

Chairman Mary L. Schapiro  
Public Calendar  
2010

Friday, January 1, 2010

SEC closed for New Year's Day

Monday, January 4, 2010

9:30 am	Meeting with staff
10:30 am	Meeting with staff
12:00 pm	Lunch with FDIC Chairman Sheila Bair, FDIC
2:00 pm	Meeting with staff
2:30 pm	Meeting with Commissioner and staff
4:00 pm	Meeting with staff
5:00 pm	Meeting with staff

Tuesday, January 5, 2010

9:30 am	Meeting with staff
11:00 am	Meeting with staff
1:00 pm	Meeting with staff
5:00 pm	Meeting with staff

Wednesday, January 6, 2010

9:30 am	Meeting with Commissioner and staff
12:30 pm	Press interview with Marcy Gordon, Associated Press
2:30 pm	Meeting with staff
3:00 pm	Meeting with Commissioner and staff

Thursday, January 7, 2010

9:00 am	Meeting with staff
10:30 am	Meeting with staff
11:00 am	Meeting with staff
1:30 pm	Meeting with staff
2:00 pm	Closed Commission meeting

Friday, January 8, 2010

10:00 am	Meeting with staff
2:00 pm	Meeting with Joel Seligman, President, University of Rochester

Monday, January 11, 2010

9:30 am	Oral argument
2:30 pm	Meeting with staff
3:30 pm	Meeting with staff

Tuesday, January 12, 2010

10:00 am Meeting with staff  
2:00 pm Meeting with staff

Wednesday, January 13, 2010

10:00 am Open Commission meeting  
2:00 pm Meeting with Yahoo! Inc., including: Roy Bostock, Chairman, Board of Directors; Michael Callahan, General Counsel; Mindy Heppberger, Deputy General Counsel, Corporate Governance; Margaret Stewart Nagle, Director, Government Affairs  
3:00 pm Stop by the Division of Enforcement's town hall meeting  
4:00 pm Meeting with staff

Thursday, January 14, 2010

9:00 am Testify before the Financial Crisis Inquiry Commission on "Causes and Current State of the Financial Crisis"  
1:30 pm Meeting with staff  
2:00 pm Closed Commission meeting

Friday, January 15, 2010

8:30 am Meeting with Inspector General  
10:00 am Photo shoot with *Money*  
11:30 am Meeting with PCAOB Acting Chairman Daniel Goelzer  
1:30 pm Meeting with Commissioner  
2:30 pm Meeting with Commissioner  
4:00 pm Meeting with staff

Monday, January 18, 2010

SEC closed for the Birthday of Martin Luther King, Jr.

Tuesday, January 19, 2010

9:30 am Financial Stability Oversight Board meeting, Department of the Treasury  
Travel to Coronado, CA

Wednesday, January 20, 2010

1:00 pm Deliver the Alan B. Levenson Keynote Address at the 37<sup>th</sup> Annual Securities Regulation Institute, Hotel Del Coronado, Coronado, CA  
Travel to Washington, DC

Thursday, January 21, 2010

5:00 pm Meeting with staff

Friday, January 22, 2010

10:30 am Interview job candidate  
11:30 am Meeting with Damon Silvers, Assistant General Counsel, AFL-CIO and Ann Yerger, Executive Director, Council of Institutional Investors  
1:45 pm Meeting with Secretary Geithner, Department of the Treasury

Monday, January 25, 2010

10:00 am Swearing-in ceremony for staff  
10:30 am Meeting with Commissioner and staff  
11:00 am Press interview with Donna Rosato, *Money*  
12:30 pm Press interview with Rachelle Younglai and Dan Margolies, Thomson Reuters  
2:00 pm Meeting with staff  
4:00 pm Meeting with staff

Tuesday, January 26, 2010

8:30 am Speak at the SEC's CCO Outreach Broker-Dealer Program, SEC Auditorium  
10:30 am Meeting with Commissioner  
2:00 pm Meeting with staff  
3:00 pm Meeting with staff  
5:30 pm Meeting with staff

Wednesday, January 27, 2010

10:00 am Open Commission meeting  
12:30 pm Meeting with staff  
3:00 pm Meeting with staff  
5:00 pm Meeting with staff

