



EDGARLink Online XML Technical Specification

Version 56

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This draft EDGARLink Online XML Technical Specification, posted before Commission approval of potential regulatory changes in this release, is provided as a service to our filing community to assist filers, agents, and software developers in their preparation of responses to potential changes the staff anticipates. Since this is a draft technical specification, the SEC retains the right to change any part of the technical specification before the new system release is made final. In addition, the final EDGARLink Online XML Technical Specification is subject to Commission approval and may be revised before approval or not approved at all. Similarly, the posting of the draft technical specification does not indicate Commission approval of any pending proposed changes relating to the potential changes reflected in the draft technical specification. The changes outlined in this draft technical specification, if approved, are scheduled to take effect on September 26, 2011. The final version of the technical specification will be made available if approved by the Commission on or about September 26, 2011, on the SEC's Public Website.

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1. INTRODUCTION

1.1 Purpose

This document details the valid structure and content of the EDGARLink Online (ELO) Electronic Data Gathering, Analysis, and Retrieval (EDGAR) Extensible Markup Language (XML) submission types. The following tables list the submission types for ELO.

Table 1-1: Single Filer

SUBMISSION TYPE	DESCRIPTION
13F-HR	Initial Quarterly Form 13F Holdings report filed by institutional managers
13F-HR/A	Amendment to Initial Quarterly Form 13F Holdings report filed by institutional managers
13F-NT	Initial Quarterly Form 13F Notice Report filed by institutional managers
13F-NT/A	Amendment to Initial Quarterly Form 13F Notice Report filed by institutional managers
ANNLRPT	Periodic Development Bank filing, submitted annually
ANNLRPT/A	Amendment to periodic Development Bank filing, submitted annually
DSTRBRPT	Distribution of primary obligations Development Bank report
DSTRBRPT/A	Amendment to distribution of primary obligations Development Bank report
DSTRBRPT	Distribution of primary obligations Development Bank report
DSTRBRPT/A	Amendment to distribution of primary obligations Development Bank report
N-6F	Notice of intent by business development companies to elect to be subject to Sections 55 through 65 of the 1940 Act filed on Form N-6F
N-6F/A	Amendment to Notice of intent by business development companies to elect to be subject to Sections 55 through 65 of the 1940 Act filed on Form N-6F
N-8A	Initial notification of registration under section 8(a) filed on Form N-8A
N-8A/A	Amendment to initial notification of registration under section 8(a) filed on Form N-8A
N-54A	Notification of election by business development companies filed on Form N-54A
N-54C/A	Amendment to notification of withdrawal by business development companies filed on Form N-54C
QRTLYRPT	Periodic Development Bank filing, submitted quarterly
QRTLYRPT/A	Amendment to Periodic Development Bank filing, submitted quarterly
SH-ER	Weekly Form SH Entries Report Filed by Institutional Investment Managers
SH-ER/A	Amendment to a Weekly Form SH Entries Report Filed by Institutional Investment Managers
SH- NT	Weekly Form SH Notice Report Filed by Institutional Investment Managers
SH- NT/A	Amendment to Weekly Form SH Notice Report Filed by Institutional Investment Managers

N-PX-NT	Annual Form N-PX Notice filed by institutional managers
N-PX-NT/A	Amendment to a Annual Form N-PX Notice filed by institutional managers
N-PX-VR	Annual Form N-PX Voting Report filed by institutional managers
N-PX-VR/A	Amendment to a Annual Form N-PX Voting Report filed by institutional managers
N-PX-CR	Annual Form N-PX Combination Report filed by institutional managers
N-PX-CR/A	Amendment to a Annual Form N-PX Combination Report filed by institutional managers

Table 1-2: Single Filer SC

SUBMISSION TYPE	DESCRIPTION
497K	Summary Prospectus for certain open-end management investment companies filed pursuant to Securities Act Rule 497(k)
N-PX	Annual Report of Proxy Voting Record of Registered Management Investment Companies filed on Form N-PX
N-PX/A	Amendment to an Annual Report of Proxy Voting Record of Registered Management Investment Companies filed on Form N-PX
N-PX-FM	Annual Report of Proxy Voting Record of Registered Management Investment Companies that includes proxy votes of institutional managers
N-PX-FM/A	Amendment to Annual Report of Proxy Voting Record of Registered Management Investment Companies that includes proxy votes of institutional managers

Table 1-3: Single Filer SC Fee

SUBMISSION TYPE	DESCRIPTION
24F-2NT	Rule 24F-2 notice filed on Form 24F-2
24F-2NT/A	Amendment to Rule 24F-2 notice filed on Form 24F-2

Table 1-4: CoReg

SUBMISSION TYPE	DESCRIPTION
487	Pre-effective pricing amendment filed pursuant to Securities Act Rule 487
6-K	Report of Foreign Private Issuer
8-K	Current report filing
10-K	Annual report pursuant to section 13 and 15(d)
AW WD	Withdrawal of a request for withdrawal of an amendment to a registration statement
F-3DPOS	Post-Effective amendment to a F-3D registration
F-4 POS	Post-effective amendment to a F-4EF registration
F-7POS	Post-effective amendment to a F-7 registration
F-8POS	Post-effective amendment to a F-8 registration
F-80POS	Post-effective amendment to a F-80 registration

SUBMISSION TYPE	DESCRIPTION
F-9 POS	Post-effective amendment to a F-9EF registration
F-10POS	Post-effective amendment to a F10EF registration
N-1	Initial registration statement filed on Form N-1 for open-end management investment companies
N-1/A	Pre-effective amendment to a registration statement filed on Form N-1 for open-end management investment companies
POS AM	Post-effective amendment to a registration statement that is not immediately effective upon filing
RW WD	Withdrawal of a request for withdrawal of a registration statement
S-3DPOS	Post-effective amendment to a S-3D registration statement
S-4 POS	Post-effective amendment to a S-4EF registration statement
S-6	Initial registration statement filed on Form S-6 for unit investment trusts
S-6/A	Pre-effective amendment for initial registration statement filed on Form S-6 for unit investment trusts
S-8 POS	Post-effective amendment to a S-8 registration statement
T-3	Initial application for qualification of trust indentures
T-3/A	Pre-effective amendment for initial application for qualification of trust indentures
T-6	Application for determination of eligibility of a foreign person to act as institutional trustee filed pursuant to Section 310(a)(1) of the Trust Indenture Act of 1939
T-6/A	Pre-effective amendment for application for determination of eligibility of a foreign person to act as institutional trustee filed pursuant to Section 310(a)(1) of the Trust Indenture Act of 1939

Table 1-5: CoReg Fee

SUBMISSION TYPE	DESCRIPTION
F-1	Registration statement for securities of certain foreign private issuers
F-1/A	Pre-effective amendment for registration statement for securities of certain foreign private issuers
F-1MEF	A new registration statement filed under Rule 462(b) to add securities to a prior related effective registration statement filed on Form F-1
F-3	Registration statement for specified transactions by certain foreign private issuers
F-3/A	Pre-Effective amendment registration statement for specified transactions by certain foreign private issuers
F-3/ASR	Automatic shelf registration statement of securities of well known seasoned issuers
F-3D	Registration statement for dividend or interest reinvestment plan securities of foreign private issuers
F-3MEF	A new registration statement filed under Rule 462(b) to add securities to a prior related effective registration statement filed on Form F-3

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SUBMISSION TYPE	DESCRIPTION
F-4	Registration statement for securities issued by foreign private issuers in certain business combination transactions
F-4/A	Pre-effective amendment for registration statement for securities issued by foreign private issuers in certain business combination transactions
F-4EF	Auto effective registration statement for securities by certain foreign private issuers in connection with certain business combination transactions
F-4MEF	A new registration statement filed under Rule 462(b) to add securities to a prior related effective registration statement filed on Form F-4
F-7	Registration statement for securities of certain Canadian issuers offered for cash upon the exercise of rights granted to existing security holders under the Securities Act of 1933
F-7/A	Pre-effective amendment for registration statement for securities of certain Canadian issuers offered for cash upon the exercise of rights granted to existing security holders under the Securities Act of 1933
F-8	Registration statement for securities of certain Canadian issuers to be issued in exchange offers or a business combination under the Securities Act of 1933
F-8/A	Pre-effective amendment for registration statement for securities of certain Canadian issuers to be issued in exchange offers or a business combination under the Securities Act of 1933
F-80	Registration of securities of certain Canadian issuers to be issued in exchange offers or a business combination under the Securities Act of 1933
F-80/A	Pre-effective amendment for registration of securities of certain Canadian issuers to be issued in exchange offers or a business combination under the Securities Act of 1933
F-9	Registration of securities of certain investment grade debt or investment grade preferred securities of certain Canadian issuers under the Securities Act of 1933
F-9/A	Pre-effective amendment for Registration of securities of certain investment grade debt or investment grade preferred securities of certain Canadian issuers under the Securities Act of 1933
F-9EF	Auto effective registration of securities of certain investment grade debt or investment grade preferred securities of certain Canadian issuers under the Securities Act of 1933
F-10	Registration statement for securities of certain Canadian issuers under the Securities Act of 1933
F-10/A	Pre-effective amendment for registration statement for securities of certain Canadian issuers under the Securities Act of 1933
F-10EF	Auto effective registration statement for securities of certain Canadian issuers under the Securities Act of 1933
N-2	Initial filing of a registration statement on Form N-2 for closed-end investment companies
N-14 8C	Initial registration statement filed on Form N-14 by closed-end investment company (business combinations)
N-14 8C/A	Pre-effective amendment for initial registration statement filed on Form N-14 by closed-end investment company (business combinations)
N-14MEF	A new registration statement filed on Form N-14 by closed end investment companies filed under Securities Act Rule 462(b) of up to an additional

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SUBMISSION TYPE	DESCRIPTION
	20% of securities for an offering that was registered on Form N-14
N-2	Filing of a registration statement on Form N-2 for closed-end investment companies
N-2/A	Pre-effective amendment to a registration statement on Form N-2 for closed-end investment companies (this filing cannot be submitted as a 1940 Act only filing)
N-2MEF	A new registration statement on Form N-2 filed under the Securities Act Rule 462(b) by closed-end investment companies to register up to an additional 20% of securities for an offering that was registered on Form N-2
N-5	Initial registration statement on Form N-5 for small business investment companies
N-5/A	Pre-effective amendment to a registration statement on Form N-5 for small business investment companies (this filing cannot be submitted as a 1940 Act only filing)
POSASR	Post-effective amendment to an automatic shelf registration statement of Form S-3ASR or Form F-3ASR
S-1	General form of registration statement for all companies including face-amount certificate companies
S-1/A	Pre-effective amendment to a S-1 registration statement
S-11	Registration statement for securities to be issued by real estate companies
S-11/A	Pre-effective amendment to a S-11 registration statement
S-11MEF	A new registration statement filed under Rule 462(b) to add securities to a prior related effective registration statement filed on Form S-11
S-1MEF	A new registration statement filed under Rule 462(b) to add securities to a prior related effective registration statement filed on Form S-1
S-20	Registration statement for standardized options
S-20/A	Pre-effective amendment to a S-20 registration statement
S-3	Registration statement for specified transactions by certain issuers including face-amount certificate companies
S-3/A	Pre-effective amendment to a S-3 registration statement
S-3ASR	Automatic shelf registration statement of securities of well-known seasoned issuers
S-3D	Automatically effective registration statement for securities issued pursuant to dividend or interest reinvestment plans
S-3MEF	A new registration statement filed under Rule 462(b) to add securities to a prior related effective registration statement filed on Form S-3
S-4	Registration statement for securities to be issued in business combination transactions
S-4/A	Pre-effective amendment to a S-4 registration statement
S-4EF	Auto effective registration statement for securities issued in connection with the formation of a bank or savings and loan holding company in compliance with General Instruction G
S-4MEF	A new registration statement filed under Rule 462(b) to add securities to a prior related effective registration statement filed on Form S-4
S-8	Initial registration statement for securities to be offered to employees pursuant to employee benefit plans

SUBMISSION TYPE	DESCRIPTION
S-B	Registration statement for securities of foreign governments and subdivisions thereof under the Securities Act of 1933 (Schedule b)
S-B/A	Pre-effective amendment for S-B registration statement
S-BMEF	A new registration statement filed under Rule 462(b) to add securities to a prior related effective registration statement filed on Form S-B

Table 1-6: CoReg SC

SUBMISSION TYPE	DESCRIPTION
485APOS	Post-effective amendment filed pursuant to Securities Act Rule 485(a) (this filing cannot be submitted as a 1940 Act only filing)
485BPOS	Post-effective amendment filed pursuant to Securities Act Rule 485(b) (this filing cannot be submitted as a 1940 Act only filing)
485BXT	Post-effective amendment filed pursuant to Securities Act Rule 485(b)(1)(iii) to designate a new effective date for a post-effective amendment previously filed pursuant to Securities Act Rule 485(a) (this filing cannot be submitted as a 1940 Act only filing)
497	Definitive materials filed under paragraph (a), (b), (c), (d), (e) or (f) of Securities Act Rule 497
497AD	Filing by certain investment companies of Securities Act Rule 482 advertising in accordance with Securities Act Rule 497 and the Note to Rule 482(c)
497J	Certification of no change in definitive materials under paragraph (j) of Securities Act Rule 497
497K	Summary prospectuses for open-end management investment companies registered on Form N-1A filed pursuant to Securities Act Rule 497(k)
DEF 14A	Definitive proxy statements
DEF 14C	Definitive information statements
DEFA14A	Definitive additional proxy soliciting materials including Rule 14(a)(12) material. Note: Submission type DEFA14A can be filed as part of Form 8-K. For filers subject to 8-K filing requirements, we recommend the use of the 8-K combined form type as the easiest method for fulfilling your filing requirement for both forms.
DEFA14C	Definitive additional information statement materials including Rule 14(a)(12) material
DEFR14A	Definitive revised proxy soliciting materials
DEFR14C	Definitive revised information statement materials
DEFM14A	Definitive proxy statement relating to a merger, acquisition, or disposition
DEFM14C	Definitive information statement relating to merger or acquisition
N-1A	Initial registration statement filed on Form N-1A for open-end management investment companies
N-1A/A	Pre-effective amendment to a registration statement filed on Form N-1A for open-end management investment companies (this filing cannot be submitted as a 1940 Act only filing)
N-14	Initial registration statement filed on Form N-14 for open-end investment company, including those filed with automatic effectiveness under Rule 488 (business combinations).

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N-14/A	Pre-effective amendment to registration statement filed on Form N-14 for open-end investment company, including those filed with automatic effectiveness under Rule 488 (business combinations).
N-3	Initial registration statement on Form N-3 for separate accounts (management investment companies)
N-3/A	Pre-effective amendment to a registration statement on Form N-3 for separate accounts (management investment companies)(this filing cannot be submitted as a 1940 Act only filing)
N-30B-2	Periodic and interim reports mailed to investment company shareholders (other than annual and semi-annual reports mailed to shareholders pursuant to Rule 30e-1)
N-30D	Initial annual and semi-annual reports mailed to investment company shareholders pursuant to Rule 30e-1 (other than those required to be submitted as part of Form N-CSR)
N-30D/A	Amendment to an annual and semi-annual reports mailed to investment company shareholders pursuant to Rule 30e-1 (other than those required to be submitted as part of Form N-CSR)
N-4	Initial registration statement on Form N-4 for separate accounts (unit investment trusts)
N-4/A	Pre-effective amendment to a registration statement on Form N-4 for separate accounts (unit investment trusts)(this filing cannot be submitted as a 1940 Act only filing)
N-6	Initial registration statement filed on Form N-6 for separate accounts (unit investment trusts)
N-6/A	Pre-effective amendment to a registration statement filed on Form N-6 for separate accounts (unit investment trusts)
N-CSR	Certified annual shareholder report of registered management investment companies filed on Form N-CSR
N-CSR/A	Amendment to certified annual shareholder report of registered management investment companies filed on Form N-CSR
N-CSR-S	Certified semi-annual shareholder report of registered management investment companies filed on Form N-CSR
N-CSR-S/A	Amendment to certified semi-annual shareholder report of registered management investment companies filed on Form N-CSR
N-Q	Quarterly Schedule of Portfolio Holdings of Registered Management Investment Company filed on Form N-Q
N-Q/A	Amendment to a Quarterly Schedule of Portfolio Holdings of Registered Management Investment Company filed on Form N-Q
NSAR-A	Semi-annual report for management companies filed on Form N-SAR
NSAR-A/A	Amendment to a semi-annual report for management companies filed on Form N-SAR
NSAR-AT	Transitional semi-annual report filed on Form N-SAR
NSAR-AT/A	Amendment to a transitional semi-annual report filed on Form N-SAR
NSAR-B	Annual report for management companies filed on Form N-SAR
NSAR-B/A	Amendment to an annual report for management companies filed on Form N-SAR
NSAR-BT	Transitional annual report filed on Form N-SAR
NSAR-BT/A	Amendment to a transitional annual report filed on Form N-SAR
NT-NCSR	Notice under Exchange Act Rule 12b-25 of inability to timely file Form N-CSR (annual or semi-annual report)

NT-NCSR/A	Amendment to a notice under Exchange Act Rule 12b-25 of inability to timely file Form N-CSR (annual or semi-annual report)
NT-NSAR	Notice under Exchange Act Rule 12b-25 of inability to timely file Form N-SAR
NT-NSAR/A	Amendment to a notice under Exchange Act Rule 12b-25 of inability to timely file Form N-SAR
POS AMI	Amendment (for filings made under the 1940 Act only)
PRE 14A	Preliminary proxy statement not related to a contested matter or merger / acquisition
PRE 14C	Preliminary information statement not related to a contested matter or merger/acquisition

Table 1-7: CoReg SC Fee

SUBMISSION TYPE	DESCRIPTION
PREM14A	Preliminary proxy statement relating to a merger, acquisition, or disposition
PREM14C	Preliminary information statements relating to merger or acquisition
PRER14A	Preliminary revised proxy soliciting materials
PRER14C	Preliminary revised information statements

Table 1-8: CoReg Serial

SUBMISSION TYPE	DESCRIPTION
424B1	Prospectus filed pursuant to Rule 424(b)(1)
424B2	Prospectus filed pursuant to Rule 424(b)(2)
424B3	Prospectus filed pursuant to Rule 424(b)(3)
424B4	Prospectus filed pursuant to Rule 424(b)(4)
424B5	Prospectus filed pursuant to Rule 424(b)(5)
424B7	Prospectus filed pursuant to Rule 424(b)(7)
424B8	Prospectus filed pursuant to Rule 424(b)(8)

Table 1-9: Subject

SUBMISSION TYPE	DESCRIPTION
40-17F1	Initial certificate of accounting of securities and similar investments in the custody of management investment companies filed pursuant to Rule 17f-1 of the Investment Company Act of 1940 filed on Form N-17F-1
40-17F1/A	Amendment to initial certificate of accounting of securities and similar investments in the custody of management investment companies filed pursuant to Rule 17f-1 of the Investment Company Act of 1940 filed on Form N-17F-1
40-17F2	Initial certificate of accounting of securities and similar investments in the custody of management investment companies filed pursuant to Rule 17f-2 of the Investment Company Act of 1940 filed on Form N-17F-2

40-17F2/A	Amendment to initial certificate of accounting of securities and similar investments in the custody of management investment companies filed pursuant to Rule 17f-2 of the Investment Company Act of 1940 filed on Form N-17F-2
CB	Notification form filed in connection with certain tender offers, business combinations and rights offerings, in which the subject company is a foreign private issuer of which less than 10% of its securities are held by U.S. persons
CB/A	Amendment to notification form filed in connection with certain tender offers, business combinations and rights offerings, in which the subject company is a foreign private issuer of which less than 10% of its securities are held by U.S. persons
F-N	Notification of the appointment of an agent for service by certain foreign institutions
F-N/A	Amendment to notification of the appointment of an agent for service by certain foreign institutions
F-X	For appointment of agent for service of process by issuers registering securities
F-X/A	Amendment to appointment of agent for service of process by issuers registering securities
N-27D-1	Accounting for segregated trust accounts on Form N-27D-1
N-27D-1/A	Amendment to accounting for segregated trust accounts on Form N-27D-1
SC 14F1	Statement regarding change in majority of directors pursuant to Rule 14f-1
SC 14F1/A	Amendment to statement regarding change in majority of directors pursuant to Rule 14f-1

Table 1-10: Subject SC

SUBMISSION TYPE	DESCRIPTION
DEFC14A	Definitive proxy statement in connection with contested solicitations
DEFC14C	Definitive information statement – contested solicitations
DEFN14A	Definitive proxy statement filed by non-management
DFAN14A	Definitive additional proxy soliciting materials filed by nonmanagement including Rule 14(a)(12) material
DFRN14A	Revised definitive proxy statement filed by nonmanagement
PREC14A	Preliminary proxy statement in connection with contested solicitations
PREC14C	Preliminary information statements – contested solicitations
PREN14A	Preliminary proxy statement filed by non-management
PRRN14A	Revised preliminary proxy statement filed by nonmanagement
PX14A6G	Notice of exempt solicitation
PX14A6N	Notice of exempt solicitation for the purpose of determining whether to solicit proxies, consents, or authorizations in opposition to a proposed rollup transaction filed pursuant to Rule 14a6(g) of the Securities Exchange Act of 1934

Table 1-11: Subject Fee

SUBMISSION TYPE	DESCRIPTION
SC 13E1	Schedule 13-E1 statement of issuer required by Rule 13e-1
SC 13E1/A	Amendment to Schedule 13-E1 statement of issuer required by Rule 13e-1

Table 1-12: Subject Group

SUBMISSION TYPE	DESCRIPTION
FWP	Filing under Securities Act Rule 163/433 of free writing prospectuses.
SC 13D	Schedule filed to report acquisition of beneficial ownership of 5% or more of a class of equity securities
SC 13D/A	Amendment to Schedule filed to report acquisition of beneficial ownership of 5% or more of a class of equity securities
SC 13G	Schedule filed to report acquisition of beneficial ownership of 5% or more of a class of equity securities by passive investors and certain Institutions
SC 13G/A	Amendment to Schedule filed to report acquisition of beneficial ownership of 5% or more of a class of equity securities by passive investors and certain Institutions
SC 14D9	Tender offer solicitation/recommendation statements filed under Rule 14-d9
SC 14D9/A	Amendment to tender offer solicitation/recommendation statements filed under Rule 14-d9
SC TO-C	Written communication relating to an issuer or third party tender offer
SC14D9C	Written communication by the subject company relating to a third party tender offer
SC14D9F	Solicitation/recommendation statement pursuant to Section 14(d)(4) of the Securities Exchange Act of 1934 and Rules 14d-1(b) and 14e-2(c) by foreign issuers
SC14D9F/A	Amendment to solicitation/recommendation statement pursuant to Section 14(d)(4) of the Securities Exchange Act of 1934 and Rules 14d-1(b) and 14e-2(c) by foreign issuers

Table 1-13: Subject Group SC

SUBMISSION TYPE	DESCRIPTION
425	Filing under Securities Act Rule 425 of certain prospectuses and communications in connection with business combination transactions

Table 1-14: Subject Group Fee

SUBMISSION TYPE	DESCRIPTION
SC 13E3	Schedule filed to report going private transactions
SC 13E3/A	Amendment to schedule filed to report going private transactions
SC TO-I	Issuer tender offer statement
SC TO-I/A	Amendment to issuer tender offer statement

SC TO-T	Third party tender offer statement
SC TO-T/A	Amendment to third party tender offer statement
SC13E4F	Issuer tender offer statement filed pursuant to Rule 13(e)(4) by foreign issuers
SC13E4F/A	Amendment to issuer tender offer statement filed pursuant to Rule 13(e)(4) by foreign issuers
SC14D1F	Third party tender offer statement filed pursuant to Rule 14d-1(b) by foreign issuers
SC14D1F/A	Amendment to third party tender offer statement filed pursuant to Rule 14d-1(b) by foreign issuers

Table 1-15: Correspondence

SUBMISSION TYPE	DESCRIPTION
CORRESP	Correspondence Submission. Correspondence is not publicly disseminated immediately. The SEC staff may release all or portions of these documents electronically if they relate to the staff's review process. See SEC Press Release 2004-89, "SEC Staff to Publicly Release Comment Letters and Responses."

Table 1-16: Ownership

SUBMISSION TYPE	DESCRIPTION
144	Filing for proposed sale of securities under Rule 144. Note: Form 144 may be filed electronically on a voluntary basis if the issuer of the securities is a public company
144/A	An amendment to a filing for proposed sale of securities under Rule 144. Note: Form 144 may be filed electronically on a voluntary basis if the issuer of the securities is a public company

Table 1-17: Modules and Segments

SUBMISSION TYPE	DESCRIPTION
Module	Module Submission
Segment	Segment Submission

Table 1-18: Single Filer Subject

SUBMISSION TYPE	DESCRIPTION
ABS-15G	Asset-backed securities report pursuant to Section 15G
ABS-15G/A	Amendment to Asset-backed securities report pursuant to Section 15G

Table 1-19: Subject CoReg

SUBMISSION TYPE	DESCRIPTION
SC 14N	Information filed by certain nominating shareholders (pursuant to Section 240.14n-1)
SC 14N/A	Amendment to information filed by certain nominating shareholders (pursuant to Section 240.14n-2)
SC 14N-S	Solicitation in connection with the formation or attempted formation of a Rule 14a-11 nominating shareholder group or solicitation in connection with a Rule 14a-11 nomination
SC 14N-S/A	Amendment to solicitation in connection with the formation or attempted formation of a Rule 14a-11 nominating shareholder group or solicitation in connection with a Rule 14a-11 nomination

This specification provides a basis for creating XML submissions without the use of the EDGAR OnlineForms/XML Website. The expectation is that software developers, working on behalf of filers, will construct software that will generate an XML ELO submission that can be successfully parsed by the EDGAR system.

The ELO Submissions should conform to the EDGARLink Online XML Technical Specification, namely, the XML schemas. See Appendix B (EDGAR Filings Common XML Elements), Appendix C (EDGAR Series and Class Common XML Elements), and Appendix D (EDGAR Fee Bearing Filings Common XML Elements) for detailed information on the common XML constructs of the EDGAR Filing submissions. For specific information about the data content of the ELO submission types, refer to the EDGAR Filer Manual Volume II available on the SEC's Public Website (<http://www.sec.gov>).

1.2 Summary of Changes

The following changes have been implemented:

- ~~• The schema files eis_Common.xsd, eis_Common_SC.xsd and eis_BulkFiling.xsd have been updated. Below are the changes that have been made to each of the aforementioned schema files:~~
 - ~~• eis_Common.xsd: The regular expressions defined for "ENTITY_NAME_TYPE", "NAME_TYPE", "DOCUMENT_DESCRIPTION_TYPE", and "DEPOSITORY_TYPE" elements in the schema have been modified.~~
 - ~~• eis_Common_SC.xsd: The regular expressions defined for "SERIES_NAME_TYPE" and "CLASS_NAME_TYPE" elements in the schema have been modified.~~
 - ~~• eis_BulkFiling.xsd: The regular expression defined for "SUBMISSION_DESCRIPTION_TYPE" element has been modified.~~
- Appendices B and C have been updated to reflect the changes made to the schemas. The schema file "eis Common Fee.xsd" has been updated. The change involves updating the element type for "feeOfferingAmountPerShare" from "EDGAR MONEY" to "DEC_NON_NEG BILLIONS_PREC4".

DRAFT

- Corrections were made to the specification to add “SC 13D/A” form type to “COMBO_SUBMISSION_TYPE” Data Value Constraints.
- Corrections were made to the specification to add a missed Data Value Constraint table “COMBO_SUBMISSION_TYPE_1” for “comboFormType” element in “Subject Group” family.

2. EDGAR SCHEMA FILES

These are the schema files that the EDGAR system uses to validate ELO submissions. They are considered part of this specification and are distributed with this document.

XML Schema File	Scope of File
eis_Common.xsd	All EDGAR submissions
eis_Common_Fee.xsd	Fee Bearing EDGAR Submission
eis_Common_SC.xsd	Series And Class Edgar Submissions
eis_SingleFiler.xsd	All EDGAR Submissions
No info blank cell	No info blank cell
eis_SingleFiler.xsd	EDGAR Single Filer submissions
eis_SingleFiler_SC.xsd	EDGAR Single Filer Series and Class submissions
eis_SingleFiler_SC_Fee.xsd	EDGAR Single Filer Series and Class Fee bearing submissions
eis_Subject.xsd	EDGAR Subject submissions
eis_Subject_Fee.xsd	EDGAR Fee bearing Subject submissions
eis_Subject_SC.xsd	EDGAR Subject Series and Class submissions
eis_SubjectGroup.xsd	EDGAR Subject Group submission
eis_SubjectGroup_Fee.xsd	EDGAR Subject Group Fee Submission
eis_SubjectGroup_SC.xsd	EDGAR Subject Group Series and Class Submission
No info blank cell	No info blank cell
eis_CoReg.xsd	EDGAR Co Reg Submission
eis_CoReg_Fee.xsd	EDGAR Co Reg Fee bearing Submission
eis_CoReg_SC.xsd	EDGAR Co Reg Series and Class Submission
eis_CoReg_SC_Fee.xsd	EDGAR Co Reg Series and Class Fee bearing Submissions
eis_CoReg_Serial.xsd	EDGAR CoReg Serial Submission
eis_BulkFiling.xsd	EDGAR ALL Submissions in BULK
eis_Corresp.xsd	EDGAR Correspondence Submission
eis_ModulesSegments.xsd	EDGAR Modules and Segments Submission
eis_Ownership.xsd	EDGAR Ownership Submission
eis_SubjectCoreg.xsd	EDGAR Subject CoReg Submission
eis_stateCodes.xsd	All State Codes
eis_ABS_15Gfiler.xsd	EDGAR Single Filer Subject Company Submission

These files define the valid data elements for an ELO submission, the hierarchy and sequencing of these elements, data types, valid values, maximum lengths, number of occurrences, etc.

It is recommended that you download these files and use them for your own validation prior to submitting a filing. This will greatly reduce the likelihood of receiving an EDGAR Suspense error. These schema files contain several annotations that describe the nature of the content of some of the elements.

EDGAR performs several validation checks that are not related to the schema files, so it is possible for a submission to meet all the schema constraints and still be SUSPENDED or BLOCKED. For instance, EDGAR verifies that each Reporting Owner Central Index Key (CIK)/CIK Confirmation Code (CCC) pair is valid.

2.1 Key Schema Indicators

We assume the filer is familiar with the basics of the XML language, Namespaces, and the XML Schema Definition language. If not, you can find numerous references on the World Wide Web. One recommended website that provides several useful tutorials and examples is <http://www.w3schools.com/>.

The table below highlights the schema language features used most heavily in the EDGAR ELO submission schema files. These are:

Indicator	Purpose
<sequence>	Specifies that child elements must appear in a specific order. This indicator is used with each element hierarchy. Elements must always appear in the order shown in Sections 3.4.1.1 through 3.4.20.3
<choice>	Specifies that either one child element or another can occur
<minOccurs>	Specifies the minimum number of times that an element can occur
<maxOccurs>	Specifies the maximum number of times that an element can occur

The default value for the <minOccurs> and <maxOccurs> indicators is 1. An optional field will have a <minOccurs> value of zero.

Below is an example of the <sequence>, <choice>, <minOccurs>, <maxOccurs> indicators for the ownershipDocumentCommon.xsd schema:

```
<xs:complexType name="UNDERLYING_SECURITY">
  <xs:sequence>
    <xs:element name="underlyingSecurityTitle" type="SECURITY_TITLE"/>
    <xs:choice>
      <xs:element name="underlyingSecurityShares" type="OPT_NUMBER_WITH_FOOTNOTE"
minOccurs="0"/>
      <xs:element name="underlyingSecurityValue" type="OPT_NUMBER_WITH_FOOTNOTE"
minOccurs="0"/>
    </xs:choice>
  </xs:sequence>
</xs:complexType>
<xs:complexType name="NUMBER_WITH_FOOTNOTE">
  <xs:sequence>
    <xs:element name="value" type="xs:decimal" minOccurs="0"/>
    <xs:element name="footnoted" type="FOOTNOTE_ID" minOccurs="0" maxOccurs="99"/>
  </xs:sequence>
</xs:complexType>
```

This is a section of XML that conforms to the schema definition given above:

```
<underlyingSecurity>
  <underlyingSecurityTitle>
    <value>Underlying Title</value>
  </underlyingSecurityTitle>
  <underlyingSecurityShares>
    <value>123000</value>
    <footnoted id="F1"/>
    <footnoted id="F2"/>
    <footnoted id="F4"/>
  </underlyingSecurityShares>
</underlyingSecurity>
```

3. XML SCHEMAS

This section summarizes the restrictions and constraints imposed on the content of the ELO submission types. These rules are enforced by the schema files and by EDGAR submission processing applications.

The schema files cannot enforce all the rules concerning data content, so it is possible for a submission to satisfy all the schema constraints and still be SUSPENDED or BLOCKED by EDGAR.

3.1 Schema Table Column Definitions

The following defines the columns in the two schema summation tables provided in Sections 3.4.1.1 through 3.4.20.3:

Table 3-1: Column Definitions for the Tables in Section 3-4

Column Name	Description
Level	Depth of element in the XML node hierarchy.
Order	Order in which elements must appear.
Data Type	See Table 3.2 for a detailed description.
Element Name	Name of the XML element. This is case-sensitive
Max Length	Maximum length for string elements. EDGAR database stores up to this number of characters. "Unlimited" means no maximum length constraint for the element value.
Data Value Constraint	The paragraph that describes the data value constraint for the element. The values specified are case sensitive.
Max Occur	Maximum times a set of elements may be repeated. The maximum occurrence is 1, if not specified.
Applicability of Element	Indicates applicability of the element. m = Mandatory o = Optional NA = Does not apply. EDGAR uses this element for server-side processing. Do not provide a value for this element.

3.2 Data Type Constraints

Each entry in the “Data Type” column of these tables will be one of these values:

Data Type	Constraints										
boolean	Indicate a “true” value with either a “1” or the word “true.” Indicate a “false” value with either a “0” or the word “false.” A boolean element may not have a null or blank value. This is a schema violation and will cause a SUSPENSE error.										
date	Must be of the format YYYY-MM-DD. A date element may not have a null or blank value. This is a schema violation and will cause a SUSPENSE error. Do not include a date element at all if your goal is to not provide an optional date.										
decimal	Only valid characters are 0-9 and a period. Cannot contain commas, a minus sign, a dollar sign, or parentheses. EDGAR stores up to four decimal places. A decimal element may not have a null or blank value. This is a schema violation and will cause a SUSPENSE error. Do not include a decimal element at all if your goal is to not provide an optional number. Minimum allowable value = 0.0 Maximum allowable value = 999999999999.9999										
string	Should be no longer than the length shown in the tables in Sections 3.4.1.1 through 3.4.20.3. EDGAR stores values in the <footnote>, <signatureName>, and <contactEmailAddress> elements exactly as provided. All other values are stored in the database as uppercase. You must use special escape sequences to represent these four characters: <table><tr><th>Character</th><th>Escape Sequence</th></tr><tr><td><</td><td>&lt; or &#60;</td></tr><tr><td>></td><td>&gt; or &#62;</td></tr><tr><td>&</td><td>&amp; or &#38;</td></tr><tr><td>“</td><td>&quot; or &#34;</td></tr></table>	Character	Escape Sequence	<	< or <	>	> or >	&	& or &	“	" or "
Character	Escape Sequence										
<	< or <										
>	> or >										
&	& or &										
“	" or "										

Data Type	Constraints
ID, IDREF	Used for linking footnotes to data fields. Must start with a capital "F," which must be followed by a number from 1 to 99
NV	These fields cannot have a value. They are parent element nodes that contain other XML elements. These elements need to be present for proper validation.

