

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

SECURITIES ACT OF 1933
Release No. 9612 / July 14, 2014

SECURITIES EXCHANGE ACT OF 1934
Release No. 72606 / July 14, 2014

Admin. Proc. File No. 3-15619

In the Matter of

WILLIAM J. DANIELS

NOTICE THAT INITIAL DECISION HAS BECOME FINAL

The time for filing a petition for review of the initial decision in this proceeding has expired. No such petition has been filed by William J. Daniels and the Commission has not chosen to review the decision on its own initiative.

Accordingly, notice is hereby given, pursuant to Rule 360(d) of the Commission's Rules of Practice,¹ that the initial decision of the administrative law judge² has become the final decision of the Commission with respect to William J. Daniels. The orders contained in that decision are hereby declared effective. The initial decision ordered that: a) pursuant to Section 8A of the Securities Act of 1933, William J. Daniels shall cease and desist from committing or causing any violations or future violations of Sections 5(a) and (c) of the Securities Act of 1933 and shall disgorge \$16,246.46 plus prejudgment interest; and b) pursuant to Section 15(b) of the Securities Exchange Act of 1934, William J. Daniels is barred from participating in an offering

¹ 17 C.F.R. § 201.360(d).

² *William J. Daniels*, Initial Decision Release No. 598 (May 15, 2014), 108 SEC Docket 18, 2014 WL 1943919.

of penny stock for five years, including acting as a promoter, finder, consultant, agent, or other person who engages in activities with a broker, dealer, or issuer for purposes of the issuance or trading in any penny stock, or inducing or attempting to induce the purchase or sale of any penny stock.

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Jill M. Peterson
Assistant Secretary