-cr-00383 (D. Col.) finding him guilty of fourteen counts of securities fraud in violation of 15 U.S.C. §§ 78j(b) and 78ff and 17 C.F.R. § 240.10B-5; and four counts of wire fraud in violation of 18 U.S.C § 1343. The allegations in the criminal matter were based on the

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<sup>1</sup> Rule 102(e)(2) provides in pertinent part: "Any ... person who has been convicted of a felony or a misdemeanor involving moral turpitude shall be forthwith suspended from appearing or practicing before the Commission." 17 C.F.R. § 201.102(e)(2).

same underlying events at issue in the Commission's complaint.

4. As a result of this conviction, Erwin was sentenced to 58 months imprisonment in a federal penitentiary and ordered to pay \$18,201,669.74 in restitution.

### **III.**

In view of the foregoing, the Commission finds that Erwin has been convicted of a felony within the meaning of Rule 102(e)(2) of the Commission's Rules of Practice.

Accordingly, it is ORDERED that Jesse W. Erwin, Jr. is forthwith suspended from appearing or practicing before the Commission pursuant to Rule 102(e)(2) of the Commission's Rules of Practice.

By the Commission.

Vanessa A. Countryman  
Secretary