3.3 Applicability of Schema Elements

Each entry in the submission/document type columns in the tables in Sections 3.4.1.1 through 3.4.20.3 will have one of these values:

Value	Description
m	Mandatory
m#	Conditional Mandatory. The element is mandatory if its parent element is included. The element cannot be included if its parent is not included.
o	Optional
NA	Does not apply to submission type. EDGAR uses this field for server-side processing. Do not provide a value for this element.
blank	Does not apply to submission type

3.4 Mapping of ELO Submission Schemas to Submission Types

3.4.1.1 Mapping of ELO Single Filer Submission Schemas to Submission Types

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	ANLRLPT	ANLRLPT/A	13F-HR	DSTRBRPT	DSTRBRPT/A	N-54A	N-54A/A	N-54C	N-54C/A	N-6F	-	N-8A	N-8A/A	QRTLYRPT	QRTLYRPT/A	13F-HR/A	13F-NT	13F-NT/A				
1	1	NV	edgarSubmission			1	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m				
2	1	String	schemaVersion	5		1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o				
2	2	String	liveTestFlag		LIVE TEST TYPE	1	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m				
2	3	NV	flag			1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o				
3	1	boolean	confirmingCopyFlag		"true" or "false"	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o				
3	2	boolean	overrideInternetFlag		"true" or "false"	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o				
3	3	boolean	returnCopyFlag		"true" or "false"	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o				
2	4	String	submissionType		SUBMISSION TYPE	1	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m				
2	5	NV	contact			1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o				
3	1	String	name	30		1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o				
3	2	String	phoneNumber	20		1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o				
2	6	NV	filer			1	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m				
3	1	String	filerId	10		1	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m				
3	2	String	filerCcc	8		1	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m				
3	3	String	fileNumber	17		1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o				
2	7	NV	sros			1	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m				
3	1	String	srold		SRO TYPE	13	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m				
2	8	Date	periodOfReport			1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o				
2	9	NV	notifications			1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o				
3	1	String	internetNotificationAddress	80		Unlimited	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#				
2	10	NV	moduleSegments			1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o				
3	1	NV	moduleSegment			Unlimited	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#				

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	ANLNRPT	ANLNRPT/A	13F-HR	DSTRBRPT	DSTRBRPT/A	N-54A	N-54A/A	N-54C	N-54C/A	N-6F		N-8A	N-8A/A	QRTLVRPT	QRTLVRPT/A	13F-HR/A	13F-NT	13F-NT/A				
4	1	String	modOrSegFlag		MODULE OR SEGMENT FLAG	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#				
4	2	String	nickName	15		1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#				
4	3	String	cik	10		1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o				
4	4	String	ccc	8		1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o				
4	5	String	documentType		SUB DOCUMENT TYPE	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#				
2	11	NV	documents			1	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m				
3	1	NV	document			Unlimited	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m				
4	1	String	conformedName	32		1	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m				
4	2	String	conformedDocumentType		SUB DOCUMENT TYPE	1	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m				
4	3	String	description	255		1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o				
4	4	String	contents			1	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m				

3.4.1.2 Mapping of ELO Single Filer Submission Schemas to Submission Types

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	SH-ER	SH-ER/A	SH-NT	SH-NT/A	N-PX-NT	N-PX-NT/A	N-PX-VR	N-PX-VR/A	N-PX-CR	N-PX-CR/A
1	1	NV	edgarSubmission			1	m	m	m	m	m	m	m	m	m	m
2	1	String	schemaVersion	5		1	o	o	o	o	o	o	o	o	o	o
2	2	String	liveTestFlag		LIVE TEST TYPE	1	m	m	m	m	m	m	m	m	m	m
2	3	NV	flag			1	o	o	o	o	o	o	o	o	o	o
3	1	boolean	confirmingCopyFlag		“true” or “false”	1	o	o	o	o	o	o	o	o	o	o
3	2	boolean	overrideInternetFlag		“true” or “false”	1	o	o	o	o	o	o	o	o	o	o
3	3	boolean	returnCopyFlag		“true” or “false”	1	o	o	o	o	o	o	o	o	o	o

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	SH-ER	SH-ER/A	SH-NT	SH-NT/A	N-PX-NT	N-PX-NT/A	N-PX-VR	N-PX-VR/A	N-PX-CR	N-PX-CR/A
2	4	String	submissionType		SUBMISSION_TYPE	1	m	m	m	m	m	m	m	m	m	m
2	5	NV	contact			1	o	o	o	o	o	o	o	o	o	o
3	1	String	name	30		1	o	o	o	o	o	o	o	o	o	o
3	2	String	phoneNumber	20		1	o	o	o	o	o	o	o	o	o	o
2	6	NV	filer			1	m	m	m	m	m	m	m	m	m	m
3	1	String	filerId	10		1	m	m	m	m	m	m	m	m	m	m
3	2	String	filerCcc	8		1	m	m	m	m	m	m	m	m	m	m
3	3	String	fileNumber	17		1	o	o	o	o	o	o	o	o	o	o
2	7	NV	sros			1	m	m	m	m	m	m	m	m	m	m
3	1	String	srold		SRO_TYPE	13	m	m	m	m	m	m	m	m	m	m
2	8	Date	periodOfReport			1	o	o	o	o	o	o	o	o	o	o
2	9	NV	notifications			1	o	o	o	o	o	o	o	o	o	o
3	1	String	internetNotificationAddress	80		Unlimited	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
2	10	NV	moduleSegments			1	o	o	o	o	o	o	o	o	o	o
3	1	NV	moduleSegment			Unlimited	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	1	String	modOrSegFlag		MODULE_OR_SEGMENT_FLAG	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	2	String	nickName	15		1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	3	String	cik	10		1	o	o	o	o	o	o	o	o	o	o
4	4	String	ccc	8		1	o	o	o	o	o	o	o	o	o	o
4	5	String	documentType		SUB_DOCUMENT_TYPE	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
2	11	NV	documents			1	m	m	m	m	m	m	m	m	m	m
3	1	NV	document			Unlimited	m	m	m	m	m	m	m	m	m	m
4	1	String	conformedName	32		1	m	m	m	m	m	m	m	m	m	m
4	2	String	conformedDocumentType		SUB_DOCUMENT_TYPE	1	m	m	m	m	m	m	m	m	m	m
4	3	String	description	255		1	o	o	o	o	o	o	o	o	o	o

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	SH-ER	SH-ER/A	SH-NT	SH-NT/A	N-PX-NT	N-PX-NT/A	N-PX-VR	N-PX-VR/A	N-PX-CR	N-PX-CR/A
4	4	String	contents			1	m	m	m	m	m	m	m	m	m	m

3.4.2 Mapping of ELO Single Filer SC Submission Schemas to Submission Types

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	497K	N-PX	N-PX/A	N-PX-FM	N-PX-FM/A
1	1	NV	edgarSubmission			1	m	m	m	m	m
2	1	String	schemaVersion	5		1	o	o	o	o	o
2	2	String	liveTestFlag		LIVE TEST TYPE	1	m	m	m	m	m
2	3	NV	flag			1	o	o	o	o	o
3	1	boolean	confirmingCopyFlag		"true" or "false"	1	o	o	o	o	o
3	2	boolean	returnCopyFlag		"true" or "false"	1	o	o	o	o	o
3	3	boolean	overrideInternetFlag		"true" or "false"	1	o	o	o	o	o
2	4	String	submissionType		SUBMISSION TYPE	1	m	m	m	m	m
2	5	NV	contact			1	o	o	o	o	o
3	1	String	name	30		1	o	o	o	o	o
3	2	String	phoneNumber	20		1	o	o	o	o	o
2	6	NV	filer			1	m	m	m	m	m
3	1	String	filerId	10		1	m	m	m	m	m
3	2	String	filerCcc	8		1	m	m	m	m	m
3	3	String	fileNumber	17		1	o	o	o	o	o
2	7	NV	sros			1	m	m	m	m	m
3	1	String	srold		SRO TYPE	13	m	m	m	m	m
2	8	Date	periodOfReport			1	NA	m	m	m	m

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	497K	N-PX	N-PX/A	N-PX-FM	N-PX-FM/A
2	9	String	invCompany		INV_COMPANY_TYPE	1	m	m	m	m	m
2	10	NV	notifications			1	o	o	o	o	o
3	1	String	internetNotificationAddress	80		Unlimited	m#	m#	m#	m#	m#
2	11	NV	moduleSegments			1	o	o	o	o	o
3	1	NV	moduleSegment			Unlimited	m#	m#	m#	m#	m#
4	1	String	modOrSegFlag		MODULE_OR_SEGMENT_FLAG	1	m#	m#	m#	m#	m#
4	2	String	nickName	15		1	m#	m#	m#	m#	m#
4	3	String	Cik	10		1	o	o	o	o	o
4	4	String	Ccc	8		1	o	o	o	o	o
4	5	String	documentType		SUB_DOCUMENT_TYPE	1	m#	m#	m#	m#	m#
2	12	NV	Documents			1	m	m	m	m	m
3	1	NV	Document			Unlimited	m	m	m	m	m
4	1	String	conformedName	32		1	m	m	m	m	m
4	2	String	conformedDocumentType		SUB_DOCUMENT_TYPE	1	m	m	m	m	m
4	3	String	Description	255		1	o	o	o	o	o
4	4	String	Contents			1	m	m	m	m	m
2	13	NV	seriesClasses			1	m	o	o	o	o
3	1	NV	reportSeriesClass			1	m	m#	m#	m#	m#
4	1	boolean	rptIncludeAllSeriesFlag		"true" or "false"	1	m	m#	m#	m#	m#
4	2	NV	rptSeriesClassInfo			Unlimited	m	m#	m#	m#	m#
5	1	String	seriesId	10		1	m	m#	m#	m#	m#
5	2	boolean	includeAllClassesFlag		"true" or "false"	1	m	m#	m#	m#	m#
5	3	String	classId	10		Unlimited	m	m#	m#	m#	m#
3	2	NV	reportClass			Unlimited	o	o	o	o	o
4	1	boolean	rptIncludeAllClassesFlag		"true" or "false"	1	m#	m#	m#	m#	m#
4	2	String	rptClassId	10		Unlimited	m#	m#	m#	m#	m#

3.4.3 Mapping of ELO Single Filer SC Fee Submission Schemas to Submission Types

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	24F-2NT	24F-2NT/A
1	1	NV	edgarSubmission			1	m	m
2	1	String	schemaVersion	5		1	o	o
2	2	String	liveTestFlag		LIVE_TEST_TYPE	1	m	m
2	3	NV	Flags			1	o	o
3	1	boolean	confirmingCopyFlag		"true" or "false"	1	o	o
3	2	boolean	delayingAmendmentFlag		"true" or "false"	1	NA	NA
3	3	boolean	overrideInternetFlag		"true" or "false"	1	o	o
3	4	boolean	returnCopyFlag		"true" or "false"	1	o	o
3	5	boolean	serialFlag		"true" or "false"	1	NA	NA
2	4	String	submissionType		SUBMISSION_TYPE	1	m	m
2	5	NV	contact			1	o	o
3	1	String	Name	30		1	o	o
3	2	String	phoneNumber	20		1	o	o
2	6	NV	filer			1	m	m
3	1	String	filerId	10		1	m	m
3	2	String	filerCcc	8		1	m	m
3	3	String	fileNumber	17		1	o	o
2	7	NV	sros			1	m	m
3	1	String	srold		SRO_TYPE	13	m	m
2	8	Date	periodOfReport			1	m	m
2	9	String	invCompany		INV_COMPANY_TYPE	1	m	m
2	10	NV	notifications			1	o	o
3	1	String	internetNotificationAddress	80		Unlimited	m#	m#

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	24F-2NT	24F-2NT/A
2	11	NV	moduleSegments			1	o	o
3	1	NV	moduleSegment			Unlimited	m#	m#
4	1	String	modOrSegFlag		MODULE OR SEGMENT FLAG	1	m#	m#
4	2	String	nickName	15		1	m#	m#
4	3	String	Cik	10		1	o	o
4	4	String	Ccc	8		1	o	o
4	5	String	documentType		SUB DOCUMENT TYPE	1	m#	m#
2	12	NV	Documents			1	m	m
3	1	NV	Document			Unlimited	m	m
4	1	String	conformedName	32		1	m	m
4	2	String	conformedDocumentType		SUB DOCUMENT TYPE	1	m	m
4	3	String	Description	255		1	o	o
4	4	String	Contents			1	m	m
2	13	NV	seriesClasses			1	m	m
3	1	NV	reportSeriesClass			1	m	m
4	1	boolean	rptIncludeAllSeriesFlag		"true" or "false"	1	m	m
4	2	NV	rptSeriesClassInfo			Unlimited	m	m
5	1	String	seriesId	10		1	m	m
5	2	boolean	includeAllClassesFlag		"true" or "false"	1	m	m
5	3	String	classId	10		Unlimited	m	m
3	2	NV	reportClass			1	o	o
4	1	boolean	rptIncludeAllClassesFlag		"true" or "false"	1	m	m
4	2	String	rptClassId	10		Unlimited	m	m
2	14	NV	edgarFee			1	m	m
3	1	NV	submissionFee			1	m	m

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	24F-2NT	24F-2NT/A
4	1	NV	feePayorInformation			1	o	o
5	1	String	filerId	10		1	m#	m#
5	2	String	filerCcc	8		1	m#	m#
4	2	String	feePaymentMethod		PAYMENT METHOD TYPE	1	o	o
4	3	Decimal	feeAmount	17		1	m#	m#
4	4	Decimal	feeBasis	17		1	o	o
3	2	NV	submissionSalesShares			1	o	o
4	1	boolean	salesSharesItemizeFlag		"true" or "false"	1	o	o
4	2	NV	salesSharesInformation			Unlimited	m#	m#
5	1	String	saleSharesSeriesOrClassId	10		1	o	o
5	2	Decimal	salesSharesSalesProceeds	17		1	m#	m#
5	3	Decimal	salesSharesRedeemedValue	17		1	o	o
5	4	Decimal	salesSharesNetValue	17		1	m#	m#
5	5	Decimal	salesSharesFeeAmount	17		1	m#	m#

3.4.4 Mapping of ELO CoReg Submission Schemas to Submission Types

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	487	N-1	N-1/A	AW WD	F-3DPOS	F-4 POS	F-7POS	F-8POS	F-80POS	F-9 POS	F-10POS	POS AM	RW WD	S-3DPOS	S-4 POS	S-6	S-6/A	S-8 POS	T-3	T-3/A	T-6	T-6/A	8-K	10-K	6-K
1	1	NV	edgarSubmission			1	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m
2	1		schemaVersion	5		1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	2	String	liveTestFlag		LIVE TEST TYPE	1	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m
2	3	NV	flags			1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	1	boolean	confirmingCopyFlag		"true" or "false"	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	2	boolean	delayingAmendmentFlag		"true" or "false"	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	487	N-1	N-1/A	AW WD	F-3DPOS	F-4 POS	F-7POS	F-8POS	F-80POS	F-9 POS	F-10POS	POS AM	RW WD	S-3DPOS	S-4 POS	S-6	S-6/A	S-8 POS	T-3	T-3/A	T-6	T-6/A	8-K	10-K	6-K
3	3	boolean	overrideInternetFlag		"true" or "false"	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	4	boolean	returnCopyFlag		"true" or "false"	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	5	boolean	serialFlag		"true" or "false"	1	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
2	4	String	submissionType		SUBMISSION TYPE	1	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m
2	5	NV	contact			1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	1	String	Name	30		1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	2	String	phoneNumber	20		1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	6	NV	filer			1	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m
3	1	String	filerId	10		1	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m
3	2	String	filerCcc	8		1	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m
3	3	String	fileNumber	17		1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	4	boolean	dutyToFileReportsRemains		"true" or "false"	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	7	NV	Coregs			1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	1	NV	Filer			Unlimited	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	1	String	filerId	10		1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	2	String	filerCcc	8		1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	3	String	fileNumber	17		1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	5	boolean	dutyToFileReportsRemains		"true" or "false"	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	8	NV	sros			1	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m
3	1	String	srold		SRO_TYPE	13	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m
2	9	String	Act		ACT_TYPE	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	10	Date	periodOfReport			1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	11	Date	effectiveDate			1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	487	N-1	N-1/A	AW WD	F-3DPOS	F-4 POS	F-7POS	F-8POS	F-80POS	F-9 POS	F-10POS	POS AM	RW WD	S-3DPOS	S-4 POS	S-6	S-6/A	S-8 POS	T-3	T-3/A	T-6	T-6/A	8-K	10-K	6-K
2	12	String	invCompany		INV_COMPANY_TYPE	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	13	NV	Items			1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	1	String	Item		ITEM_TYPE	Unlimited	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
2	14	String	itemSubmissionType		ITEM_SUBMISSION_TYPE	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	15	String	fiscalYear	5		1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	16	NV	Depositor			1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	1	String	depositorId	10		1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
3	2	String	depositorFileNumber	17		1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
2	17	NV	subCompanyFiler			1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	1	String	filerId	10		1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
3	2	String	irsNumber	9		1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
3	3	String	fileNumber	17		1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
3	4	String	Filename	150		1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
3	5	String	groupName	150		1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
2	18	boolean	shellCompanyFlag		"true" or "false"	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	19	boolean	voluntaryFilerFlag		"true" or "false"	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	20	boolean	wellKnownSeasonedIssuerFlag		"true" or "false"	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	21	String	acceleratedFilerStatus		ACCELERATED_STATUSES_TYPE	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	22	boolean	smallBusinessFlag		"true" or "false"	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	23	NV	References			1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	1	String	references_429	17		Unlimited	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	487	N-1	N-1/A	AW WD	F-3DPOS	F-4 POS	F-7POS	F-8POS	F-80POS	F-9 POS	F-10POS	POS AM	RW WD	S-3DPOS	S-4 POS	S-6	S-6/A	S-8 POS	T-3	T-3/A	T-6	T-6/A	8-K	10-K	6-K
						d																									
2	24	NV	Notifications			1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	1	String	internetNotificationAddress	80		Unlimited	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
2	11	NV	moduleSegments			1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	1	NV	moduleSegment			Unlimited	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	1	String	modOrSegFlag		MODULE OR SEGMENT FLAG	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	2	String	nickName	15		1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	3	String	Cik	10		1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	4	String	Ccc	8		1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	5	String	documentType		SUB DOCUMENT TYPE	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
2	12	NV	Documents			1	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m
3	1	NV	Document			Unlimited	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m
4	1	String	conformedName	32		1	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m
4	2	String	conformedDocumentType		SUB DOCUMENT TYPE	1	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m
4	3	String	Description	255		1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	4	String	Contents			1	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m

3.4.5.1 Mapping of ELO CoReg Fee Submission Schemas to Submission Types

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	F-1	F-1MEF	F-1/A	N-14 8C	F-10	F-10/A	F-10EF	F-3	F-3/A	F-3ASR	F-3D	F-3MEF	F-4	F-4/A
1	1	NV	edgarSubmission			1	m	m	m	m	m	m	m	m	m	m	m	m	m	m
2	1		schemaVersion	5		1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	2	String	liveTestFlag		LIVE TEST TYPE	1	m	m	m	m	m	m	m	m	m	m	m	m	m	m
2	3	boolean	flags			1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	1	boolean	confirmingCopyFlag		"true" or "false"	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	2	boolean	delayingAmendmentFlag		"true" or "false"		o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	3	boolean	overrideInternetFlag		"true" or "false"	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	4	boolean	returnCopyFlag		"true" or "false"	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	4	String	submissionType		SUBMISSION TYPE	1	m	m	m	m	m	m	m	m	m	m	m	m	m	m
2	5	NV	contact			1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	1	String	Name	30		1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	2	String	phoneNumber	20		1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	6	NV	filer			1	m	m	m	m	m	m	m	m	m	m	m	m	m	m
3	1	String	filerId	10		1	m	m	m	m	m	m	m	m	m	m	m	m	m	m
3	2	String	filerCcc	8		1	m	m	m	m	m	m	m	m	m	m	m	m	m	m
3	3	String	fileNumber	17		1	m	m	m	m	m	m	m	m	m	m	m	m	m	m
3	4	String	filerFormType		COMBO FORM TYPE	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	7	NV	Coregs			1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	1	NV	Filer			Unlimited	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	1	String	filerId	10		1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	2	String	filerCcc	8		1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	3	String	fileNumber	17		1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	4	String	filerFormType		COMBO FORM TYPE	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	8	NV	sros			1	m	m	m	m	m	m	m	m	m	m	m	m	m	m

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	F-1	F-1MEF	F-1/A	N-14 8C	F-10	F-10/A	F-10EF	F-3	F-3/A	F-3ASR	F-3D	F-3MEF	F-4	F-4/A
3	1	String	srold		SRO_TYPE	13	m	m	m	m	m	m	m	m	m	m	m	m	m	m
2	9	boolean	smallBusinessFlag		"true" or "false"	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	10	NV	invCompany			1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	1	String	investCompany		INV_COMPANY_TYPE	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
3	2	NV	investmentCompany			1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	1	boolean	islmCompany		"true" or "false"	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	2	String	invCompanyType		INV_COMPANY_TYPE	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	11	NV	References			1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	1	String	references_429	17		Unlimited	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	2	String	references_462b	17		1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	12	Date	effectiveDate			1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	13	String	Act		ACT_TYPE	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	14	NV	Notifications			1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	1	String	internetNotificationAddress	80		Unlimited	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
2	15	NV	moduleSegments			1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	1	NV	moduleSegment			Unlimited	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	1	String	modOrSegFlag		MODULE_OR_SEGMENT_FLAG	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	2	String	nickName	15		1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	3	String	Cik	10		1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	4	String	Ccc	8		1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	5	String	documentType		SUB_DOCUMENT_TYPE	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
2	16	NV	Documents			1	m	m	m	m	m	m	m	m	m	m	m	m	m	m
3	1	NV	Document			Unlimited	m	m	m	m	m	m	m	m	m	m	m	m	m	m
4	1	String	conformedName	32		1	m	m	m	m	m	m	m	m	m	m	m	m	m	m
3	2	String	conformedDocumentType		SUB_DOCUMENT_TYPE	1	m	m	m	m	m	m	m	m	m	m	m	m	m	m
3	3	String	description	255		1	o	o	o	o	o	o	o	o	o	o	o	o	o	o

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	F-1	F-1MEF	F-1/A	N-14 8C	F-10	F-10/A	F-10EF	F-3	F-3/A	F-3ASR	F-3D	F-3MEF	F-4	F-4/A
3	4	String	Contents			1	m	m	m	m	m	m	m	m	m	m	m	m	m	m
2	17	NV	edgarFee			1	m	m	m	m	m	m	m	m	m	m	m	m	m	m
3	1	NV	submissionFee			1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	1	NV	feePayorInformation			1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
5	1	String	filerId	10		1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
5	2	String	filerCcc	8		1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	2	String	feePaymentMethod		PAYMENT_METHOD_TYPE	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	3	Decimal	feeAmount	17		1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	4	Decimal	feeBasis	17		1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	2	NV	submissionOffering			Unlimited	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	1	String	feeOfferingTypeOfPayment		PAYMENT_TYPE	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	2	String	feeOfferingSecurityName		SECURITY_TYPE	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	3	Decimal	feeOfferingShares			1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	4	Decimal	feeOfferingAmountPerShare	17		1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	5	Decimal	feeOfferingAggregatePrice	17		1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	3	NV	submissionOffset			Unlimited	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	1	String	offsetCik	10		1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	2	String	offsetFormType		SUBMISSION_TYPE	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	3	String	offsetFileNumber	17		1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	4	Date	offsetFilingDate			1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	5	Decimal	offsetAmount	17		1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#

3.4.5.2 Mapping of ELO CoReg Fee Submission Schemas to Submission Types

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	F-4EF	F-4MEF	F-7	N-7/A	F-8	F-8/A	F-80	F-80/A	F-9	F-9/A	F-9EF	N-148C/A	N-14MEF	N-2
1	1	NV	edgarSubmission			1	m	m	m	m	m	m	m	m	m	m	m	m	m	m
2	1	String	schemaVersion	5		1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	2	String	liveTestFlag		LIVE TEST TYPE	1	m	m	m	m	m	m	m	m	m	m	m	m	m	m
2	3	boolean	flags			1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	1	boolean	confirmingCopyFlag		"true" or "false"	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	2	boolean	delayingAmendmentFlag		"true" or "false"		o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	3	boolean	overrideInternetFlag		"true" or "false"	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	4	boolean	returnCopyFlag		"true" or "false"	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	4	String	submissionType		SUBMISSION TYPE	1	m	m	m	m	m	m	m	m	m	m	m	m	m	m
2	5	NV	contact			1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	1	String	Name	30		1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	2	String	phoneNumber	20		1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	6	NV	filer			1	m	m	m	m	m	m	m	m	m	m	m	m	m	m
3	1	String	filerId	10		1	m	m	m	m	m	m	m	m	m	m	m	m	m	m
3	2	String	filerCcc	8		1	m	m	m	m	m	m	m	m	m	m	m	m	m	m
3	3	String	fileNumber	17		1	m	m	m	m	m	m	m	m	m	m	m	m	m	m
3	4	String	filerFormType		COMBO FORM TYPE	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	7	NV	Coregs			1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	1	NV	Filer			Unlimited	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	1	String	filerId	10		1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	2	String	filerCcc	8		1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	3	String	fileNumber	17		1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	4	String	filerFormType		COMBO FORM TYPE	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	8	NV	sros			1	m	m	m	m	m	m	m	m	m	m	m	m	m	m

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	F-4EF	F-4MEF	F-7	N-7/A	F-8	F-8/A	F-80	F-80/A	F-9	F-9/A	F-9EF	N-148C/A	N-14MEF	N-2
3	1	String	srold		SRO_TYPE	13	m	m	m	m	m	m	m	m	m	m	m	m	m	m
2	9	boolean	smallBusinessFlag		"true" or "false"	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	10	NV	invCompany			1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	1	String	investCompany		INV_COMPANY_TYPE	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
3	2	NV	investmentCompany			1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	1	boolean	isImCompany		"true" or "false"	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	2	String	invCompanyType		INV_COMPANY_TYPE	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	11	NV	References			1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	1	String	references_429	17		Unlimited	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	2	String	references_462b	17		1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	12	Date	effectiveDate			1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	13	String	Act		ACT_TYPE	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	14	NV	Notifications			1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	1	String	internetNotificationAddress	80		Unlimited	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
2	15	NV	moduleSegments			1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	1	NV	moduleSegment			Unlimited	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	1	String	modOrSegFlag		MODULE_OR_SEGMENT_FLAG	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	2	String	nickName	15		1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	3	String	Cik	10		1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	4	String	Ccc	8		1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	5	String	documentType		SUB_DOCUMENT_TYPE	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
2	16	NV	Documents			1	m	m	m	m	m	m	m	m	m	m	m	m	m	m
3	1	NV	Document			Unlimited	m	m	m	m	m	m	m	m	m	m	m	m	m	m
4	1	string	conformedName	32		1	m	m	m	m	m	m	m	m	m	m	m	m	m	m
3	2	String	conformedDocumentType		SUB_DOCUMENT_TYPE	1	m	m	m	m	m	m	m	m	m	m	m	m	m	m
3	3	String	description	255		1	o	o	o	o	o	o	o	o	o	o	o	o	o	o

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	F-4EF	F-4MEF	F-7	N-7/A	F-8	F-8/A	F-80	F-80/A	F-9	F-9/A	F-9EF	N-148C/A	N-14MEF	N-2
3	4	String	Contents			1	m	m	m	m	m	m	m	m	m	m	m	m	m	m
2	17	NV	edgarFee			1	m	m	m	m	m	m	m	m	m	m	m	m	m	m
3	1	NV	submissionFee			1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	1	NV	feePayorInformation			1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
5	1	String	filerId	10		1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
5	2	String	filerCcc	8		1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	2	String	feePaymentMethod		PAYMENT_METHOD_TYPE	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	3	Decimal	feeAmount	17		1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	4	Decimal	feeBasis	17		1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	2	NV	submissionOffering			Unlimited	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	1	String	feeOfferingTypeOfPayment		PAYMENT_TYPE	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	2	String	feeOfferingSecurityName		SECURITY_TYPE	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	3	Decimal	feeOfferingShares			1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	4	Decimal	feeOfferingAmountPerShare	17		1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	5	Decimal	feeOfferingAggregatePrice	17		1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	3	NV	submissionOffset			Unlimited	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	1	String	offsetCik	10		1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	2	String	offsetFormType		SUBMISSION_TYPE	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	3	String	offsetFileNumber	17		1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	4	Date	offsetFilingDate			1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	5	Decimal	offsetAmount	17		1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#

3.4.5.3 Mapping of ELO CoReg Fee Submission Schemas to Submission Types

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	N-2/A	N-2MEF	N-5	N-5/A	POSASR	S-1	S-1/A	S-11	S-11/A	S-11MEF	S-1MEF	S-20	S-20/A	S-3
1	1	NV	edgarSubmission			1	m	m	m	m	m	m	m	m	m	m	m	m	m	m
2	1	String	schemaVersion	5		1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	2	String	liveTestFlag		LIVE TEST TYPE	1	m	m	m	m	m	m	m	m	m	m	m	m	m	m
2	3	boolean	flags			1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	1	boolean	confirmingCopyFlag		“true” or “false”	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	2	boolean	delayingAmendmentFlag		“true” or “false”		o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	3	boolean	overrideInternetFlag		“true” or “false”	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	4	boolean	returnCopyFlag		“true” or “false”	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	4	String	submissionType		SUBMISSION TYPE	1	m	m	m	m	m	m	m	m	m	m	m	m	m	m
2	5	NV	contact			1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	1	String	Name	30		1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	2	String	phoneNumber	20		1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	6	NV	filer			1	m	m	m	m	m	m	m	m	m	m	m	m	m	m
3	1	String	filerId	10		1	m	m	m	m	m	m	m	m	m	m	m	m	m	m
3	2	String	filerCcc	8		1	m	m	m	m	m	m	m	m	m	m	m	m	m	m
3	3	String	fileNumber	17		1	m	m	m	m	m	m	m	m	m	m	m	m	m	m
3	4	String	filerFormType		COMBO FORM TYPE	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	7	NV	Coregs			1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	1	NV	Filer			Unlimited	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	1	String	filerId	10		1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	2	String	filerCcc	8		1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	3	String	fileNumber	17		1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	4	String	filerFormType		COMBO FORM TYPE	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	8	NV	sros			1	m	m	m	m	m	m	m	m	m	m	m	m	m	m

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	N-2/A	N-2MEF	N-5	N-5/A	POSASR	S-1	S-1/A	S-11	S-11/A	S-11MEF	S-1MEF	S-20	S-20/A	S-3
3	1	String	srold		SRO_TYPE	13	m	m	m	m	m	m	m	m	m	m	m	m	m	m
2	9	boolean	smallBusinessFlag		"true" or "false"	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	10	NV	invCompany			1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	1	String	investCompany		INV_COMPANY_TYPE	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
3	2	NV	investmentCompany			1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	1	boolean	islmCompany		"true" or "false"	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	2	String	invCompanyType		INV_COMPANY_TYPE	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	11	NV	References			1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	1	String	references_429	17		Unlimited	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	2	String	references_462b	17		1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	12	Date	effectiveDate			1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	13	String	Act		ACT_TYPE	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	14	NV	Notifications			1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	1	String	internetNotificationAddress	80		Unlimited	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
2	15	NV	moduleSegments			1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	1	NV	moduleSegment			Unlimited	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	1	String	modOrSegFlag		MODULE_OR_SEGMENT_FLAG	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	2	String	nickName	15		1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	3	String	Cik	10		1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	4	String	Ccc	8		1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	5	String	documentType		SUB_DOCUMENT_TYPE	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
2	16	NV	Documents			1	m	m	m	m	m	m	m	m	m	m	m	m	m	m
3	1	NV	Document			Unlimited	m	m	m	m	m	m	m	m	m	m	m	m	m	m
4	1	string	conformedName	32		1	m	m	m	m	m	m	m	m	m	m	m	m	m	m
3	2	String	conformedDocumentType		SUB_DOCUMENT_TYPE	1	m	m	m	m	m	m	m	m	m	m	m	m	m	m
3	3	String	description	255		1	o	o	o	o	o	o	o	o	o	o	o	o	o	o

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	N-2/A	N-2MEF	N-5	N-5/A	POSASR	S-1	S-1/A	S-11	S-11/A	S-11MEF	S-1MEF	S-20	S-20/A	S-3
3	4	String	Contents			1	m	m	m	m	m	m	m	m	m	m	m	m	m	m
2	17	NV	edgarFee			1	m	m	m	m	m	m	m	m	m	m	m	m	m	m
3	1	NV	submissionFee			1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	1	NV	feePayorInformation			1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
5	1	String	filerId	10		1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
5	2	String	filerCcc	8		1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	2	String	feePaymentMethod		PAYMENT_METHOD_TYPE	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	3	Decimal	feeAmount	17		1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	4	Decimal	feeBasis	17		1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	2	NV	submissionOffering			Unlimited	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	1	String	feeOfferingTypeOfPayment		PAYMENT_TYPE	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	2	String	feeOfferingSecurityName		SECURITY_TYPE	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	3	Decimal	feeOfferingShares			1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	4	Decimal	feeOfferingAmountPerShare	17		1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	5	Decimal	feeOfferingAggregatePrice	17		1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	3	NV	submissionOffset			Unlimited	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	1	String	offsetCik	10		1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	2	String	offsetFormType		SUBMISSION_TYPE	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	3	String	offsetFileNumber	17		1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	4	Date	offsetFilingDate			1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	5	Decimal	offsetAmount	17		1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#

3.4.5.4 Mapping of ELO CoReg Fee Submission Schemas to Submission Types

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	S-3/A	S-3/ASR	S-3/D	S-3/MEF	S-4	S-4/A	S-4/EF	S-4/MEF	S-8	S-B	S-B/A	S-B/MEF
1	1	NV	edgarSubmission			1	m	m	m	m	m	m	m	m	m	m	m	m
2	1	String	schemaVersion	5		1	o	o	o	o	o	o	o	o	o	o	o	o
2	2	String	liveTestFlag		LIVE TEST TYPE	1	m	m	m	m	m	m	m	m	m	m	m	m
2	3	boolean	flags			1	o	o	o	o	o	o	o	o	o	o	o	o
3	1	boolean	confirmingCopyFlag		"true" or "false"	1	o	o	o	o	o	o	o	o	o	o	o	o
3	2	boolean	delayingAmendment		"true" or "false"	1	o	o	o	o	o	o	o	o	o	o	o	o
3	3	boolean	overrideInternetFlag		"true" or "false"	1	o	o	o	o	o	o	o	o	o	o	o	o
3	4	boolean	returnCopyFlag		"true" or "false"	1	o	o	o	o	o	o	o	o	o	o	o	o
2	4	String	submissionType		SUBMISSION TYPE	1	m	m	m	m	m	m	m	m	m	m	m	m
2	5	NV	contact			1	o	o	o	o	o	o	o	o	o	o	o	o
3	1	String	Name	30		1	o	o	o	o	o	o	o	o	o	o	o	o
3	2	String	phoneNumber	20		1	o	o	o	o	o	o	o	o	o	o	o	o
2	6	NV	filer			1	m	m	m	m	m	m	m	m	m	m	m	m
3	1	String	filerId	10		1	m	m	m	m	m	m	m	m	m	m	m	m
3	2	String	filerCcc	8		1	m	m	m	m	m	m	m	m	m	m	m	m
3	3	String	fileNumber	17		1	m	m	m	m	m	m	m	m	m	m	m	m
3	4	String	filerFormType		COMBO FORM TYPE	1	o	o	o	o	o	o	o	o	o	o	o	o
2	7	NV	Coregs			1	o	o	o	o	o	o	o	o	o	o	o	o
3	1	NV	Filer			Unlimited	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	1	String	filerId	10		1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	2	String	filerCcc	8		1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	3	String	fileNumber	17		1	o	o	o	o	o	o	o	o	o	o	o	o
4	4	String	filerFormType		COMBO FORM TYPE	1	o	o	o	o	o	o	o	o	o	o	o	o
2	8	NV	sros			1	m	m	m	m	m	m	m	m	m	m	m	m
3	1	String	srold		SRO TYPE	13	m	m	m	m	m	m	m	m	m	m	m	m

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	S-3/A	S-3/ASR	S-3/D	S-3/MEF	S-4	S-4/A	S-4/EF	S-4/MEF	S-8	S-B	S-B/A	S-B/MEF
2	9	boolean	smallBusinessFlag		"true" or "false"	1	o	o	o	o	o	o	o	o	o	o	o	o
2	10	NV	invCompany			1	o	o	o	o	o	o	o	o	o	o	o	o
3	1	String	investCompany		INV_COMPANY_TYPE	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
3	2	NV	investmentCompany			1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	1	boolean	isImCompany		"true" or "false"	1	o	o	o	o	o	o	o	o	o	o	o	o
4	2	String	invCompanyType		INV_COMPANY_TYPE	1	o	o	o	o	o	o	o	o	o	o	o	o
2	11	NV	References			1	o	o	o	o	o	o	o	o	o	o	o	o
3	1	String	references_429	17		Unlimited	o	o	o	o	o	o	o	o	o	o	o	o
3	2	String	references_462b	17		1	o	o	o	o	o	o	o	o	o	o	o	o
2	12	Date	effectiveDate			1	o	o	o	o	o	o	o	o	o	o	o	o
2	13	String	Act		ACT_TYPE	1	o	o	o	o	o	o	o	o	o	o	o	o
2	14	NV	Notifications			1	o	o	o	o	o	o	o	o	o	o	o	o
3	1	String	internetNotificationAddress	80		Unlimited	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
2	15	NV	moduleSegments			1	o	o	o	o	o	o	o	o	o	o	o	o
3	1	NV	moduleSegment			Unlimited	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	1	String	modOrSegFlag		MODULE_OR_SEGMENT_FLAG	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	2	String	nickName	15		1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	3	String	Cik	10		1	o	o	o	o	o	o	o	o	o	o	o	o
4	4	String	Ccc	8		1	o	o	o	o	o	o	o	o	o	o	o	o
4	5	String	documentType		SUB_DOCUMENT_TYPE	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
2	16	NV	Documents			1	m	m	m	m	m	m	m	m	m	m	m	m
3	1	NV	Document			Unlimited	m	m	m	m	m	m	m	m	m	m	m	m
4	1	string	conformedName	32		1	m	m	m	m	m	m	m	m	m	m	m	m
3	2	String	conformedDocumentType		SUB_DOCUMENT_TYPE	1	m	m	m	m	m	m	m	m	m	m	m	m
3	3	String	description	255		1	o	o	o	o	o	o	o	o	o	o	o	o
3	4	String	Contents			1	m	m	m	m	m	m	m	m	m	m	m	m

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	S-3/A	S-3/ASR	S-3/D	S-3/MEF	S-4	S-4/A	S-4/EF	S-4/MEF	S-8	S-B	S-B/A	S-B/MEF
2	17	NV	edgarFee			1	m	m	m	m	m	m	m	m	m	m	m	m
3	1	NV	submissionFee			1	o	o	o	o	o	o	o	o	o	o	o	o
4	1	NV	feePayorInformation			1	o	o	o	o	o	o	o	o	o	o	o	o
5	1	String	filerId	10		1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
5	2	String	filerCcc	8		1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	2	String	feePaymentMethod		PAYMENT_METHOD_TYPE	1	o	o	o	o	o	o	o	o	o	o	o	o
4	3	Decimal	feeAmount	17		1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	4	Decimal	feeBasis	17		1	o	o	o	o	o	o	o	o	o	o	o	o
3	2	NV	submissionOffering			Unlimited	o	o	o	o	o	o	o	o	o	o	o	o
4	1	String	feeOfferingTypeOfPayment		PAYMENT_TYPE	1	o	o	o	o	o	o	o	o	o	o	o	o
4	2	String	feeOfferingSecurityName		SECURITY_TYPE	1	o	o	o	o	o	o	o	o	o	o	o	o
4	3	Decimal	feeOfferingShares			1	o	o	o	o	o	o	o	o	o	o	o	o
4	4	Decimal	feeOfferingAmountPerShare	17		1	o	o	o	o	o	o	o	o	o	o	o	o
4	5	Decimal	feeOfferingAggregatePrice	17		1	o	o	o	o	o	o	o	o	o	o	o	o
3	3	NV	submissionOffset			Unlimited	o	o	o	o	o	o	o	o	o	o	o	o
4	1	String	offsetCik	10		1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	2	String	offsetFormType		SUBMISSION_TYPE	1	o	o	o	o	o	o	o	o	o	o	o	o
4	3	String	offsetFileNumber	17		1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	4	Date	offsetFilingDate			1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	5	Decimal	offsetAmount	17		1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#

3.4.6 Mapping of ELO CoReg SC Fee Submission Schemas to Submission Types

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	PREM14A	PREM14C	PRER14A	PRER14C
1	1	NV	edgarSubmission			1	m	m	m	m
2	1	String	schemaVersion	5		1	o	o	o	o
2	2	String	liveTestFlag		LIVE_TEST_TYPE	1	m	m	m	m
2	3	NV	flags		No info blank cell	1	o	o	o	o
3	1	boolean	confirmingCopyFlag		"true" or "false"	1	m#	m#	m#	m#
3	2	boolean	returnCopyFlag		"true" or "false"	1	m#	m#	m#	m#
3	3	boolean	overrideInternetFlag		"true" or "false"	1	m#	m#	m#	m#
2	4	String	submissionType		SUBMISSION_TYPE	1	m	m	m	M
2	5	NV	contact			1	o	o	o	O
3	1	String	name	30		1	m#	m#	m#	m#
3	2	String	phoneNumber	20		1	m#	m#	m#	m#
2	6	NV	filer			1	m	m	m	M
3	1	String	filerId	10		1	m	m	m	M
3	2	String	filerCcc	8		1	m	m	m	M
3	3	String	fileNumber	17		1	m	m	m	M
2	7	NV	coregs			1	o	o	o	O
3	1	NV	filer			1	m#	m#	m#	m#
4	1	String	filerId	10		1	m#	m#	m#	m#
4	2	String	filerCcc	8		1	m#	m#	m#	m#
4	3	String	fileNumber	17		1	m#	m#	m#	m#
2	8	NV	sros			1	m	m	m	M

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	PREM14A	PREM14C	PRER14A	PRER14C
3	1	String	srold		SRO_TYPE	13	m	m	m	M
2	9	NV	invCompany		No info blank cell	1	o	o	o	O
3	1	boolean	isImCompany		"true" or "false"	1	m#	m#	m#	m#
3	2	String	invCompanyType		INV_COMPANY_TYPE	1	m#	m#	m#	m#
2	10	Date	periodOfReport			1	o	o	o	o
2	11	NV	notifications			1	o	o	o	O
3	1	String	internetNotificationAddress	80		Unlimited	m#	m#	m#	m#
2	12	NV	moduleSegments			1	o	o	o	O
3	1	NV	moduleSegment			Unlimited	o	o	o	O
4	1	String	modOrSegFlag	10	MODULE_OR_SEGMENT_FLAG	1	m#	m#	m#	m#
4	2	String	nickName	15		1	m#	m#	m#	m#
4	3	String	cik	10		1	o	o	o	O
4	4	String	ccc	8		1	o	o	o	O
4	5	String	documentType		SUB_DOCUMENT_TYPE	1	m#	m#	m#	m#
2	13	NV	documents			1	m	m	m	M
3	1	NV	document			Unlimited	m	m	m	M
4	1	String	conformedName	32		1	m	m	m	M
4	2	String	conformedDocumentType	No info blank cell	SUB_DOCUMENT_TYPE	1	m	m	m	M
4	3	String	description	255		1	o	o	o	O
4	4	String	contents			1	m	m	m	M
2	12	NV	seriesClass			1	o	o	o	O
3	1	NV	reportSeriesClass			1	m#	m#	m#	m#
4	1	boolean	rptIncludeAllSeriesFlag			1	m#	m#	m#	m#

