

# Public Alert: Unregistered Soliciting Entities (PAUSE)

August 06, 2018

## “Soliciting Entities” added to the PAUSE List.

1. 247 Options Trade  
22/121 Apple Street  
New York, NY 10012  
Phone: 510-379-7129  
Fax: None  
Email: [info@247options.com](mailto:info@247options.com)  
Website: [247optionstrade.com](http://247optionstrade.com)
  
2. Binary Market Arbitration Refund  
77 Wall Street  
Suite 1011  
New York, NY 10005  
Phone: 929-800-2080  
Fax: None  
Email: [info@bmaar.com](mailto:info@bmaar.com)  
Website: [www.bmaar.com](http://www.bmaar.com)
  
3. Cadwell Capital Partners  
460 Lexington Ave  
New York, NY 10017  
Phone: 1-646-757-1323  
Fax: 1-646-390-1383  
Email: [info@cadwellcapital.com](mailto:info@cadwellcapital.com)  
Website: [www.cadwellcapital.com](http://www.cadwellcapital.com)
  
4. Crawford Stanley Advisors LLC  
124 W. 30<sup>th</sup> Street  
New York, NY 10001  
Phone: 1-646-583-0658  
Fax: 1-646-224-9679  
Email: [info@crawfordstanleyadvisors.com](mailto:info@crawfordstanleyadvisors.com)  
Website: [www.crawfordstanleyadvisors.com](http://www.crawfordstanleyadvisors.com)
  
5. Kingsley Capital Partners Inc.  
4242 Six Forks Road  
Suite 1550  
Raleigh, NC 27609  
Phone: 1-919-480-2740  
Fax: 1-919-882-1867  
Email: [support@kingsleycp.com](mailto:support@kingsleycp.com)  
Website: [www.kingsleycp.com](http://www.kingsleycp.com)

6. Law Experts of New York  
277 Canal Street  
New York, NY 10013  
Phone: 1-646-905-0559  
Fax: None  
Email: [admin@lawexpertsofny.com](mailto:admin@lawexpertsofny.com)  
Website: [www.lawexpertsofny.com](http://www.lawexpertsofny.com)
  
7. Levine & Levine Law Specialist  
1 West Street  
New York, NY 10004  
  
&  
  
290-2912 Oits Ave  
Bronx, NY 10465  
Phone: 646-905-0558  
Fax: None  
Email: [lawinfo@levineandlevinelaws.com](mailto:lawinfo@levineandlevinelaws.com)  
Website: [www.levineandlevinelaws.com](http://www.levineandlevinelaws.com)
  
8. Maxwell Financial Services  
100 Broadway, Suite 405-406  
New York, NY 10005  
Phone: 1-646-213-2842 Ext: 2042  
Fax: 1-646-390-3213  
[www.maxwellfs.com](http://www.maxwellfs.com)
  
9. Mendelson & Associates  
2051 Marengo Street  
Los Angeles, CA 90033  
Phone: None  
Fax: None  
Website: [www.mendelsonassoc.com](http://www.mendelsonassoc.com)
  
10. Miller & Cohen  
701 Brickell Ave  
Miami, FL 33131  
Phone: 1-786-485-0444  
Fax: None  
Email: [inquiry@millerandcohen.com](mailto:inquiry@millerandcohen.com)  
Website: [www.millerandcohen.com](http://www.millerandcohen.com)

11. Morgan Consultancy Group  
544 W. 27<sup>th</sup> Street  
New York, NY 10001  
Phone: 1-914-373-7818  
Fax: 1-914-462-3418  
Email: [info@morganconsultancygrp.com](mailto:info@morganconsultancygrp.com)  
Website: [www.morganconsultancygrp.com](http://www.morganconsultancygrp.com)
  
12. New York Law Specialist  
1101 6<sup>th</sup> Avenue  
New York, NY 10036  
Phone: 1-646-968-0389  
Fax: None  
Email: [contact@newyorklawspecialists.com](mailto:contact@newyorklawspecialists.com)  
Website: [www.newyorklawspecialists.com](http://www.newyorklawspecialists.com)
  
13. Thomas, Lloyd, Cohen & Anderson LLP  
3000 Town Centre #2500  
Southfield, MI 48075  
Phone: 248-621-2204  
Fax: None  
Email: [info@tlca-law.com](mailto:info@tlca-law.com)  
Website: [www.tlca-law.com](http://www.tlca-law.com)
  
14. Total International CPA LTD  
1375 Broadway  
New York, NY 10018  
Phone: 1-212-461-3131  
Fax: None  
Email: [info@totalinternationalgroup.com](mailto:info@totalinternationalgroup.com)  
Website: [www.totalinternationalgroup.com](http://www.totalinternationalgroup.com)
  
15. Transfer Securities  
410 Park Avenue  
New York, NY 10022  
Phone: 646-123-1234  
Fax: None  
Email: [info@transfersecurities.com](mailto:info@transfersecurities.com)  
Website: [www.transfersecurities.com](http://www.transfersecurities.com)
  
16. United Tax Consulting Limited  
15<sup>th</sup> Floor  
25 Broadway  
New York, NY  
Phone: 347-619-3214  
Fax: 347-626-2680  
Website: <http://unitedtaxconsultingltd.com>

**“Impersonators of Genuine Firms or Spoofer” added to the PAUSE List.**

1. Alpha Investment Management LLC

499 Park Avenue

New York, NY 10022

Phone: 1-212-461-1848

Fax: 1-212-916-1531

Email: [aimllc.ny@alphainvestmentllc.com](mailto:aimllc.ny@alphainvestmentllc.com)

Website: [www.alphainvestmentllc.com](http://www.alphainvestmentllc.com)

- The above listed entity is impersonating a genuine entity having a similar name: Alpha Investment Management Inc. – CRD #107190. The genuine entity’s registration status terminated in 2001.
- The genuine entity had the following mailing address – 499 Park Avenue, 24<sup>th</sup> Floor, New York, NY 10022 – it does not appear to maintain a website.
- The impersonator has no connection with, and is not to be confused with, the genuine entity.

