

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934
Release No. 88951 / May 26, 2020

ADMINISTRATIVE PROCEEDING
File No. 3-16268

In the Matter of	: ORDER PERMITTING ATTORNEY TO
ROBERT C. WEAVER, JR., ESQ.,	: RESUME APPEARING AND PRACTICING
Respondent.	: PURSUANT TO RULE 102(e) OF THE
	: THE COMMISSION’S RULES OF
	: PRACTICE
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I.

On April 15, 2015, the Commission instituted this proceeding, pursuant to Rule 102(e)(3) of the Commission’s Rules of Practice, and entered an order (“Order”) suspending Robert C. Weaver, Jr., Esq., (“Weaver” or “Respondent”) from appearing or practicing before the Commission as an attorney. *See In the Matter of Robert C. Weaver, Jr., Esq.*, Admin. Proc. No. 3-16268 (April 15, 2015). The Commission suspended Weaver as he had been permanently enjoined, by consent, from violating provisions of the federal securities laws in an enforcement action brought by the Commission in the United States District Court for the Central District of California (“district court”). The Commission’s Order provided that the five year suspension period began on August 14, 2014, the date of the permanent injunction against Weaver, and included a provision allowing Weaver to petition the Commission for reinstatement at the conclusion of that term upon a showing by Weaver that he meets certain conditions. This matter is before the Commission on Weaver’s application for reinstatement.

II.

On August 14, 2014, Weaver consented to the entry of a final judgment by the district court that: (i) permanently enjoined him from violating Sections 17(a)(2) and (3) of the Securities Act of 1933 (“Securities Act”), and Section 15(d) of the Securities Exchange Act of 1934 (“Exchange Act”), and Rule 15d-14 thereunder, and from aiding and abetting violations of Section 15(d) of the Exchange Act and Rules 12b-20, 15d-1 and 15d-13 thereunder; (ii) imposed a five year officer and director bar and a five year penny stock bar; (iii) ordered him to pay a \$50,000 civil monetary penalty; and (iv) ordered him to pay \$55,175.07 in disgorgement, plus prejudgment interest of

\$9,218.27. *SEC v. Thomas D. Coldicutt, Jr., et al.*, Civil Action Number 2:13-cv-01865-RGK-VBK (Central District of California).

III.

By letter to the Commission's Office of the General Counsel, dated August 14, 2019, Weaver applied for reinstatement of the privilege of appearing and practicing before the Commission as an attorney. His application included a certificate of good standing from his state bar and an affidavit addressing the conditions for reinstatement set forth in the Order. He also provided information at the staff's request about his post-suspension practice and activities. Weaver has paid the civil penalty, disgorgement and prejudgment interest imposed on him by the district court. Weaver has also sworn under penalty of perjury that he has complied with the Order, that he is not subject to any suspension or disbarment as an attorney by a court of the United States or of any state, territory, district, commonwealth, or possession, and that he has not been convicted of a felony or misdemeanor involving moral turpitude as set forth in Rule 102(e)(2) of the Commission's Rules of Practice. Since entry of the Order, no information has come to the attention of the Commission relating to Weaver's character, integrity, professional conduct, or qualifications to practice before the Commission that would be a basis for denying his application, or that would be a basis for an adverse action against him pursuant to Rule 102(e) of the Commission's Rules of Practice.

IV.

Based on the foregoing, the Commission has determined that it is appropriate to reinstate Weaver to appear and practice as an attorney before the Commission.

Accordingly, it is **HEREBY ORDERED** that Robert C. Weaver, Jr., Esq. is reinstated to practice as an attorney before the Commission.

By the Commission.

Vanessa A. Countryman
Secretary