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	PREM14A	PREM14C	PRER14A	PRER14C
4	2	NV	rptSeriesClassInfo			Unlimited	m#	m#	m#	m#
5	1	String	seriesId	10		1	m#	m#	m#	m#
5	2	boolean	includeAllClassesFlag			1	m#	m#	m#	m#
5	3	String	classId	10		Unlimited	m#	m#	m#	m#
3	2	NV	reportClass			1	m#	m#	m#	m#
4	1	boolean	rptIncludeAllClassesFlag		"true" or "false"	1	m#	m#	m#	m#
4	2	String	rptClassId	10		Unlimited	m#	m#	m#	m#
3	3	NV	mergeSeriesClass			1	m#	m#	m#	m#
4	1	NV	mgrSeriesClass			Unlimited	m#	m#	m#	m#
5	1	NV	acquiringInfo			1	m#	m#	m#	m#
6	1	String	acquiringInvCompanyType		MERGE_INV_COMPANY_TYPE	1	m#	m#	m#	m#
6	2	String	acquiringCik	10		1	m#	m#	m#	m#
6	3	String	acquiringSeriesId	10		1	o	o	o	O
6	4	String	acquiringClassId	10		1	o	o	o	O
5	2	NV	targetInfo			1	m#	m#	m#	m#
6	1	String	targetInvCompanyType		MERGE_INV_COMPANY_TYPE	1	m#	m#	m#	m#
6	2	String	targetCik	10		1	m#	m#	m#	m#
6	3	String	targetIncludeAllSeriesFlag		"true" or "false"	1	o	o	o	O
6	4	NV	targetSeriesClassInfo			Unlimited	o	o	o	O
7	1	String	seriesId	10		1	m#	m#	m#	m#
7	2	boolean	includeAllClassesFlag		"true" or "false"	1	m#	m#	m#	m#
7	3	String	classId	10		Unlimited	m#	m#	m#	m#
6	5	String	targetClassInfo			1	o	o	o	O
7	1	boolean	rptIncludeAllClassesFlag			1	m#	m#	m#	m#

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	PREM14A	PREM14C	PRER14A	PRER14C
7	2	String	rptClassId	10		Unlimited	m#	m#	m#	m#
2	15	NV	edgarFee	No info blank cell		1	m	m	m	M
3	1	NV	submissionFee			1	o	o	o	O
4	1	NV	feePayorInformation			1	o	o	o	o
5	1	String	filerId	10		1	m#	m#	m#	m#
5	2	String	filerCcc	8		1	m#	m#	m#	m#
4	2	String	feePaymentMethod	No info blank cell	PAYMENT_METHOD_TYPE	1	o	o	o	O
4	3	Decimal	feeAmount	17		1	m#	m#	m#	m#
4	4	Decimal	feeBasis	17		1	o	o	o	O
3	2	NV	submissionOffering			Unlimited	o	o	o	O
4	1	String	feeOfferingTypeOfPayment		PAYMENT_TYPE	1	o	o	o	O
4	2	String	feeOfferingSecurityName		SECURITY_TYPE	1	o	o	o	O
4	3	Decimal	feeOfferingShares			1	o	o	o	O
4	4	Decimal	feeOfferingAmountPerShare	17		1	o	o	o	O
4	5	Decimal	feeOfferingAggregatePrice	17		1	o	o	o	O
3	3	Decimal	submissionOffset			Unlimited	o	o	o	O
4	1	String	offsetCik	10		1	m#	m#	m#	m#
4	2	String	offsetFormType		SUBMISSION_TYPE	1	o	o	o	O
4	3	String	offsetFileNumber	17		1	m#	m#	m#	m#
4	4	Date	offsetFilingDate			1	m#	m#	m#	m#
4	5	Decimal	offsetAmount	17		1	m#	m#	m#	m#

3.4.7 Mapping of ELO CoReg Serial Submission Schemas to Submission Types

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	424B1	424B2	424B3	424B4	424B5	424B7	424B8
1	1	NV	edgarSubmission	No info blank cell	No info blank cell	1	m	m	m	m	m	M	m
2	1	String	schemaVersion	5	No info blank cell	1	o	o	o	o	o	O	o
2	2	String	liveTestFlag		LIVE TEST TYPE	1	m	m	m	m	m	M	m
2	3	boolean	flags		No info blank cell	1	o	o	o	o	o	O	o
3	1	boolean	confirmingCopyFlag		"true" or "false"	1	o	o	o	o	o	O	o
3	2	boolean	overrideInternetFlag		"true" or "false"	1	o	o	o	o	o	O	o
3	3	boolean	returnCopyFlag		"true" or "false"	1	o	o	o	o	o	O	o
3	4	boolean	serialFlag		"true" or "false"	1	o	o	o	o	o	O	o
2	4	String	submissionType		SUBMISSION TYPE	1	m	m	m	m	m	M	m
2	5	NV	contact			1	o	o	o	o	o	O	o
3	1	String	name	30		1	o	o	o	o	o	O	o
3	2	String	phoneNumber	20		1	o	o	o	o	o	O	o
2	6	No info blank cell	filer			1	m	m	m	m	m	M	m
3	1	String	filerId	10		1	m	m	m	m	m	M	m
3	2	String	filerCcc	8		1	m	m	m	m	m	M	m
3	3	String	fileNumber	17		1	m	m	m	m	m	M	m
2	7	NV	coregs			1	o	o	o	o	o	O	o
3	1	NV	filer	No info blank cell		Unlimited	m#	m#	m#	m#	m#	m#	m#
4	1	String	filerId	10		1	m#	m#	m#	m#	m#	m#	m#
4	2	String	filerCcc	8		1	m#	m#	m#	m#	m#	m#	m#
4	3	String	fileNumber	17		1	m#	m#	m#	m#	m#	m#	m#
2	8	NV	serials			13	o	o	o	o	o	O	o
3	1	String	serialCompanyName	150		Unlimited	m#	m#	m#	m#	m#	m#	m#
2	9	NV	Sros			1	m	m	m	m	m	M	m

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	424B1	424B2	424B3	424B4	424B5	424B7	424B8
3	1	String	srold		SRO TYPE	13	m	m	m	m	m	M	m
2	10	boolean	feeTableInProspectusFlag		"true" or "false"	1	o	o	o	o	o	O	o
2	11	NV	notifications			1	o	o	o	o	o	O	o
3	1	String	internetNotificationAddress	80		Unlimited	m#	m#	m#	m#	m#	m#	m#
2	12	NV	moduleSegments			1	o	o	o	o	o	O	o
3	1	NV	moduleSegment			Unlimited	m#	m#	m#	m#	m#	m#	m#
4	1	boolean	modOrSegFlag		MODULE_OR_SEGMENT_FLAG	1	m#	m#	m#	m#	m#	m#	m#
4	2	String	nickName	15		1	m#	m#	m#	m#	m#	m#	m#
4	3	String	cik	10		1	o	o	o	o	o	O	o
4	4	String	ccc	8		1	o	o	o	o	o	O	o
4	5	String	documentType		SUB_DOCUMENT_TYPE	1	m#	m#	m#	m#	m#	m#	m#
2	13	NV	documents			1	m	m	m	m	m	M	m
3	1	NV	document			Unlimited	m	m	m	m	m	m	m
4	1	string	conformedName	32		1	m	m	m	m	m	m	m
4	2	String	conformedDocumentType	No info blank cell	SUB_DOCUMENT_TYPE	1	m	m	m	m	m	m	m
4	3	String	description	255		1	o	o	o	o	o	o	o
4	4	String	contents			1	m	m	m	m	m	m	m
2	14	NV	edgarFee			1	o	o	o	o	o	o	o
3	1	NV	submissionFee			1	m#	m#	m#	m#	m#	m#	m#
4	1	NV	feePayorInformation			1	o	o	o	o	o	o	o
5	1	String	filerId	10		1	m#	m#	m#	m#	m#	m#	m#
5	2	String	filerCcc	8		1	m#	m#	m#	m#	m#	m#	m#
4	2	String	feePaymentMethod	No info blank cell	PAYMENT_METHOD_TYPE	1	o	o	o	o	o	o	o
4	3	Decimal	feeAmount	17		1	m#	m#	m#	m#	m#	m#	m#
4	4	Decimal	feeBasis	17		1	o	o	o	o	o	o	o
3	2	NV	submissionOffering	No info blank cell		Unlimited	m#	m#	m#	m#	m#	m#	m#
4	1	String	feeOfferingTypeOfPayment		PAYMENT_TYPE	1	o	o	o	o	o	o	o

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	424B1	424B2	424B3	424B4	424B5	424B7	424B8
4	2	String	feeOfferingSecurityName		SECURITY_TYPE	1	o	o	o	o	o	o	o
4	3	Decimal	feeOfferingShares			1	o	o	o	o	o	o	o
4	4	Decimal	feeOfferingAmountPerShare	17		1	o	o	o	o	o	o	o
4	5	Decimal	feeOfferingAggregatePrice	17		1	o	o	o	o	o	o	o
3	3	NV	submissionOffset	No info blank cell		Unlimited	o	o	o	o	o	o	o
4	1	String	offsetCik	10		1	m#	m#	m#	m#	m#	m#	m#
4	2	String	offsetFormType	No info blank cell	SUBMISSION_TYPE	1	o	o	o	o	o	o	o
4	3	String	offsetFileNumber	17		1	m#	m#	m#	m#	m#	m#	m#
4	4	Date	offsetFilingDate	No info blank cell		1	m#	m#	m#	m#	m#	m#	m#
4	5	Decimal	offsetAmount	17		1	m#	m#	m#	m#	m#	m#	m#

3.4.8 Mapping of ELO Subject Submission Schemas to Submission Types

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	40-17F1	40-17F1/A	40-17F2	40-17F2/A	CB	CB/A	F-N	F-N/A	F-X	F-X/A	N-27D-1	N-27D-1/A	SC 14F1	SC 14F1/A
1	1	NV	edgarSubmission			1	m	m	m	m	m	m	m	m	m	m	m	m	m	m
2	1	String	schemaVersion			1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	2	String	liveTestFlag		LIVE TEST TYPE	1	m	m	m	m	m	m	m	m	m	m	m	m	m	m
2	3	NV	Flag		No info blank cell	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	1	boolean	confirmingCopyFlag		"true" or "false"	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
3	2	boolean	returnCopyFlag		"true" or "false"	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
3	3	boolean	overrideInternetFlag		"true" or "false"	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
2	4	String	submissionType		SUBMISSION TYPE	1	m	m	m	m	m	m	m	m	m	m	m	m	m	m
2	5	NV	contact			1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	1	String	Name	30		1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
3	2	String	phoneNumber	20		1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
2	6	NV	filer			1	m	m	m	m	m	m	m	m	m	m	m	m	m	m
3	1	Decimal	filerId	10		1	m	m	m	m	m	m	m	m	m	m	m	m	m	m
3	2	Decimal	filerCcc	8		1	m	m	m	m	m	m	m	m	m	m	m	m	m	m
2	7	NV	subCompanyFiler			1	m	m	m	m	m	m	m	m	m	m	m	m	m	m
3	1	String	filerId	10		1	m	m	m	m	m	m	m	m	m	m	m	m	m	m
3	2	String	irsNumber	9		1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	3	String	fileNumber	17		1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	4	String	filerName	150		1	m	m	m	m	m	m	m	m	m	m	m	m	m	m
2	8	NV	Sros			1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	1	String	srold		SRO TYPE	13	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
2	9	String	periodOfReport			1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	10	String	notifications			1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	1	String	internetNotificationAddress	80	No info blank cell	Unlimited	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	40-17F1	40-17F1/A	40-17F2	40-17F2/A	CB	CB/A	F-N	F-N/A	F-X	F-X/A	N-27D-1	N-27D-1/A	SC 14F1	SC 14F1/A
2	11	NV	moduleSegments			1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	1	NV	moduleSegment			Unlimited	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	1	Decimal	modOrSegFlag		MODULE_OR_SEGMENT_FLAG	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	2	Decimal	nickName	15		1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	3	String	Cik	10		1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	4	String	Ccc	8		1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	5	String	documentType		SUB_DOCUMENT_TYPE	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
2	12	NV	Documents			1	m	m	m	m	m	m	m	m	m	m	m	m	m	m
3	1	NV	document			Unlimited	m	m	m	m	m	m	m	m	m	m	m	m	m	m
4	1	string	conformedName	32		1	m	m	m	m	m	m	m	m	m	m	m	m	m	m
4	2	String	conformedDocumentType		SUB_DOCUMENT_TYPE	1	m	m	m	m	m	m	m	m	m	m	m	m	m	m
4	3	String	description	255		1	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	4	String	contents			1	m	m	m	m	m	m	m	m	m	m	m	m	m	m

3.4.9 Mapping of ELO Subject SC Submission Schemas to Submission Types

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	DEFC14A	DEFC14C	DEFN14A	DFAN14A	DFRN14A	PREC14A	PREC14C	PREN14A	PRRN14A	PX14A6G	PX14A6N
1	1	NV	edgarSubmission			1	m	m	m	m	m	m	m	m	m	m	m
2	1	String	schemaVersion	5		1	o	o	o	o	o	o	o	o	o	o	o
2	2	String	liveTestFlag		LIVE TEST TYPE	1	m	m	m	m	m	m	m	m	m	m	m
2	3	boolean	Flag		No info blank cell	1	o	o	o	o	o	o	o	o	o	o	o
3	1	boolean	confirmingCopyFlag		“true” or “false”	1	o	o	o	o	o	o	o	o	o	o	o
3	2	boolean	delayingAmendmentFlag		“true” or “false”	1	o	o	o	o	o	o	o	o	o	o	o
3	3	boolean	overrideInternetFlag		“true” or “false”	1	o	o	o	o	o	o	o	o	o	o	o
3	4	boolean	returnCopyFlag		“true” or “false”	1	o	o	o	o	o	o	o	o	o	o	o
3	5	boolean	serialFlag		“true” or “false”	1	o	o	o	o	o	o	o	o	o	o	o
2	4	String	submissionType		SUBMISSION TYPE	1	m	m	m	m	m	m	m	m	m	m	m
2	5	NV	contact			1	o	o	o	o	o	o	o	o	o	o	o
3	1	String	Name	30		1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
3	2	String	phoneNumber	20		1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
2	6	NV	filer			1	m	m	m	m	m	m	m	m	m	m	m
3	1	String	filerId	10		1	m	m	m	m	m	m	m	m	m	m	m
3	2	String	filerCcc	8		1	m	m	m	m	m	m	m	m	m	m	m
2	7	NV	subCompanyFiler	No info blank cell		1	m	m	m	m	m	m	m	m	m	m	m
3	1	String	filerId	10		1	m	m	m	m	m	m	m	m	m	m	m
3	2	String	irsNumber	9		1	m	m	m	m	m	m	m	m	m	m	m
3	3	String	fileNumber	17		1	o	o	o	o	o	o	o	o	o	o	m
3	4	String	filerName	150		1	m	m	m	m	m	m	m	m	m	m	m
3	5	NV	filerInvCompany			1	o	o	o	o	o	o	o	o	o	o	o
4	1	boolean	isImCompany		“true” or “false”	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	2	String	invCompanyType		INV COMPANY TYPE	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	DEFC14A	DEFC14C	DEFN14A	DFAN14A	DFRN14A	PREC14A	PREC14C	PREN14A	PRRN14A	PX14A6G	PX14A6N
2	8	NV	Sros			1	m	m	m	m	m	m	m	m	m	m	m
3	1	String	srold		SRO_TYPE		m	m	m	m	m	m	m	m	m	m	m
2	9	String	periodOfReport	10		1	o	o	o	o	o	o	o	o	o	o	o
2	10	NV	Notifications			1	o	o	o	o	o	o	o	o	o	o	o
3	1	String	internetNotificationAddress	80		Unlimited	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
2	11	NV	moduleSegments			1	o	o	o	o	o	o	o	o	o	o	o
3	1	NV	moduleSegment			Unlimited	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
3	1	String	modorSegFlag	1	MODULE_OR_SEGMENT_FLAG	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
3	2	String	nickName	15		1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
3	3	String	Cik	10		1	o	o	o	o	o	o	o	o	o	o	o
3	4	String	Ccc	8		1	o	o	o	o	o	o	o	o	o	o	o
3	5	String	documentType		SUB_DOCUMENT_TYPE	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
2	12	NV	Documents			1	m	m	m	m	m	m	m	m	m	m	m
3	1	NV	Document			Unlimited	m	m	m	m	m	m	m	m	m	m	m
4	1	String	conformedName			1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	2	String	conformedDocumentType		SUB_DOCUMENT_TYPE	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	3	String	description	255		1	o	o	o	o	o	o	o	o	o	o	o
4	4	String	Contents			1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
2	13	NV	seriesClasses			1	o	o	o	o	o	o	o	o	o	o	o
3	1	NV	reportSeriesClass			Unlimited	o	o	o	o	o	o	o	o	o	o	o
4	1	boolean	rptIncludeAllSeriesFlag		"true" or "false"	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	2	NV	rptSeriesClassInfo			1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
5	1	String	seriesId	10		1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
5	2	boolean	includeAllClassesFlag		"true" or "false"	1	o	o	o	o	o	o	o	o	o	o	o
5	3	String	classId	10		Unlimited	o	o	o	o	o	o	o	o	o	o	o

3.4.10 Mapping of ELO Subject Fee Submission Schemas to Submission Types

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	SC 13E1	SC 13E1/A
1	1	NV	edgarSubmission			1	m	m
2	1	String	schemaVersion	5	No info blank cell	1	o	o
2	2	String	liveTestFlag		LIVE TEST TYPE	1	m	m
2	3	boolean	flags		No info blank cell	1	o	o
3	1	boolean	confirmingCopyFlag		"true" or "false"	1	o	o
3	2	Boolean	delayingAmendmentFlag		"true" or "false"	1	o	o
3	2	boolean	returnCopyFlag		"true" or "false"	1	o	o
3	3	boolean	overrideInternetFlag		"true" or "false"	1	o	o
2	4	String	submissionType		SUBMISSION TYPE	1	m	m
2	5	NV	contact			1	o	o
3	1	String	Name	30		1	o	o
3	2	String	phoneNumber	20		1	o	o
2	6	String	filer			1	m	m
3	1	String	filerId	10		1	m	m
3	2	String	filerCcc	8		1	m	m
3	4	String	subCompanyfiler			1	m	m
2	7	NV	subCompanyFiler			1	m	m
3	1	String	filerId	10		1	m	m
3	2	String	irsNumber	9		1	o	o
3	3	String	fileNumber	17		1	m	m
3	4	String	fileName	150		1	m	m
2	8	String	Sros			1	m	m

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	SC 13E1	SC 13E1/A
3	1	String	srold		SRO_TYPE	13	m	m
2	9	String	effectiveDate			1	o	o
2	10	String	Depository	50		1	o	o
2	11	String	References	No info blank cell		1	o	o
3	1	String	references_429	17		Unlimited	m#	m#
2	12	String	Notifications			1	o	o
3	1	String	internetNotificationAddress	80		Unlimited	m#	m#
2	13	NV	moduleSegments			1	o	o
3	1	NV	moduleSegment			Unlimited	m#	m#
4	1	String	modorSegFlag		MODULE_OR_SEGMENT_FLAG	1	m#	m#
4	2	String	nickName	8		1	m#	m#
4	3	String	Cik	10		1	o	o
4	4	String	Ccc	8		1	o	o
4	5	String	documentType		SUB_DOCUMENT_TYPE	1	m#	m#
2	14	String	Documents			1	m	m
3	1	NV	Document			Unlimited	m	m
4	1	string	conformedName			1	m#	m#
4	2	String	conformedDocumentType		SUB_DOCUMENT_TYPE	1	m#	m#
4	3	String	description	255		1	o	o
4	4	String	Contents			1	m#	m#
2	15	NV	edgarFee			1	o	o
3	1	NV	submissionFee			1	m#	m#
4	1	NV	feePayorInformation			1	o	o

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	SC 13E1	SC 13E1/A
5	1	String	filerId	10		1	m#	m#
5	2	String	filerCcc	8		1	m#	m#
4	2	String	feePaymentMethod		PAYMENT_METHOD_TYPE	1	o	o
4	3	Decimal	feeAmount	17		1	m#	m#
4	4	Decimal	feeBasis	17		1	o	o
3	2	NV	submissionOffering			Unlimited	m#	m#
4	1	String	feeOfferingTypeOfPayment		PAYMENT_TYPE	1	o	o
4	2	String	feeOfferingSecurityName		SECURITY_TYPE	1	o	o
4	3	Decimal	feeOfferingShares			1	o	o
4	4	Decimal	feeOfferingAmountPerShare	17		1	o	o
4	5	Decimal	feeOfferingAggregatePrice	17		1	o	o
3	3	NV	submissionOffset			Unlimited	o	o
4	1	String	offsetCik	10		1	m#	m#
4	2	String	offsetFormType		SUBMISSION_TYPE	1	o	o
4	3	String	offsetFileNumber	17		1	m#	m#
4	4	Date	offsetFilingDate			1	m#	m#
4	5	Decimal	offsetAmount	17		1	m#	m#

3.4.11 Mapping of ELO Subject Group Submission Schemas to Submission Types

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	FWP	SC 13D	SC 13D/A	SC 13G	SC 13G/A	SC 14D9	SC 14D9/A	SC TO-C	SC14D9C	SC14D9F	SC14D9F/A
1	1	NV	edgarSubmission			1	m	m	m	m	m	m	m	m	m	m	m
2	1	Decimal	schemaVersion	5	No info blank cell	1	o	o	o	o	o	o	o	o	o	o	o
2	2	String	liveTestFlag		LIVE TEST TYPE	1	m	m	m	m	m	m	m	m	m	m	m
2	3	boolean	flags			1	o	o	o	o	o	o	o	o	o	o	o
3	1	boolean	confirmingCopyFlag		"true" or "false"	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
3	2	boolean	returnCopyFlag		"true" or "false"	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
3	3	boolean	overrideInternetFlag		"true" or "false"	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
2	4	String	submissionType		SUBMISSION TYPE	1	m	m	m	m	m	m	m	m	m	m	m
2	5		filer			1	m	m	m	m	m	m	m	m	m	m	m
3	1	Decimal	filerId	10		1	m	m	m	m	m	m	m	m	m	m	m
3	2	Decimal	filerCcc	8		1	m	m	m	m	m	m	m	m	m	m	m
3	3	Decimal	fileNumber			1	o	o	o	o	o	o	o	o	o	o	o
2	6	NV	comboFormTypes			1	o	o	o	o	o	o	o	o	o	o	o
3	1	String	comboFormType		COMBO SUBMISSION TYPE COMBO SUBMISSION TYPE 1	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
2	6	NV	subCompanyFiler			1	m	m	m	m	m	m	m	m	m	m	m
3	1	String	filerId	10		1	m	m	m	m	m	m	m	m	m	m	m
3	2	String	irsNumber	9		1	o	o	o	o	o	o	o	o	o	o	o
3	3	String	fileNumber	17		1	o	o	o	o	o	o	o	o	o	o	o
3	4	String	filerName	150		1	m	m	m	m	m	m	m	m	m	m	m
3	5	String	groupName	150		Unlimited	o	o	o	o	o	o	o	o	o	o	o

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	FWP	SC 13D	SC 13D/A	SC 13G	SC 13G/A	SC 14D9	SC 14D9/A	SC TO-C	SC14D9C	SC14D9F	SC14D9F/A
2	7	NV	contact			1	o	o	o	o	o	o	o	o	o	o	o
3	1	String	name	30		1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
3	2	String	phoneNumber	20		1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
2	8	String	Sros			1	o	o	o	o	o	o	o	o	o	o	o
3	1	String	srold		SRO TYPE	13	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
2	9	boolean	rule163Flag		"true" or "false"	1	o	o	o	o	o	o	o	o	o	o	o
2	10	boolean	rule433Flag		"true" or "false"	1	o	o	o	o	o	o	o	o	o	o	o
2	11	boolean	firstFilingIssuingEntityFlag		"true" or "false"	1	o	o	o	o	o	o	o	o	o	o	o
2	12	String	depositor	150		1	o	o	o	o	o	o	o	o	o	o	o
2	13	NV	notifications			1	o	o	o	o	o	o	o	o	o	o	o
3	1	String	internetNotificationAddress	80		Unlimited	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
2	14	NV	moduleSegments			1	o	o	o	o	o	o	o	o	o	o	o
3	1	NV	moduleSegment			Unlimited	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	1	String	modorSegFlag		MODULE OR SEGMENT FLAG	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	2	String	nickName	8		1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	3	String	Cik	10		1	o	o	o	o	o	o	o	o	o	o	o
4	4	String	Ccc	3		1	o	o	o	o	o	o	o	o	o	o	o
4	5	String	documentType	5	SUB DOCUMENT TYPE	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
2	15	NV	documents			1	m	m	m	m	m	m	m	m	m	m	m
3	1	NV	document			Unlimited	m	m	m	m	m	m	m	m	m	m	m
4	1	String	conformedName	32		1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	2	String	conformedDocumentType		SUB DOCUMENT TYPE	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	3	String	description	255		1	o	o	o	o	o	o	o	o	o	o	o

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	FWP	SC 13D	SC 13D/A	SC 13G	SC 13G/A	SC 14D9	SC 14D9/A	SC TO-C	SC14D9C	SC14D9F	SC14D9F/A
4	4	String	contents			1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#

3.4.12 Mapping of ELO Subject Group SC Submission Schemas to Submission Types

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	425
1	1	NV	edgarSubmission			1	m
2	1	String	schemaVersion	5	No info blank cell	1	o
2	2	String	liveTestFlag		LIVE_TEST_TYPE	1	m
2	3	NV	flag			1	o
3	1	boolean	confirmingCopyFlag		"true" or false"	1	o
3	2	boolean	returnCopyFlag		"true" or false"	1	o
3	3	boolean	overrideInternetFlag		"true" or false"	1	o
2	4	String	submissionType		SUBMISSION_TYPE	1	m
2	5	NV	filer			1	m
3	1	String	filerId	10		1	m
3	2	String	filerCcc	8		1	m
2	6	NV	subCompanyFiler	No info blank cell		1	m
3	1	String	filerId	10		1	m
3	2	String	fileNumber	17		1	m
3	3	String	fileName	150		1	m
3	4	NV	filerInvCompany			1	m#
4	1	boolean	islmCompany			1	m#

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	425
4	2	String	invCompanyType			1	m
3	5	String	groupName			Unlimited	m#
2	7	NV	contact			1	o
3	1	String	Name	30		1	o
3	2	String	phoneNumber	20		1	o
2	8	String	Sros			1	m
3	1	String	srold		SRO_TYPE	13	m
2	9	boolean	rule163Flag		"true" or "false"	1	o
2	10	boolean	rule433Flag		"true" or "false"	1	o
2	11	NV	Notifications			1	o
3	1	String	internetNotificationAddress	80		Unlimited	m#
2	12	NV	moduleSegments			1	o
3	1	NV	moduleSegment			Unlimited	m#
4	1	String	modOrSegFlag		MODULE_OR_SEGMENT_FLAG	1	m#
4	2	String	nickName	8		1	m#
4	3	String	Cik	10		1	o
4	4	String	ccc	8		1	o
4	5	NV	documentType			1	m#
2	12	NV	Documents			1	m
3	1	NV	document			Unlimited	m
4	1	String	conformedName	32		1	m
4	2	String	conformedDocumentType	No info blank cell	SUB_DOCUMENT_TYPE	1	m
4	3	String	description	255		1	o
4	4	String	contents			1	m
2	13	NV	seriesClasses			1	o
3	1	NV	mgrSeriesClass			1	o
4	1	NV	acquiringInfo			1	m

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	425
5	1	NV	acquiringInvCompanyType			1	m
5	2	String	acquiringCik	10		1	m
5	3	String	acquiringSeriesId	150		1	m#
5	4	String	acquiringClassId	10		1	m#
3	2	NV	targetInfo			1	m
4	1	NV	targetInvCompanyType			1	m
4	2	String	targetCik	10		1	m
4	3	boolean	targetIncludeAllSeriesFlag		"true" or "false"	1	o
4	4	NV	targetSeriesClassInfo			Unlimited	o
5	1	String	seriesId	10		1	m
5	2	boolean	includeAllClassesFlag	No info blank cell		1	m
5	3	String	classId	10		Unlimited	m
4	5	NV	targetClassInfo			1	o
5	1	boolean	rptIncludeAllClassesFlag		"true" or "false"	1	m
5	2	String	rptClassId	10	No info blank cell	unlimited	m

3.4.13 Mapping of ELO Subject Group Fee Submission Schemas to Submission Types

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	SC 13E3	SC 13E3/A	SC TO-I	SC TO-I/A	SC TO-T	SC TO-T/A	SC13E4F	SC13E4F/A	SC14D1F	SC14D1F/A
1	1	NV	edgarSubmission			1	m	m	m	m	m	m	m	m	m	m
2	1	String	schemaVersion	5	No info blank cell	1	o	o	o	o	o	o	o	o	o	o
2	2	String	liveTestFlag		LIVE TEST TYPE	1	m	m	m	m	m	m	m	m	m	m
2	3	NV	flag		No info blank cell	1	o	o	o	o	o	o	o	o	o	o
3	1	boolean	confirmingCopyFlag		"true" or false"	1	o	o	o	o	o	o	o	o	o	o
3	2	boolean	overrideInternetFlag		"true" or false"	1	o	o	o	o	o	o	o	o	o	o
3	3	boolean	returnCopyFlag		"true" or false"	1	o	o	o	o	o	o	o	o	o	o
2	4	String	submissionType		SUBMISSION TYPE	1	m	m	m	m	m	m	m	m	m	m
2	5	NV	filer			1	m	m	m	m	m	m	m	m	m	m
3	1	String	filerId	10		1	m	m	m	m	m	m	m	m	m	m
3	2	String	filerCcc	8		1	m	m	m	m	m	m	m	m	m	m
2	6	NV	comboFormTypes			1	o	o	o	o	o	o	o	o	o	o
3	1	String	comboFormType		COMBO SUBMISSION TYPE	2	o	o	o	o	o	o	o	o	o	o
2	7	NV	subCompanyFiler			1	m	m	m	m	m	m	m	m	m	m
3	1	String	filerId	10		1	m	m	m	m	m	m	m	m	m	m
3	2	String	irsNumber	9		1	m	m	m	m	m	m	m	m	m	m
3	2	String	irsNumber	7		1	m	m	m	m	m	m	m	m	m	m
3	3	String	filerName	150		1	m	m	m	m	m	m	m	m	m	m
2	8	NV	contact			1	o	o	o	o	o	o	o	o	o	o
3	1	String	Name	30		1	o	o	o	o	o	o	o	o	o	o
3	2	String	phoneNumber	20		1	o	o	o	o	o	o	o	o	o	o
2	9	String	Sros			1	m	m	m	m	m	m	m	m	m	m
3	1	String	sroid		SRO TYPE	13	m	m	m	m	m	m	m	m	m	m
2	10	NV	Notifications			1	o	o	o	o	o	o	o	o	o	o
3	1	String	internetNotificationAddress	80		Unlimited	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
2	11	NV	moduleSegments			1	o	o	o	o	o	o	o	o	o	o

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	SC 13E3	SC 13E3/A	SC TO-I	SC TO-I/A	SC TO-T	SC TO-T/A	SC13E4F	SC13E4F/A	SC14D1F	SC14D1F/A
3	1	NV	moduleSegment		No info blank cell	Unlimited	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	1	String	modOrSegFlag		MODULE_OR_SEGMENT_FLAG	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	2	String	nickName	15		1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	3	String	Cik	10		1	o	o	o	o	o	o	o	o	o	o
4	4	String	Ccc	8		1	o	o	o	o	o	o	o	o	o	o
4	5	NV	documentType		SUB_DOCUMENT_TYPE	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
2	12	NV	Documents			1	m	m	m	m	m	m	m	m	m	m
3	1	NV	Document			Unlimited	m	m	m	m	m	m	m	m	m	m
4	1	String	conformedName	32		1	m	m	m	m	m	m	m	m	m	m
4	2	String	conformedDocumentType	No info blank cell	SUB_DOCUMENT_TYPE	1	m	m	m	m	m	m	m	m	m	m
4	3	String	description	255		1	o	o	o	o	o	o	o	o	o	o
4	4	String	contents			1	m	m	m	m	m	m	m	m	m	m
2	13	NV	edgarFee													
3	1	NV	submissionFee			1	o	o	o	o	o	o	o	o	o	o
4	1	NV	feePayorInformation			1	o	o	o	o	o	o	o	o	o	o
5	1	String	filerId	10		1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
5	2	String	filerCcc	8		1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	2	String	feePaymentMethod		PAYMENT_METHOD_TYPE	1	o	o	o	o	o	o	o	o	o	o
4	3	decimal	feeAmount	17		1	m	m	m	m	m	m	m	m	m	m
4	4	decimal	feeBasis	17		1	o	o	o	o	o	o	o	o	o	o
3	2	NV	submissionOffset			Unlimited	o	o	o	o	o	o	o	o	o	o
4	1	String	offsetCik	10		1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	2	String	offsetFormType		SUBMISSION_TYPE	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	3	String	offsetFileNumber	17		1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	4	String	offsetFilingDate			1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	5	decimal	offsetAmount			1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#

3.4.14 Mapping of ELO Correspondence Submission Schemas to Submission Types

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	CORRESP
1	1	NV	edgarSubmission	No info blank cell	No info blank cell	1	m
2	1	String	schemaVersion	5	No info blank cell	1	o
2	2	String	liveTestFlag		LIVE_TEST_TYPE	1	m
2	3	NV	flags		No info blank cell	1	o
3	1	boolean	returnCopyFlag		“true” or false”	1	o
3	2	boolean	overrideInternetFlag		“true” or false”	1	o
2	4	String	submissionType		SUBMISSION_TYPE	1	m
2	5	NV	contact			1	o
3	1	String	name	30		1	o
3	2	String	phoneNumber	20		1	o
2	6	NV	filer			1	m
3	1	String	filerId	10		1	m
3	2	String	filerCcc	8		1	m
2	7	NV	coregs	No info blank cell		Unlimited	o
3	1	String	filerId	10		1	m
3	2	String	filerCcc	8		1	m
2	8	NV	notifications	No info blank cell		1	o
3	1	String	internetNotificationAddress	80		Unlimited	m#
2	9	NV	moduleSegments			1	o
3	1	NV	moduleSegment			Unlimited	m#
4	1	String	modOrSegFlag		MODULE_OR_SEGMENT_FLAG	1	m#
4	2	String	nickName	15		1	m#
4	3	String	cik	10		1	o
4	4	String	ccc	8		1	o

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	CORRESP
4	5	NV	documentType		SUB_DOCUMENT_TYPE	1	m#
2	10	NV	documents			1	m
3	1	NV	document			Unlimited	m
4	1	String	conformedName	32		1	m
4	2	String	conformedDocumentType	No info blank cell	SUB_DOCUMENT_TYPE	1	m
4	3	String	description	255		1	o
4	4	String	contents	No info blank cell		1	m

3.4.15 Mapping of ELO Bulk Submission Schemas to Submission Types

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	Bulk
1	1	NV	edgarBulkSubmission	No info blank cell		1	o
2	1	String	schemaVersion	5		1	o
2	2	String	liveTestFlag		LIVE TEST TYPE	1	m
2	3	NV	Attachements			1	m
3	1	NV	Attachement			Unlimited	m#
4	1	String	submissionName	32		1	m#
4	2	String	Description	255		1	o
4	3	String	Contents			1	m#

3.4.16 Mapping of Ownership Submission Schemas to Submission Types

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur							144	144/A
1	1	NV	edgarSubmission			1							m	m
2	1	String	schemaVersion	5	No info blank cell	1							o	o
2	2	String	liveTestFlag		LIVE_TEST_TYPE	1							m	m
2	3	NV	flag			1							o	o
3	3	boolean	overrideInternetFlag		"true" or false"	1							o	o
3	4	boolean	returnCopyFlag		"true" or false"	1							o	o
2	4	String	submissionType		SUBMISSION_TYPE	1							m	m
2	5	NV	contact			1							o	o
3	1	String	Name	30		1							o	o
3	2	String	phoneNumber	20		1							o	o
2	6	NV	Filer			1							m	m
3	1	String	filerId	10		1							m	m
3	2	String	filerCcc	8		1							m	m
3	3	String	filerRelationship		RPT_OWNER_RELATIONSHIP_TYPE	1							m	m
2	7	NV	subCompanyFiler			1							m	m
3	1	String	filerId	10		1							m	m
3	2	String	irsNumber	7		1							m	m
3	3	String	filerName	150		1							m	m
2	8	NV	Sros			1							m	m
3	1	String	srold		SRO_TYPE	13							m	m
2	9	NV	Notifications			1							o	o
3	1	String	internetNotificationAddress	80		Unlimited							m#	m#
2	10	NV	moduleSegments			1							o	o
3	1	NV	moduleSegment			Unlimited							m#	m#
4	1	String	modOrSegFlag		MODULE_OR_SEGMENT_FLAG	1							m#	m#
4	2	String	nickName	15	No info blank cell	1							m#	m#

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur								144	144/A
4	3	String	Cik	10		1								o	o
4	4	String	Ccc	8		1								o	o
4	5	NV	documentType		SUB_DOCUMENT_TYPE	1								m#	m#
2	11	NV	Documents			1								m	m
3	1	NV	Document			Unlimited								m	m
4	1	String	conformedName	32		1								m	m
4	2	String	conformedDocumentType	No info blank cell	SUB_DOCUMENT_TYPE	1								m	m
4	3	String	description	255		1								o	o
4	4	String	contents	No info blank cell		1								m	m

3.4.17 Mapping of Modules and Segments Submission Schemas to Submission Types

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	MODULE	SEGMENT
1	1	NV	edgarSubmission			1	m	m
2	1	String	schemaVersion	5		1	o	o
2	2	String	liveTestFlag		LIVE_TEST_TYPE	1	m	m
2	3	NV	Flag			1	o	o
3	1	boolean	overrideInternetFlag		"true" or "false"	1	m#	m#
3	2	boolean	returnCopyFlag		"true" or "false"	1	m#	m#
2	4	String	submissionType		SUBMISSION_TYPE	1	m	m
2	5	NV	contact			1	o	o
3	1	String	Name	30		1	m#	m#
3	2	String	phoneNumber	20		1	m#	m#
2	6	NV	filer			1	m	m
3	1	String	filerId	10		1	m	m

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	MODULE	SEGMENT
3	2	String	filerCcc	8		1	m	m
3	3	String	fileNumber	17		1	m	m
2	7	NV	notifications			1	o	o
3	1	String	internetNotificationAddress	80		Unlimited	m#	m#
2	8	NV	Documents			1	m	m
3	1	NV	Document			Unlimited	m	m
4	1	String	conformedName	32		1	m	m
4	2	String	conformedDocumentType		SUB_DOCUMENT_TYPE	1	m	m
4	3	String	Description	255		1	o	o
4	4	String	Contents			1	m	m

3.4.18 Mapping of Single Filer Subject Submission Schemas to Submission Types

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	ABS-15G	ABS-1G/A
1	1	NV	edgarSubmission	No info blank cell		1	m	m
2	1	String	schemaVersion	5		1	o	o
2	2	String	liveTestFlag		LIVE_TEST_TYPE	1	m	m
2	3	boolean	flag		No info blank cell	1	o	o
3	1	boolean	confirmingCopyFlag		“true” or “false”	1	o	o
3	2	boolean	overrideInternetFlag		“true” or “false”	1	o	o
3	3	boolean	returnCopyFlag		“true” or “false”	1	o	o
2	4	NV	contact			1	o	o
3	1	String	Name	30		1	o	o