Thursday, January 28, 2010

10:30 am Meeting with staff  
12:30 pm Phone call with Leo Strine, Vice Chancellor, Delaware Court of Chancery  
1:00 pm Meeting with staff  
2:00 pm Closed Commission meeting  
4:30 pm Farewell reception for staff

Friday, January 29, 2010

Travel to London, England

Monday, February 1, 2010

All day Strategic dialogue meetings with the Financial Services Authority, United Kingdom, including: Lord Adair Turner, Chairman; Hector Sants, Chief Executive Officer; Sally Dewar, Managing Director, Risk; Verena Ross, Director, Strategy and Risk; Stephen Bland, Director, Wholesale Firms; Alexander Justham,

	Director, Markets; and Dan Waters, Director, Conduct Risk; the offices of the Financial Services Authority, United Kingdom, London, England
2:30 pm	Closed Commission meeting by phone
4:00 pm	Press interview with Kara Scannell, The Wall Street Journal

Tuesday, February 2, 2010

8:00 am	Breakfast with Ambassador Louis Susman, U.S. Ambassador to the United Kingdom, Winfield House, London, England
10:00 am	Meeting of the Euronext College of Regulators, including: Hans Hoogervorst, Chairman, Authority for the Financial Markets, Netherlands; Jean-Pierre Jouyet, Chairman, Autorité des Marchés Financiers, France; Jean-Paul Servais, Chairman, Banking Finance and Insurance Commission, Belgium; Carlos Tavares, Chairman, Comissão do Mercado de Valores Mobiliários, Portugal; and Alexander Justham, Director, Markets, Financial Services Authority, United Kingdom; the offices of the Financial Services Authority, United Kingdom, London, England
12:00 pm	Bilateral meeting with Jean-Pierre Jouyet, Chairman, Autorité des Marchés Financiers, France, the offices of the Financial Services Authority, United Kingdom, London, England

Travel to Washington, DC

Wednesday, February 3, 2010

9:45 am	Meeting with Under Secretary Jeffrey Goldstein, Department of the Treasury
2:30 pm	Closed Commission meeting
4:30 pm	Meeting with Commissioner and Christopher DeRose, Chief Executive Officer, Ohio Public Employees Retirement System
5:00 pm	Meeting with staff
5:45 pm	Phone call with John Thornburgh, Senior Vice President, Witt/Kieffer

Thursday, February 4, 2010

12:00 pm	Meeting with staff
12:30 pm	Press interview with Fawn Johnson, Dow Jones News Service
1:30 pm	Meeting with staff
2:00 pm	Meeting with McKinsey & Company, including: Nancy Killefer, Senior Director; Andrew Sellgren, Partner; and Thomas Dohrmann, Partner
3:00 pm	Meeting with Paul Stevens, President and Chief Executive Officer, and Amy Lancellotta, Head, Independent Directors Council, Investment Company Institute
4:00 pm	Press interview with Zack Goldfarb, <i>The Washington Post</i>
5:00 pm	Meeting with Senator Ted Kaufman (D-DE)

Friday, February 5, 2010

9:15 am	Speak at the Practicing Law Institute's "The SEC Speaks in 2010" Program, Ronald Reagan Building and International Trade Center, Washington, DC
11:00 am	Interview job candidate

Monday, February 8, 2010

SEC closed due to weather

Tuesday, February 9, 2010

SEC closed due to weather

Wednesday, February 10, 2010

SEC closed due to weather

Thursday, February 11, 2010

SEC closed due to weather

Friday, February 12, 2010

8:30 am	Meeting with staff
9:30 am	Meeting with the Securities Transfer Association, including: Charles Rossi, Executive President, Computershare; Thomas Montrone, President, Chief Executive Officer, Registrar and Transfer Company; Steven Nelson, Chairman, President, Continental Stock Transfer & Trust Co.; Salli Marinov, President, Chief Executive Officer, First American Stock Transfer, Inc.; and Cynthia Jones, Executive Director, Securities Transfer Association
1:00 pm	Meeting with Commissioner and staff
2:30 pm	Meeting with Commissioner and staff
5:00 pm	Meeting with staff

Monday, February 15, 2010

SEC closed for Washington's Birthday

Tuesday, February 16, 2010

9:30 am	Meeting with staff
10:30 am	Meeting with staff
11:30 am	Meeting with Commissioner and staff
2:00 pm	Meeting with Commissioner
3:00 pm	Meeting with staff
4:30 pm	Meeting with staff

