

**UNITED STATES OF AMERICA**  
**Before the**  
**SECURITIES AND EXCHANGE COMMISSION**

**SECURITIES EXCHANGE ACT OF 1934**  
**Release No. 83981 / August 29, 2018**

**ADMINISTRATIVE PROCEEDING**  
**File No. 3-18693**

**In the Matter of**  
  
**CHAD ANTHONY LEWIS,**  
  
**Respondent.**

**ORDER INSTITUTING**  
**ADMINISTRATIVE PROCEEDINGS**  
**PURSUANT TO SECTION 15(b) OF THE**  
**SECURITIES EXCHANGE ACT OF 1934,**  
**MAKING FINDINGS, AND IMPOSING**  
**REMEDIAL SANCTIONS**

**I.**

The Securities and Exchange Commission (“Commission”) deems it appropriate and in the public interest that public administrative proceedings be, and hereby are, instituted pursuant to Section 15(b) of the Securities Exchange Act of 1934 (“Exchange Act”) against Chad Anthony Lewis (“Lewis” or “Respondent”).

**II.**

In anticipation of the institution of these proceedings, Respondent has submitted an Offer of Settlement (the “Offer”) which the Commission has determined to accept. Solely for the purpose of these proceedings and any other proceedings brought by or on behalf of the Commission, or to which the Commission is a party, and without admitting or denying the findings herein, except as to the Commission’s jurisdiction over him and the subject matter of these proceedings and the findings contained in paragraph III.2 below, which are admitted, Respondent consents to the entry of this Order Instituting Administrative Proceedings Pursuant to Section 15(b) of the Securities Exchange Act of 1934, Making Findings, and Imposing Remedial Sanctions (“Order”), as set forth below.

### III.

On the basis of this Order and Respondent's Offer, the Commission finds that:

1. At all relevant times, Lewis, 48, a resident of Bowling Green, Kentucky, did not hold any securities licenses and was never registered as or associated with a registered broker-dealer.
2. On August 14, 2018, a Judgment of Permanent Injunction and Other Relief was entered by consent against Lewis, permanently enjoining him from future violations of Section 5 of the Securities Act of 1933 and Section 15(a)(1) of the Exchange Act, in the civil action entitled Securities and Exchange Commission v. Chad Anthony Lewis, Civil Action Number 18-cv-61869-DPG, in the United States District Court for the Southern District of Florida.
3. The Commission's complaint alleged that, among other things, between September 2013 and July 2016, Lewis worked at different times both for Aegis Oil, LLC and 7S Oil & Gas, LLC, and offered and sold securities in connection with the respective offerings. Lewis was hired to train the companies' network of outside sales agents. In addition, Lewis acted as the liaison between the sales agents and the field operator for the various oil well projects, assisted other agents in closing on sales calls with investors, and gave investors guided tours of the various oil wells projects. For his work while at Aegis and 7S, Lewis was paid a commission override on all investor proceeds raised through the offerings. Lewis also directly solicited at least one investor in both Aegis and 7S, and he received commissions ranging from 20% to 31% from the proceeds. In total, he was paid commissions and overrides of about \$624,700 from both Aegis and 7S.

### IV.

In view of the foregoing, the Commission deems it appropriate and in the public interest to impose the sanctions agreed to in Respondent Lewis' Offer.

Accordingly, it is hereby ORDERED pursuant to Section 15(b)(6) of the Exchange Act that Respondent Lewis be, and hereby is barred from association with any broker, dealer, investment adviser, municipal securities dealer, municipal advisor, transfer agent, or nationally recognized statistical rating organization; and

Pursuant to Section 15(b)(6) of the Exchange Act Respondent Lewis be, and hereby is barred from participating in any offering of a penny stock, including: acting as a promoter, finder, consultant, agent or other person who engages in activities with a broker, dealer or issuer for purposes of the issuance or trading in any penny stock, or inducing or attempting to induce the purchase or sale of any penny stock.

Any reapplication for association by the Respondent will be subject to the applicable laws and regulations governing the reentry process, and reentry may be conditioned upon a number of factors, including, but not limited to, the satisfaction of any or all of the following: (a) any disgorgement ordered against the Respondent, whether or not the Commission has fully or partially

waived payment of such disgorgement; (b) any arbitration award related to the conduct that served as the basis for the Commission order; (c) any self-regulatory organization arbitration award to a customer, whether or not related to the conduct that served as the basis for the Commission order; and (d) any restitution order by a self-regulatory organization, whether or not related to the conduct that served as the basis for the Commission order.

By the Commission.

Brent J. Fields  
Secretary