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	ABS-15G	ABS-1G/A
3	2	String	phoneNumber	20		1	o	o
2	5	NV	sros			1	m	m
3	1	String	srold		SRO_TYPE	13	m	m
2	6	Date	periodOfReport		DATE_TYPE	1	o	o
2	7	String	submissionType		ABS_15G_SUBMISSION_TYPE	1	m	m
2	8	String	accessionNumber		No info blank cell	1	o	o
2	9	String	assetClassType		ASSET_CLASS_TYPE	1	m	m
2	10	NV	filer			1	m	m
3	1	String	filerId	10		1	m	m
3	2	String	filerCcc	8		1	m	m
3	3	String	fileNumber	17		1	o	o
2	11	NV	rule15gaType			1	m	m
3	1	NV	rule15ga1Type			1	m	m
4	1	boolean	isRule15Ga1		"true" or "false"	1	m	m
4	2	String	rule15Ga1Item		RULE15GA1_ITEM_TYPE	1	m#	m#
3	2	NV	rule15ga2Type		No info blank cell	1	m#	m#
4	1	boolean	isRule15Ga2		"true" or "false"	1	m	m
4	2	String	rule15Ga2Item		RULE15GA2_ITEM_TYPE	1	m	m
4	3	String	securitizerFileNumber	17	FILE_NUMBER_TYPE	1	o	o
4	3	String	isRegistered	No info blank cell	"yes" or "no"	1	o	o
4	4	String	serialFileNumber	17		1	o	o
4	5	NV	issuerType	No info blank cell		1	o	o
5	1	NV	issuerCIK	10		1	m#	m#

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	ABS-15G	ABS-1G/A
5	2	NV	issuerEntityName	30		1	m#	m#
2	12	NV	notifications	No info blank cell		1	o	o
3	1	String	internetNotificationAddress	80		Unlimited	m#	m#
2	13	NV	moduleSegments	No info blank cell		1	o	o
3	1	NV	moduleSegments	15		Unlimited	m#	m#
4	1	String	modOrSegFlag	10	MODULE OR SEGMENT FLAG	1	m#	m#
4	2	String	nickName	8		1	m#	m#
4	3	String	cik	10		1	o	o
4	4	String	ccc	8		1	o	o
4	5	String	documentType		SUB DOCUMENT TYPE	1	m#	m#
2	14	NV	documents			1	m	m
3	1	NV	document			Unlimited	m	m
4	1	string	conformedName	32		1	m	m
4	2	String	conformedDocumentType	No info blank cell	SUB DOCUMENT TYPE	1	m	m
4	3	String	description	255		1	o	o
4	4	String	contents	No info blank cell		1	m	m

3.4.19 Mapping of Subject CoReg Submission Schemas to Submission Types

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	SC 14N	SC 14N/A	SC 14N-S	SC 14N-S/A
1	1	NV	edgarSubmission			1	m	m	m	m

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	SC 14N	SC 14N/A	SC 14N-S	SC 14N-S/A
2	1	String	schemaVersion	5		1	o	o	o	o
2	2	String	liveTestFlag		LIVE TEST TYPE	1	m	m	m	m
2	3	NV	Flag			1	o	o	o	o
3	1	boolean	confirmingCopyFlag		"true" or "false"	1	o	o	o	o
3	2	boolean	returnCopyFlag		"true" or "false"	1	o	o	o	o
3	3	boolean	overrideInternetFlag		"true" or "false"	1	o	o	o	o
2	4	String	submissionType		SUBMISSION TYPE	1	m	m	m	m
2	5	NV	filer			1	m	m	m	m
3	1	String	filerId	10		1	m	m	m	m
3	2	String	filerCcc	8		1	m	m	m	m
2	6	boolean	isN1orN3InvCompany		"true" or "false"	1	o	o	o	o
2	7	NV	subCompanyFiler			1	m	m	m	m
3	1	String	filerId	10		1	m	m	m	m
3	2	String	irsNumber	9		1	o	o	o	o
3	3	String	fileNumber	17		1	o	o	o	o
3	4	String	filerName	150		1	m	m	m	m
2	8	NV	coregs			1	o	o	o	o
3	1	NV	filer			Unlimited	o	o	o	o
3	1	String	filerId	10		1	m#	m#	m#	m#
3	2	String	filerCcc	8		1	m#	m#	m#	m#
2	5	NV	contact			1	o	o	o	o
3	1	String	name	30		1	o	o	o	o
3	2	String	phoneNumber	20		1	o	o	o	o
2	7	NV	sros			1	m	m	m	m
3	1	String	srold		SRO TYPE	13	m	m	m	m
2	9	NV	notifications			1	o	o	o	o

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	SC 14N	SC 14N/A	SC 14N-S	SC 14N-S/A
3	1	String	internetNotificationAddress	80		Unlimited	m#	m#	m#	m#
2	10	NV	moduleSegments			1	o	o	o	o
3	1	NV	moduleSegment			Unlimited	m#	m#	m#	m#
4	1	String	modOrSegFlag		MODULE OR SEGMENT FLAG	1	m#	m#	m#	m#
4	2	String	nickName	15		1	m#	m#	m#	m#
4	3	String	cik	10		1	o	o	o	o
4	4	String	ccc	8		1	o	o	o	o
4	5	String	documentType		SUB_DOCUMENT_TYPE	1	m#	m#	m#	m#
2	11	NV	documents			1	m	m	m	m
3	1	NV	document			Unlimited	m	m	m	m
4	1	String	conformedName	32		1	m	m	m	m
4	2	String	conformedDocumentType		SUB_DOCUMENT_TYPE	1	m	m	m	m
4	3	String	description	255		1	o	o	o	o
4	4	String	contents			1	m	m	m	m
2	12	NV	reportseries			1	m	m	m	m
3	1	boolean	rptIncludeAllSeriesFlag		"true" or "false"	1	m	m	m	m
4	2	NV	rptSeriesClassInfo			Unlimited	m	m	m	m
5	1	String	seriesId	10		1	m	m	m	m
5	3	boolean	includeAllClassesFlag		"true" or "false"	1	m	m	m	m
5	2	String	classId	10		Unlimited	o	o	o	o

3.4.20.1 Mapping of Subject CoReg SC Submission Schemas to Submission Types

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	485APOS	485BPOS	485BXT	497	497AD	497J	497K	DEF 14A	DEF 14C	DEFA14A	DEFA14C	DEFR14A	DEFR14C	DEFM14A	DEFM14C	N-1A
1	1	NV	edgarSubmission	No info blank cell	No info blank cell	1	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m
2	1	String	schemaVersion	5	No info blank cell	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	2	String	liveTestFlag	No info blank cell	LIVE TEST TYPE	1	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m
2	3	NV	flags	No info blank cell	No info blank cell	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	1	boolean	confirmingCopyFlag	No info blank cell	"true" or "false"	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	2	boolean	delayingAmendmentFlag	No info blank cell		1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	3	boolean	returnCopyFlag	No info blank cell	"true" or "false"	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	4	boolean	overrideInternetFlag	No info blank cell	"true" or "false"	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	4	String	submissionType	No info blank cell	SUBMISSION TYPE	1	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m
2	5	NV	contact	No info blank cell	No info blank cell	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	1	String	name	30	No info blank cell	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	2	String	phoneNumber	20	No info blank cell	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	6	NV	filer	No info blank cell	No info blank cell	1	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m
3	1	String	filerId	10	No info blank cell	1	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m
3	2	String	filerCcc	8	No info blank cell	1	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m
3	3	String	fileNumber	17	No info blank cell	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	7	NV	coregs	No info blank cell	No info blank cell	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	1	NV	filer	No info blank cell	No info blank cell	Unlimited	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	1	String	filerId	10	No info blank cell	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	2	String	filerCcc	8	No info blank cell	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	3	String	fileNumber	17	No info blank cell	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	8	NV	sros	No info blank cell	No info blank cell	1	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	485APOS	485BPOS	485BXT	497	497AD	497J	497K	DEF 14A	DEF 14C	DEFA14A	DEFA14C	DEFR14A	DEFR14C	DEFM14A	DEFM14C	N-1A
3	1	String	srold	No info blank cell	SRO_TYPE	13	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m
2	9	String	act		ACT_TYPE	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	10	Date	periodOfReport			1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	11	Date	effectiveDate			1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	12	String	invCompany		INV_COMPANY_TYPE	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	13	NV	notifications	No info blank cell	No info blank cell	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	1	String	internetNotificationAddress	No info blank cell	No info blank cell	Unlimited	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
2	14	NV	moduleSegments	No info blank cell	No info blank cell	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	1	NV	moduleSegment	No info blank cell	No info blank cell	Unlimited	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	1	String	modOrSegFlag	No info blank cell	MODULE OR SEGMENT_FLAG	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	2	String	nickName	15	No info blank cell	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	3	String	cik	10	No info blank cell	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	4	String	ccc	8	No info blank cell	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	5	String	documentType	No info blank cell	SUB_DOCUMENT_TYPE	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
2	15	NV	documents	No info blank cell	No info blank cell	1	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m
3	1	NV	document	No info blank cell	No info blank cell	Unlimited	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m
4	1	String	conformedName	32	No info blank cell	1	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m
4	2	String	conformedDocumentType	No info blank cell	SUB_DOCUMENT_TYPE	1	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m
4	3	String	description	255	No info blank cell	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	4	String	contents	No info blank cell	No info blank cell	1	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m
2	16	NV	seriesClasses	No info blank cell	No info blank cell	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	1	NV	mergeSeriesClass	No info blank cell	No info blank cell	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	1	NV	acquiringInfo	No info blank cell	No info blank cell	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
5	1	String	acquiringInvCompanyType	No info blank cell	MERGE_INV_COMPANY_TYPE	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
5	2	String	acquiringCik	10	No info blank cell	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	485APOS	485BPOS	485BXT	497	497AD	497J	497K	DEF 14A	DEF 14C	DEFA14A	DEFA14C	DEFR14A	DEFR14C	DEFM14A	DEFM14C	N-1A
5	3	String	acquiringSeriesId	150	No info blank cell	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
5	4	String	acquiringClassId	10	No info blank cell	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	2	NV	targetInfo	No info blank cell	No info blank cell	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
5	1	String	targetInvCompanyType	No info blank cell	MERGE INV COMPANY TYPE	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
5	2	String	targetCik	10	No info blank cell		m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
5	3	boolean	targetIncludeAllSeriesFlag	No info blank cell	“true” or “false”	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
5	4	NV	targetSeriesClassInfo	No info blank cell	No info blank cell	Unlimited	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
6	1	String	seriesId	10	No info blank cell	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
6	2	boolean	includeAllClassesFlag	No info blank cell	“true” or “false”	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
6	3	String	classId	10	No info blank cell	Unlimited	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
3	2	NV	reportSeriesClass	No info blank cell	No info blank cell	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	1	boolean	rptIncludeAllSeriesFlag	No info blank cell	“true” or “false”	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	2	NV	rptSeriesClassInfo	No info blank cell	No info blank cell	Unlimited	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
5	1	String	seriesId	10	No info blank cell	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
5	2	boolean	includeAllClassesFlag	No info blank cell	“true” or “false”	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
5	3	String	classId	10	No info blank cell	Unlimited	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
3	3	NV	reportClass	No info blank cell	No info blank cell	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	1	boolean	rptIncludeAllClassesFlag	No info blank cell	“true” or “false”	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	2	String	rptClassId	10	No info blank cell	Unlimited	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
3	4	NV	newSeriesClass	No info blank cell	No info blank cell	Unlimited	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	1	String	seriesName	150	No info blank cell	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	2	boolean	useCompNameAsSeriesNameFlag	No info blank cell	“true” or “false”	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	3	String	className	150	No info blank cell	Unlimited	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	4	boolean	useSeriesNameAsClassNameFlag	No info blank cell	“true” or “false”	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	5	NV	newClass2	No info blank cell	No info blank cell	Unlimited	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	1	String	seriesId	10	No info blank cell	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	485APOS	485BPOS	485BXT	497	497AD	497J	497K	DEF 14A	DEF 14C	DEFA14A	DEFA14C	DEFR14A	DEFR14C	DEFM14A	DEFM14C	N-1A
4	2	String	className	150	No info blank cell	Unlimited	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
3	6	NV	newClass	No info blank cell	No info blank cell	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	1	String	className	150	No info blank cell	Unlimited	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	2	boolean	useCompNameAsClassNameFlag	No info blank cell	"true" or "false"	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o

3.4.20.2 Mapping of Subject CoReg SC Submission Schemas to Submission Types

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	N-1A/A	N-14	N-14/A	N-3	N-3/A	N-30B-2	N-30D	N-30D/A	N-4	N-4/A	N-6	N-6/A	N-CSR	N-CSR/A	N-CSRS	N-CSRS/A
1	1	NV	edgarSubmission	No info blank cell	No info blank cell	1	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m
2	1	String	schemaVersion	5	No info blank cell	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	2	String	liveTestFlag	No info blank cell	LIVE TEST TYPE	1	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m
2	3	NV	flags	No info blank cell	No info blank cell	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	1	boolean	confirmingCopyFlag	No info blank cell	"true" or "false"	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	2	boolean	delayingAmendmentFlag	No info blank cell		1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	3	boolean	returnCopyFlag	No info blank cell	"true" or "false"	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	4	boolean	overrideInternetFlag	No info blank cell	"true" or "false"	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	4	String	submissionType	No info blank cell	SUBMISSION TYPE	1	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m
2	5	NV	contact	No info blank cell	No info blank cell	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	1	String	name	30	No info blank cell	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	2	String	phoneNumber	20	No info blank cell	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	6	NV	filer	No info blank cell	No info blank cell	1	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m
3	1	String	filerId	10	No info blank cell	1	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	N-1/A	N-14	N-14/A	N-3	N-3/A	N-30B-2	N-30D	N-30D/A	N-4	N-4/A	N-6	N-6/A	N-CSR	N-CSR/A	N-CSRS	N-CSRS/A
3	2	String	filerCcc	8	No info blank cell	1	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m
3	3	String	fileNumber	17	No info blank cell	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	7	NV	coregs	No info blank cell	No info blank cell	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	1	NV	filer	No info blank cell	No info blank cell	Unlimited	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	1	String	filerId	10	No info blank cell	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	2	String	filerCcc	8	No info blank cell	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	3	String	fileNumber	17	No info blank cell	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	8	NV	sros	No info blank cell	No info blank cell	1	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m
3	1	String	srold	No info blank cell	SRO_TYPE	13	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m
2	9	String	act		ACT_TYPE	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	10	Date	periodOfReport			1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	11	Date	effectiveDate			1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	12	String	invCompany		INV_COMPANY_TYPE	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	13	NV	notifications	No info blank cell	No info blank cell	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	1	String	internetNotificationAddress	No info blank cell	No info blank cell	Unlimited	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
2	14	NV	moduleSegments	No info blank cell	No info blank cell	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	1	NV	moduleSegment	No info blank cell	No info blank cell	Unlimited	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	1	String	modOrSegFlag	No info blank cell	MODULE_OR_SEGMENT_FLAG	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	2	String	nickName	15	No info blank cell	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	3	String	cik	10	No info blank cell	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	4	String	ccc	8	No info blank cell	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	5	String	documentType	No info blank cell	SUB_DOCUMENT_TYPE	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
2	15	NV	documents	No info blank cell	No info blank cell	1	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m
3	1	NV	document	No info blank cell	No info blank cell	Unlimited	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m
4	1	String	conformedName	32	No info blank cell	1	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	N-1/A	N-14	N-14/A	N-3	N-3/A	N-30B-2	N-30D	N-30D/A	N-4	N-4/A	N-6	N-6/A	N-CSR	N-CSR/A	N-CSRS	N-CSRS/A
4	2	String	conformedDocumentType	No info blank cell	SUB_DOCUMENT TYPE	1	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m
4	3	String	description	255	No info blank cell	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	4	String	contents	No info blank cell	No info blank cell	1	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m
2	16	NV	seriesClasses	No info blank cell	No info blank cell	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	1	NV	mergeSeriesClass	No info blank cell	No info blank cell	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	1	NV	acquiringInfo	No info blank cell	No info blank cell	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
5	1	String	acquiringInvCompanyType	No info blank cell	MERGE_INV_COMPANY TYPE	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
5	2	String	acquiringCik	10	No info blank cell	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
5	3	String	acquiringSeriesId	150	No info blank cell	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
5	4	String	acquiringClassId	10	No info blank cell	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	2	NV	targetInfo	No info blank cell	No info blank cell	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
5	1	String	targetInvCompanyType	No info blank cell	MERGE_INV_COMPANY TYPE	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
5	2	String	targetCik	10	No info blank cell		m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
5	3	boolean	targetIncludeAllSeriesFlag	No info blank cell	"true" or "false"	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
5	4	NV	targetSeriesClassInfo	No info blank cell	No info blank cell	Unlimited	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
6	1	String	seriesId	10	No info blank cell	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
6	2	boolean	includeAllClassesFlag	No info blank cell	"true" or "false"	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
6	3	String	classId	10	No info blank cell	Unlimited	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
3	2	NV	reportSeriesClass	No info blank cell	No info blank cell	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	1	boolean	rptIncludeAllSeriesFlag	No info blank cell	"true" or "false"	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	2	NV	rptSeriesClassInfo	No info blank cell	No info blank cell	Unlimited	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
5	1	String	seriesId	10	No info blank cell	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
5	2	boolean	includeAllClassesFlag	No info blank cell	"true" or "false"	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
5	3	String	classId	10	No info blank cell	Unlimited	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
3	3	NV	reportClass	No info blank cell	No info blank cell	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	1	boolean	rptIncludeAllClassesFlag	No info blank cell	"true" or "false"	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	N-1/A	N-14	N-14/A	N-3	N-3/A	N-30B-2	N-30D	N-30D/A	N-4	N-4/A	N-6	N-6/A	N-CSR	N-CSR/A	N-CSRS	N-CSRS/A
4	2	String	rptClassId	10	No info blank cell	Unlimited	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
3	4	NV	newSeriesClass	No info blank cell	No info blank cell	Unlimited	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	1	String	seriesName	150	No info blank cell	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	2	boolean	useCompNameAsSeriesNameFlag	No info blank cell	“true” or “false”	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	3	String	className	150	No info blank cell	Unlimited	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	4	boolean	useSeriesNameAsClassNameFlag	No info blank cell	“true” or “false”	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	5	NV	newClass2	No info blank cell	No info blank cell	Unlimited	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	1	String	seriesId	10	No info blank cell	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	2	String	className	150	No info blank cell	Unlimited	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
3	6	NV	newClass	No info blank cell	No info blank cell	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	1	String	className	150	No info blank cell	Unlimited	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	2	boolean	useCompNameAsClassNameFlag	No info blank cell	“true” or “false”	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o

3.4.20.3 Mapping of Subject CoReg SC Submission Schemas to Submission Types

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	N-Q	N-Q/A	NSAR-A	NSAR-A/A	NSAR-AT	NSAR-AT/A	NSAR-B	NSAR-B/A	NSAR-BT	NSAR-BT/A	NT-NCSR	NT-NCSR/A	NT-NSAR	NT-NSAR/A	POS AMI	PRE 14A	PRE 14C
1	1	NV	edgarSubmission	No info blank cell	No info blank cell	1	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m
2	1	String	schemaVersion	5	No info blank cell	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	2	String	liveTestFlag	No info blank cell	LIVE TEST TYPE	1	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m
2	3	NV	flags	No info blank cell	No info blank cell	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	1	boolean	confirmingCopyFlag	No info blank cell	“true” or “false”	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	2	boolean	delayingAmendmentFlag	No info blank cell		1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	N-Q	N-Q/A	NSAR-A	NSAR-A/A	NSAR-AT	NSAR-AT/A	NSAR-B	NSAR-B/A	NSAR-BT	NSAR-BT/A	NT-NCSR	NT-NCSR/A	NT-NSAR	NT-NSAR/A	POS AMI	PRE 14A	PRE 14C
3	3	boolean	returnCopyFlag	No info blank cell	"true" or "false"	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	4	boolean	overrideInternetFlag	No info blank cell	"true" or "false"	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	4	String	submissionType	No info blank cell	SUBMISSION TYPE	1	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m
2	5	NV	contact	No info blank cell	No info blank cell	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	1	String	name	30	No info blank cell	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	2	String	phoneNumber	20	No info blank cell	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	6	NV	filer	No info blank cell	No info blank cell	1	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m
3	1	String	filerId	10	No info blank cell	1	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m
3	2	String	filerCcc	8	No info blank cell	1	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m
3	3	String	fileNumber	17	No info blank cell	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	7	NV	coregs	No info blank cell	No info blank cell	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	1	NV	filer	No info blank cell	No info blank cell	Unlimited	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	1	String	filerId	10	No info blank cell	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	2	String	filerCcc	8	No info blank cell	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	3	String	fileNumber	17	No info blank cell	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	8	NV	sros	No info blank cell	No info blank cell	1	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m
3	1	String	srold	No info blank cell	SRO TYPE	13	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m
2	9	String	act		ACT TYPE	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	10	Date	periodOfReport			1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	11	Date	effectiveDate			1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	12	String	invCompany		INV COMPANY TYPE	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
2	13	NV	notifications	No info blank cell	No info blank cell	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	1	String	internetNotificationAddress	No info blank cell	No info blank cell	Unlimited	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
2	14	NV	moduleSegments	No info blank cell	No info blank cell	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	1	NV	moduleSegment	No info blank cell	No info blank cell	Unlimited	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	1	String	modOrSegFlag	No info blank cell	MODULE_OR_S	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	N-Q	N-Q/A	NSAR-A	NSAR-A/A	NSAR-AT	NSAR-AT/A	NSAR-B	NSAR-B/A	NSAR-BT	NSAR-BT/A	NT-NCSR	NT-NCSR/A	NT-NSAR	NT-NSAR/A	POS AMI	PRE 14A	PRE 14C
					EGMENT_FLAG																		
4	2	String	nickName	15	No info blank cell	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	3	String	cik	10	No info blank cell	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	4	String	ccc	8	No info blank cell	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	5	String	documentType	No info blank cell	SUB_DOCUMENT_TYPE	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
2	15	NV	documents	No info blank cell	No info blank cell	1	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m
3	1	NV	document	No info blank cell	No info blank cell	Unlimited	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m
4	1	String	conformedName	32	No info blank cell	1	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m
4	2	String	conformedDocumentType	No info blank cell	SUB_DOCUMENT_TYPE	1	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m
4	3	String	description	255	No info blank cell	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	4	String	contents	No info blank cell	No info blank cell	1	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m	m
2	16	NV	seriesClasses	No info blank cell	No info blank cell	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	1	NV	mergeSeriesClass	No info blank cell	No info blank cell	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	1	NV	acquiringInfo	No info blank cell	No info blank cell	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
5	1	String	acquiringInvCompanyType	No info blank cell	MERGE_INV_COMPANY_TYPE	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
5	2	String	acquiringCik	10	No info blank cell	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
5	3	String	acquiringSeriesId	150	No info blank cell	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
5	4	String	acquiringClassId	10	No info blank cell	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	2	NV	targetInfo	No info blank cell	No info blank cell	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
5	1	String	targetInvCompanyType	No info blank cell	MERGE_INV_COMPANY_TYPE	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
5	2	String	targetCik	10	No info blank cell		m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
5	3	boolean	targetIncludeAllSeriesFlag	No info blank cell	“true” or “false”	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
5	4	NV	targetSeriesClassInfo	No info blank cell	No info blank cell	Unlimited	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
6	1	String	seriesId	10	No info blank cell	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
6	2	boolean	includeAllClassesFlag	No info blank cell	“true” or “false”	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#

Level	Order	Data Type	Element Name	Max Length	Choice List	Max Occur	N-Q	N-Q/A	NSAR-A	NSAR-A/A	NSAR-AT	NSAR-AT/A	NSAR-B	NSAR-B/A	NSAR-BT	NSAR-BT/A	NT-NCSR	NT-NCSR/A	NT-NSAR	NT-NSAR/A	POS AMI	PRE 14A	PRE 14C
6	3	String	classId	10	No info blank cell	Unlimited	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
3	2	NV	reportSeriesClass	No info blank cell	No info blank cell	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	1	boolean	rptIncludeAllSeriesFlag	No info blank cell	“true” or “false”	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	2	NV	rptSeriesClassInfo	No info blank cell	No info blank cell	Unlimited	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
5	1	String	seriesId	10	No info blank cell	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
5	2	boolean	includeAllClassesFlag	No info blank cell	“true” or “false”	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
5	3	String	classId	10	No info blank cell	Unlimited	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
3	3	NV	reportClass	No info blank cell	No info blank cell	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	1	boolean	rptIncludeAllClassesFlag	No info blank cell	“true” or “false”	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	2	String	rptClassId	10	No info blank cell	Unlimited	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
3	4	NV	newSeriesClass	No info blank cell	No info blank cell	Unlimited	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	1	String	seriesName	150	No info blank cell	1	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	2	boolean	useCompNameAsSeriesNameFlag	No info blank cell	“true” or “false”	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	3	String	className	150	No info blank cell	Unlimited	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
4	4	boolean	useSeriesNameAsClassNameFlag	No info blank cell	“true” or “false”	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
3	5	NV	newClass2	No info blank cell	No info blank cell	Unlimited	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	1	String	seriesId	10	No info blank cell	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	2	String	className	150	No info blank cell	Unlimited	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#	m#
3	6	NV	newClass	No info blank cell	No info blank cell	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	1	String	className	150	No info blank cell	Unlimited	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o
4	2	boolean	useCompNameAsClassNameFlag	No info blank cell	“true” or “false”	1	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o	o

4. DATA VALUE CONSTRAINTS

4.1 ACCELERATED_STATUS_TYPE

These are the valid values for a < ACCELERATED_STATUS_TYPE > element in the primary document:

VALUE
Non-Accelerated Filer
Accelerated Filer
Large Accelerated Filer
Not Applicable

4.2 ACT_TYPE

These are the valid values for the < ACT_TYPE > element in the submission document and the <documentType> element in the primary document:

VALUE
33
40
33 40
34

Note: For certain filings by investment companies, the tag identifies Act or Acts when the form type may be filed under more than one Act. The delaying amendment is not appropriate for, and will not be displayed for, a 40 Act only filing.

4.3 DOCUMENT_TYPE

This list, which is actually a combination of two sets of values, defines the valid entries for a <edgarDocument.documentType> element in the submission file.

The <documentType> of the primary document must match the submission type.

The <documentType> for non-primary attached documents is one of the following values:

Value for Non-Primary Attached Document	Value for Non-Primary Attached Document	Value for Non-Primary Attached Document
CORRESP	EX-99.2A CHARTER	EX-99.8 O&D BENEFITS
COVER	EX-99.2B BYLAWS	EX-99.9 CUST CONTRCT
EU-1	EX-99.2C VOTING TRST	EX-99.906 CERT
EX-1	EX-99.2D HOLDERS RTS	EX-99.a BD-DIR-RESOL
EX-10	EX-99.2E DIV REIN PL	EX-99.a CHARTER
EX-100.CAL	EX-99.2F SUBSID DEBT	EX-99.A1 INDNTR ORGN

Value for Non-Primary Attached Document	Value for Non-Primary Attached Document	Value for Non-Primary Attached Document
EX-100.DEF	EX-99.2G ADVSR CONTR	EX-99.A10 PERIODC PP
EX-100.INS	EX-99.2H DISTR CONTR	EX-99.A11 CODE ETH
EX-100.LAB	EX-99.1 CHARTER	EX-99.A2 INDNTR PMT
EX-100.PRE	EX-99.10 12B1 PLAN	EX-99.A3A DIST CONTR
EX-100.REF	EX-99.11 OPIN COUNSL	EX-99.A3B DEALER AGR
EX-100.SCH	EX-99.12 REV RULING	EX-99.A3C SCH COMMIS
EX-101.CAL	EX-99.12 TAX OPINION	EX-99.A4 OTHER AGRMT
EX-101.DEF	EX-99.13 OTH CONTRCT	EX-99.A5 FORM/SECRTY
EX-101.INS	EX-99.14 OTH CONSENT	EX-99.A6 CERT/BYLAWS
EX-101.LAB	EX-99.15 OTH FIN ST	EX-99.A7 INSR POLICY
EX-101.PRE	EX-99.16 PWR OF ATTY	EX-99.A8 DEPOSIT AGR
EX-101.REF	EX-99.17 (as approp)	EX-99.A9 OTHER CONTR
EX-101.SCH	EX-99.2 BYLAWS	EX-99.b BYLAWS
EX-11	EX-99.2 OPIN COUNSEL	EX-99.b CUST-AGRMETS
EX-12	EX-99.2A CHARTER	EX-99.B1 NOTIC/SHLDR
EX-13	EX-99.2B BYLAWS	EX-99.B2 ANRPT/SHLDR
EX-14	EX-99.2C VOTING TRST	EX-99.c HOLDERS RTS
EX-15	EX-99.2D HOLDERS RTS	EX-99.c UNDER CONTRT
EX-16	EX-99.2E DIV REIN PL	EX-99.C1 ACCT CONSNT
EX-17	EX-99.2F SUBSID DEBT	EX-99.C2 EVAL CONSNT
EX-18	EX-99.2G ADVSR CONTR	EX-99.C3 RTG AGY OPN
EX-19	EX-99.2H DISTR CONTR	EX-99.C4 TAX OPINION
EX-2	EX-99.2I O&D BENEFTS	EX-99.C5 REV RULING
EX-20	EX-99.2J CUST CONTR	EX-99.C6 OTHER OPIN
EX-21	EX-99.2K OTH CONTRCT	EX-99.CERT
EX-22	EX-99.2L OPIN COUNSL	EX-99.CODE ETH
EX-23	EX-99.2M CNSNT SRVCE	EX-99.d ADVSR CONTR
EX-24	EX-99.2N OTH CONSENT	EX-99.d CONTRACTS
EX-25	EX-99.2O OTH FIN ST	EX-99.e APPLICATIONS
EX-25/A	EX-99.2P STOCK LTR	EX-99.e UNDR CONTR
EX-26	EX-99.2Q RETRMT PLAN	EX-99.f BONUS PROFIT
EX-3	EX-99.2R CODE ETH	EX-99.f DEP CERT/BYL
EX-31	EX-99.3 OTHER FIN ST	EX-99.g CUST AGREEMT
EX-32	EX-99.3 VOTING TRUST	EX-99.g REINS CONTRT
EX-33	EX-99.4 ACQ AGREEMNT	EX-99.h OTH MAT CONT
EX-34	EX-99.4 UNREG ISSUER	EX-99.h PARTIC AGREE
EX-35	EX-99.5 HOLDERS RTS	EX-99.i ADMIN CONTRT
EX-4	EX-99.6 ADVSER CONTR	EX-99.i LEGAL OPININ

Value for Non-Primary Attached Document	Value for Non-Primary Attached Document	Value for Non-Primary Attached Document
EX-5	EX-99.7 DISTR CONTR	EX-99.j OTH MAT CONT
EX-7	EX-99.77B ACCT LTTR	EX-99.j OTHER OPININ
EX-8	EX-99.77C VOTES	EX-99.k LEGAL OPININ
EX-9	EX-99.77D POLICIES	EX-99.k OMT FIN STAT
EX-95	EX-99.77E LEGAL	EX-99.l ACTUARIAL OP
EX-99	EX-99.77F CHNG DEBT	EX-99.l INT CAP AGRE
EX-99.1 CHARTER	EX-99.77G DEFAULTS	EX-99.m 12B-1 PLAN
EX-99.10 12B1 PLAN	EX-99.77H CHNG CNTRL	EX-99.m CALCULATION
EX-99.11 OPIN COUNSL	EX-99.77I NEW SECUR	EX-99.n 18F-3 PLAN
EX-99.12 REV RULING	EX-99.77J REVALUATN	EX-99.n OTH OPINIONS
EX-99.12 TAX OPINION	EX-99.77K CHNG ACCNT	EX-99.o OMITD FIN ST
EX-99.13 OTH CONTRCT	EX-99.77L NEW ACCTNG	EX-99.p CODE ETH
EX-99.14 OTH CONSENT	EX-99.77M MERGERS	EX-99.p INIT CAP AGR
EX-99.15 OTH FIN ST	EX-99.77N RULE 2A-7	EX-99.q REDEEM EXEMP
EX-99.16 PWR OF ATTY	EX-99.77O RULE 10F-3	EX-99.Rule23C1
EX-99.17 (as approp)	EX-99.77P EXMPT INFO	GRAPHIC
EX-99.2 BYLAWS	EX-99.77Q1 OTHR EXHB	
EX-99.2 OPIN COUNSEL	EX-99.77Q2 ITEM 405	

The <documentType> of a supporting document must be one of these values:

VALUE
CORRESP
COVER
EX-24
EX-99
GRAPHIC

You can actually append optional text to these DocType values. This optional text must begin with a period. The overall length of the DocType value cannot exceed 20 characters. Some examples of a valid DocType are:

COVER.i_wrote_this
COVER.1
EX-99.myExhibit

4.4 INV_COMPANY_TYPE

These are the valid values for a < INV_COMPANY_TYPE > element in the submission file:

VALUE
N-1A

VALUE
N-1
N-2
N-3
N-4
N-5
N-6
S-1 or S-3
S-6

4.5 MERGE_INV_COMPANY_TYPE

These are the valid values for a <MERGE_INV_COMPANY_TYPE> element in the submission file:

VALUE
N-1A
N-1
N-2
N-3
N-4
N-5
N-6
S-1 or S-3
S-6
Not an Investment Company

4.6 LIVE_TEST_TYPE

These are the valid values for the <LIVE_TEST_TYPE> element in the submission file:

VALUE
LIVE
TEST

4.7 MODULE_OR_SEGMENT_FLAG

These are the valid values for a <MODULE_OR_SEGMENT_FLAG> element in the primary document:

VALUE	MEANING
M	Module
S	Segment

4.8 RPT_OWNER_RELATIONSHIP_TYPE

These are the valid values for a < RPT_OWNER_RELATIONSHIP_TYPE > element in the primary document:

VALUE
DIRECTOR
OFFICER
OWNER
OTHER

4.9 SRO_TYPE

These are the valid values for a < SRO_TYPE > element in the primary document:

VALUE	VALUE
NONE	ISE
AMEX	NSX
ARCA	NYSE
BSE	PCX
CBOE	NASD
CHX	PHLX
FINRA	

4.10 ITEM_TYPE

These are the valid values for a < ITEM_TYPE > element in the primary document:

VALUE	VALUE
1.01	5.02
1.02	5.03
1.03	5.04
1.04	5.05
2.01	5.06
2.02	5.07
2.03	5.08
2.04	6.01
2.05	6.02
2.06	6.03
3.01	6.04

VALUE	VALUE
3.02	6.05
3.03	6.10
4.01	7.01
4.02	8.01
5.01	9.01

4.11 ITEM_SUBMISSION_TYPE

These are the valid values for a < ITEM_SUBMISSION_TYPE > element in the primary document:

VALUE
425
DEFA14A
DFAN14A
SC TO-C

4.12 COMBO_FORM_TYPE

These are the valid values for a < COMBO_FORM_TYPE > element in the primary document:

VALUE
S-1
S-1/A
S-3
S-3/A
S-1MEF
S-3MEF

4.13 SUB_DOCUMENT_TYPE

These codes are a combination of all the submission types listed in section 1.1 and the document types listed in section 4.4.

4.14 PAYMENT_METHOD_TYPE

These are the valid values for a < PAYMENT_METHOD_TYPE > element in the primary document:

VALUE
LOCKBOX
FEDWIRE

4.15 PAYMENT_TYPE

These are the valid values for a < PAYMENT_TYPE > element in the primary document:

VALUE
Pay In Advance
Pay As You Go

4.16 SECURITY_TYPE

These are the valid values for a < SECURITY_TYPE > element in the primary document:

VALUE
Debt
Non-Convertible Debt
Debt Convertible into Equity
Equity
Asset-Backed Securities
Mortgage Backed Securities
Limited Partnership Interests
Unallocated (Universal) Shelf
ADRs/ADSs
Face Amount Certificates
Other

4.17 stateOrCountryCode

EDGAR recognizes 309 two-character designators for the <stateOrCountryCode> element in the primary document. These are provided in Appendix A. These codes are actually a combination of values for the 50 US states, the Canadian Provinces, and other countries and territories.

4.18 COMBO_SUBMISSION_TYPE

These are the valid values for a < COMBO_SUBMISSION_TYPE > element in the primary document:

VALUE
SC 13E3
SC 13E3/A
SC TO-I
SC TO-I/A
SC TO-T
SC TO-T/A
<u>SC 13D/A</u>

4.19 COMBO_SUBMISSION_TYPE_1

These are the valid values for a < COMBO_SUBMISSION_TYPE_1 > element in the primary document:

<u>VALUE</u>
<u>SC TO-I</u>
<u>SC TO-I/A</u>
<u>SC TO-T</u>
<u>SC TO-T/A</u>
<u>SC 13D/A</u>

4.194.20 ASSET_CLASS_TYPE

These are the valid values for a < ASSET_CLASS_TYPE> element in the primary document:

VALUE
Residential mortgages - Prime
Residential mortgages – Non-Prime
Residential mortgages – HELOC
Residential mortgages – Reverse Mortgages
Residential mortgages – Manufactured Housing
Residential mortgages – Other/Combined
Commercial mortgages
Auto loans
Auto leases
Equipment loans
Equipment leases
Student loans

VALUE
Floorplan financings
Corporate Debt
Resecuritization
Credit card
Other

4.204.21 **RULE15GA1_ITEM_TYPE**

These are the valid values for a < RULE15GA1_ITEM_TYPE > element in the primary document:

VALUE
1.01
1.02
1.03

4.214.22 **RULE15GA2_ITEM_TYPE**

These are the valid values for a < RULE15GA2_ITEM_TYPE > element in the primary document:

VALUE
2.01
2.02

4.224.23 **ABS15_SUBMISSION_TYPES**

These are the valid values for a < ABS_15G_SUBMISSION_TYPES > element in the primary document:

VALUE
ABS_15G
ABS_15G/A

5. BASIC SUBMISSION CONSTRUCTION

5.1 General Formatting of XML Files

These rules apply to both the submission file and the primary document of an XML-format ELO submission:

1. The filename must end with an “.xml” extension.
2. The file cannot be compressed.
3. We strongly recommend you format the submission and document files as shown in the provided sample files. This makes the raw XML files easier to view in a text editor. The submission of ASCII XML files with no line breaks, while legal XML, is strongly discouraged. XML filings are required to be posted on public websites. While usually formatted via style sheets, the raw XML is frequently available for inspection and should be readable by a person. The SEC will not be reformatting XML files for readability. A single line XML file is not viewer-friendly and should be avoided.
4. The `<?xml version="1.0"?>` declaration line is optional; however, if it is included it must be the first line in the file. Any text before this line, even white space, will cause a SUSPENSE error. The version value must be “1.0.” Any other value for version will cause a SUSPENSE error.
5. For an XML element with a data value, keep the begin tag, data value, and end tag on the same line of text. Otherwise, you could get a schema violation error. As an example, for a Reporting Owner CIK value which cannot be longer than 10 characters, this specification is correct:

```
<rptOwnerCik>1212121212</rptOwnerCik>
```

6. The specification below will result in a parsing error because the extraneous white space and line feeds will be counted as part of the length of the data value. In other words, the CIK value will be regarded as longer than 10 characters.

```
<rptOwnerCik>  
1212121212  
</rptOwnerCik>
```

5.2 XML Submission File Rules

These rules are specific to the submission file:

1. The submission file must contain a single “primary” XML document.
2. The submission file may also contain additional supporting documents.
3. The order of any `<notificationEmailAddress>` elements does not matter.
4. EDGAR will generate a WARNING for any duplicate values in the single `<pointOfContact><contactEmailAddress>` and the `<notificationEmailAddress>` elements.

