

Public Alert: Unregistered Soliciting Entities (PAUSE)

August 06, 2018

“Soliciting Entities” added to the PAUSE List.

1. 247 Options Trade
22/121 Apple Street
New York, NY 10012
Phone: 510-379-7129
Fax: None
Email: info@247options.com
Website: 247optionstrade.com
2. Binary Market Arbitration Refund
77 Wall Street
Suite 1011
New York, NY 10005
Phone: 929-800-2080
Fax: None
Email: info@bmaar.com
Website: www.bmaar.com
3. Cadwell Capital Partners
460 Lexington Ave
New York, NY 10017
Phone: 1-646-757-1323
Fax: 1-646-390-1383
Email: info@cadwellcapital.com
Website: www.cadwellcapital.com
4. Crawford Stanley Advisors LLC
124 W. 30th Street
New York, NY 10001
Phone: 1-646-583-0658
Fax: 1-646-224-9679
Email: info@crawfordstanleyadvisors.com
Website: www.crawfordstanleyadvisors.com
5. Kingsley Capital Partners Inc.
4242 Six Forks Road
Suite 1550
Raleigh, NC 27609
Phone: 1-919-480-2740
Fax: 1-919-882-1867
Email: support@kingsleycp.com
Website: www.kingsleycp.com

6. Law Experts of New York
277 Canal Street
New York, NY 10013
Phone: 1-646-905-0559
Fax: None
Email: admin@lawexpertsofny.com
Website: www.lawexpertsofny.com

7. Levine & Levine Law Specialist
1 West Street
New York, NY 10004

&

290-2912 Oits Ave
Bronx, NY 10465
Phone: 646-905-0558
Fax: None
Email: lawinfo@levineandlevinelaws.com
Website: www.levineandlevinelaws.com

8. Maxwell Financial Services
100 Broadway, Suite 405-406
New York, NY 10005
Phone: 1-646-213-2842 Ext: 2042
Fax: 1-646-390-3213
www.maxwellfs.com

9. Mendelson & Associates
2051 Marengo Street
Los Angeles, CA 90033
Phone: None
Fax: None
Website: www.mendelsonassoc.com

10. Miller & Cohen
701 Brickell Ave
Miami, FL 33131
Phone: 1-786-485-0444
Fax: None
Email: inquiry@millerandcohen.com
Website: www.millerandcohen.com

11. Morgan Consultancy Group
544 W. 27th Street
New York, NY 10001
Phone: 1-914-373-7818
Fax: 1-914-462-3418
Email: info@morganconsultancygrp.com
Website: www.morganconsultancygrp.com

12. New York Law Specialist
1101 6th Avenue
New York, NY 10036
Phone: 1-646-968-0389
Fax: None
Email: contact@newyorklawspecialists.com
Website: www.newyorklawspecialists.com

13. Thomas, Lloyd, Cohen & Anderson LLP
3000 Town Centre #2500
Southfield, MI 48075
Phone: 248-621-2204
Fax: None
Email: info@tlca-law.com
Website: www.tlca-law.com

14. Total International CPA LTD
1375 Broadway
New York, NY 10018
Phone: 1-212-461-3131
Fax: None
Email: info@totalinternationalgroup.com
Website: www.totalinternationalgroup.com

15. Transfer Securities
410 Park Avenue
New York, NY 10022
Phone: 646-123-1234
Fax: None
Email: info@transfersecurities.com
Website: www.transfersecurities.com

16. United Tax Consulting Limited
15th Floor
25 Broadway
New York, NY
Phone: 347-619-3214
Fax: 347-626-2680
Website: <http://unitedtaxconsultingltd.com>

“Impersonators of Genuine Firms or Spoofers” added to the PAUSE List.

1. Alpha Investment Management LLC

499 Park Avenue

New York, NY 10022

Phone: 1-212-461-1848

Fax: 1-212-916-1531

Email: aimllc.ny@alphainvestmentllc.com

Website: www.alphainvestmentllc.com

- The above listed entity is impersonating a genuine entity having a similar name: Alpha Investment Management Inc. – CRD #107190. The genuine entity’s registration status terminated in 2001.
- The genuine entity had the following mailing address – 499 Park Avenue, 24th Floor, New York, NY 10022 – it does not appear to maintain a website.
- The impersonator has no connection with, and is not to be confused with, the genuine entity.

