UNITED STATES OF AMERICA Before the SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934 Release No. 79066 / October 6, 2016

ADMINISTRATIVE PROCEEDING File No. 3-17620;l

:

In the Matter of

JEFFERY B. RISINGER, Esq.,

Respondent.

ORDER INSTITUTING ADMINISTRATIVE PROCEEDINGS PURSUANT TO RULE 102(e) OF THE COMMISSION'S RULES OF PRACTICE, MAKING FINDINGS, AND IMPOSING REMEDIAL SANCTIONS

I.

The Securities and Exchange Commission ("Commission") deems it appropriate and in the public interest that public administrative proceedings be, and hereby are, instituted against Jeffery B. Risinger ("Respondent" or "Risinger") pursuant to Rule 102(e)(3)(i)¹ of the Commission's Rules of Practice.

II.

In anticipation of the institution of these proceedings, Respondent has submitted an Offer of Settlement (the "Offer") which the Commission has determined to accept. Solely for the purpose of these proceedings and any other proceedings brought by or on behalf of the Commission, or to which the Commission is a party, and without admitting or denying the findings

The Commission, with due regard to the public interest and without preliminary hearing, may, by order, . . . suspend from appearing or practicing before it any attorney . . . who has been by name (A) [p]ermanently enjoined by any court of competent jurisdiction, by reason of his or her misconduct in an action brought by the Commission, from violating or aiding and abetting the violation of any provision of the Federal securities laws or of the rules and regulations thereunder; or (B) [f]ound by any court of competent jurisdiction in an action brought by the Commission to which he or she is a party . . . to have violated (unless the violation was found not to have been willful) or aided and abetted the violation of any provision of the Federal securities laws or of the rules and regulations thereunder.

¹ Rule 102(e)(3)(i) provides, in relevant part, that:

herein, except as to the Commission's jurisdiction over him and the subject matter of these proceedings, and the findings contained in Section III.2. below, which are admitted, Respondent consents to the entry of this Order Instituting Administrative Proceedings Pursuant to Rule 102(e) of the Commission's Rules of Practice, Making Findings, and Imposing Remedial Sanctions ("Order"), as set forth below.

III.

On the basis of this Order and Respondent's Offer, the Commission finds that:

- 1. Risinger, age 60, resides in Fishers, Indiana. Risinger is a member of the Indiana State Bar. Risinger structured and managed private farm loan investments. From October 2013 to May 27, 2015, Risinger was a registered representative with Pin Financial LLC, a broker-dealer registered with the Commission.
- 2. On April 22, 2015, the Commission filed a complaint against Risinger in <u>SEC v. Veros Partner, Inc. et al.</u> (Civil Action No. 1:15-CV-659), in the United States District Court for the Southern District of Indiana. On September 14, 2016, the court entered an order permanently enjoining Risinger by consent, from future violations of Sections 17(a) of the Securities Act of 1933, and Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder.
- 3. The Commission's Amended Complaint alleged, among other things, that in 2013 and 2014 Risinger used money from certain private farm loan offerings to pay investors in other separate offerings. Additionally, Risinger paid himself hundreds of thousands of dollars in undisclosed fees.

IV.

In view of the foregoing, the Commission deems it appropriate and in the public interest to impose the sanction agreed to in Respondent Risinger's Offer.

Accordingly, it is hereby ORDERED pursuant to Rule 102(e)(3)(i) of the Commission's Rules of Practice, effective immediately, that:

A. Risinger is suspended from appearing or practicing before the Commission as an attorney.

By the Commission.

Brent J. Fields Secretary