5. The order of the <edgarDocument> specifications does not matter. The primary XML document does not have to be specified in the first <edgarDocument> block.
6. The names of attached document files must follow the EDGAR file naming convention:
 - File names cannot exceed 32 characters, including the file extension.
 - Valid characters are lowercase letters, digits 0-9, up to one underscore, up to one hyphen, and up to one period.
 - First character must be a letter.
 - Spaces are not allowed.
 - File name must have a file type extension.
 - File extension of the primary document must be .xml.
 - File extension of all other documents must be .pdf, .txt, .htm, .jpg, or .gif.
7. The submission file must conform to the edgarSubmission.xsd XML schema file. Any violation of this schema will result in the suspension of the filing.

5.2.1 Enclosing a Document

The XML for an enclosed document looks like this:

```
<edgarDocument>
  <documentName>cover01.txt</documentName>
  <documentType>COVER</documentType>
  <documentDescription>Cover Document</documentDescription>
  <documentMimeBlock>
    PT09PT09PT09PT09PT09PT09PT09PT09PT09PT09PT09PSAgIGNvdmVyIGxldHRl
    ciAgID09PT09PT09PT09PT09PT09PT09PT09PT09PT09PT09PT0NCg0KVghpcyBp
    cyBhIHByaXZhdGUgY292ZXIgbGV0dGVyIHRoYXQgZG9lcYBub3QgY29udGFp
    biBhbnkgm#VkbGluZQ0KdGFncy4gVGhpcyBpcyBzdHJpY3RseSBhIHRlc3Qu
    DQoNCj09PT09PT09PT09PT09PT09PT09PT09PT09PT09PT09PT09PT09PT09
    PT09PT09PT09PT09PT09PT09PT09PT09PT09PT09PT09PT09DQo=
  </documentMimeBlock>
</edgarDocument>
```

The <documentDescription> is optional, but all other elements must be present and must have a value.

The <documentMimeBlock> element must contain a MIME encoded document. You can use any standard 64-character set based MIME encoding algorithm to create a MIME document.

You may enclose no more than 612 documents (one primary plus 611 supporting documents).

5.2.1.1 Primary Document

1. The single primary document file must end with an .xml extension.
2. The document type must match the submission type.
3. The file must conform to the EDGARLink Online XML Technical Specification. Any violation of this schema will result in the suspension of the filing.

5.2.1.2 Supporting Documents

1. Allowable file extensions are .pdf, .txt, .htm, .jpg, and .gif.
2. The allowable document types are shown in the DocTypeList table in Section 4.3.
3. The document count limits by type are:

Document Type	Maximum Allowed
CORRESP	100
COVER	10
EXHIBIT (EX-24 or EX-99) plus GRAPHIC	500

5.3 XML Primary Document Rules

The primary document must conform to the EDGARLink Online XML Technical Specification XML schema file. Any violation of this schema will result in the suspension of the filing.

Sections 3.4.1.1 through 3.4.20.3 provide a detailed summary of the XML elements that apply to each submission type. The following sections reinforce and supplement the contents of this table.

The <schemaVersion> element should not be included. If you do provide a value for this element, EDGAR will generate a WARNING and discard the value. Additionally, if the value you provide does not match the current version of the schema file being used by EDGAR, then EDGAR will generate a second WARNING. EDGAR will insert the correct value for this element into the “document of record” that gets disseminated.

The <documentType> element must have the same value as provided in the <submissionType> element of the submission file.

5.3.1 Specifying Issuer

You must provide a CIK and Trading Symbol. The CIK does not have to be conformed with leading zeroes.

Do not provide an Issuer Name. EDGAR will insert the Issuer Name associated with the provided CIK into the “document of record” that is disseminated. If you do include a value for the <issuerName> element, EDGAR will issue a WARNING and discard the provided value.

6. ERROR REPORTING

EDGAR will generate an error for each violation of an XML schema constraint, as well as for each violation of an ELO filing business rule. The schema files do not enforce all error checks, so it is possible to construct a submission that meets all the schema constraints yet is still **SUSPENDED**.

This table summarizes the errors that can result from violations of schema constraints and EDGAR business rules. This is not an all-inclusive list.

Error Condition	Severity
Elements not in sequence prescribed in Sections 3.4.1.1 through 3.4.20.3	SUSPENDED
Inclusion of XML-style sheet reference or any other Processing Instruction	SUSPENDED
Inclusion of an element not prescribed in the schema	SUSPENDED
String value exceeds maximum length constraint	SUSPENDED
Element constrained by a Choice List has an invalid value	SUSPENDED
Mandatory element has no value or an invalid value	SUSPENDED
Special character (&, <, >) not provided as escape sequence	SUSPENDED
Date, Boolean, or Decimal element does not have a value	SUSPENDED
Duplicate Reporting Owner CIK	SUSPENDED
Duplicate Notification Address	WARNING
Invalid footnote ID (must be "F" followed by number from 1 to 99)	SUSPENDED
Inclusion of a footnote that is never referenced	SUSPENDED
Duplicate footnote ID	SUSPENDED
Multiple references to the same footnote from within a single element	SUSPENDED
"NA" or blank field has a value	WARNING
Invalid Issuer CIK	SUSPENDED
Invalid Reporting Owner CIK and/or CCC	SUSPENDED
Improperly formatted email address	SUSPENDED
Exceeding a "Max Occur" limit from Sections 3.4.1.1 through 3.4.20.3 (e.g., more than 10 Reporting Owners or more than 30 Derivative Securities)	SUSPENDED
Invalid content in an enclosed ASCII document. Refer to the EDGAR Filer Manual for details.	SUSPENDED or WARNING
Invalid content in an enclosed HTML document. Refer to the EDGAR Filer Manual for details.	SUSPENDED or WARNING
Invalid content in an enclosed PDF document. Refer to the EDGAR Filer Manual for details	SUSPENDED or WARNING
Enclosed graphic document that is not referenced by an HTML document	SUSPENDED
Any XML schema violation not mentioned in previous table row	SUSPENDED

You may sometimes get multiple error messages reporting the same error. The XML parser EDGAR used to process an ELO submission generates messages that are rather technical in nature and require an understanding of the XML schema language. Whenever possible, EDGAR also generates a more "friendly" error message to give a precise description of the error.

7. EXAMPLE ELO SUBMISSION FILES

Table 7-1. Sample Submission Files provides a list of sample submission files.

Table 7-1. Sample Submission Files

Submission Type	Submission Family	Submission File
ANNLRPT	Single Filer Submission	Sample_ANNLRPT.xml
497K	Single Filer Series and Class Submission	Sample_497K.xml
24F2NT	Single Filer Series and Class Fee Submission	Sample_24F-2NT.xml
10-12B	CoReg Submission	Sample_10-12B.xml
F1	CoReg Fee Submission	Sample_F-1.xml
424B1	CoReg Serial Submission	Sample_424B1.xml
40-17F1	Subject Submission	Sample_40-17F1.xml
DEFC14A	Subject Series and Class Submission	Sample_DEFC14A.xml
SC 13E1	Subject Fee Submission	Sample_SC_13E1.xml
FWP	Subject Group Submission	Sample_FWP.xml
425	Subject Group Series and Class Submission	Sample_425.xml
SC 13E1	Subject Group Fee Submission	Sample_SC_13E3.xml
144	Ownership Submission	Sample_144.xml
N/A	Modules and Segments Submission	Sample_MODULE.xml Sample_SEGMENT.xml
CORRESP	Correspondence Submission	Sample_CORRESP.xml
N/A	Bulk Submission	Sample_BulkSubmission.xml
SC 14N	Subject CoReg Submission	Sample_SC_14N.xml
ABS 15G	Assest Backed Securities Report	Sample_ABS-15G
N-1A	CoReg Series Class	Sample_N1A.xml

APPENDIX A. STATE AND COUNTRY CODES

These are the valid designators for the <stateOrCountryCode> element in the primary document. These codes are actually a combination of values for the 50 U.S. states, the Canadian Provinces, and other countries and territories.

Code	State or Country Name
AL	ALABAMA
AK	ALASKA
AZ	ARIZONA
AR	ARKANSAS
CA	CALIFORNIA
CO	COLORADO
CT	CONNECTICUT
DE	DELAWARE
DC	DISTRICT OF COLUMBIA
FL	FLORIDA
GA	GEORGIA
HI	HAWAII
ID	IDAHO
IL	ILLINOIS
IN	INDIANA
IA	IOWA
KS	KANSAS
KY	KENTUCKY
LA	LOUISIANA
ME	MAINE
MD	MARYLAND
MA	MASSACHUSETTS
MI	MICHIGAN
MN	MINNESOTA
MS	MISSISSIPPI
MO	MISSOURI
MT	MONTANA
NE	NEBRASKA
NV	NEVADA
NH	NEW HAMPSHIRE
NJ	NEW JERSEY
NM	NEW MEXICO
NY	NEW YORK
NC	NORTH CAROLINA
ND	NORTH DAKOTA
OH	OHIO
OK	OKLAHOMA
OR	OREGON

Code	State or Country Name
PA	PENNSYLVANIA
RI	RHODE ISLAND
SC	SOUTH CAROLINA
SD	SOUTH DAKOTA
TN	TENNESSEE
TX	TEXAS
X1	UNITED STATES
UT	UTAH
VT	VERMONT
VA	VIRGINIA
WA	WASHINGTON
WV	WEST VIRGINIA
WI	WISCONSIN
WY	WYOMING
A0	ALBERTA, CANADA
A1	BRITISH COLUMBIA, CANADA
Z4	CANADA (FEDERAL LEVEL)
A2	MANITOBA, CANADA
A3	NEW BRUNSWICK, CANADA
A4	NEWFOUNDLAND, CANADA
A5	NOVA SCOTIA, CANADA
A6	ONTARIO, CANADA
A7	PRINCE EDWARD ISLAND, CANADA
A8	QUEBEC, CANADA
A9	SASKATCHEWAN, CANADA
B0	YUKON, CANADA
B2	AFGHANISTAN
Y6	ALAND ISLANDS
B3	ALBANIA
B4	ALGERIA
B5	AMERICAN SAMOA
B6	ANDORRA
B7	ANGOLA
1A	ANGUILLA
B8	ANTARCTICA
B9	ANTIGUA AND BARBUDA
C1	ARGENTINA
1B	ARMENIA
1C	ARUBA
C3	AUSTRALIA
C4	AUSTRIA
1D	AZERBAIJAN
C5	BAHAMAS
C6	BAHRAIN

Code	State or Country Name
C7	BANGLADESH
C8	BARBADOS
1F	BELARUS
C9	BELGIUM
D1	BELIZE
G6	BENIN
D0	BERMUDA
D2	BHUTAN
D3	BOLIVIA
1E	BOSNIA AND HERZEGOVINA
B1	BOTSWANA
D4	BOUVET ISLAND
D5	BRAZIL
D6	BRITISH INDIAN OCEAN TERRITORY
D9	BRUNEI DARUSSALAM
E0	BULGARIA
X2	BURKINA FASO
E2	BURUNDI
E3	CAMBODIA
E4	CAMEROON
E8	CAPE VERDE
E9	CAYMAN ISLANDS
F0	CENTRAL AFRICAN REPUBLIC
F2	CHAD
F3	CHILE
F4	CHINA
F6	CHRISTMAS ISLAND
F7	COCOS (KEELING) ISLANDS
F8	COLOMBIA
F9	COMOROS
G0	CONGO
Y3	CONGO, THE DEMOCRATIC REPUBLIC OF THE
G1	COOK ISLANDS
G2	COSTA RICA
L7	COTE D'IVOIRE
1M	CROATIA
G3	CUBA
G4	CYPRUS
2N	CZECH REPUBLIC
G7	DENMARK
1G	DJIBOUTI
G9	DOMINICA
G8	DOMINICAN REPUBLIC
H1	ECUADOR

Code	State or Country Name
H2	EGYPT
H3	EL SALVADOR
H4	EQUATORIAL GUINEA
1J	ERITREA
1H	ESTONIA
H5	ETHIOPIA
H7	FALKLAND ISLANDS (MALVINAS)
H6	FAROE ISLANDS
H8	FIJI
H9	FINLAND
I0	FRANCE
I3	FRENCH GUIANA
I4	FRENCH POLYNESIA
2C	FRENCH SOUTHERN TERRITORIES
I5	GABON
I6	GAMBIA
2Q	GEORGIA
2M	GERMANY
J0	GHANA
J1	GIBRALTAR
J3	GREECE
J4	GREENLAND
J5	GRENADA
J6	GUADELOUPE
GU	GUAM
J8	GUATEMALA
Y7	GUERNSEY
J9	GUINEA
S0	GUINEA-BISSAU
K0	GUYANA
K1	HAITI
K4	HEARD ISLAND AND MCDONALD ISLANDS
X4	HOLY SEE (VATICAN CITY STATE)
K2	HONDURAS
K3	HONG KONG
K5	HUNGARY
K6	ICELAND
K7	INDIA
K8	INDONESIA
K9	IRAN, ISLAMIC REPUBLIC OF
L0	IRAQ
L2	IRELAND
Y8	ISLE OF MAN
L3	ISRAEL

Code	State or Country Name
L6	ITALY
L8	JAMAICA
M0	JAPAN
Y9	JERSEY
M2	JORDAN
1P	KAZAKSTAN
M3	KENYA
J2	KIRIBATI
M4	KOREA, DEMOCRATIC PEOPLE'S REPUBLIC OF
M5	KOREA, REPUBLIC OF
M6	KUWAIT
1N	KYRGYZSTAN
M7	LAO PEOPLE'S DEMOCRATIC REPUBLIC
1R	LATVIA
M8	LEBANON
M9	LESOTHO
N0	LIBERIA
N1	LIBYAN ARAB JAMAHIRIYA
N2	LIECHTENSTEIN
1Q	LITHUANIA
N4	LUXEMBOURG
N5	MACAU
1U	MACEDONIA, THE FORMER YUGOSLAV REPUBLIC OF
N6	MADAGASCAR
N7	MALAWI
N8	MALAYSIA
N9	MALDIVES
O0	MALI
O1	MALTA
1T	MARSHALL ISLANDS
O2	MARTINIQUE
O3	MAURITANIA
O4	MAURITIUS
2P	MAYOTTE
O5	MEXICO
1K	MICRONESIA, FEDERATED STATES OF
1S	MOLDOVA, REPUBLIC OF
O9	MONACO
P0	MONGOLIA
Z5	MONTENEGRO
P1	MONTSERRAT
P2	MOROCCO
P3	MOZAMBIQUE
E1	MYANMAR

Code	State or Country Name
T6	NAMIBIA
P5	NAURU
P6	NEPAL
P7	NETHERLANDS
P8	NETHERLANDS ANTILLES
1W	NEW CALEDONIA
Q2	NEW ZEALAND
Q3	NICARAGUA
Q4	NIGER
Q5	NIGERIA
Q6	NIUE
Q7	NORFOLK ISLAND
1V	NORTHERN MARIANA ISLANDS
Q8	NORWAY
P4	OMAN
R0	PAKISTAN
1Y	PALAU
1X	PALESTINIAN TERRITORY, OCCUPIED
R1	PANAMA
R2	PAPUA NEW GUINEA
R4	PARAGUAY
R5	PERU
R6	PHILIPPINES
R8	PITCAIRN
R9	POLAND
S1	PORTUGAL
PR	PUERTO RICO
S3	QATAR
S4	REUNION
S5	ROMANIA
1Z	RUSSIAN FEDERATION
S6	RWANDA
Z0	SAINT BARTHELEMY
U8	SAINT HELENA
U7	SAINT KITTS AND NEVIS
U9	SAINT LUCIA
Z1	SAINT MARTIN
V0	SAINT PIERRE AND MIQUELON
V1	SAINT VINCENT AND THE GRENADINES
Y0	SAMOA
S8	SAN MARINO
S9	SAO TOME AND PRINCIPE
T0	SAUDI ARABIA
T1	SENEGAL

Code	State or Country Name
Z2	SERBIA
T2	SEYCHELLES
T8	SIERRA LEONE
U0	SINGAPORE
2B	SLOVAKIA
2A	SLOVENIA
D7	SOLOMON ISLANDS
U1	SOMALIA
T3	SOUTH AFRICA
1L	SOUTH GEORGIA AND THE SOUTH SANDWICH ISLANDS
U3	SPAIN
F1	SRI LANKA
V2	SUDAN
V3	SURINAME
L9	SVALBARD AND JAN MAYEN
V6	SWAZILAND
V7	SWEDEN
V8	SWITZERLAND
V9	SYRIAN ARAB REPUBLIC
F5	TAIWAN, PROVINCE OF CHINA
2D	TAJIKISTAN
W0	TANZANIA, UNITED REPUBLIC OF
W1	THAILAND
Z3	TIMOR-LESTE
W2	TOGO
W3	TOKELAU
W4	TONGA
W5	TRINIDAD AND TOBAGO
W6	TUNISIA
W8	TURKEY
2E	TURKMENISTAN
W7	TURKS AND CAICOS ISLANDS
2G	TUVALU
W9	UGANDA
2H	UKRAINE
C0	UNITED ARAB EMIRATES
X0	UNITED KINGDOM
2J	UNITED STATES MINOR OUTLYING ISLANDS
X3	URUGUAY
2K	UZBEKISTAN
2L	VANUATU
X5	VENEZUELA
Q1	VIET NAM
D8	VIRGIN ISLANDS, BRITISH

Code	State or Country Name
VI	VIRGIN ISLANDS, U.S.
X8	WALLIS AND FUTUNA
U5	WESTERN SAHARA
T7	YEMEN
Y4	ZAMBIA
Y5	ZIMBABWE
XX	UNKNOWN

APPENDIX B. EDGAR FILINGS COMMON XML ELEMENTS

INTRODUCTION

This appendix describes the common XML constructs of the EDGAR Filing submission.

List of Common Elements

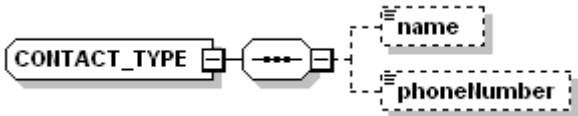
The below table describes the list of the common elements categorized as:

- 1) **Complex type.** The complex type element defines a complex type. A complex type element is an XML element that contains other elements and/or attributes.
- 2) **Simple type.** A simple element is an XML element that contains only text. It cannot contain any other elements or attributes.

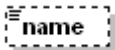
COMPLEX TYPES	SIMPLE TYPES
CONTACT_TYPE	ACCELERATED_STATUS_TYPE
DEPOSITOR_TYPE	ACT_TYPE
EDGAR_DOCUMENT_TYPE	BASE_DOCUMENT_TYPE
EDGAR_DOCUMENTS_TYPE	CCC_TYPE
FLAG_TYPE	CIK_TYPE
INVESTMENT_COMPANY_TYPE	CONTACT_NAME_TYPE
MODULE_SEGMENT_TYPE	CONTACT_PHONE_TYPE
MODULES_SEGMENT_TYPE	DATE_TYPE
NOTIFICATION_TYPE	DECIMAL_TYPE14_2
SROS_TYPE	DECIMAL_TYPE15_3
No info blank cell	DECIMAL_TYPE16_4
No info blank cell	DEPOSITORY_TYPE
No info blank cell	DOCUMENT_DESCRIPTION_TYPE
No info blank cell	DOCUMENT_MIME_BLOCK_TYPE
No info blank cell	DOCUMENT_NAME_TYPE
No info blank cell	DOCUMENT_TYPE
No info blank cell	ENTITY_NAME_TYPE
No info blank cell	FILE_NUMBER_TYPE
No info blank cell	FILE_NUMBER_TYPE_2
No info blank cell	INTERNET_ADDRESS_TYPE
No info blank cell	INV_COMPANY_TYPE
No info blank cell	LIVE_TEST_TYPE
No info blank cell	MODULE_OR_SEGMENT_FLAG
No info blank cell	MODULE_SEGMENT_FORM_TYPE
No info blank cell	MODULE_SEGMENT_NAME_TYPE
No info blank cell	NEW_FILE_NUM_TYPE

COMPLEX TYPES	SIMPLE TYPES
No info blank cell	OPEN_DOCUMENT_TYPE
No info blank cell	RPT_OWNER_RELATIONSHIP_TYPE
No info blank cell	SCHEMA_VERSION_TYPE
No info blank cell	SRO_TYPE
No info blank cell	SUB_DOCUMENT_TYPE
No info blank cell	SUBMISSION_TYPE
No info blank cell	TAX_ID_TYPE
No info blank cell	TRUE_FALSE_TYPE
No info blank cell	YES_NO_TYPE

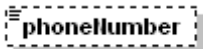
complexType **CONTACT_TYPE**

diagram	
namespace	http://www.sec.gov/edgar/common
children	name phoneNumber
source	<pre> <xs:complexType name="CONTACT_TYPE"> <xs:sequence> <xs:element name="name" type="CONTACT_NAME_TYPE" minOccurs="0"/> <xs:element name="phoneNumber" type="CONTACT_PHONE_TYPE" minOccurs="0"/> </xs:sequence> </xs:complexType> </pre>

element **CONTACT_TYPE/name**

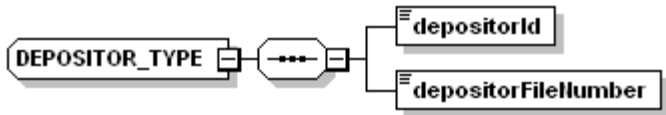
diagram	
namespace	http://www.sec.gov/edgar/common
type	CONTACT_NAME_TYPE
properties	isRef 0 minOcc 0 maxOcc 1 content simple
facets	Kind Value annotation minLength 1 maxLength 30
source	<pre> <xs:element name="name" type="CONTACT_NAME_TYPE" minOccurs="0"/> </pre>

element **CONTACT_TYPE/phoneNumber**

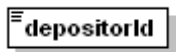
diagram	
namespace	http://www.sec.gov/edgar/common
type	CONTACT_PHONE_TYPE

properties	isRef 0 minOcc 0 maxOcc 1 content simple
facets	Kind Value annotation minLength 1 maxLength 20 pattern [a-zA-Z0-9\-\]{1,20}
source	<xs:element name="phoneNumber" type="CONTACT_PHONE_TYPE" minOccurs="0"/>


complexType DEPOSITOR_TYPE

diagram	
namespace	http://www.sec.gov/edgar/common
children	depositorId depositorFileNumber
source	<xs:complexType name="DEPOSITOR_TYPE"> <xs:sequence> <xs:element name="depositorId" type="CIK_TYPE"/> <xs:element name="depositorFileNumber" type="FILE_NUMBER_TYPE"/> </xs:sequence> </xs:complexType>

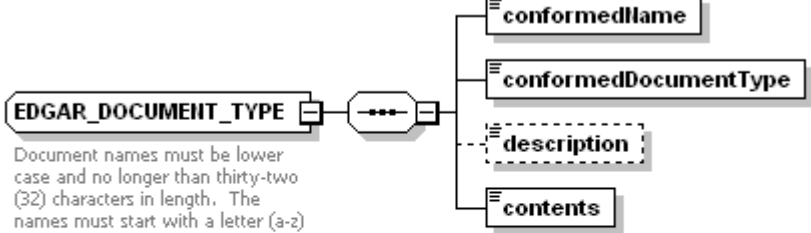
element DEPOSITOR_TYPE/depositorId

diagram	
namespace	http://www.sec.gov/edgar/common
type	CIK_TYPE
properties	isRef 0 content simple
facets	Kind Value annotation pattern \d{1,10}
source	<xs:element name="depositorId" type="CIK_TYPE"/>


element DEPOSITOR_TYPE/depositorFileNumber

diagram	
namespace	http://www.sec.gov/edgar/common
type	FILE_NUMBER_TYPE
properties	isRef 0 content simple
facets	Kind Value annotation pattern \d{1,3}-\d{1,8}-[a-zA-Z0-9]{1,4}\d{1,3}-\d{1,8}
source	<xs:element name="depositorFileNumber" type="FILE_NUMBER_TYPE"/>

complexType **EDGAR_DOCUMENT_TYPE**


diagram	 <p>Document names must be lower case and no longer than thirty-two (32) characters in length. The names must start with a letter (a-z) and may contain numbers (0-9) with no spaces. Document names may contain one period (.), one hyphen (-), and one underscore (_) character and must end with *.htm, *.txt, *.pdf, *.fil, *.jpg, *.gif, *.xml or *.xsd extensions.</p>
namespace	http://www.sec.gov/edgar/common
children	conformedName conformedDocumentType description contents
used by	element EDGAR_DOCUMENTS_TYPE/document
annotation	<p>documentation</p> <p>Document names must be lower case and no longer than thirty-two (32) characters in length. The names must start with a letter (a-z) and may contain numbers (0-9) with no spaces. Document names may contain one period (.), one hyphen (-), and one underscore (_) character and must end with *.htm, *.txt, *.pdf, *.fil, *.jpg, *.gif, *.xml or *.xsd extensions.</p>
source	<pre> <xs:complexType name="EDGAR_DOCUMENT_TYPE"> <xs:annotation> <xs:documentation>Document names must be lower case and no longer than thirty-two (32) characters in length. The names must start with a letter (a-z) and may contain numbers (0-9) with no spaces. Document names may contain one period (.), one hyphen (-), and one underscore (_) character and must end with *.htm, *.txt, *.pdf, *.fil, *.jpg, *.gif, *.xml or *.xsd extensions.</xs:documentation> </xs:annotation> <xs:sequence> <xs:element name="conformedName" type="DOCUMENT_NAME_TYPE"/> <xs:element name="conformedDocumentType" type="SUB_DOCUMENT_TYPE"/> <xs:element name="description" type="DOCUMENT_DESCRIPTION_TYPE" minOccurs="0"/> <xs:element name="contents" type="DOCUMENT_MIME_BLOCK_TYPE"/> </xs:sequence> </xs:complexType> </pre>

element **EDGAR_DOCUMENT_TYPE/conformedName**


diagram	
namespace	http://www.sec.gov/edgar/common
type	DOCUMENT_NAME_TYPE
properties	<p>isRef 0</p> <p>content simple</p>

facets	Kind	Value annotation
	minLength	1
	maxLength	32
	pattern	([a-zA-Z0-9]+[\w\._-]*)([\\.]((([hH][tT][mM]) ([tT][xX][tT]) ([pP][dD][fF]) ([xX][mM][iI][L]) ([jJ][pP][gG]) ([gG][iI][fF]) ([fF][iI][L]) ([xX][sS][dD]))))
source	<xs:element name="conformedName" type="DOCUMENT_NAME_TYPE"/>	


element **EDGAR_DOCUMENT_TYPE/conformedDocumentType**

diagram	
namespace	http://www.sec.gov/edgar/common
type	SUB_DOCUMENT_TYPE
properties	isRef 0 content simple
source	<xs:element name="conformedDocumentType" type="SUB_DOCUMENT_TYPE"/>

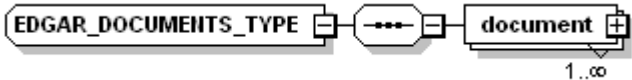
element **EDGAR_DOCUMENT_TYPE/description**

diagram	
namespace	http://www.sec.gov/edgar/common
type	DOCUMENT_DESCRIPTION_TYPE
properties	isRef 0 minOcc 0 maxOcc 1 content simple
facets	Kind Value annotation minLength 1 maxLength 255
source	<xs:element name="description" type="DOCUMENT_DESCRIPTION_TYPE" minOccurs="0"/>

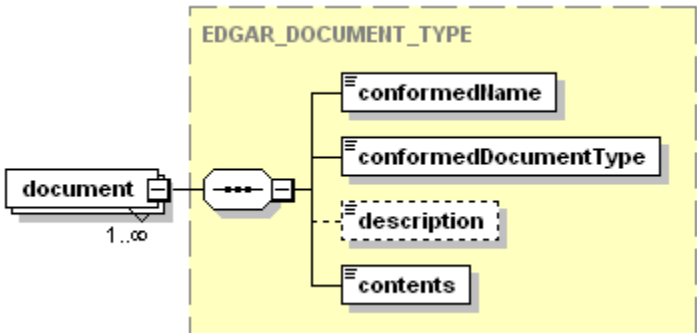
element **EDGAR_DOCUMENT_TYPE/contents**

diagram	
namespace	http://www.sec.gov/edgar/common
type	DOCUMENT_MIME_BLOCK_TYPE
properties	isRef 0 content simple
facets	Kind Value annotation minLength 1
source	<xs:element name="contents" type="DOCUMENT_MIME_BLOCK_TYPE"/>


complexType EDGAR_DOCUMENTS_TYPE

diagram	
namespace	http://www.sec.gov/edgar/common
children	document
source	<pre> <xs:complexType name="EDGAR_DOCUMENTS_TYPE"> <xs:sequence> <xs:element name="document" type="EDGAR_DOCUMENT_TYPE" maxOccurs="unbounded"/> </xs:sequence> </xs:complexType> </pre>

element EDGAR_DOCUMENTS_TYPE/document

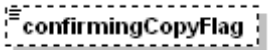
diagram									
namespace	http://www.sec.gov/edgar/common								
type	EDGAR_DOCUMENT_TYPE								
properties	<table> <tr><td>isRef</td><td>0</td></tr> <tr><td>minOcc</td><td>1</td></tr> <tr><td>maxOcc</td><td>unbounded</td></tr> <tr><td>content</td><td>complex</td></tr> </table>	isRef	0	minOcc	1	maxOcc	unbounded	content	complex
isRef	0								
minOcc	1								
maxOcc	unbounded								
content	complex								
children	conformedName conformedDocumentType description								
source	<pre> <xs:element name="document" type="EDGAR_DOCUMENT_TYPE" maxOccurs="unbounded"/> </pre>								

complexType FLAG_TYPE

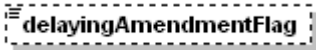
diagram	
namespace	http://www.sec.gov/edgar/common

children	confirmingCopyFlag delayingAmendmentFlag overrideInternetFlag returnCopyFlag serialFlag
source	<pre><xs:complexType name="FLAG_TYPE"> <xs:sequence> <xs:element name="confirmingCopyFlag" type="TRUE_FALSE_TYPE" minOccurs="0"/> <xs:element name="delayingAmendmentFlag" type="TRUE_FALSE_TYPE" minOccurs="0"/> <xs:element name="overrideInternetFlag" type="TRUE_FALSE_TYPE" minOccurs="0"/> <xs:element name="returnCopyFlag" type="TRUE_FALSE_TYPE" minOccurs="0"/> <xs:element name="serialFlag" type="TRUE_FALSE_TYPE" minOccurs="0"/> </xs:sequence> </xs:complexType></pre>

element FLAG_TYPE/confirmingCopyFlag

diagram	
namespace	http://www.sec.gov/edgar/common
type	TRUE_FALSE_TYPE
properties	isRef 0 minOcc 0 maxOcc 1 content simple
facets	Kind Value annotation pattern true pattern false
source	<pre><xs:element name="confirmingCopyFlag" type="TRUE_FALSE_TYPE" minOccurs="0"/></pre>

element FLAG_TYPE/delayingAmendmentFlag

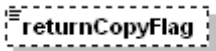
diagram	
namespace	http://www.sec.gov/edgar/common
type	TRUE_FALSE_TYPE
properties	isRef 0 minOcc 0 maxOcc 1 content simple
facets	Kind Value annotation pattern true pattern false
source	<pre><xs:element name="delayingAmendmentFlag" type="TRUE_FALSE_TYPE" minOccurs="0"/></pre>

element FLAG_TYPE/overrideInternetFlag


diagram	
namespace	http://www.sec.gov/edgar/common
type	TRUE_FALSE_TYPE
properties	isRef 0 minOcc 0 maxOcc 1

	content simple
facets	Kind Value annotation pattern true pattern false
source	<xs:element name="overrideInternetFlag" type="TRUE_FALSE_TYPE" minOccurs="0"/>

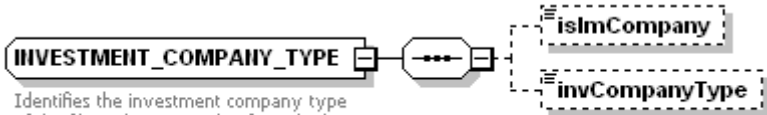
element FLAG_TYPE/returnCopyFlag

diagram	
namespace	http://www.sec.gov/edgar/common
type	TRUE_FALSE_TYPE
properties	isRef 0 minOcc 0 maxOcc 1 content simple
facets	Kind Value annotation pattern true pattern false
source	<xs:element name="returnCopyFlag" type="TRUE_FALSE_TYPE" minOccurs="0"/>

element FLAG_TYPE/serialFlag


diagram	
namespace	http://www.sec.gov/edgar/common
type	TRUE_FALSE_TYPE
properties	isRef 0 minOcc 0 maxOcc 1 content simple
facets	Kind Value annotation pattern true pattern false
source	<xs:element name="serialFlag" type="TRUE_FALSE_TYPE" minOccurs="0"/>

complexType INVESTMENT_COMPANY_TYPE


diagram	 Identifies the investment company type of the filer. Choose a value from the list displayed when the arrow is selected.
namespace	http://www.sec.gov/edgar/common
children	islCompany invCompanyType
annotation	documentation Identifies the investment company type of the filer. Choose a value from the list displayed when the arrow is selected.
source	<xs:complexType name="INVESTMENT_COMPANY_TYPE"> <xs:annotation> <xs:documentation>Identifies the investment company type of the filer. Choose a value

	from the list displayed when the arrow is selected.</xs:documentation> </xs:annotation> <xs:sequence> <xs:element name="isImCompany" type="TRUE_FALSE_TYPE" minOccurs="0"/> <xs:element name="invCompanyType" type="INV_COMPANY_TYPE" minOccurs="0"/> </xs:sequence> </xs:complexType>
--	--

element **INVESTMENT_COMPANY_TYPE/isImCompany**

diagram	
namespace	http://www.sec.gov/edgar/common
type	TRUE_FALSE_TYPE
properties	isRef 0 minOcc 0 maxOcc 1 content simple
facets	Kind Value annotation pattern true pattern false
source	<xs:element name="isImCompany" type="TRUE_FALSE_TYPE" minOccurs="0"/>


element **INVESTMENT_COMPANY_TYPE/invCompanyType**

diagram	
namespace	http://www.sec.gov/edgar/common
type	INV_COMPANY_TYPE
properties	isRef 0 minOcc 0 maxOcc 1 content simple
facets	Kind Value annotation enumeration N-1A enumeration N-1 enumeration N-2 enumeration N-3 enumeration N-4 enumeration N-5 enumeration N-6 enumeration S-1 or S-3 enumeration S-6
source	<xs:element name="invCompanyType" type="INV_COMPANY_TYPE" minOccurs="0"/>

complexType MODULE_SEGMENT_TYPE

diagram	
namespace	http://www.sec.gov/edgar/common
children	modOrSegFlag nickName cik ccc documentType
used by	element MODULES_SEGMENT_TYPE/moduleSegment
annotation	documentation Single module segment type
source	<pre> <xs:complexType name="MODULE_SEGMENT_TYPE"> <xs:annotation> <xs:documentation> Single module segment type</xs:documentation> </xs:annotation> <xs:sequence> <xs:element name="modOrSegFlag" type="MODULE_OR_SEGMENT_FLAG"/> <xs:element name="nickName" type="MODULE_SEGMENT_NAME_TYPE"/> <xs:element name="cik" type="CIK_TYPE" minOccurs="0"/> <xs:element name="ccc" type="CCC_TYPE" minOccurs="0"/> <xs:element name="documentType" type="MODULE_SEGMENT_FORM_TYPE"/> </xs:sequence> </xs:complexType> </pre>

element MODULE_SEGMENT_TYPE/modOrSegFlag

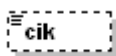
diagram	
namespace	http://www.sec.gov/edgar/common
type	<u>MODULE_OR_SEGMENT_FLAG</u>
properties	isRef 0 content simple
facets	Kind Value annotation enumeration M enumeration S
source	<xs:element name="modOrSegFlag" type="MODULE_OR_SEGMENT_FLAG"/>

element MODULE_SEGMENT_TYPE/nickName

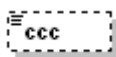
diagram	
namespace	http://www.sec.gov/edgar/common
type	MODULE_SEGMENT_NAME_TYPE
properties	isRef 0 content simple

facets	Kind	Value	annotation
	minLength	1	
	maxLength	15	
source	<xs:element name="nickName" type="MODULE_SEGMENT_NAME_TYPE"/>		


element MODULE_SEGMENT_TYPE/cik

diagram									
namespace	http://www.sec.gov/edgar/common								
type	CIK_TYPE								
properties	<table><tr><td>isRef</td><td>0</td></tr><tr><td>minOcc</td><td>0</td></tr><tr><td>maxOcc</td><td>1</td></tr><tr><td>content</td><td>simple</td></tr></table>	isRef	0	minOcc	0	maxOcc	1	content	simple
isRef	0								
minOcc	0								
maxOcc	1								
content	simple								
facets	<table><tr><td>Kind</td><td>Value</td><td>annotation</td></tr><tr><td>pattern</td><td>\d{1,10}</td><td></td></tr></table>	Kind	Value	annotation	pattern	\d{1,10}			
Kind	Value	annotation							
pattern	\d{1,10}								
source	<xs:element name="cik" type="CIK_TYPE" minOccurs="0"/>								