2. Provident Capital Management

39 Broadway, Suite 310

New York, NY 10006

Phone: 347-778-2574

Fax: None

Email: inof@provident-trading.com

Website: www.provident-trading.com

- The above listed entity is impersonating three genuine entities having similar names:
 - Provident Capital Management Inc. – CRD #133898 (registration status terminated in 2017);
 - Provident Capital Management, Inc. – CRD #111262 (registration status terminated in 2005);
 - Provident Capital Management, Inc. – CRD #114550.
- The genuine entities have the following mailing address’:
 - Provident Capital Management Inc. – CRD #133898
 - 11595 N. Meridian St, Suite 140, Carmel, IN 46032
 - www.pcminvestment.com
 - Provident Capital Management, Inc. – CRD #111262
 - 11595 N. Meridian, Ste 140, Carmel, IN 46032
 - www.providentcapitalmanagement.com
 - Provident Capital Management, Inc. – CRD #114550
 - 4925 Greenville Avenue, Suite 1116, Dallas, TX 75206
 - Does not appear to maintain a website.

- The impersonator has no connection with, and is not to be confused with, these genuine entities.

3. Steve Pearson Capital Management

285 Fulton Street

New York, NY 10007

Phone: 1-646-604-7806

Fax: 1-646-607-5196

Email: info@steve-management.com

Website: www.steve-management.com

- The above listed entity is impersonating a genuine entity having a similar name: Steve Pearson Capital Management LLC – CRD #165927.
- The genuine entity has the following mailing address – 2590 SW Kalama Court, Redmond, OR 97756 – it does not appear to maintain a website.
- The impersonator has no connection with, and is not to be confused with, the genuine entity.

4. Welsh Securities LLC

28 Liberty Street

48th Floor

New York, NY 10005

Phone: 1-347-670-4095

Fax: None

Website: <http://welshsecuritiesllc.com>

- The above listed entity is impersonating a genuine entity having a similar name: Welsh Securities LLC – CIK #0001443000 / CRD #148203. The genuine entity's registration status terminated in 2017.
- The genuine entity has the following mailing addresses:
 - 4350 Baker Road, Minnetonka, MN 55343
 - 3033 Excelsior Blvd, Suite 330, Minneapolis, MN 55416
- The genuine entity does not appear to maintain a website. The SEC's [EDGAR database](#) contains historical registration information for the genuine entity.
- The impersonator has no connection with, and is not to be confused with, the genuine entity.

“Fake/Bogus Regulators” added to the PAUSE List.

1. Department of Financial Regulators
441 2nd Street, SW
Washington, DC 20006
Phone: 1-202-455-6918
Fax: None
Email: compliance@depfreg.org
Website: www.depufreg.org
2. Financial Protection Intelligence Unit
Pearl Street
New York, NY 10038
Phone: 212-918-2082
Fax: 212-916-1531
Email: enforcement@FPIUGOV.org
Website: www.fpiugov.org
3. Global Financial Protection Commission
225 Franklin Street, 26th Floor
Boston, MA 02110
Phone: 1-617-963-7290
Website: www.globalfpc.net
4. International Financial & Regulatory Commission
400 S. 4th Street
Suite 400
Las Vegas, NV 89101
Phone: 1-702-583-7574
Fax: None
Website: www.internationalfrc.org
5. New York Regulatory Division
20 Broad Street
New York, NY 10005
Phone: 1-646-975-9208
Fax: None
Email: legal@nyregdiv.org
Website: www.nyregdiv.org
6. Overseas Financial Authority
111 S.W. Fifth Avenue
Suite 2150
Portland, OR 97204
Phone: 1-720-450-3597
Fax: 1-720-533-6590
Email: info@overseasfa.org
Website: www.overseasfa.org

7. U.S. Board of Mergers & Acquisition
1 Ashburton Place
Boston, MA 02108
Phone: 857-208-7293
Fax: None
Email: info@usbma.org
Website: www.usbma.org

8. United States Bureau of Securities Registration
The Westory
607 14th Street
Washington, DC 20005
Phone: 202-899-9519
Fax: None
Email: info@usbsr.org
Website: www.usbsr.org

9. US Registration Authority
2145 California Street, NW
Washington, DC 20008
Phone: None
Fax: None
Email: info@regauthority.org
Website: <http://gov.us.regauthority.org/>