



# Join Us For The SEC's Third Annual Senior Summit

## *What Financial Services Firms Need To Know About the Senior Investor*

This important session will explore:

- Findings from the recent ***Compliance, Supervisory and Other Practices Used by Financial Services Firms*** report;
- Enforcement trends involving senior investors;
- How to recognize the signs of diminished capacity and what to do when that happens, and much more

September 22, 2008  
1:15 p.m. - 4:45 p.m. ET

There are two ways to attend the Summit:

### **In person**

SEC Auditorium  
100 F Street, NE  
Washington, DC

Or

### **Via the Web**

To attend a live webcast on the day of the event, click [www.SEC.gov](http://www.SEC.gov) and then click the Senior Summit Webcast button

To register send an email with your name to [SeniorSummitAfternoon@pcicom.com](mailto:SeniorSummitAfternoon@pcicom.com) or call 1-800-732-0330.

Webcast registration is not necessary.