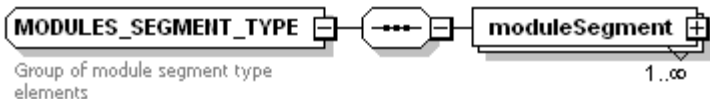
element MODULE_SEGMENT_TYPE/ccc

diagram									
namespace	http://www.sec.gov/edgar/common								
type	CCC_TYPE								
properties	<table><tr><td>isRef</td><td>0</td></tr><tr><td>minOcc</td><td>0</td></tr><tr><td>maxOcc</td><td>1</td></tr><tr><td>content</td><td>simple</td></tr></table>	isRef	0	minOcc	0	maxOcc	1	content	simple
isRef	0								
minOcc	0								
maxOcc	1								
content	simple								
facets	<table><tr><td>Kind</td><td>Value</td><td>annotation</td></tr><tr><td>length</td><td>8</td><td></td></tr></table>	Kind	Value	annotation	length	8			
Kind	Value	annotation							
length	8								
source	<xs:element name="ccc" type="CCC_TYPE" minOccurs="0"/>								

element MODULE_SEGMENT_TYPE/documentType

diagram					
namespace	http://www.sec.gov/edgar/common				
type	MODULE_SEGMENT_FORM_TYPE				
properties	<table><tr><td>isRef</td><td>0</td></tr><tr><td>content</td><td>simple</td></tr></table>	isRef	0	content	simple
isRef	0				
content	simple				
source	<code><xs:element name="documentType" type="MODULE_SEGMENT_FORM_TYPE"/></code>				

complexType MODULES_SEGMENT_TYPE

diagram	
namespace	http://www.sec.gov/edgar/common

children	moduleSegment
annotation	documentation Group of module segment type elements
source	<pre> <xs:complexType name="MODULES_SEGMENT_TYPE"> <xs:annotation> <xs:documentation>Group of module segment type elements</xs:documentation> </xs:annotation> <xs:sequence> <xs:element name="moduleSegment" type="MODULE_SEGMENT_TYPE" maxOccurs="unbounded"/> </xs:sequence> </xs:complexType> </pre>


element **MODULES_SEGMENT_TYPE/moduleSegment**

diagram									
namespace	http://www.sec.gov/edgar/common								
type	MODULE_SEGMENT_TYPE								
properties	<table> <tr><td>isRef</td><td>0</td></tr> <tr><td>minOcc</td><td>1</td></tr> <tr><td>maxOcc</td><td>unbounded</td></tr> <tr><td>content</td><td>complex</td></tr> </table>	isRef	0	minOcc	1	maxOcc	unbounded	content	complex
isRef	0								
minOcc	1								
maxOcc	unbounded								
content	complex								
children	modOrSegFlag nickName cik ccc documentType								
source	<pre> <xs:element name="moduleSegment" type="MODULE_SEGMENT_TYPE" maxOccurs="unbounded"/> </pre>								


complexType **NOTIFICATION_TYPE**

diagram	
namespace	http://www.sec.gov/edgar/common
children	internetNotificationAddress
source	<pre> <xs:complexType name="NOTIFICATION_TYPE"> <xs:sequence> <xs:element name="internetNotificationAddress" type="INTERNET_ADDRESS_TYPE" maxOccurs="unbounded"/> </xs:sequence> </xs:complexType> </pre>


element **NOTIFICATION_TYPE/internetNotificationAddress**

diagram			
namespace	http://www.sec.gov/edgar/common		
type	INTERNET_ADDRESS_TYPE		
properties	isRef	0	
	minOcc	1	
	maxOcc	unbounded	
	content	simple	
facets	Kind	Value	annotation
	minLength	4	
	maxLength	80	
	pattern	([a-zA-Z0-9_\-\.]+)@((\[[0-9]{1,3}\.[0-9]{1,3}\.[0-9]{1,3}\.) ([a-zA-Z0-9_\-\.]+\.)))([a-zA-Z]{2,7} [0-9]{1,3})(\)?	
source	<xs:element name="internetNotificationAddress" type="INTERNET_ADDRESS_TYPE" maxOccurs="unbounded"/>		

complexType **SROS_TYPE**

diagram	 <p>Identifies one or more stock exchanges or other self-regulatory organizations on which the issuer's securities are listed or traded. Choose values from the list displayed.</p>
namespace	http://www.sec.gov/edgar/common
children	srold
annotation	<p>documentation Identifies one or more stock exchanges or other self-regulatory organizations on which the issuer's securities are listed or traded. Choose values from the list displayed.</p> <p>documentation If none applies, select the value "None".</p>
source	<pre> <xs:complexType name="SROS_TYPE"> <xs:annotation> <xs:documentation>Identifies one or more stock exchanges or other self-regulatory organizations on which the issuer's securities are listed or traded. Choose values from the list displayed.</xs:documentation> <xs:documentation>If none applies, select the value "None".</xs:documentation> </xs:annotation> <xs:sequence> <xs:element name="srold" type="SRO_TYPE" maxOccurs="13"/> </xs:sequence> </xs:complexType> </pre>

element **SROS_TYPE/srold**

diagram			
namespace	http://www.sec.gov/edgar/common		
type	SRO_TYPE		
properties	isRef	0	
	minOcc	1	
	maxOcc	13	
	content	simple	
facets	Kind	Value	annotation
	enumeration	NONE	
	enumeration	AMEX	
	enumeration	ARCA	
	enumeration	BSE	
	enumeration	CBOE	
	enumeration	CHX	
	enumeration	FINRA	
	enumeration	ISE	
	enumeration	NASD	
	enumeration	NSX	
	enumeration	NYSE	
	enumeration	PCX	
	enumeration	PHLX	
	enumeration		
source	<xs:element name="srold" type="SRO_TYPE" maxOccurs="13"/>		

simpleType **ACCELERATED_STATUS_TYPE**

simpleType ACCELERATED_STATUS_TYPE			
namespace	http://www.sec.gov/edgar/common		
type	restriction of xs:string		
facets	Kind	Value	annotation
	enumeration	Non-Accelerated Filer	
	enumeration	Accelerated Filer	
	enumeration	Large Accelerated Filer	
	enumeration	Not Applicable	
source	<pre><xs:simpleType name="ACCELERATED_STATUS_TYPE"> <xs:restriction base="xs:string"> <xs:enumeration value="Non-Accelerated Filer"/> <xs:enumeration value="Accelerated Filer"/> <xs:enumeration value="Large Accelerated Filer"/> <xs:enumeration value="Not Applicable"/> </xs:restriction> </xs:simpleType></pre>		

simpleType **ACT_TYPE**

namespace	http://www.sec.gov/edgar/common
type	restriction of xs:string

DRAFT

facets	Kind	Value	annotation
	enumeration	33	
	enumeration	40	
	enumeration	33 40	
enumeration	enumeration	34	
	enumeration	33 40	
	enumeration	34	
	enumeration	33 40	
annotation	documentation For certain filings by investment companies, the tag identifies Act or Acts when the form type may be filed under more than one Act. Valid values when required are 33, 40, or 33 40. Choose value from the list displayed when the arrow is selected. Note: the delaying amendment is not appropriate for and will not be displayed for a 40 Act only filing.		
source	<pre><xs:simpleType name="ACT_TYPE"> <xs:annotation> <xs:documentation>For certain filings by investment companies, the tag identifies Act or Acts when the form type may be filed under more than one Act. Valid values when required are 33, 40, or 33 40. Choose value from the list displayed when the arrow is selected. Note: the delaying amendment is not appropriate for and will not be displayed for a 40 Act only filing.</xs:documentation> </xs:annotation> <xs:restriction base="xs:string"> <xs:enumeration value="33"/> <xs:enumeration value="40"/> <xs:enumeration value="33 40"/> <xs:enumeration value="34"/> </xs:restriction> </xs:simpleType></pre>		

simpleType BASE_DOCUMENT_TYPE

namespace	http://www.sec.gov/edgar/common		
type	restriction of xs:token		
used by	simpleType DOCUMENT_TYPE		
facets	Kind	Value	annotation
	enumeration	CORRESP	
	enumeration	COVER	
	enumeration	EU-1	
	enumeration	EX-1	
	enumeration	EX-10	
	enumeration	EX-100.CAL	
	enumeration	EX-100.DEF	
	enumeration	EX-100.INS	
	enumeration	EX-100.LAB	
	enumeration	EX-100.PRE	
	enumeration	EX-100.REF	
	enumeration	EX-100.SCH	
	enumeration	EX-101.CAL	
	enumeration	EX-101.DEF	
	enumeration	EX-101.INS	
	enumeration	EX-101.LAB	
	enumeration	EX-101.PRE	
	enumeration	EX-101.REF	
	enumeration	EX-101.SCH	

enumeration	EX-11
enumeration	EX-12
enumeration	EX-13
enumeration	EX-14
enumeration	EX-15
enumeration	EX-16
enumeration	EX-17
enumeration	EX-18
enumeration	EX-19
enumeration	EX-2
enumeration	EX-20
enumeration	EX-21
enumeration	EX-22
enumeration	EX-23
enumeration	EX-24
enumeration	EX-25
enumeration	EX-25/A
enumeration	EX-26
enumeration	EX-3
enumeration	EX-31
enumeration	EX-32
enumeration	EX-33
enumeration	EX-34
enumeration	EX-35
enumeration	EX-4
enumeration	EX-5
enumeration	EX-7
enumeration	EX-8
enumeration	EX-9
enumeration	EX-95
enumeration	EX-99
enumeration	EX-99.1 CHARTER
enumeration	EX-99.10 12B1 PLAN
enumeration	EX-99.11 OPIN COUNSL
enumeration	EX-99.12 REV RULING
enumeration	EX-99.12 TAX OPINION
enumeration	EX-99.13 OTH CONTRCT
enumeration	EX-99.14 OTH CONSENT
enumeration	EX-99.15 OTH FIN ST
enumeration	EX-99.16 PWR OF ATTY
enumeration	EX-99.17 (as approp)
enumeration	EX-99.2 BYLAWS
enumeration	EX-99.2 OPIN COUNSEL
enumeration	EX-99.2A CHARTER
enumeration	EX-99.2B BYLAWS
enumeration	EX-99.2C VOTING TRST

enumeration	EX-99.2D HOLDERS RTS
enumeration	EX-99.2E DIV REIN PL
enumeration	EX-99.2F SUBSID DEBT
enumeration	EX-99.2G ADVSR CONTR
enumeration	EX-99.2H DISTR CONTR
enumeration	EX-99.2I O&D BENEFITS
enumeration	EX-99.2J CUST CONTR
enumeration	EX-99.2K OTH CONTRCT
enumeration	EX-99.2L OPIN COUNSL
enumeration	EX-99.2M CNSNT SRVCE
enumeration	EX-99.2N OTH CONSENT
enumeration	EX-99.2O OTH FIN ST
enumeration	EX-99.2P STOCK LTR
enumeration	EX-99.2Q RETRMT PLAN
enumeration	EX-99.2R CODE ETH
enumeration	EX-99.3 OTHER FIN ST
enumeration	EX-99.3 VOTING TRUST
enumeration	EX-99.4 ACQ AGREEMNT
enumeration	EX-99.4 UNREG ISSUER
enumeration	EX-99.5 HOLDERS RTS
enumeration	EX-99.6 ADVSER CONTR
enumeration	EX-99.7 DISTR CONTR
enumeration	EX-99.77B ACCT LTTR
enumeration	EX-99.77C VOTES
enumeration	EX-99.77D POLICIES
enumeration	EX-99.77E LEGAL
enumeration	EX-99.77F CHNG DEBT
enumeration	EX-99.77G DEFAULTS
enumeration	EX-99.77H CHNG CNTRL
enumeration	EX-99.77I NEW SECUR
enumeration	EX-99.77J REVALUATN
enumeration	EX-99.77K CHNG ACCNT
enumeration	EX-99.77L NEW ACCTNG
enumeration	EX-99.77M MERGERS
enumeration	EX-99.77N RULE 2A-7
enumeration	EX-99.77O RULE 10F-3
enumeration	EX-99.77P EXMPT INFO
enumeration	EX-99.77Q1 OTHR EXHB
enumeration	EX-99.77Q2 ITEM 405
enumeration	EX-99.8 O&D BENEFITS
enumeration	EX-99.9 CUST CONTRCT
enumeration	EX-99.906 CERT
enumeration	EX-99.a BD-DIR-RESOL
enumeration	EX-99.a CHARTER
enumeration	EX-99.A1 INDNTR ORGN
enumeration	EX-99.A10 PERIODC PP

enumeration	EX-99.A11 CODE ETH
enumeration	EX-99.A2 INDNTR PMT
enumeration	EX-99.A3A DIST CONTR
enumeration	EX-99.A3B DEALER AGR
enumeration	EX-99.A3C SCH COMMIS
enumeration	EX-99.A4 OTHER AGRMT
enumeration	EX-99.A5 FORM/SECRTY
enumeration	EX-99.A6 CERT/BYLAWS
enumeration	EX-99.A7 INSR POLICY
enumeration	EX-99.A8 DEPOSIT AGR
enumeration	EX-99.A9 OTHER CONTR
enumeration	EX-99.b BYLAWS
enumeration	EX-99.b CUST-AGRMETS
enumeration	EX-99.B1 NOTIC/SHLDR
enumeration	EX-99.B2 ANRPT/SHLDR
enumeration	EX-99.c HOLDERS RTS
enumeration	EX-99.c UNDER CONTRT
enumeration	EX-99.C1 ACCT CONSNT
enumeration	EX-99.C2 EVAL CONSNT
enumeration	EX-99.C3 RTG AGY OPN
enumeration	EX-99.C4 TAX OPINION
enumeration	EX-99.C5 REV RULING
enumeration	EX-99.C6 OTHER OPIN
enumeration	EX-99.CERT
enumeration	EX-99.CODE ETH
enumeration	EX-99.d ADVSR CONTR
enumeration	EX-99.d CONTRACTS
enumeration	EX-99.e APPLICATIONS
enumeration	EX-99.e UNDR CONTR
enumeration	EX-99.f BONUS PROFIT
enumeration	EX-99.f DEP CERT/BYL
enumeration	EX-99.g CUST AGREEMT
enumeration	EX-99.g REINS CONTRT
enumeration	EX-99.h OTH MAT CONT
enumeration	EX-99.h PARTIC AGREE
enumeration	EX-99.i ADMIN CONTRT
enumeration	EX-99.i LEGAL OPININ
enumeration	EX-99.j OTH MAT CONT
enumeration	EX-99.j OTHER OPININ
enumeration	EX-99.k LEGAL OPININ
enumeration	EX-99.k OMT FIN STAT
enumeration	EX-99.l ACTUARIAL OP
enumeration	EX-99.l INT CAP AGRE
enumeration	EX-99.m 12B-1 PLAN
enumeration	EX-99.m CALCULATION
enumeration	EX-99.n 18F-3 PLAN

	<p>enumeration EX-99.n OTH OPINIONS</p> <p>enumeration EX-99.o OMITD FIN ST</p> <p>enumeration EX-99.p CODE ETH</p> <p>enumeration EX-99.p INIT CAP AGR</p> <p>enumeration EX-99.q REDEEM EXEMP</p> <p>enumeration EX-99.Rule23C1</p> <p>enumeration GRAPHIC</p>
annotation	<p>documentation</p> <p>Enter the type of this document. Select a value from the list displayed when the arrow is selected. Type any additional characters in the field to accurately specify a valid EDGAR document type.</p>
source	<pre><xs:simpleType name="BASE_DOCUMENT_TYPE"> <xs:annotation> <xs:documentation>Enter the type of this document. Select a value from the list displayed when the arrow is selected. Type any additional characters in the field to accurately specify a valid EDGAR document type.</xs:documentation> </xs:annotation> <xs:restriction base="xs:token"> <xs:enumeration value="CORRESP"/> <xs:enumeration value="COVER"/> <xs:enumeration value="EU-1"/> <xs:enumeration value="EX-1"/> <xs:enumeration value="EX-10"/> <xs:enumeration value="EX-100.CAL"/> <xs:enumeration value="EX-100.DEF"/> <xs:enumeration value="EX-100.INS"/> <xs:enumeration value="EX-100.LAB"/> <xs:enumeration value="EX-100.PRE"/> <xs:enumeration value="EX-100.REF"/> <xs:enumeration value="EX-100.SCH"/> <xs:enumeration value="EX-101.CAL"/> <xs:enumeration value="EX-101.DEF"/> <xs:enumeration value="EX-101.INS"/> <xs:enumeration value="EX-101.LAB"/> <xs:enumeration value="EX-101.PRE"/> <xs:enumeration value="EX-101.REF"/> <xs:enumeration value="EX-101.SCH"/> <xs:enumeration value="EX-11"/> <xs:enumeration value="EX-12"/> <xs:enumeration value="EX-13"/> <xs:enumeration value="EX-14"/> <xs:enumeration value="EX-15"/> <xs:enumeration value="EX-16"/> <xs:enumeration value="EX-17"/> <xs:enumeration value="EX-18"/> <xs:enumeration value="EX-19"/> <xs:enumeration value="EX-2"/> <xs:enumeration value="EX-20"/> <xs:enumeration value="EX-21"/> <xs:enumeration value="EX-22"/> <xs:enumeration value="EX-23"/> <xs:enumeration value="EX-24"/> <xs:enumeration value="EX-25"/> <xs:enumeration value="EX-25/A"/> <xs:enumeration value="EX-26"/> </xs:restriction> </xs:simpleType></pre>

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<xs:enumeration value="EX-99.b CUST-AGRMETS"/>
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<xs:enumeration value="EX-99.B2 ANRPT/SHLDR"/>
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<xs:enumeration value="EX-99.c UNDER CONTRT"/>
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<xs:enumeration value="EX-99.C5 REV RULING"/>
<xs:enumeration value="EX-99.C6 OTHER OPIN"/>
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<xs:enumeration value="EX-99.e APPLICATIONS"/>
<xs:enumeration value="EX-99.e UNDR CONTR"/>
<xs:enumeration value="EX-99.f BONUS PROFIT"/>
<xs:enumeration value="EX-99.f DEP CERT/BYL"/>
<xs:enumeration value="EX-99.g CUST AGREEMT"/>
<xs:enumeration value="EX-99.g REINS CONTRT"/>
<xs:enumeration value="EX-99.h OTH MAT CONTR"/>
<xs:enumeration value="EX-99.h PARTIC AGREE"/>
<xs:enumeration value="EX-99.i ADMIN CONTRT"/>
```

	<pre> <xs:enumeration value="EX-99.i LEGAL OPININ"/> <xs:enumeration value="EX-99.j OTH MAT CONT"/> <xs:enumeration value="EX-99.j OTHER OPININ"/> <xs:enumeration value="EX-99.k LEGAL OPININ"/> <xs:enumeration value="EX-99.k OMT FIN STAT"/> <xs:enumeration value="EX-99.I ACTUARIAL OP"/> <xs:enumeration value="EX-99.I INT CAP AGRE"/> <xs:enumeration value="EX-99.m 12B-1 PLAN"/> <xs:enumeration value="EX-99.m CALCULATION"/> <xs:enumeration value="EX-99.n 18F-3 PLAN"/> <xs:enumeration value="EX-99.n OTH OPINIONS"/> <xs:enumeration value="EX-99.o OMITD FIN ST"/> <xs:enumeration value="EX-99.p CODE ETH"/> <xs:enumeration value="EX-99.p INIT CAP AGR"/> <xs:enumeration value="EX-99.q REDEEM EXEMP"/> <xs:enumeration value="EX-99.Rule23C1"/> <xs:enumeration value="GRAPHIC"/> </xs:restriction> </xs:simpleType> </pre>
--	--

simpleType CCC_TYPE

namespace	http://www.sec.gov/edgar/common						
type	restriction of xs:string						
used by	element MODULE_SEGMENT_TYPE/ccc						
facets	<table><tr><td>Kind</td><td>Value</td><td>annotation</td></tr><tr><td>length</td><td>8</td><td></td></tr></table>	Kind	Value	annotation	length	8	
Kind	Value	annotation					
length	8						
annotation	documentation Your CIK confirmation code [CCC]. Field is 8 characters, at least one of which must be a numeral and at least one must be a @, #, \$, or *; case-sensitive.						
source	<pre><xs:simpleType name="CCC_TYPE"> <xs:annotation> <xs:documentation>Your CIK confirmation code [CCC]. Field is 8 characters, at least one of which must be a numeral and at least one must be a @, #, \$, or *; case- sensitive.</xs:documentation> </xs:annotation> <xs:restriction base="xs:string"> <xs:length value="8"/> </xs:restriction> </xs:simpleType></pre>						

simpleType CIK_TYPE

namespace	http://www.sec.gov/edgar/common						
type	restriction of xs:string						
used by	elements MODULE_SEGMENT_TYPE/cik DEPOSITOR_TYPE/depositorId						
facets	<table><tr><td>Kind</td><td>Value</td><td>annotation</td></tr><tr><td>pattern</td><td>\d{1,10}</td><td></td></tr></table>	Kind	Value	annotation	pattern	\d{1,10}	
Kind	Value	annotation					
pattern	\d{1,10}						
annotation	<div>documentation</div> <div>SEC supplied CIK for the Filer. Enter up to 10 numeric characters. When fewer than 10 characters are entered, the field will be pre filled with zeroes.</div>						
source	<xs:simpleType name="CIK_TYPE"> <xs:annotation>						

	<pre><xs:documentation>SEC supplied CIK for the Filer. Enter up to 10 numeric characters. When fewer than 10 characters are entered, the field will be pre filled with zeroes.</xs:documentation> </xs:annotation> <xs:restriction base="xs:string"> <xs:pattern value="\d{1,10}"/> </xs:restriction> </xs:simpleType></pre>
--	--

simpleType **CONTACT_NAME_TYPE**

namespace	http://www.sec.gov/edgar/common		
type	restriction of xs:string		
used by	element	CONTACT_TYPE/name	
facets	Kind	Value	annotation
	minLength	1	
	maxLength	30	
annotation	documentation	Identifies a person to contact if there are questions about the submission (field up to 30 characters).	
source	<pre><xs:simpleType name="CONTACT_NAME_TYPE"> <xs:annotation> <xs:documentation>Identifies a person to contact if there are questions about the submission (field up to 30 characters).</xs:documentation> </xs:annotation> <xs:restriction base="xs:string"> <xs:minLength value="1"/> <xs:maxLength value="30"/> </xs:restriction> </xs:simpleType></pre>		

simpleType **CONTACT_PHONE_TYPE**

namespace	http://www.sec.gov/edgar/common		
type	restriction of xs:string		
used by	element	CONTACT_TYPE/phoneNumber	
facets	Kind	Value	annotation
	minLength	1	
	maxLength	20	
	pattern	[a-zA-Z0-9\-\-]{1,20}	
annotation	documentation Phone number of the contact person;maximum value size is 20 alphanumeric characters. Hyphens may be included to improve readability.		
source	<pre><xs:simpleType name="CONTACT_PHONE_TYPE"> <xs:annotation> <xs:documentation> Phone number of the contact person;maximum value size is 20 alphanumeric characters. Hyphens may be included to improve readability.</xs:documentation> </xs:annotation> <xs:restriction base="xs:string"> <xs:minLength value="1"/> <xs:maxLength value="20"/> <xs:pattern value="[a-zA-Z0-9\-\-]{1,20}"/> </xs:restriction></pre>		

	<code></xs:simpleType></code>
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simpleType **DATE_TYPE**

namespace	http://www.sec.gov/edgar/common		
type	restriction of xs:string		
facets	Kind	Value	annotation
	pattern	\d{1,2}-\d{1,2}-\d{4}	
source	<xs:simpleType name="DATE_TYPE"> <xs:restriction base="xs:string"> <xs:pattern value="\d{1,2}-\d{1,2}-\d{4}"/> </xs:restriction> </xs:simpleType>		

simpleType **DECIMAL_TYPE14_2**

namespace	http://www.sec.gov/edgar/common		
type	restriction of xs:decimal		
facets	Kind	Value	annotation
	totalDigits	14	
	fractionDigits	2	
	pattern	\d*.\d\d	
source	<xs:simpleType name="DECIMAL_TYPE14_2"> <xs:restriction base="xs:decimal"> <xs:totalDigits value="14"/> <xs:fractionDigits value="2"/> <xs:pattern value="\d*.\d\d"/> </xs:restriction> </xs:simpleType>		

simpleType **DECIMAL_TYPE15_3**

namespace	http://www.sec.gov/edgar/common		
type	restriction of xs:decimal		
facets	Kind	Value	annotation
	totalDigits	15	
	fractionDigits	3	
	pattern	\d*.\d\d\d	
source	<xs:simpleType name="DECIMAL_TYPE15_3"> <xs:restriction base="xs:decimal"> <xs:totalDigits value="15"/> <xs:fractionDigits value="3"/> <xs:pattern value="\d*.\d\d\d"/> </xs:restriction> </xs:simpleType>		

simpleType **DECIMAL_TYPE16_4**

namespace	http://www.sec.gov/edgar/common		
type	restriction of xs:decimal		
facets	Kind	Value	annotation

	<div>totalDigits 16</div> <div>fractionDigits 4</div> <div>pattern \d*\d\d\d\d</div>
source	<pre><xs:simpleType name="DECIMAL_TYPE16_4"> <xs:restriction base="xs:decimal"> <xs:totalDigits value="16"/> <xs:fractionDigits value="4"/> <xs:pattern value="\d*\d\d\d\d"/> </xs:restriction> </xs:simpleType></pre>

simpleType **DEPOSITORY_TYPE**

namespace	http://www.sec.gov/edgar/common
type	restriction of xs:string
facets	<div>Kind Value annotation</div> <div>minLength 1</div> <div>maxLength 50</div>
annotation	<div>documentation</div> <div>The name of the depository bank (field up to 50 characters).</div>
source	<pre><xs:simpleType name="DEPOSITORY_TYPE"> <xs:annotation> <xs:documentation>The name of the depository bank (field up to 50 characters).</xs:documentation> </xs:annotation> <xs:restriction base="xs:string"> <xs:minLength value="1"/> <xs:maxLength value="50"/> <xs:pattern value="[A-Za-z0-9!#-\$%()';:'@*\+ -/=\\?\\^`~{ } , . \\s&lt;&gt;&quot;\\[\\]]{1,50}"/> </xs:restriction> </xs:simpleType></pre>

simpleType **DOCUMENT_DESCRIPTION_TYPE**

namespace	http://www.sec.gov/edgar/common
type	restriction of xs:string
used by	element EDGAR_DOCUMENT_TYPE/description
facets	<div>Kind Value annotation</div> <div>minLength 1</div> <div>maxLength 255</div>
annotation	<div>documentation</div> <div>An optional field offering you the opportunity to describe the attached document. Field is up to 255 characters.</div>
source	<pre><xs:simpleType name="DOCUMENT_DESCRIPTION_TYPE"> <xs:annotation> <xs:documentation>An optional field offering you the opportunity to describe the attached document. Field is up to 255 characters.</xs:documentation> </xs:annotation> <xs:restriction base="xs:string"> <xs:maxLength value="255"/> <xs:minLength value="1"/> <xs:pattern value="[A-Za-z0-9!#-\$%()';:'@*\+ -/=\\?\\^`~{ } , .</pre>

	<pre> </xs:restriction> </xs:simpleType> </pre>
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simpleType DOCUMENT_MIME_BLOCK_TYPE

namespace	http://www.sec.gov/edgar/common		
type	restriction of xs:string		
used by	element	EDGAR_DOCUMENT_TYPE/contents	
facets	Kind	Value	annotation
	minLength	1	
source	<xs:simpleType name="DOCUMENT_MIME_BLOCK_TYPE"> <xs:restriction base="xs:string"> <xs:minLength value="1"/> </xs:restriction> </xs:simpleType>		

simpleType DOCUMENT_NAME_TYPE

namespace	http://www.sec.gov/edgar/common		
type	restriction of xs:string		
used by	element	EDGAR_DOCUMENT_TYPE/conformedName	
facets	Kind	Value	annotation
	minLength	1	
	maxLength	32	
	pattern	([a-zA-Z0-9]+[\\w\\._\\-]*)([\\.](\\([hH][tT][mM]\\) (\\[tT\\][xX][tT]\\) (\\[pP\\][dD][fF]\\) (\\[xX\\][mM][lL]\\) (\\[jJ\\][pP][gG]\\) (\\[gG\\][iI][fF]\\) (\\[fF\\][iI][L]\\) (\\[xX\\][sS][dD]\\)))	
annotation	documentation		
	Read-only field displaying the name of an attached document.		
	documentation		
	File names must be upper or lower case and no longer than thirty-two (32) characters in length. The names must start with a letter (a-z) or a number and may contain numbers (0-9) with no spaces. File Names may contain any number of periods (.), hyphens (-), and underscore () characters and must end with *.htm, *.txt, *.pdf, *.fil, *.jpg, *.gif, *.xml or *.xsd extensions.		
source	<xs:simpleType name="DOCUMENT_NAME_TYPE"> <xs:annotation> <xs:documentation>Read-only field displaying the name of an attached document.</xs:documentation> <xs:documentation/> <xs:documentation>File names must be upper or lower case and no longer than thirty-two (32) characters in length. The names must start with a letter (a-z) or a number and may contain numbers (0-9) with no spaces. File Names may contain any number of periods (.), hyphens (-), and underscore () characters and must end with *.htm, *.txt, *.pdf, *.fil, *.jpg, *.gif, *.xml or *.xsd extensions. </xs:documentation> </xs:annotation>		

	<pre> <xs:restriction base="xs:string"> <xs:minLength value="1"/> <xs:maxLength value="32"/> <xs:pattern value="([a-zA-Z0-9]+[\w\._\-\]*)([^\.](\([hH][tT][mM]) ([tT][xX][tT]) ([pP][dD][fF]) ([xX][mM][iL]) ([jJ][pP][gG]) ([gG][iI][fF]) ([fF][iI][iL]) ([xX][sS][dD])))/"> </xs:restriction> </xs:simpleType> </pre>
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simpleType DOCUMENT_TYPE

namespace	http://www.sec.gov/edgar/common
type	union of (BASE DOCUMENT TYPE , OPEN DOCUMENT TYPE)
used by	simpleTypes MODULE SEGMENT FORM TYPE SUB DOCUMENT TYPE
annotation	documentation Enter the type of this document. Select a value from the list displayed when the arrow is selected. Type any additional characters in the field to accurately specify a valid EDGAR document type.
source	<pre> <xs:simpleType name="DOCUMENT_TYPE"> <xs:annotation> <xs:documentation>Enter the type of this document. Select a value from the list displayed when the arrow is selected. Type any additional characters in the field to accurately specify a valid EDGAR document type.</xs:documentation> </xs:annotation> <xs:union memberTypes="BASE_DOCUMENT_TYPE OPEN_DOCUMENT_TYPE"/> </xs:simpleType> </pre>

simpleType ENTITY_NAME_TYPE

namespace	http://www.sec.gov/edgar/common		
type	restriction of xs:string		
facets	Kind	Value	annotation
	minLength	1	
	maxLength	150	
	pattern	([\\w\\s!\\#\\\$(),.,:;=@\\'\\-{} /&]+)	
annotation	documentation A Company Name can be up to 150 characters.		
source	<pre><xs:simpleType name="ENTITY_NAME_TYPE"> <xs:annotation> <xs:documentation>A Company Name can be up to 150 characters.</xs:documentation> </xs:annotation> <xs:restriction base="xs:string"> <xs:minLength value="1"/> <xs:maxLength value="150"/> <xs:pattern value="([A-Za-z0-9\\s!\\#\\\$(),.,:;=@\\'\\-{} /&amp;]+)"/> </xs:restriction> </xs:simpleType></pre>		

simpleType **FILE_NUMBER_TYPE**

namespace	http://www.sec.gov/edgar/common
type	restriction of xs:string
used by	<div> <div>element</div> <div>DEPOSITOR_TYPE/depositorFileNumber</div> </div> <div> <div>simpleType</div> <div>FILE_NUMBER_TYPE_2</div> </div>
facets	<div> <div>Kind</div> <div>Value</div> <div>annotation</div> </div> <div> <div>pattern</div> <div>\d{1,3}-\d{1,8}-[a-zA-Z0-9]{1,4}\d{1,3}-\d{1,8}</div> </div>
annotation	<div>documentation</div> <div>Your SEC-supplied file number. Required for amendments to 1933 Act registration statements and with specific form types listed in Section 1. Field is up to 17 characters, and only one file number for each registrant.</div> <div>documentation</div> <div>The prefix may contain 1-3 digits, the sequence number may contain 1-8 digits, the optional suffix may contain 1-4 characters, and the fields are separated with a hyphen.</div> <div>documentation</div> <div>Sample value: 333-00000-1.</div> <div>documentation</div> <div>Valid prefixes for this submission type are 002-, 033-, and 333-.</div>
source	<pre> <xs:simpleType name="FILE_NUMBER_TYPE"> <xs:annotation> <xs:documentation>Your SEC-supplied file number. Required for amendments to 1933 Act registration statements and with specific form types. Field is up to 17 characters, and only one file number for each registrant.</xs:documentation> <xs:documentation/> <xs:documentation>The prefix may contain 1-3 digits, the sequence number may contain 1-8 digits, the optional suffix may contain 1-4 characters, and the fields are separated with a hyphen.</xs:documentation> <xs:documentation>Sample value: 333-00000-1.</xs:documentation> <xs:documentation/> <xs:documentation>Valid prefixes for this submission type are 002-, 033-, and 333- .</xs:documentation> </xs:annotation> <xs:restriction base="xs:string"> <xs:pattern value="\d{1,3}-\d{1,8}-[a-zA-Z0-9]{1,4}\d{1,3}-\d{1,8}"/> </xs:restriction> </xs:simpleType> </pre>

simpleType **FILE_NUMBER_TYPE_2**

namespace	http://www.sec.gov/edgar/common
type	union of (FILE_NUMBER_TYPE , NEW_FILE_NUM_TYPE)
annotation	<div>documentation</div> <div>Your SEC-supplied file number. Required for amendments to 1933 Act registration statements and with specific form types listed in Appendix B. Field is up to 17 characters, and only one file number for each registrant.</div> <div>documentation</div> <div>The prefix may contain 1-3 digits, the sequence number may contain 1-8 digits, the optional suffix may contain 1-4 characters, and the fields are separated with a hyphen. Sample value: 333-00000-1.</div>
source	<pre> <xs:simpleType name="FILE_NUMBER_TYPE_2"> <xs:annotation> <xs:documentation>Your SEC-supplied file number. Required for amendments to 1933 Act registration statements and with specific form types listed. Field is up to 17 characters, and only one file number for each registrant.</xs:documentation> <xs:documentation/> <xs:documentation>The prefix may contain 1-3 digits, the sequence number may contain 1-8 digits, the optional suffix may contain 1-4 characters, and the fields are separated with a hyphen. Sample value: 333-00000-1.</xs:documentation> </xs:annotation> </pre>

	<code><xs:union memberTypes="FILE_NUMBER_TYPE NEW_FILE_NUM_TYPE"/></code> <code></xs:simpleType></code>
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simpleType **INTERNET_ADDRESS_TYPE**

namespace	http://www.sec.gov/edgar/common		
type	restriction of xs:string		
used by	element	NOTIFICATION_TYPE/internetNotificationAddress	
facets	Kind	Value	annotation
	minLength	4	
	maxLength	80	
	pattern	([a-zA-Z0-9_\-\.]+)@((\[[0-9]{1,3}\.[0-9]{1,3}\.[0-9]{1,3}\.) ([a-zA-Z0-9_\-\.]+))([a-zA-Z]{2,7} \[0-9]{1,3})\(\)?	
annotation	documentation	New EDGAR contact Internet identification. Field must be in standard Internet address format, including exactly one "@" character, at least one "." after the "@", one or more EDGAR acceptable characters before the "@" and one or more acceptable EDGAR characters before and after each existing "." Address may be up to 80 characters long.	
source	<pre><xs:simpleType name="INTERNET_ADDRESS_TYPE"> <xs:annotation> <xs:documentation>New EDGAR contact Internet identification. Field must be in standard Internet address format, including exactly one "@" character, at least one "." after the "@", one or more EDGAR acceptable characters before the "@" and one or more acceptable EDGAR characters before and after each existing "." Address may be up to 80 characters long.</xs:documentation> </xs:annotation> <xs:restriction base="xs:string"> <xs:maxLength value="80"/> <xs:minLength value="4"/> <xs:pattern value="([a-zA-Z0-9_\-\.]+) @((\[[0-9]{1,3}\.[0-9]{1,3}\.[0-9]{1,3}\.) ([a-zA-Z0-9_\-]+\.)+))([a-zA-Z]{2,7} \[0-9]{1,3})\(\)?"/> </xs:restriction> </xs:simpleType></pre>		

simpleType **INV_COMPANY_TYPE**

namespace	http://www.sec.gov/edgar/common		
type	restriction of xs:string		
used by	element	INVESTMENT_COMPANY_TYPE/invCompanyType	
facets	Kind	Value	annotation
	enumeration	N-1A	
	enumeration	N-1	
	enumeration	N-2	
	enumeration	N-3	
	enumeration	N-4	
	enumeration	N-5	
	enumeration	N-6	
	enumeration	S-1 or S-3	
	enumeration	S-6	
annotation	documentation Identifies the investment company type of the acquiring company. Choose a value from the list displayed when the arrow is selected.		

source	<pre><xs:simpleType name="INV_COMPANY_TYPE"> <xs:annotation> <xs:documentation>Identifies the investment company type of the acquiring company. Choose a value from the list displayed when the arrow is selected.</xs:documentation> </xs:annotation> <xs:restriction base="xs:string"> <xs:enumeration value="N-1A"/> <xs:enumeration value="N-1"/> <xs:enumeration value="N-2"/> <xs:enumeration value="N-3"/> <xs:enumeration value="N-4"/> <xs:enumeration value="N-5"/> <xs:enumeration value="N-6"/> <xs:enumeration value="S-1 or S-3"/> <xs:enumeration value="S-6"/> </xs:restriction> </xs:simpleType></pre>
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simpleType **LIVE_TEST_TYPE**

namespace	http://www.sec.gov/edgar/common		
type	restriction of xs:string		
facets	Kind	Value	annotation
	enumeration	LIVE	
	enumeration	TEST	
annotation	documentation Marks submission to be treated as a LIVE filing. Unless the "TEST" button is selected, the filing will be treated by EDGAR as a live filing and, if accepted, be disseminated. Submit live filings in LIVE mode if using EDGARLink. A filing with TEST selected cannot be changed into a live filing.		
source	<pre><xs:simpleType name="LIVE_TEST_TYPE"> <xs:annotation> <xs:documentation>Marks submission to be treated as a LIVE filing. Unless the "TEST" button is selected, the filing will be treated by EDGAR as a live filing and, if accepted, be disseminated. Submit live filings in LIVE mode if using EDGARLink. A filing with TEST selected cannot be changed into a live filing. </xs:documentation> </xs:annotation> <xs:restriction base="xs:string"> <xs:enumeration value="LIVE"/> <xs:enumeration value="TEST"/> </xs:restriction> </xs:simpleType></pre>		

simpleType **MODULE_OR_SEGMENT_FLAG**

namespace	http://www.sec.gov/edgar/common		
type	restriction of xs:string		
used by	element	MODULE_SEGMENT_TYPE/modOrSegFlag	
facets	Kind	Value	annotation
	enumeration	M	
	enumeration	S	
annotation	documentation M: is module. S: is segment		
source	<xs:simpleType name="MODULE OR SEGMENT FLAG">		

	<pre><xs:annotation> <xs:documentation> M: is module. S: is segment</xs:documentation> </xs:annotation> <xs:restriction base="xs:string"> <xs:enumeration value="M"/> <xs:enumeration value="S"/> </xs:restriction> </xs:simpleType></pre>
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simpleType MODULE_SEGMENT_FORM_TYPE

namespace	http://www.sec.gov/edgar/common
type	union of (SUBMISSION_TYPE , DOCUMENT_TYPE)
used by	element MODULE_SEGMENT_TYPE/documentType
source	<pre><xs:simpleType name="MODULE_SEGMENT_FORM_TYPE"> <xs:union memberTypes="SUBMISSION_TYPE DOCUMENT_TYPE"/> </xs:simpleType></pre>

simpleType MODULE_SEGMENT_NAME_TYPE

namespace	http://www.sec.gov/edgar/common		
type	restriction of xs:string		
used by	element	MODULE_SEGMENT_TYPE/nickName	
facets	Kind	Value	annotation
	minLength	1	
	maxLength	15	
annotation	documentation	Identifies a module or segment name	
source	<pre><xs:simpleType name="MODULE_SEGMENT_NAME_TYPE"> <xs:annotation> <xs:documentation> Identifies a module or segment name</xs:documentation> </xs:annotation> <xs:restriction base="xs:string"> <xs:minLength value="1"/> <xs:maxLength value="15"/> </xs:restriction> </xs:simpleType></pre>		

simpleType NEW_FILE_NUM_TYPE

namespace	http://www.sec.gov/edgar/common		
type	restriction of xs:string		
used by	simpleType	FILE_NUMBER_TYPE_2	
facets	Kind	Value	annotation
	enumeration	NEW	
source	<pre><xs:simpleType name="NEW_FILE_NUM_TYPE"> <xs:restriction base="xs:string"> <xs:enumeration value="NEW"/> </xs:restriction> </xs:simpleType></pre>		

simpleType OPEN_DOCUMENT_TYPE

namespace	http://www.sec.gov/edgar/common		
type	restriction of xs:string		
used by	simpleType	DOCUMENT_TYPE	
facets	Kind	Value	annotation
	minLength	4	
	maxLength	128	
source	<pre><xs:simpleType name="OPEN_DOCUMENT_TYPE"> <xs:restriction base="xs:string"> <xs:minLength value="4"/> <xs:maxLength value="128"/> </xs:restriction> </xs:simpleType></pre>		

simpleType RPT_OWNER_RELATIONSHIP_TYPE

namespace	http://www.sec.gov/edgar/common		
type	restriction of xs:string		
facets	Kind	Value	annotation
	enumeration	DIRECTOR	
	enumeration	OFFICER	
	enumeration	OWNER	
	enumeration	OTHER	
source	<pre><xs:simpleType name="RPT_OWNER_RELATIONSHIP_TYPE"> <xs:restriction base="xs:string"> <xs:enumeration value="DIRECTOR"/> <xs:enumeration value="OFFICER"/> <xs:enumeration value="OWNER"/> <xs:enumeration value="OTHER"/> </xs:restriction> </xs:simpleType></pre>		

simpleType SCHEMA_VERSION_TYPE

namespace	http://www.sec.gov/edgar/common		
type	restriction of xs:string		
facets	Kind	Value	annotation
	length	5	
annotation	<p>documentation</p> <p>The schema version element applies only to the submission's primary document. It does not apply to the submission file. This element should not be included in a Reduced Content submission. EDGAR inserts this element in the version of the primary document that gets disseminated. If you do provide a value for this element and it does not match the current version of this schema file being used by EDGAR, then EDGAR will generate a WARNING.</p>		
source	<pre><xs:simpleType name="SCHEMA_VERSION_TYPE"> <xs:annotation> <xs:documentation> The schema version element applies only to the submission's primary document. It does not apply to the submission file. This element should not be included in a Reduced Content submission. EDGAR inserts this element in the version of the primary document that gets disseminated. If you do provide a value for this element and it does not match the current version of this schema file being used by EDGAR, then EDGAR will generate a WARNING. </xs:documentation></pre>		

	<pre></xs:annotation> <xs:restriction base="xs:string"> <xs:length value="5"/> </xs:restriction> </xs:simpleType></pre>
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simpleType **SRO_TYPE**

namespace	http://www.sec.gov/edgar/common		
type	restriction of xs:string		
used by	element	SROS_TYPE/srold	
facets	Kind	Value	annotation
	enumeration	NONE	
	enumeration	AMEX	
	enumeration	ARCA	
	enumeration	BSE	
	enumeration	CBOE	
	enumeration	CHX	
	enumeration	FINRA	
	enumeration	ISE	
	enumeration	NASD	
	enumeration	NSX	
	enumeration	NYSE	
	enumeration	PCX	
	enumeration	PHLX	
	enumeration		
annotation	documentation	Identifies one or more stock exchanges or other self-regulatory organizations on which the issuer's securities are listed or traded. Choose values from the list displayed.	
	documentation	If none applies, select the value "NONE".	
source	<pre><xs:simpleType name="SRO_TYPE"> <xs:annotation> <xs:documentation>Identifies one or more stock exchanges or other self-regulatory organizations on which the issuer's securities are listed or traded. Choose values from the list displayed.</xs:documentation> <xs:documentation/> <xs:documentation>If none applies, select the value "NONE".</xs:documentation> </xs:annotation> <xs:restriction base="xs:string"> <xs:enumeration value="NONE"/> <xs:enumeration value="AMEX"/> <xs:enumeration value="ARCA"/> <xs:enumeration value="BSE"/> <xs:enumeration value="CBOE"/> <xs:enumeration value="CHX"/> <xs:enumeration value="FINRA"/> <xs:enumeration value="ISE"/> <xs:enumeration value="NASD"/> <xs:enumeration value="NSX"/> <xs:enumeration value="NYSE"/> <xs:enumeration value="PCX"/> </xs:restriction> </xs:simpleType></pre>		

	<pre><xs:enumeration value="PHLX"/> <xs:enumeration value=""/> </xs:restriction> </xs:simpleType></pre>
--	---

simpleType SUB_DOCUMENT_TYPE

namespace	http://www.sec.gov/edgar/common
type	union of (SUBMISSION_TYPE , DOCUMENT_TYPE)
used by	element EDGAR_DOCUMENT_TYPE/conformedDocumentType
source	<pre><xs:simpleType name="SUB_DOCUMENT_TYPE"> <xs:union memberTypes="SUBMISSION_TYPE DOCUMENT_TYPE"/> </xs:simpleType></pre>

simpleType SUBMISSION_TYPE

namespace	http://www.sec.gov/edgar/common		
type	restriction of xs:token		
used by	simpleTypes	MODULE_SEGMENT_FORM_TYPE SUB_DOCUMENT_TYPE	
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<xs:enumeration value="RW WD"/>
<xs:enumeration value="S-1"/>
<xs:enumeration value="S-1/A"/>
<xs:enumeration value="S-11"/>
<xs:enumeration value="S-11/A"/>
<xs:enumeration value="S-11MEF"/>
<xs:enumeration value="S-1MEF"/>
<xs:enumeration value="S-20"/>
<xs:enumeration value="S-20/A"/>
<xs:enumeration value="S-3"/>
<xs:enumeration value="S-3/A"/>
<xs:enumeration value="S-3ASR"/>
<xs:enumeration value="S-3D"/>
<xs:enumeration value="S-3DPOS"/>
<xs:enumeration value="S-3MEF"/>
<xs:enumeration value="S-4"/>
<xs:enumeration value="S-4/A"/>
<xs:enumeration value="S-4 POS"/>
<xs:enumeration value="S-4EF"/>
<xs:enumeration value="S-4MEF"/>
<xs:enumeration value="S-6"/>
<xs:enumeration value="S-6/A"/>
<xs:enumeration value="S-8 POS"/>
<xs:enumeration value="S-8"/>
<xs:enumeration value="S-B"/>
<xs:enumeration value="S-B/A"/>
<xs:enumeration value="S-BMEF"/>
<xs:enumeration value="SC 13D"/>
<xs:enumeration value="SC 13D/A"/>
<xs:enumeration value="SC 13E1"/>
<xs:enumeration value="SC 13E1/A"/>
<xs:enumeration value="SC 13E3"/>
<xs:enumeration value="SC 13E3/A"/>
<xs:enumeration value="SC 13G"/>
<xs:enumeration value="SC 13G/A"/>
<xs:enumeration value="SC 14D9"/>
<xs:enumeration value="SC 14D9/A"/>
<xs:enumeration value="SC 14F1"/>
<xs:enumeration value="SC 14F1/A"/>
<xs:enumeration value="SC TO-C"/>
<xs:enumeration value="SC TO-I"/>
<xs:enumeration value="SC TO-I/A"/>
<xs:enumeration value="SC TO-T"/>
<xs:enumeration value="SC TO-T/A"/>
<xs:enumeration value="SC13E4F"/>
<xs:enumeration value="SC13E4F/A"/>
<xs:enumeration value="SC14D1F"/>
<xs:enumeration value="SC14D1F/A"/>
<xs:enumeration value="SC14D9C"/>
<xs:enumeration value="SC14D9F"/>
<xs:enumeration value="SC14D9F/A"/>
<xs:enumeration value="SH-ER"/>
<xs:enumeration value="SH-ER/A"/>
```