2. Provident Capital Management

39 Broadway, Suite 310

New York, NY 10006

Phone: 347-778-2574

Fax: None

Email: [inof@provident-trading.com](mailto:inof@provident-trading.com)

Website: [www.provident-trading.com](http://www.provident-trading.com)

- The above listed entity is impersonating three genuine entities having similar names:
  - Provident Capital Management Inc. – CRD #133898 (registration status terminated in 2017);
  - Provident Capital Management, Inc. – CRD #111262 (registration status terminated in 2005);
  - Provident Capital Management, Inc. – CRD #114550.
- The genuine entities have the following mailing address’:
  - Provident Capital Management Inc. – CRD #133898
    - 11595 N. Meridian St, Suite 140, Carmel, IN 46032
    - [www.pcminvestment.com](http://www.pcminvestment.com)
  - Provident Capital Management, Inc. – CRD #111262
    - 11595 N. Meridian, Ste 140, Carmel, IN 46032
    - [www.providentcapitalmanagement.com](http://www.providentcapitalmanagement.com)
  - Provident Capital Management, Inc. – CRD #114550
    - 4925 Greenville Avenue, Suite 1116, Dallas, TX 75206
    - Does not appear to maintain a website.

- The impersonator has no connection with, and is not to be confused with, these genuine entities.

3. Steve Pearson Capital Management

285 Fulton Street

New York, NY 10007

Phone: 1-646-604-7806

Fax: 1-646-607-5196

Email: [info@steve-management.com](mailto:info@steve-management.com)

Website: [www.steve-management.com](http://www.steve-management.com)

- The above listed entity is impersonating a genuine entity having a similar name: Steve Pearson Capital Management LLC – CRD #165927.
- The genuine entity has the following mailing address – 2590 SW Kalama Court, Redmond, OR 97756 – it does not appear to maintain a website.
- The impersonator has no connection with, and is not to be confused with, the genuine entity.

4. Welsh Securities LLC

28 Liberty Street

48<sup>th</sup> Floor

New York, NY 10005

Phone: 1-347-670-4095

Fax: None

Website: <http://welshsecuritiesllc.com>

- The above listed entity is impersonating a genuine entity having a similar name: Welsh Securities LLC – CIK #0001443000 / CRD #148203. The genuine entity's registration status terminated in 2017.
- The genuine entity has the following mailing addresses:
  - 4350 Baker Road, Minnetonka, MN 55343
  - 3033 Excelsior Blvd, Suite 330, Minneapolis, MN 55416
- The genuine entity does not appear to maintain a website. The SEC's [EDGAR database](#) contains historical registration information for the genuine entity.
- The impersonator has no connection with, and is not to be confused with, the genuine entity.

**“Fake/Bogus Regulators” added to the PAUSE List.**

1. Department of Financial Regulators  
441 2nd Street, SW  
Washington, DC 20006  
Phone: 1-202-455-6918  
Fax: None  
Email: [compliance@depfreg.org](mailto:compliance@depfreg.org)  
Website: [www.depufreg.org](http://www.depufreg.org)
2. Financial Protection Intelligence Unit  
Pearl Street  
New York, NY 10038  
Phone: 212-918-2082  
Fax: 212-916-1531  
Email: [enforcement@FPIUGOV.org](mailto:enforcement@FPIUGOV.org)  
Website: [www.fpiugov.org](http://www.fpiugov.org)
3. Global Financial Protection Commission  
225 Franklin Street, 26th Floor  
Boston, MA 02110  
Phone: 1-617-963-7290  
Website: [www.globalfpc.net](http://www.globalfpc.net)
4. International Financial & Regulatory Commission  
400 S. 4<sup>th</sup> Street  
Suite 400  
Las Vegas, NV 89101  
Phone: 1-702-583-7574  
Fax: None  
Website: [www.internationalfrc.org](http://www.internationalfrc.org)
5. New York Regulatory Division  
20 Broad Street  
New York, NY 10005  
Phone: 1-646-975-9208  
Fax: None  
Email: [legal@nyregdiv.org](mailto:legal@nyregdiv.org)  
Website: [www.nyregdiv.org](http://www.nyregdiv.org)
6. Overseas Financial Authority  
111 S.W. Fifth Avenue  
Suite 2150  
Portland, OR 97204  
Phone: 1-720-450-3597  
Fax: 1-720-533-6590  
Email: [info@overseasfa.org](mailto:info@overseasfa.org)  
Website: [www.overseasfa.org](http://www.overseasfa.org)

7. U.S. Board of Mergers & Acquisition  
1 Ashburton Place  
Boston, MA 02108  
Phone: 857-208-7293  
Fax: None  
Email: [info@usbma.org](mailto:info@usbma.org)  
Website: [www.usbma.org](http://www.usbma.org)
  
8. United States Bureau of Securities Registration  
The Westory  
607 14<sup>th</sup> Street  
Washington, DC 20005  
Phone: 202-899-9519  
Fax: None  
Email: [info@usbsr.org](mailto:info@usbsr.org)  
Website: [www.usbsr.org](http://www.usbsr.org)
  
9. US Registration Authority  
2145 California Street, NW  
Washington, DC 20008  
Phone: None  
Fax: None  
Email: [info@regauthority.org](mailto:info@regauthority.org)  
Website: <http://gov.us.regauthority.org/>