	<pre><xs:enumeration value="SH-NT"/> <xs:enumeration value="SH-NT/A"/> <xs:enumeration value="SP 15D2"/> <xs:enumeration value="SP 15D2/A"/> <xs:enumeration value="SUPPL"/> <xs:enumeration value="T-3"/> <xs:enumeration value="T-3/A"/> <xs:enumeration value="T-6"/> <xs:enumeration value="T-6/A"/> <xs:enumeration value="UNDER"/> <xs:enumeration value="UNDER/A"/> </xs:restriction> </xs:simpleType></pre>
--	---

simpleType TAX_ID_TYPE

simpleType TAX_ID_TYPE		
namespace	http://www.sec.gov/edgar/common	
type	restriction of xs:string	
facets	Kind pattern	Value \d{2}[v-]?d{7} annotation
annotation	documentation Subject company's IRS employer identification code. Field is 9 characters long. Sample: 22-7777777	
source	<pre><xs:simpleType name="TAX_ID_TYPE"> <xs:annotation> <xs:documentation>Subject company's IRS employer identification code. Field is 9 characters long. Sample: 22-7777777</xs:documentation> </xs:annotation> <xs:restriction base="xs:string"> <xs:pattern value="\d{2}[v-]?d{7}"/> </xs:restriction> </xs:simpleType></pre>	

simpleType TRUE_FALSE_TYPE

namespace	http://www.sec.gov/edgar/common		
type	restriction of xs:boolean		
used by	elements	FLAG_TYPE/confirmingCopyFlag FLAG_TYPE/delayingAmendmentFlag INVESTMENT_COMPANY_TYPE/islmCompany FLAG_TYPE/overrideInternetFlag FLAG_TYPE/returnCopyFlag FLAG_TYPE/serialFlag	
facets	Kind	Value	annotation
	pattern	true	
	pattern	false	
source	<pre><xs:simpleType name="TRUE_FALSE_TYPE"> <xs:restriction base="xs:boolean"> <xs:pattern value="true"/> <xs:pattern value="false"/> </xs:restriction> </xs:simpleType></pre>		

simpleType YES_NO_TYPE

namespace	http://www.sec.gov/edgar/common
type	restriction of xs:token

facets	<table><tr><td>Kind</td><td>Value</td><td>annotation</td></tr><tr><td>enumeration</td><td>Y</td><td></td></tr><tr><td>enumeration</td><td>N</td><td></td></tr></table>	Kind	Value	annotation	enumeration	Y		enumeration	N	
Kind	Value	annotation								
enumeration	Y									
enumeration	N									
source	<pre><xs:simpleType name="YES_NO_TYPE"> <xs:restriction base="xs:token"> <xs:enumeration value="Y"/> <xs:enumeration value="N"/> </xs:restriction> </xs:simpleType></pre>									

APPENDIX C. EDGAR SERIES AND CLASS COMMON XML ELEMENTS

INTRODUCTION

This appendix describes the unique XML elements of the EDGAR Series and Class submission.

List of Common Elements

The below table describes the list of the common elements categorized as:

- 1) **Complex type.** The complex Type element defines a complex type. A complex type element is an XML element that contains other elements and/or attributes.
- 2) **Simple type.** A simple element is an XML element that contains only text. It cannot contain any other elements or attributes.

COMPLEX TYPES	SIMPLE TYPES
ACQUIRING_TARGET_TYPE	CLASS_ID_TYPE
ACQUIRING_TYPE	CLASS_NAME_TYPE
MGR_SERIES_CLASS_TYPE	EMPTY_INV_COMPANY_TYPE
NEW_CLASS_TYPE	MERGE_INV_COMPANY_TYPE
NEW_CLASS_TYPE_2	SERIES_CLASS_TYPE
NEW_SERIES_CLASS_TYPE	SERIES_ID_TYPE
RPT_CLASS_TYPE	SERIES_NAME_TYPE
RPT_SERIES_TYPE	No info blank cell
SERIES_CLASS_PAIR_TYPE	No info blank cell
TARGET_TYPE	No info blank cell

complexType **ACQUIRING_TARGET_TYPE**

diagram	
namespace	http://www.sec.gov/edgar/sccommon
children	acquiringInfo targetInfo
used by	element MGR_SERIES_CLASS_TYPE/mgrSeriesClass
source	<pre> <xs:complexType name="ACQUIRING_TARGET_TYPE"> <xs:sequence> <xs:element name="acquiringInfo" type="ACQUIRING_TYPE"/> <xs:element name="targetInfo" type="TARGET_TYPE"/> </xs:sequence> </xs:complexType> </pre>

element **ACQUIRING_TARGET_TYPE/acquiringInfo**

diagram	
namespace	http://www.sec.gov/edgar/sccommon
type	ACQUIRING_TYPE
properties	isRef 0 content complex
children	acquiringInvCompanyType acquiringCik acquiringSeriesId acquiringClassId
source	<code><xs:element name="acquiringInfo" type="ACQUIRING_TYPE"/></code>

element **ACQUIRING_TARGET_TYPE/targetInfo**

diagram	
namespace	http://www.sec.gov/edgar/sccommon
type	TARGET_TYPE
properties	isRef 0 content complex
children	targetInvCompanyType targetCik targetIncludeAllSeriesFlag targetSeriesClassInfo targetClassInfo
source	<code><xs:element name="targetInfo" type="TARGET_TYPE"/></code>

complexType ACQUIRING_TYPE

diagram	
namespace	http://www.sec.gov/edgar/sccommon
children	acquiringInvCompanyType acquiringCik acquiringSeriesId acquiringClassId
used by	element ACQUIRING_TARGET_TYPE/acquiringInfo
annotation	documentation Identifies the investment company type of the acquiring company. Choose a value from the list displayed when the arrow is selected.
source	<pre> <xs:complexType name="ACQUIRING_TYPE"> <xs:annotation> <xs:documentation>Identifies the investment company type of the acquiring company. Choose a value from the list displayed when the arrow is selected.</xs:documentation> </xs:annotation> <xs:sequence> <xs:element name="acquiringInvCompanyType" type="MERGE_INV_COMPANY_TYPE"/> <xs:element name="acquiringCik" type="ns1:CIK_TYPE"/> <xs:element name="acquiringSeriesId" type="SERIES_ID_TYPE" minOccurs="0"/> <xs:element name="acquiringClassId" type="CLASS_ID_TYPE" minOccurs="0"/> </xs:sequence> </xs:complexType> </pre>

element ACQUIRING_TYPE/acquiringInvCompanyType

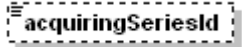
diagram	
namespace	http://www.sec.gov/edgar/sccommon
type	MERGE_INV_COMPANY_TYPE
properties	isRef 0 content simple
source	<pre> <xs:element name="acquiringInvCompanyType" type="MERGE_INV_COMPANY_TYPE"/> </pre>

element ACQUIRING_TYPE/acquiringCik

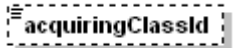
diagram	
namespace	http://www.sec.gov/edgar/sccommon
type	CIK_TYPE
properties	isRef 0 content simple
facets	Kind Value annotation pattern \d{1,10}

source	<code><xs:element name="acquiringCik" type="ns1:CIK_TYPE"/></code>
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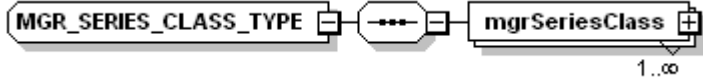
element **ACQUIRING_TYPE/acquiringSeriesId**

diagram	 A diagram showing a dashed rectangular box with the text "acquiringSeriesId" inside.
namespace	http://www.sec.gov/edgar/sccommon
type	SERIES_ID_TYPE
properties	isRef 0 minOcc 0 maxOcc 1 content simple
facets	Kind Value annotation pattern [Ss][0-9][0-9][0-9][0-9][0-9][0-9][0-9][0-9]
source	<code><xs:element name="acquiringSeriesId" type="SERIES_ID_TYPE" minOccurs="0"/></code>

element **ACQUIRING_TYPE/acquiringClassId**

diagram	 A diagram showing a dashed rectangular box with the text "acquiringClassId" inside.
namespace	http://www.sec.gov/edgar/sccommon
type	CLASS_ID_TYPE
properties	isRef 0 minOcc 0 maxOcc 1 content simple
facets	Kind Value annotation pattern [Cc][0-9][0-9][0-9][0-9][0-9][0-9][0-9][0-9]
source	<code><xs:element name="acquiringClassId" type="CLASS_ID_TYPE" minOccurs="0"/></code>

complexType **MGR_SERIES_CLASS_TYPE**

diagram	 A diagram showing a sequence of two elements: "MGR_SERIES_CLASS_TYPE" and "mgrSeriesClass". The "mgrSeriesClass" element is enclosed in a box with a plus sign and has a cardinality of "1..∞" below it.
namespace	http://www.sec.gov/edgar/sccommon
children	mgrSeriesClass
source	<code><xs:complexType name="MGR_SERIES_CLASS_TYPE"> <xs:sequence> <xs:element name="mgrSeriesClass" type="ACQUIRING_TARGET_TYPE" maxOccurs="unbounded"/> </xs:sequence> </xs:complexType></code>

element **MGR_SERIES_CLASS_TYPE/mgrSeriesClass**

diagram	
namespace	http://www.sec.gov/edgar/sccommon
type	ACQUIRING_TARGET_TYPE
properties	isRef 0 minOcc 1 maxOcc unbounded content complex
children	acquiringInfo targetInfo
source	<pre><xs:element name="mgrSeriesClass" type="ACQUIRING_TARGET_TYPE" maxOccurs="unbounded"/></pre>

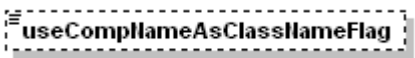
complexType **NEW_CLASS_TYPE**

diagram	
namespace	http://www.sec.gov/edgar/sccommon
children	className useCompNameAsClassNameFlag
source	<pre><xs:complexType name="NEW_CLASS_TYPE"> <xs:sequence> <xs:element name="className" type="CLASS_NAME_TYPE"/> <xs:element name="useCompNameAsClassNameFlag" type="ns1:TRUE_FALSE_TYPE" minOccurs="0"/> </xs:sequence> </xs:complexType></pre>

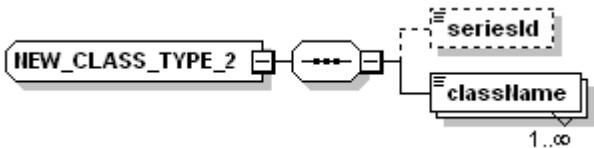
element **NEW_CLASS_TYPE/className**

diagram	
namespace	http://www.sec.gov/edgar/sccommon
type	CLASS_NAME_TYPE
properties	isRef 0 content simple
facets	Kind Value annotation minLength 1 maxLength 150
source	<pre><xs:element name="className" type="CLASS_NAME_TYPE"/></pre>

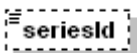
element **NEW_CLASS_TYPE/useCompNameAsClassNameFlag**

diagram	
namespace	http://www.sec.gov/edgar/sccommon
type	TRUE_FALSE_TYPE
properties	isRef 0 minOcc 0 maxOcc 1 content simple
facets	Kind Value annotation pattern true pattern false
source	<pre><xs:element name="useCompNameAsClassNameFlag" type="ns1:TRUE_FALSE_TYPE" minOccurs="0"/></pre>

complexType **NEW_CLASS_TYPE_2**

diagram	
namespace	http://www.sec.gov/edgar/sccommon
children	seriesId className
source	<pre><xs:complexType name="NEW_CLASS_TYPE_2"> <xs:sequence> <xs:element name="seriesId" type="SERIES_ID_TYPE" minOccurs="0"/> <xs:element name="className" type="CLASS_NAME_TYPE" maxOccurs="unbounded"/> </xs:sequence> </xs:complexType></pre>

element **NEW_CLASS_TYPE_2/seriesId**

diagram	
namespace	http://www.sec.gov/edgar/sccommon
type	SERIES_ID_TYPE
properties	isRef 0 minOcc 0 maxOcc 1 content simple
facets	Kind Value annotation pattern [S][s][0-9][0-9][0-9][0-9][0-9][0-9][0-9][0-9]
source	<pre><xs:element name="seriesId" type="SERIES_ID_TYPE" minOccurs="0"/></pre>

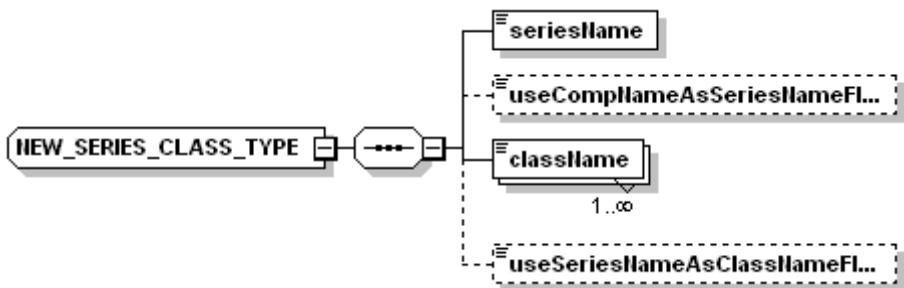
element **NEW_CLASS_TYPE_2/className**

diagram	
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DRAFT

namespace	http://www.sec.gov/edgar/sccommon
type	CLASS_NAME_TYPE
properties	isRef 0 minOcc 1 maxOcc unbounded content simple
facets	Kind Value annotation minLength 1 maxLength 150
source	<code><xs:element name="className" type="CLASS_NAME_TYPE" maxOccurs="unbounded"/></code>

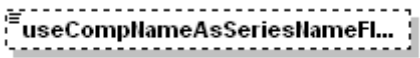
complexType **NEW_SERIES_CLASS_TYPE**

diagram	
namespace	http://www.sec.gov/edgar/sccommon
children	seriesName useCompNameAsSeriesNameFlag className useSeriesNameAsClassNameFlag
source	<pre><xs:complexType name="NEW_SERIES_CLASS_TYPE"> <xs:sequence> <xs:element name="seriesName" type="SERIES_NAME_TYPE"/> <xs:element name="useCompNameAsSeriesNameFlag" type="ns1:TRUE_FALSE_TYPE" minOccurs="0"/> <xs:element name="className" type="CLASS_NAME_TYPE" maxOccurs="unbounded"/> <xs:element name="useSeriesNameAsClassNameFlag" type="ns1:TRUE_FALSE_TYPE" minOccurs="0"/> </xs:sequence> </xs:complexType></pre>

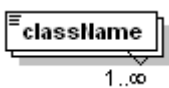
element **NEW_SERIES_CLASS_TYPE/seriesName**

diagram	
namespace	http://www.sec.gov/edgar/sccommon
type	SERIES_NAME_TYPE
properties	isRef 0 content simple
facets	Kind Value annotation minLength 1 maxLength 150
source	<code><xs:element name="seriesName" type="SERIES_NAME_TYPE"/></code>

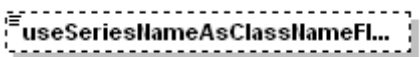
element **NEW_SERIES_CLASS_TYPE/useCompNameAsSeriesNameFlag**

diagram	
namespace	http://www.sec.gov/edgar/sccommon
type	TRUE_FALSE_TYPE
properties	isRef 0 minOcc 0 maxOcc 1 content simple
facets	Kind Value annotation pattern true pattern false
source	<code><xs:element name="useCompNameAsSeriesNameFlag" type="ns1:TRUE_FALSE_TYPE" minOccurs="0"/></code>

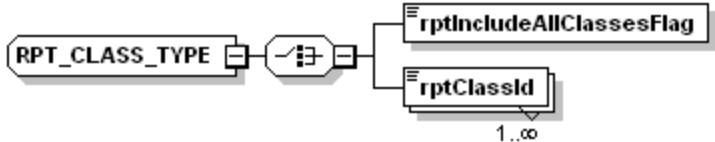
element **NEW_SERIES_CLASS_TYPE/className**

diagram	
namespace	http://www.sec.gov/edgar/sccommon
type	CLASS_NAME_TYPE
properties	isRef 0 minOcc 1 maxOcc unbounded content simple
facets	Kind Value annotation minLength 1 maxLength 150
source	<code><xs:element name="className" type="CLASS_NAME_TYPE" maxOccurs="unbounded"/></code>


element **NEW_SERIES_CLASS_TYPE/useSeriesNameAsClassNameFlag**

diagram	
namespace	http://www.sec.gov/edgar/sccommon
type	TRUE_FALSE_TYPE
properties	isRef 0 minOcc 0 maxOcc 1 content simple
facets	Kind Value annotation pattern true pattern false
source	<code><xs:element name="useSeriesNameAsClassNameFlag" type="ns1:TRUE_FALSE_TYPE" minOccurs="0"/></code>


complexType RPT_CLASS_TYPE

diagram	
namespace	http://www.sec.gov/edgar/sccommon
children	rptIncludeAllClassesFlag rptClassId
used by	element TARGET_TYPE/targetClassInfo
source	<pre><xs:complexType name="RPT_CLASS_TYPE"> <xs:choice> <xs:element name="rptIncludeAllClassesFlag" type="ns1:TRUE_FALSE_TYPE"/> <xs:element name="rptClassId" type="CLASS_ID_TYPE" maxOccurs="unbounded"/> </xs:choice> </xs:complexType></pre>

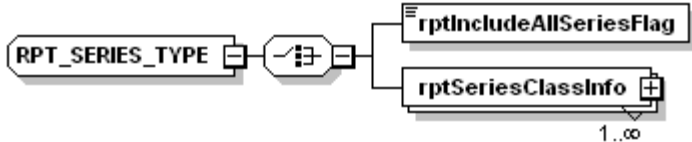
element RPT_CLASS_TYPE/rptIncludeAllClassesFlag

diagram	
namespace	http://www.sec.gov/edgar/sccommon
type	TRUE_FALSE_TYPE
properties	isRef 0 content simple
facets	Kind Value annotation pattern true pattern false
source	<pre><xs:element name="rptIncludeAllClassesFlag" type="ns1:TRUE_FALSE_TYPE"/></pre>

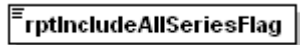
element RPT_CLASS_TYPE/rptClassId

diagram	
namespace	http://www.sec.gov/edgar/sccommon
type	CLASS_ID_TYPE
properties	isRef 0 minOcc 1 maxOcc unbounded content simple
facets	Kind Value annotation pattern [C c][0-9][0-9][0-9][0-9][0-9][0-9][0-9][0-9]
source	<pre><xs:element name="rptClassId" type="CLASS_ID_TYPE" maxOccurs="unbounded"/></pre>

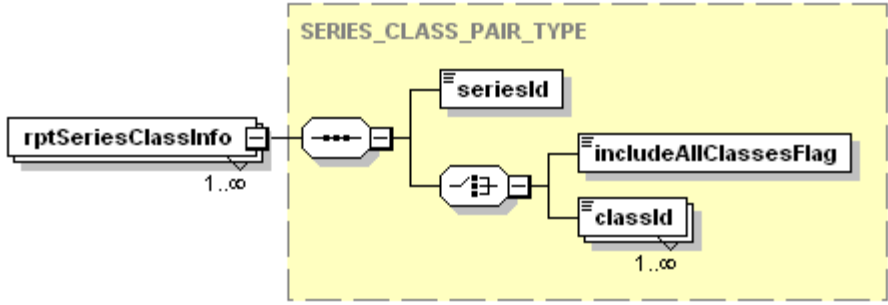
complexType **RPT_SERIES_TYPE**

diagram	
namespace	http://www.sec.gov/edgar/sccommon
children	rptIncludeAllSeriesFlag rptSeriesClassInfo
source	<pre> <xs:complexType name="RPT_SERIES_TYPE"> <xs:choice> <xs:element name="rptIncludeAllSeriesFlag" type="ns1:TRUE_FALSE_TYPE"/> <xs:element name="rptSeriesClassInfo" type="SERIES_CLASS_PAIR_TYPE" maxOccurs="unbounded"/> </xs:choice> </xs:complexType> </pre>

element **RPT_SERIES_TYPE/rptIncludeAllSeriesFlag**

diagram										
namespace	http://www.sec.gov/edgar/sccommon									
type	TRUE_FALSE_TYPE									
properties	<table><tr><td>isRef</td><td>0</td></tr><tr><td>content</td><td>simple</td></tr></table>	isRef	0	content	simple					
isRef	0									
content	simple									
facets	<table><tr><td>Kind</td><td>Value</td><td>annotation</td></tr><tr><td>pattern</td><td>true</td><td></td></tr><tr><td>pattern</td><td>false</td><td></td></tr></table>	Kind	Value	annotation	pattern	true		pattern	false	
Kind	Value	annotation								
pattern	true									
pattern	false									
source	<xs:element name="rptIncludeAllSeriesFlag" type="ns1:TRUE_FALSE_TYPE"/>									

element **RPT_SERIES_TYPE/rptSeriesClassInfo**


diagram									
namespace	http://www.sec.gov/edgar/sccommon								
type	SERIES_CLASS_PAIR_TYPE								
properties	<table border="0"> <tr> <td>isRef</td><td>0</td></tr> <tr> <td>minOcc</td><td>1</td></tr> <tr> <td>maxOcc</td><td>unbounded</td></tr> <tr> <td>content</td><td>complex</td></tr> </table>	isRef	0	minOcc	1	maxOcc	unbounded	content	complex
isRef	0								
minOcc	1								
maxOcc	unbounded								
content	complex								
children	seriesId includeAllClassesFlag classId								
source	<pre><xs:element name="rptSeriesClassInfo" type="SERIES_CLASS_PAIR_TYPE"</pre>								

	<code>maxOccurs="unbounded"/></code>
--	---

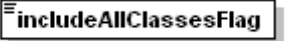
complexType **SERIES_CLASS_PAIR_TYPE**

diagram	
namespace	http://www.sec.gov/edgar/sccommon
children	seriesId includeAllClassesFlag classId
used by	elements RPT_SERIES_TYPE/rptSeriesClassInfo TARGET_TYPE/targetSeriesClassInfo
source	<pre> <xs:complexType name="SERIES_CLASS_PAIR_TYPE"> <xs:sequence> <xs:element name="seriesId" type="SERIES_ID_TYPE"/> <xs:choice> <xs:element name="includeAllClassesFlag" type="ns1:TRUE_FALSE_TYPE"/> <xs:element name="classId" type="CLASS_ID_TYPE" maxOccurs="unbounded"/> </xs:choice> </xs:sequence> </xs:complexType> </pre>

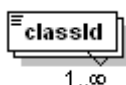
element **SERIES_CLASS_PAIR_TYPE/seriesId**

diagram	
namespace	http://www.sec.gov/edgar/sccommon
type	SERIES_ID_TYPE
properties	isRef 0 content simple
facets	Kind Value pattern [S s][0-9][0-9][0-9][0-9][0-9][0-9][0-9][0-9] annotation
source	<xs:element name="seriesId" type="SERIES_ID_TYPE"/>

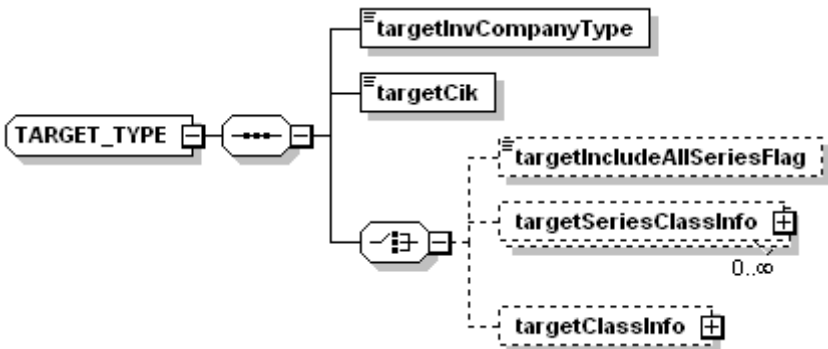
element **SERIES_CLASS_PAIR_TYPE/includeAllClassesFlag**

diagram	
namespace	http://www.sec.gov/edgar/sccommon
type	TRUE_FALSE_TYPE
properties	isRef 0 content simple
facets	Kind Value annotation pattern true pattern false
source	<xs:element name="includeAllClassesFlag" type="ns1:TRUE_FALSE_TYPE"/>


element **SERIES_CLASS_PAIR_TYPE/classId**

diagram									
namespace	http://www.sec.gov/edgar/sccommon								
type	CLASS_ID_TYPE								
properties	<table><tr><td>isRef</td><td>0</td></tr><tr><td>minOcc</td><td>1</td></tr><tr><td>maxOcc</td><td>unbounded</td></tr><tr><td>content</td><td>simple</td></tr></table>	isRef	0	minOcc	1	maxOcc	unbounded	content	simple
isRef	0								
minOcc	1								
maxOcc	unbounded								
content	simple								
facets	<table><tr><td>Kind</td><td>Value</td><td>annotation</td></tr><tr><td>pattern</td><td colspan="2">[C c][0-9][0-9][0-9][0-9][0-9][0-9][0-9][0-9]</td></tr></table>	Kind	Value	annotation	pattern	[C c][0-9][0-9][0-9][0-9][0-9][0-9][0-9][0-9]			
Kind	Value	annotation							
pattern	[C c][0-9][0-9][0-9][0-9][0-9][0-9][0-9][0-9]								
source	<xs:element name="classId" type="CLASS_ID_TYPE" maxOccurs="unbounded"/>								

complexType **TARGET_TYPE**

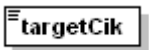
diagram	
namespace	http://www.sec.gov/edgar/sccommon
children	targetInvCompanyType targetCik targetIncludeAllSeriesFlag targetSeriesClassInfo targetClassInfo
used by	element ACQUIRING_TARGET_TYPE/targetInfo
source	<pre> <xs:complexType name="TARGET_TYPE"> <xs:sequence> <xs:element name="targetInvCompanyType" type="MERGE_INV_COMPANY_TYPE"/> <xs:element name="targetCik" type="ns1:CIK_TYPE"/> <xs:choice> <xs:element name="targetIncludeAllSeriesFlag" type="ns1:TRUE_FALSE_TYPE" minOccurs="0"/> <xs:element name="targetSeriesClassInfo" type="SERIES_CLASS_PAIR_TYPE" minOccurs="0" maxOccurs="unbounded"/> <xs:element name="targetClassInfo" type="RPT_CLASS_TYPE" minOccurs="0"/> </xs:choice> </xs:sequence> </xs:complexType> </pre>

element **TARGET_TYPE/targetInvCompanyType**

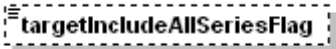
diagram	
namespace	http://www.sec.gov/edgar/sccommon

type	MERGE_INV_COMPANY_TYPE
properties	isRef 0 content simple
source	<code><xs:element name="targetInvCompanyType" type="MERGE_INV_COMPANY_TYPE"/></code>

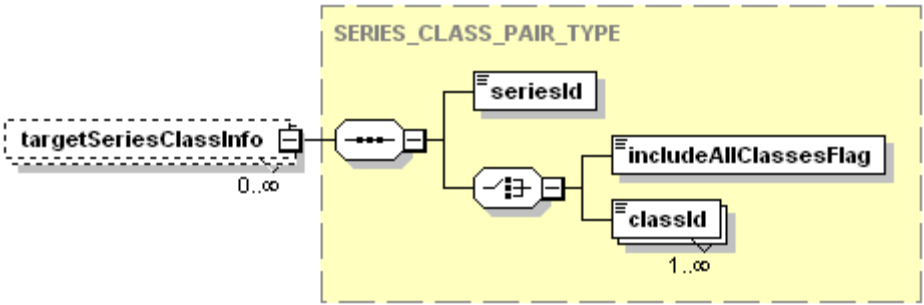
element TARGET_TYPE/targetCik

diagram	
namespace	http://www.sec.gov/edgar/sccommon
type	CIK_TYPE
properties	isRef 0 content simple
facets	Kind Value annotation pattern \d{1,10}
source	<code><xs:element name="targetCik" type="ns1:CIK_TYPE"/></code>

element TARGET_TYPE/targetIncludeAllSeriesFlag

diagram	
namespace	http://www.sec.gov/edgar/sccommon
type	TRUE_FALSE_TYPE
properties	isRef 0 minOcc 0 maxOcc 1 content simple
facets	Kind Value annotation pattern true pattern false
source	<code><xs:element name="targetIncludeAllSeriesFlag" type="ns1:TRUE_FALSE_TYPE" minOccurs="0"/></code>

element TARGET_TYPE/targetSeriesClassInfo

diagram	
namespace	http://www.sec.gov/edgar/sccommon
type	SERIES_CLASS_PAIR_TYPE
properties	isRef 0 minOcc 0 maxOcc unbounded content complex

children	seriesId includeAllClassesFlag classId
source	<code><xs:element name="targetSeriesClassInfo" type="SERIES_CLASS_PAIR_TYPE" minOccurs="0" maxOccurs="unbounded"/></code>

element **TARGET_TYPE/targetClassInfo**

diagram									
namespace	http://www.sec.gov/edgar/sccommon								
type	RPT_CLASS_TYPE								
properties	<table> <tr><td>isRef</td><td>0</td></tr> <tr><td>minOcc</td><td>0</td></tr> <tr><td>maxOcc</td><td>1</td></tr> <tr><td>content</td><td>complex</td></tr> </table>	isRef	0	minOcc	0	maxOcc	1	content	complex
isRef	0								
minOcc	0								
maxOcc	1								
content	complex								
children	rptIncludeAllClassesFlag rptClassId								
source	<code><xs:element name="targetClassInfo" type="RPT_CLASS_TYPE" minOccurs="0"/></code>								

simpleType **CLASS_ID_TYPE**

namespace	http://www.sec.gov/edgar/sccommon		
type	restriction of xs:string		
used by	elements	ACQUIRING_TYPE/acquiringClassId SERIES_CLASS_PAIR_TYPE/classId RPT_CLASS_TYPE/rptClassId SERIES_CLASS_TYPE	
facets	Kind	Value	annotation
	pattern	[C c][0-9][0-9][0-9][0-9][0-9][0-9][0-9][0-9]	
annotation	documentation SEC-supplied class (contract) identifier. Field is 10 characters. The value must start with a "C" followed by nine digits.		
source	<pre><xs:simpleType name="CLASS_ID_TYPE"> <xs:annotation> <xs:documentation>SEC-supplied class (contract) identifier. Field is 10 characters. The value must start with a "C" followed by nine digits.</xs:documentation> </xs:annotation> <xs:restriction base="xs:string"> <xs:pattern value="[C c][0-9][0-9][0-9][0-9][0-9][0-9][0-9][0-9]" /> </xs:restriction> </xs:simpleType></pre>		

simpleType **CLASS_NAME_TYPE**

simpleType base="xs:string" type="restriction" name="NewSeriesClassType2"/>			
namespace	http://www.sec.gov/edgar/sccommon		
type	restriction of xs:string		
used by	elements	NEW_SERIES_CLASS_TYPE/className NEW_CLASS_TYPE/className NEW_CLASS_TYPE 2/className	
facets	Kind	Value	annotation
	minLength	1	

	maxLength 150
annotation	documentation Name of the new class (contract) to be created. Field is up to 150 characters. Text will be conformed to remove all leading and trailing spaces and any extra spaces between words.
source	<pre><xs:simpleType name="CLASS_NAME_TYPE"> <xs:annotation> <xs:documentation>Name of the new class (contract) to be created. Field is up to 150 characters. Text will be conformed to remove all leading and trailing spaces and any extra spaces between words.</xs:documentation> </xs:annotation> <xs:restriction base="xs:string"> <xs:minLength value="1"/> <xs:maxLength value="150"/> <xs:pattern value="[A-Za-z0-9!#-\$%()';:@*\+ -/= \? \^ ` ~{ } , . \\s&lt;&gt;&amp;&quot;\[\]]{1,150}"/> </xs:restriction> </xs:simpleType></pre>

simpleType EMPTY_INV_COMPANY_TYPE

namespace	http://www.sec.gov/edgar/sccommon		
type	restriction of xs:token		
used by	simpleType	MERGE_INV_COMPANY_TYPE	
facets	Kind enumeration	Value Not an Investment Company	annotation
source	<xs:simpleType name="EMPTY_INV_COMPANY_TYPE"> <xs:restriction base="xs:token"> <xs:enumeration value="Not an Investment Company"/> </xs:restriction> </xs:simpleType>		

simpleType MERGE_INV_COMPANY_TYPE

namespace	http://www.sec.gov/edgar/sccommon
type	union of (INV_COMPANY_TYPE , EMPTY_INV_COMPANY_TYPE)
used by	elements ACQUIRING_TYPE/acquiringInvCompanyType TARGET_TYPE/targetInvCompanyType
source	<pre><xs:simpleType name="MERGE_INV_COMPANY_TYPE"> <xs:union memberTypes="ns1:INV_COMPANY_TYPE EMPTY_INV_COMPANY_TYPE"/> </xs:simpleType></pre>

simpleType SERIES_CLASS_TYPE

namespace	http://www.sec.gov/edgar/sccommon
type	union of (SERIES_ID_TYPE , CLASS_ID_TYPE)
source	<pre><xs:simpleType name="SERIES_CLASS_TYPE"> <xs:union memberTypes="SERIES_ID_TYPE CLASS_ID_TYPE"/> </xs:simpleType></pre>

simpleType SERIES_ID_TYPE

namespace	http://www.sec.gov/edgar/sccommon
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type	restriction of xs:string		
used by	elements	ACQUIRING_TYPE/acquiringSeriesId SERIES_CLASS_PAIR_TYPE/seriesId NEW_CLASS_TYPE_2/seriesId SERIES_CLASS_TYPE	
facets	Kind	Value	annotation
	pattern	[S][0-9][0-9][0-9][0-9][0-9][0-9][0-9][0-9]	
annotation	documentation SEC-supplied series identifier. Field is 10 characters. The value must start with an "S" followed by nine digits.		
source	<xs:simpleType name="SERIES_ID_TYPE"> <xs:annotation> <xs:documentation>SEC-supplied series identifier. Field is 10 characters. The value must start with an "S" followed by nine digits.</xs:documentation> </xs:annotation> <xs:restriction base="xs:string"> <xs:pattern value="[S][0-9][0-9][0-9][0-9][0-9][0-9][0-9][0-9]" /> </xs:restriction> </xs:simpleType>		

simpleType **SERIES_NAME_TYPE**

namespace	http://www.sec.gov/edgar/sccommon		
type	restriction of xs:string		
used by	element	NEW_SERIES_CLASS_TYPE/seriesName	
facets	Kind	Value	annotation
	minLength	1	
	maxLength	150	
annotation	documentation Name of the new series to be created. Field is up to 150 characters. Text will be conformed to remove all leading and trailing spaces and any extra spaces between words.		
source	<pre> <xs:simpleType name="SERIES_NAME_TYPE"> <xs:annotation> <xs:documentation>Name of the new series to be created. Field is up to 150 characters. Text will be conformed to remove all leading and trailing spaces and any extra spaces between words.</xs:documentation> </xs:annotation> <xs:restriction base="xs:string"> <xs:minLength value="1" /> <xs:maxLength value="150" /> <xs:pattern value="[A-Za-z0-9!#-\$%()';:@*\+\/-=?\^_`~{}],. \\s&lt;&gt;&amp;&quot;\[\]]{1,150}" /> </xs:restriction> </xs:simpleType> </pre>		

APPENDIX D. EDGAR FEE BEARING FILINGS COMMON XML ELEMENTS

INTRODUCTION

This appendix describes the unique XML elements of the EDGAR Fee Bearing Filing submission.

List of Common Elements

The below table describes the list of the common elements categorized as:

- 1) **Complex type.** The complex Type element defines a complex type. A complex type element is an XML element that contains other elements and/or attributes.
- 2) **Simple type.** A simple element is an XML element that contains only text. It cannot contain any other elements or attributes.

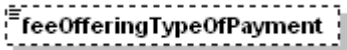
COMPLEX TYPES	SIMPLE TYPES
FEE OFFERING TYPE	DEC NON NEG BILLIONS PREC0
FEE OFFSET TYPE	DEC NON NEG BILLIONS PREC4
FEE SALES SHARE TYPE	EDGAR MONEY
FEE TYPE	PAYMENT METHOD TYPE
PAYOR TYPE	PAYMENT TYPE
SALES SHARES TYPE	SECURITY TYPE
SALES TYPE	SECURITY TYPE BASE
No info blank cell	SECURITY TYPE OTHER

complexType **FEE_OFFERING_TYPE**

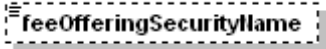
diagram	<pre> sequenceDiagram participant FEE_OFFERING_TYPE FEE_OFFERING_TYPE --> feeOfferingTypeOfPayment FEE_OFFERING_TYPE --> feeOfferingSecurityName FEE_OFFERING_TYPE --> feeOfferingShares FEE_OFFERING_TYPE --> feeOfferingAmountPerShare FEE_OFFERING_TYPE --> feeOfferingAggregatePrice </pre>
namespace	http://www.sec.gov/edgar/feecommon
children	feeOfferingTypeOfPayment feeOfferingSecurityName feeOfferingShares feeOfferingAmountPerShare feeOfferingAggregatePrice
source	<pre> <xs:complexType name="FEE_OFFERING_TYPE"> <xs:sequence> <xs:element name="feeOfferingTypeOfPayment" type="PAYMENT_TYPE" minOccurs="0"/> <xs:element name="feeOfferingSecurityName" type="SECURITY_TYPE" minOccurs="0"/> <xs:element name="feeOfferingShares" type="xs:positiveInteger" minOccurs="0"/> <xs:element name="feeOfferingAmountPerShare" type="_EDGAR_MONEY DEC NON NEG BILLIONS PREC4" minOccurs="0"/> <xs:element name="feeOfferingAggregatePrice" type="EDGAR_MONEY" minOccurs="0"/> </pre>

	<code></xs:sequence></code> <code></xs:complexType></code>
--	---

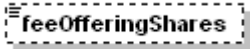
element **FEE_OFFERING_TYPE/feeOfferingTypeOfPayment**

diagram	
namespace	http://www.sec.gov/edgar/feecommon
type	PAYMENT_TYPE
properties	isRef 0 minOcc 0 maxOcc 1 content simple
facets	Kind Value annotation enumeration Pay In Advance enumeration Pay As You Go
source	<code><xs:element name="feeOfferingTypeOfPayment" type="PAYMENT_TYPE" minOccurs="0"/></code>

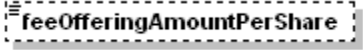
element **FEE_OFFERING_TYPE/feeOfferingSecurityName**

diagram	
namespace	http://www.sec.gov/edgar/feecommon
type	SECURITY_TYPE
properties	isRef 0 minOcc 0 maxOcc 1 content simple
source	<code><xs:element name="feeOfferingSecurityName" type="SECURITY_TYPE" minOccurs="0"/></code>

element **FEE_OFFERING_TYPE/feeOfferingShares**

diagram	
namespace	http://www.sec.gov/edgar/feecommon
type	xs:positiveInteger
properties	isRef 0 minOcc 0 maxOcc 1 content simple
source	<code><xs:element name="feeOfferingShares" type="xs:positiveInteger" minOccurs="0"/></code>

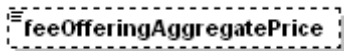
element **FEE_OFFERING_TYPE/feeOfferingAmountPerShare**

diagram	
namespace	http://www.sec.gov/edgar/feecommon
type	EDGAR-MONEY DEC NON NEG BILLIONS PREC4
properties	isRef 0 minOcc 0 maxOcc 1

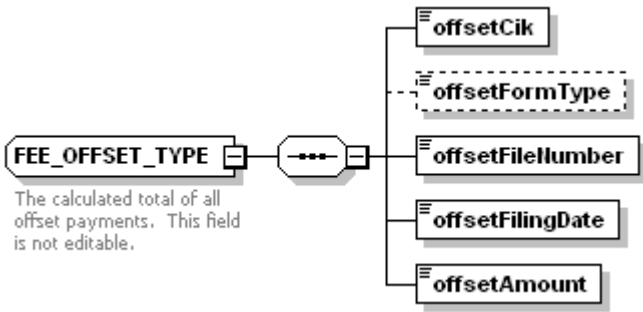
DRAFT

	content	simple	
facets	Kind	Value	annotation
	minInclusive	0.00	
	maxInclusive	99999999999999.99	99
	fractionDigits	2	
source	<xs:element name="feeOfferingAmountPerShare" type="_EDGAR_MONEY_DEC_NON_NEG BILLIONS PREC4" minOccurs="0"/>		

element FEE_OFFERING_TYPE/feeOfferingAggregatePrice

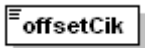
diagram													
namespace	http://www.sec.gov/edgar/feecommon												
type	EDGAR_MONEY												
properties	<table><tr><td>isRef</td><td>0</td></tr><tr><td>minOcc</td><td>0</td></tr><tr><td>maxOcc</td><td>1</td></tr><tr><td>content</td><td>simple</td></tr></table>	isRef	0	minOcc	0	maxOcc	1	content	simple				
isRef	0												
minOcc	0												
maxOcc	1												
content	simple												
facets	<table><tr><td>Kind</td><td>Value</td><td>annotation</td></tr><tr><td>minInclusive</td><td>0.00</td><td></td></tr><tr><td>maxInclusive</td><td>99999999999999.99</td><td></td></tr><tr><td>fractionDigits</td><td>2</td><td></td></tr></table>	Kind	Value	annotation	minInclusive	0.00		maxInclusive	99999999999999.99		fractionDigits	2	
Kind	Value	annotation											
minInclusive	0.00												
maxInclusive	99999999999999.99												
fractionDigits	2												
source	<xs:element name="feeOfferingAggregatePrice" type="EDGAR_MONEY" minOccurs="0"/>												

complexType FEE_OFFSET_TYPE

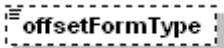
diagram	 A diagram showing a box labeled 'FEE_OFFSET_TYPE' with a dashed border and a small icon in the top left corner. Below the box is the text: 'The calculated total of all offset payments. This field is not editable.' To the right of the box is a sequence container (a box with three dots) containing five elements: 'offsetCik', 'offsetFormType' (dashed border), 'offsetFileNumber', 'offsetFilingDate', and 'offsetAmount'.
namespace	http://www.sec.gov/edgar/feecommon
children	offsetCik offsetFormType offsetFileNumber offsetFilingDate offsetAmount
annotation	<p>documentation</p> <p>The calculated total of all offset payments. This field is not editable.</p>
source	<pre><xs:complexType name="FEE_OFFSET_TYPE"> <xs:annotation> <xs:documentation>The calculated total of all offset payments. This field is not editable.</xs:documentation> </xs:annotation> <xs:sequence> <xs:element name="offsetCik" type="ns1:CIK_TYPE"/> <xs:element name="offsetFormType" type="ns1:SUBMISSION_TYPE" minOccurs="0"/> <xs:element name="offsetFileNumber" type="ns1:FILE_NUMBER_TYPE"/> <xs:element name="offsetFilingDate" type="ns1:DATE_TYPE"/> <xs:element name="offsetAmount" type="EDGAR_MONEY"/> </xs:sequence> </xs:complexType></pre>

	<code></xs:sequence></code> <code></xs:complexType></code>
--	---

element **FEE_OFFSET_TYPE/offsetCik**

diagram	
namespace	http://www.sec.gov/edgar/feecommon
type	CIK_TYPE
properties	isRef 0 content simple
facets	Kind Value annotation pattern \d{1,10}
source	<code><xs:element name="offsetCik" type="ns1:CIK_TYPE"/></code>

element **FEE_OFFSET_TYPE/offsetFormType**

diagram	
namespace	http://www.sec.gov/edgar/feecommon
type	SUBMISSION_TYPE
properties	isRef 0 minOcc 0 maxOcc 1 content simple
facets	Kind Value annotation enumeration 10-12B enumeration 10-12B/A enumeration 10-12G enumeration 10-12G/A enumeration 10-D enumeration 10-D/A enumeration 10-K enumeration 10-K/A enumeration 10KSB enumeration 10KSB/A enumeration 10-KT enumeration 10-KT/A enumeration 10-Q enumeration 10-Q/A enumeration 10-QT enumeration 10-QT/A enumeration 11-K enumeration 11-K/A enumeration 11-KT enumeration 11-KT/A enumeration 13F-HR enumeration 13F-HR/A enumeration 13F-NT

enumeration	13F-NT/A
enumeration	144
enumeration	144/A
enumeration	15-12B
enumeration	15-12B/A
enumeration	15-12G
enumeration	15-12G/A
enumeration	15-15D
enumeration	15-15D/A
enumeration	15F-12B
enumeration	15F-12B/A
enumeration	15F-12G
enumeration	15F-12G/A
enumeration	15F-15D
enumeration	15F-15D/A
enumeration	18-12B
enumeration	18-12B/A
enumeration	18-12G
enumeration	18-12G/A
enumeration	18-K
enumeration	18-K/A
enumeration	1-E AD
enumeration	1-E AD/A
enumeration	1-E
enumeration	1-E/A
enumeration	20-F
enumeration	20-F/A
enumeration	20FR12B
enumeration	20FR12B/A
enumeration	20FR12G
enumeration	20FR12G/A
enumeration	24F-2NT
enumeration	24F-2NT/A
enumeration	25
enumeration	25/A
enumeration	2-E
enumeration	2-E/A
enumeration	305B2
enumeration	305B2/A
enumeration	40-17F1
enumeration	40-17F1/A
enumeration	40-17F2
enumeration	40-17F2/A
enumeration	40-17G
enumeration	40-17G/A
enumeration	40-17GCS

enumeration	40-17GCS/A
enumeration	40-24B2
enumeration	40-24B2/A
enumeration	40-33
enumeration	40-33/A
enumeration	40-6B
enumeration	40-6B/A
enumeration	40-8B25
enumeration	40-8F-2
enumeration	40-8F-2/A
enumeration	40-APP
enumeration	40-APP/A
enumeration	40-F
enumeration	40-F/A
enumeration	40FR12B
enumeration	40FR12B/A
enumeration	40FR12G
enumeration	40FR12G/A
enumeration	40-OIP
enumeration	40-OIP/A
enumeration	424A
enumeration	424B1
enumeration	424B2
enumeration	424B3
enumeration	424B4
enumeration	424B5
enumeration	424B7
enumeration	424B8
enumeration	425
enumeration	485APOS
enumeration	485BPOS
enumeration	485BXT
enumeration	486APOS
enumeration	486BPOS
enumeration	487
enumeration	497
enumeration	497AD
enumeration	497H2
enumeration	497J
enumeration	497K1
enumeration	497K2
enumeration	497K3A
enumeration	497K3B
enumeration	6-K
enumeration	6-K/A
enumeration	8-A12B

enumeration	8-A12B/A
enumeration	8-A12G
enumeration	8-A12G/A
enumeration	8-K
enumeration	8-K/A
enumeration	8-K12B
enumeration	8-K12B/A
enumeration	8-K12G3
enumeration	8-K12G3/A
enumeration	8-K15D5
enumeration	8-K15D5/A
enumeration	ANNLRPT
enumeration	ANNLRPT/A
enumeration	ARS
enumeration	ARS/A
enumeration	AW
enumeration	AW WD
enumeration	CB
enumeration	CB/A
enumeration	DEF 14A
enumeration	DEF 14C
enumeration	DEFA14A
enumeration	DEFA14C
enumeration	DEFC14A
enumeration	DEFC14C
enumeration	DEFM14A
enumeration	DEFM14C
enumeration	DEFN14A
enumeration	DEFR14A
enumeration	DEFR14C
enumeration	DEL AM
enumeration	DFAN14A
enumeration	DFRN14A
enumeration	DSTRBRPT
enumeration	DSTRBRPT/A
enumeration	F-1
enumeration	F-1/A
enumeration	F-10
enumeration	F-10/A
enumeration	F-10EF
enumeration	F-10POS
enumeration	F-1MEF
enumeration	F-3
enumeration	F-3/A
enumeration	F-3ASR
enumeration	F-3D

enumeration	F-3DPOS
enumeration	F-3MEF
enumeration	F-4
enumeration	F-4/A
enumeration	F-4EF
enumeration	F-4MEF
enumeration	F-4 POS
enumeration	F-6
enumeration	F-6/A
enumeration	F-6EF
enumeration	F-6 POS
enumeration	F-7
enumeration	F-7/A
enumeration	F-7 POS
enumeration	F-8
enumeration	F-8/A
enumeration	F-80
enumeration	F-80/A
enumeration	F-8 POS
enumeration	F-80POS
enumeration	F-9
enumeration	F-9/A
enumeration	F-9EF
enumeration	F-9 POS
enumeration	F-N
enumeration	F-N/A
enumeration	FWP
enumeration	F-X
enumeration	F-X/A
enumeration	N-1
enumeration	N-1/A
enumeration	N-14
enumeration	N-14/A
enumeration	N-14 8C
enumeration	N-14 8C/A
enumeration	N-14MEF
enumeration	N-18F1
enumeration	N-18F1/A
enumeration	N-1 A
enumeration	N-1A/A
enumeration	N-2
enumeration	N-2/A
enumeration	N-23C-2
enumeration	N-23C-2/A
enumeration	N-23C3A
enumeration	N-23C3A/A


enumeration	N-23C3B
enumeration	N-23C3B/A
enumeration	N-23C3C
enumeration	N-23C3C/A
enumeration	N-27D-1
enumeration	N-27D-1/A
enumeration	N-2MEF
enumeration	N-3
enumeration	N-3/A
enumeration	N-30B-2
enumeration	N-30D
enumeration	N-30D/A
enumeration	N-4
enumeration	N-4/A
enumeration	N-5
enumeration	N-5/A
enumeration	N-54A
enumeration	N-54A/A
enumeration	N-54C
enumeration	N-54C/A
enumeration	N-6
enumeration	N-6/A
enumeration	N-6F
enumeration	N-6F/A
enumeration	N-8A
enumeration	N-8A/A
enumeration	N-8B-2
enumeration	N-8B-2/A
enumeration	N-8B-3
enumeration	N-8B-3/A
enumeration	N-8B-4
enumeration	N-8B-4/A
enumeration	N-8F
enumeration	N-8F/A
enumeration	N-CSR
enumeration	N-CSR/A
enumeration	N-CSR-S
enumeration	N-CSR-S/A
enumeration	N-PX
enumeration	N-PX/A
enumeration	N-Q
enumeration	N-Q/A
enumeration	NSAR-A
enumeration	NSAR-A/A
enumeration	NSAR-AT
enumeration	NSAR-AT/A

enumeration	NSAR-B
enumeration	NSAR-B/A
enumeration	NSAR-BT
enumeration	NSAR-BT/A
enumeration	NSAR-U
enumeration	NSAR-U/A
enumeration	NT 10-D
enumeration	NT 10-D/A
enumeration	NT 10-K
enumeration	NT 10-K/A
enumeration	NT 10-Q
enumeration	NT 10-Q/A
enumeration	NT 11-K
enumeration	NT 11-K/A
enumeration	NT 15D2
enumeration	NT 15D2/A
enumeration	NT 20-F
enumeration	NT 20-F/A
enumeration	NT-NCSR
enumeration	NT-NCSR/A
enumeration	NT-NSAR
enumeration	NT-NSAR/A
enumeration	POS 8C
enumeration	POS AM
enumeration	POS AMI
enumeration	POS EX
enumeration	POS462B
enumeration	POS462C
enumeration	POSASR
enumeration	PRE 14A
enumeration	PRE 14C
enumeration	PREC14A
enumeration	PREC14C
enumeration	PREM14A
enumeration	PREM14C
enumeration	PREN14A
enumeration	PRER14A
enumeration	PRER14C
enumeration	PRRN14A
enumeration	PX14A6G
enumeration	PX14A6N
enumeration	QRTLYRPT
enumeration	QRTLYRPT/A
enumeration	RW
enumeration	RW WD
enumeration	S-1


enumeration	S-1/A
enumeration	S-11
enumeration	S-11/A
enumeration	S-11MEF
enumeration	S-1MEF
enumeration	S-20
enumeration	S-20/A
enumeration	S-3
enumeration	S-3/A
enumeration	S-3ASR
enumeration	S-3D
enumeration	S-3DPOS
enumeration	S-3MEF
enumeration	S-4
enumeration	S-4/A
enumeration	S-4 POS
enumeration	S-4EF
enumeration	S-4MEF
enumeration	S-6
enumeration	S-6/A
enumeration	S-8 POS
enumeration	S-8
enumeration	S-B
enumeration	S-B/A
enumeration	S-BMEF
enumeration	SC 13D
enumeration	SC 13D/A
enumeration	SC 13E1
enumeration	SC 13E1/A
enumeration	SC 13E3
enumeration	SC 13E3/A
enumeration	SC 13G
enumeration	SC 13G/A
enumeration	SC 14D9
enumeration	SC 14D9/A
enumeration	SC 14F1
enumeration	SC 14F1/A
enumeration	SC TO-C
enumeration	SC TO-I
enumeration	SC TO-I/A
enumeration	SC TO-T
enumeration	SC TO-T/A
enumeration	SC13E4F
enumeration	SC13E4F/A
enumeration	SC14D1F
enumeration	SC14D1F/A

	enumeration SC14D9C enumeration SC14D9F enumeration SC14D9F/A enumeration SH-ER enumeration SH-ER/A enumeration SH-NT enumeration SH-NT/A enumeration SP 15D2 enumeration SP 15D2/A enumeration SUPPL enumeration T-3 enumeration T-3/A enumeration T-6 enumeration T-6/A enumeration UNDER enumeration UNDER/A
source	<code><xs:element name="offsetFormType" type="ns1:SUBMISSION_TYPE" minOccurs="0"/></code>


element FEE_OFFSET_TYPE/offsetFileNumber

diagram	
namespace	http://www.sec.gov/edgar/feecommon
type	FILE_NUMBER_TYPE
properties	isRef 0 content simple
facets	Kind Value annotation pattern \d{1,3}-\d{1,8}-[a-zA-Z0-9]{1,4}\d{1,3}-\d{1,8}
source	<code><xs:element name="offsetFileNumber" type="ns1:FILE_NUMBER_TYPE"/></code>

element FEE_OFFSET_TYPE/offsetFilingDate

diagram	
namespace	http://www.sec.gov/edgar/feecommon
type	DATE_TYPE
properties	isRef 0 content simple
facets	Kind Value annotation pattern \d{1,2}-\d{1,2}-\d{4}
source	<code><xs:element name="offsetFilingDate" type="ns1:DATE_TYPE"/></code>

element FEE_OFFSET_TYPE/offsetAmount

diagram	
namespace	http://www.sec.gov/edgar/feecommon

type	EDGAR MONEY
properties	isRef 0 content simple
facets	Kind Value minInclusive 0.00 maxInclusive 99999999999999.99 fractionDigits 2
source	<code><xs:element name="offsetAmount" type="EDGAR_MONEY"/></code>

complexType **FEE_SALES_SHARE_TYPE**

diagram	
namespace	http://www.sec.gov/edgar/feecommon
children	submissionFee submissionSalesShares
source	<pre> <xs:complexType name="FEE_SALES_SHARE_TYPE"> <xs:sequence> <xs:element name="submissionFee" type="FEE_TYPE"/> <xs:element name="submissionSalesShares" type="SALES_SHARES_TYPE" minOccurs="0"/> </xs:sequence> </xs:complexType> </pre>

element **FEE_SALES_SHARE_TYPE/submissionFee**

diagram	
namespace	http://www.sec.gov/edgar/feecommon
type	FEE_TYPE
properties	isRef 0 content complex
children	feePayorInformation feePaymentMethod feeAmount feeBasis
source	<code><xs:element name="submissionFee" type="FEE_TYPE"/></code>

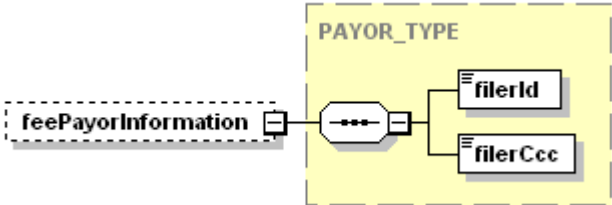
element **FEE_SALES_SHARE_TYPE/submissionSalesShares**

diagram	
namespace	http://www.sec.gov/edgar/feecommon
type	SALES_SHARES_TYPE
properties	isRef 0 minOcc 0 maxOcc 1 content complex
children	salesSharesItemizeFlag salesSharesInformation
source	<pre><xs:element name="submissionSalesShares" type="SALES_SHARES_TYPE" minOccurs="0"/></pre>

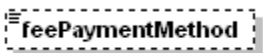
complexType **FEE_TYPE**

diagram	
namespace	http://www.sec.gov/edgar/feecommon
children	feePayorInformation
used by	element FEE_SALES_SHARE_TYPE/submissionFee
source	<pre><xs:complexType name="FEE_TYPE"> <xs:sequence> <xs:element name="feePayorInformation" type="PAYOR_TYPE" minOccurs="0"/> <xs:element name="feePaymentMethod" type="PAYMENT_METHOD_TYPE" minOccurs="0"/> <xs:element name="feeAmount" type="EDGAR_MONEY"/> <xs:element name="feeBasis" type="EDGAR_MONEY" minOccurs="0"/> </xs:sequence> </xs:complexType></pre>


element **FEE_TYPE/feePayorInformation**

diagram	 The diagram shows the 'feePayorInformation' element (represented by a dashed box) connected to a complex content model (represented by a circle with three dots). This model is enclosed in a yellow dashed box labeled 'PAYOR_TYPE'. Inside this box, the content model branches into two child elements: 'filerId' and 'filerCcc', each represented by a box with a small icon.
namespace	http://www.sec.gov/edgar/feecommon
type	PAYOR_TYPE
properties	isRef 0 minOcc 0 maxOcc 1 content complex
children	filerId filerCcc
source	<xs:element name="feePayorInformation" type="PAYOR_TYPE" minOccurs="0"/>

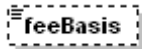
element **FEE_TYPE/feePaymentMethod**

diagram	 The diagram shows the 'feePaymentMethod' element, represented by a box with a small icon and a dashed border.
namespace	http://www.sec.gov/edgar/feecommon
type	PAYMENT_METHOD_TYPE
properties	isRef 0 minOcc 0 maxOcc 1 content simple
facets	Kind Value annotation enumeration LOCKBOX enumeration FEDWIRE
source	<xs:element name="feePaymentMethod" type="PAYMENT_METHOD_TYPE" minOccurs="0"/>

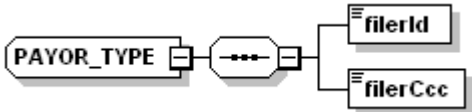
element **FEE_TYPE/feeAmount**

diagram	 The diagram shows the 'feeAmount' element, represented by a box with a small icon.
namespace	http://www.sec.gov/edgar/feecommon
type	EDGAR_MONEY
properties	isRef 0 content simple
facets	Kind Value annotation minInclusive 0.00 maxInclusive 99999999999999.99 fractionDigits 2
source	<xs:element name="feeAmount" type="EDGAR_MONEY"/>


element **FEE_TYPE/feeBasis**

diagram													
namespace	http://www.sec.gov/edgar/feecommon												
type	EDGAR MONEY												
properties	<table><tr><td>isRef</td><td>0</td></tr><tr><td>minOcc</td><td>0</td></tr><tr><td>maxOcc</td><td>1</td></tr><tr><td>content</td><td>simple</td></tr></table>	isRef	0	minOcc	0	maxOcc	1	content	simple				
isRef	0												
minOcc	0												
maxOcc	1												
content	simple												
facets	<table><tr><td>Kind</td><td>Value</td><td>annotation</td></tr><tr><td>minInclusive</td><td>0.00</td><td></td></tr><tr><td>maxInclusive</td><td>99999999999999.99</td><td></td></tr><tr><td>fractionDigits</td><td>2</td><td></td></tr></table>	Kind	Value	annotation	minInclusive	0.00		maxInclusive	99999999999999.99		fractionDigits	2	
Kind	Value	annotation											
minInclusive	0.00												
maxInclusive	99999999999999.99												
fractionDigits	2												
source	<xs:element name="feeBasis" type="EDGAR MONEY" minOccurs="0"/>												


complexType **PAYOR_TYPE**

diagram	
namespace	http://www.sec.gov/edgar/feecommon
children	filerId filerCcc
used by	element FEE_TYPE/feePayerInformation
source	<pre> <xs:complexType name="PAYOR_TYPE"> <xs:sequence> <xs:element name="filerId" type="ns1:CIK_TYPE"/> <xs:element name="filerCcc" type="ns1:CCC_TYPE"/> </xs:sequence> </xs:complexType> </pre>

element **PAYOR_TYPE/filerId**

diagram							
namespace	http://www.sec.gov/edgar/feecommon						
type	CIK_TYPE						
properties	<table><tr><td>isRef</td><td>0</td></tr><tr><td>content</td><td>simple</td></tr></table>	isRef	0	content	simple		
isRef	0						
content	simple						
facets	<table><tr><td>Kind</td><td>Value</td><td>annotation</td></tr><tr><td>pattern</td><td>\d{1,10}</td><td></td></tr></table>	Kind	Value	annotation	pattern	\d{1,10}	
Kind	Value	annotation					
pattern	\d{1,10}						
source	<xs:element name="filerId" type="ns1:CIK_TYPE"/>						

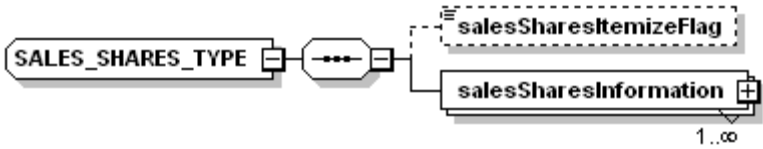
element **PAYOR_TYPE/filerCcc**

diagram	
namespace	http://www.sec.gov/edgar/feecommon


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type	CCC_TYPE
properties	isRef 0 content simple
facets	Kind Value annotation length 8
source	<code><xs:element name="filerCcc" type="ns1:CCC_TYPE"/></code>

complexType SALES_SHARES_TYPE

diagram	
namespace	http://www.sec.gov/edgar/feecommon
children	salesSharesItemizeFlag salesSharesInformation
used by	element FEE SALES SHARE TYPE/submissionSalesShares
source	<pre><xs:complexType name="SALES_SHARES_TYPE"> <xs:sequence> <xs:element name="salesSharesItemizeFlag" type="ns1:TRUE_FALSE_TYPE" minOccurs="0"/> <xs:element name="salesSharesInformation" type="SALES_TYPE" maxOccurs="unbounded"/> </xs:sequence> </xs:complexType></pre>

element SALES_SHARES_TYPE/salesSharesItemizeFlag

diagram	
namespace	http://www.sec.gov/edgar/feecommon
type	TRUE_FALSE_TYPE
properties	isRef 0 minOcc 0 maxOcc 1 content simple
facets	Kind Value annotation pattern true pattern false
source	<pre><xs:element name="salesSharesItemizeFlag" type="ns1:TRUE_FALSE_TYPE" minOccurs="0"/></pre>

element **SALES_SHARES_TYPE/salesSharesInformation**

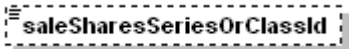
diagram									
namespace	http://www.sec.gov/edgar/feecommon								
type	SALES_TYPE								
properties	<table> <tr><td>isRef</td><td>0</td></tr> <tr><td>minOcc</td><td>1</td></tr> <tr><td>maxOcc</td><td>unbounded</td></tr> <tr><td>content</td><td>complex</td></tr> </table>	isRef	0	minOcc	1	maxOcc	unbounded	content	complex
isRef	0								
minOcc	1								
maxOcc	unbounded								
content	complex								
children	saleSharesSeriesOrClassId salesSharesSalesProceeds salesSharesRedeemedValue salesSharesNetValue salesSharesFeeAmount								
source	<pre><xs:element name="salesSharesInformation" type="SALES_TYPE" maxOccurs="unbounded"/></pre>								

complexType **SALES_TYPE**


diagram	
namespace	http://www.sec.gov/edgar/feecommon
children	saleSharesSeriesOrClassId salesSharesSalesProceeds salesSharesRedeemedValue salesSharesNetValue salesSharesFeeAmount
used by	element SALES_SHARES_TYPE/salesSharesInformation
source	<pre><xs:complexType name="SALES_TYPE"> <xs:sequence> <xs:element name="saleSharesSeriesOrClassId" type="ns2:SERIES_CLASS_TYPE" minOccurs="0"/> <xs:element name="salesSharesSalesProceeds" type="EDGAR_MONEY"/> <xs:element name="salesSharesRedeemedValue" type="EDGAR_MONEY" minOccurs="0"/> <xs:element name="salesSharesNetValue" type="EDGAR_MONEY"/> <xs:element name="salesSharesFeeAmount" type="DEC_NON_NEG_BILLIONS_PREC4"/> </xs:sequence> </xs:complexType></pre>

	<pre></xs:sequence> </xs:complexType></pre>
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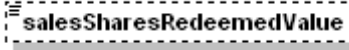
element **SALES_TYPE/saleSharesSeriesOrClassId**

diagram	
namespace	http://www.sec.gov/edgar/feecommon
type	SERIES_CLASS_TYPE
properties	isRef 0 minOcc 0 maxOcc 1 content simple
source	<pre><xs:element name="saleSharesSeriesOrClassId" type="ns2:SERIES_CLASS_TYPE" minOccurs="0"/></pre>

element **SALES_TYPE/salesSharesSalesProceeds**

diagram	
namespace	http://www.sec.gov/edgar/feecommon
type	EDGAR MONEY
properties	isRef 0 content simple
facets	Kind Value annotation minInclusive 0.00 maxInclusive 9999999999999.99 fractionDigits 2
source	<pre><xs:element name="salesSharesSalesProceeds" type="EDGAR_MONEY"/></pre>

element **SALES_TYPE/salesSharesRedeemedValue**


diagram	
namespace	http://www.sec.gov/edgar/feecommon
type	EDGAR MONEY
properties	isRef 0 minOcc 0 maxOcc 1 content simple
facets	Kind Value annotation minInclusive 0.00 maxInclusive 9999999999999.99 fractionDigits 2
source	<pre><xs:element name="salesSharesRedeemedValue" type="EDGAR_MONEY" minOccurs="0"/></pre>

element **SALES_TYPE/salesSharesNetValue**

diagram	
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namespace	http://www.sec.gov/edgar/feecommon		
type	EDGAR MONEY		
properties	isRef	0	
	content	simple	
facets	Kind	Value	annotation
	minInclusive	0.00	
	maxInclusive	99999999999999.99	
	fractionDigits	2	
source	<xs:element name="salesSharesNetValue" type="EDGAR_MONEY"/>		

element **SALES_TYPE/salesSharesFeeAmount**

diagram			
namespace	http://www.sec.gov/edgar/feecommon		
type	DEC_NON_NEG BILLIONS PREC4		
properties	isRef	0	
	content	simple	
facets	Kind	Value	annotation
	minInclusive	0.0000	
	maxInclusive	99999999999999.9999	
	fractionDigits	2	
source	<xs:element name="salesSharesFeeAmount" type="DEC_NON_NEG BILLIONS PREC4"/>		

simpleType **DEC_NON_NEG BILLIONS PREC0**

namespace	http://www.sec.gov/edgar/feecommon		
type	restriction of xs:decimal		
facets	Kind	Value	annotation
	minInclusive	0	
	maxInclusive	999999999999	
	fractionDigits	0	
source	<xs:simpleType name="DEC_NON_NEG BILLIONS PREC0"> <xs:restriction base="xs:decimal"> <xs:fractionDigits value="0"/> <xs:minInclusive value="0"/> <xs:maxInclusive value="999999999999"/> </xs:restriction> </xs:simpleType>		

simpleType **DEC_NON_NEG BILLIONS PREC4**

namespace	http://www.sec.gov/edgar/feecommon		
type	restriction of xs:decimal		
facets	Kind	Value	annotation
	minInclusive	0	
	maxInclusive	999999999999.9999	
	fractionDigits	4	

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source	<pre><xs:simpleType name="DEC_NON_NEG_BILLIONS_PREC4"> <xs:restriction base="xs:decimal"> <xs:fractionDigits value="4"/> <xs:minInclusive value="0"/> <xs:maxInclusive value="999999999999.9999"/> </xs:restriction> </xs:simpleType></pre>
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simpleType **EDGAR_MONEY**

namespace	http://www.sec.gov/edgar/feecommon		
type	restriction of xs:decimal		
used by	elements	FEE_TYPE/feeAmount FEE_TYPE/feeBasis FEE_OFFERING_TYPE/feeOfferingAggregatePrice FEE_OFFERING_TYPE/feeOfferingAmountPerShare FEE_OFFSET_TYPE/offsetAmount SALES_TYPE/salesSharesNetValue SALES_TYPE/salesSharesRedeemedValue SALES_TYPE/salesSharesSalesProceeds	
facets	Kind	Value	annotation
	minInclusive	0.00	
	maxInclusive	999999999999.99	
	fractionDigits	2	
source	<pre><xs:simpleType name="EDGAR_MONEY"> <xs:restriction base="xs:decimal"> <xs:fractionDigits value="2"/> <xs:minInclusive value="0.00"/> <xs:maxInclusive value="999999999999.99"/> </xs:restriction> </xs:simpleType></pre>		

simpleType **PAYMENT_METHOD_TYPE**

namespace	http://www.sec.gov/edgar/feecommon		
type	restriction of xs:string		
used by	element	FEE_TYPE/feePaymentMethod	
facets	Kind	Value	annotation
	enumeration	LOCKBOX	
	enumeration	FEDWIRE	
source	<pre><xs:simpleType name="PAYMENT_METHOD_TYPE"> <xs:restriction base="xs:string"> <xs:enumeration value="LOCKBOX"/> <xs:enumeration value="FEDWIRE"/> </xs:restriction> </xs:simpleType></pre>		

simpleType **PAYMENT_TYPE**

namespace	http://www.sec.gov/edgar/feecommon		
type	restriction of xs:string		
used by	element	FEE_OFFERING_TYPE/feeOfferingTypeOfPayment	
facets	Kind	Value	annotation
	enumeration	Pay In Advance	
	enumeration	Pay As You Go	

source	<pre><xs:simpleType name="PAYMENT_TYPE"> <xs:restriction base="xs:string"> <xs:enumeration value="Pay In Advance"/> <xs:enumeration value="Pay As You Go"/> </xs:restriction> </xs:simpleType></pre>
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simpleType **SECURITY_TYPE**

namespace	http://www.sec.gov/edgar/feecommon
type	union of (SECURITY_TYPE_BASE , SECURITY_TYPE_OTHER)
used by	element FEE_OFFERING_TYPE/feeOfferingSecurityName
source	<pre><xs:simpleType name="SECURITY_TYPE"> <xs:union memberTypes="SECURITY_TYPE_BASE SECURITY_TYPE_OTHER"/> </xs:simpleType></pre>

simpleType **SECURITY_TYPE_BASE**

namespace	http://www.sec.gov/edgar/feecommon		
type	restriction of xs:string		
used by	simpleType SECURITY_TYPE		
facets	Kind	Value	annotation
	enumeration	Debt	
	enumeration	Non-Convertible Debt	
	enumeration	Debt Convertible into Equity	
	enumeration	Equity	
	enumeration	Asset-Backed Securities	
	enumeration	Mortgage Backed Securities	
	enumeration	Limited Partnership Interests	
	enumeration	Unallocated (Universal) Shelf	
	enumeration	ADRs/ADSs	
	enumeration	Face Amount Certificates	
	enumeration	Other	
source	<pre><xs:simpleType name="SECURITY_TYPE_BASE"> <xs:restriction base="xs:string"> <xs:enumeration value="Debt"/> <xs:enumeration value="Non-Convertible Debt"/> <xs:enumeration value="Debt Convertible into Equity"/> <xs:enumeration value="Equity"/> <xs:enumeration value="Asset-Backed Securities"/> <xs:enumeration value="Mortgage Backed Securities"/> <xs:enumeration value="Limited Partnership Interests"/> <xs:enumeration value="Unallocated (Universal) Shelf"/> <xs:enumeration value="ADRs/ADSs"/> <xs:enumeration value="Face Amount Certificates"/> <xs:enumeration value="Other"/> </xs:restriction> </xs:simpleType></pre>		

simpleType **SECURITY_TYPE_OTHER**

namespace	http://www.sec.gov/edgar/feecommon		
type	restriction of xs:string		
used by	simpleType	SECURITY_TYPE	
facets	Kind	Value	annotation
	pattern	Other\.{1,106}	
source	<pre><xs:simpleType name="SECURITY_TYPE_OTHER"> <xs:restriction base="xs:string"> <xs:pattern value="Other\.{1,106}"/> </xs:restriction> </xs:simpleType></pre>		

APPENDIX E. ACRONYMS

ASCII	American Standard Code for Information Interchange
CCC	CIK Confirmation Code
CIK	Central Index Key
EDGAR	Electronic Data Gathering, Analysis, and Retrieval
ELO	EDGARLink Online
PDF	Portable Document Format
SEC	Securities and Exchange Commission
XML	Extensible Markup Language