Wednesday, February 17, 2010

10:00 am	Meeting with staff
11:00 am	Meeting with staff
12:30 pm	Lunch with IRS Commissioner Douglas Shulman
2:30 pm	Meeting with staff
4:30 pm	Meeting with CFTC Chairman Gary Gensler

Thursday, February 18, 2010

9:00 am	Meeting with staff
10:00 am	Meeting with the U.S. Chamber of Commerce, including: Tom Donohue, President, Chief Executive Officer; Amanda Engstrom, Chief-of-Staff; and David Hirschmann, Senior Vice President
11:00 am	Meeting with Commissioner and Douglas Scovanner, Executive Vice President and Chief Financial Officer, and Timothy Baer, Executive Vice President and General Counsel, Target Corporation
1:30 pm	Meeting with staff
2:00 pm	Closed Commission meeting
4:30 pm	Meeting with staff

Friday, February 19, 2010

10:30 am	Meeting with staff
11:30 am	Meeting with staff
2:00 pm	Meeting with William Heyman, Director, Board of Directors, Securities Investor Protection Corporation

Monday, February 22, 2010

9:00 am	Speak at the SEC Investor Advisory Committee meeting, SEC
9:30 am	Meeting with staff
10:00 am	Photo shoot with senior staff
10:30 am	Meeting with staff
12:00 pm	Meeting with Nancy Hogan, Deputy Assistant to the President and Director of Presidential Personnel, and Jonathan McBride, Special Assistant to the President and Deputy Director of Presidential Personnel, Eisenhower Executive Office Building
2:00 pm	Federal Housing Finance Agency Oversight Board meeting, Federal Housing Finance Agency
3:00 pm	Financial Stability Oversight Board meeting, Federal Housing Finance Agency
4:30 pm	Meeting with Mary Miller, Assistant Secretary for Financial Markets, Department of the Treasury, SEC

Tuesday, February 23, 2010

9:30 am	Meeting with Michel Prada, Member, Board of Trustees, International Valuation Standards Committee and former SEC Commissioner Roel Campos, Partner, Cooley Godward Kronish
10:30 am	Meeting with Barbara Roper, Director, Investor Protections, Consumer Federation of America and Mercer Bullard, Founder, President, Fund Democracy, Inc.
12:30 pm	Meeting with staff
2:00 pm	Tape remarks for the SEC New York Regional Office's African American History Month celebration
3:15 pm	Meeting with Congresswoman Jo Ann Emerson (R-MO), Ranking Member, U.S. House Subcommittee on Financial Services and General Government

Wednesday, February 24, 2010

10:00 am	Open Commission meeting
3:00 pm	Meeting with Senator Richard Durbin (D-IL), Chairman, U.S. Senate Subcommittee on Financial Services and General Government

5:30 pm Meeting with former SEC Chairman Arthur Levitt, Senior Advisor, The Carlyle Group  
6:30 pm Meeting with Robert Greifeld, Chief Executive Officer, NASDAQ OMX Group, Inc.

Thursday, February 25, 2010

9:00 am Meeting with staff  
10:00 am Meeting with Larry Zimpleman, Chief Executive Officer, Principal Financial Group; James McCaughan, Chief Executive Officer, Principal Global Investors, LLC; and Jeffrey Hiller, Chief Compliance Officer, Principal Global Investors, LLC  
10:45 am Meeting with staff  
11:00 am Meeting with Richard Brueckner, Chairman, Pershing LLC  
11:29 am Phone call with Under Secretary Jeffrey Goldstein and Lee Sachs, Counselor to the Secretary, Department of the Treasury  
1:00 pm Meeting with staff  
1:30 pm Closed Commission meeting  
4:15 pm Meeting with Congressman Jose Serrano (D-NY), Chairman, U.S. House Subcommittee on Financial Services and General Government

Friday, February 26, 2010

9:00 am Meeting with staff  
10:30 am Meeting with Senator Susan Collins (R-ME), Ranking Member, U.S. Senate Subcommittee on Financial Services and General Government  
12:00 pm Meeting with staff  
2:30 pm Meeting with staff  
3:30 pm Meeting with staff  
4:00 pm Meeting with Commissioner  
4:30 pm Meeting with Commissioner and staff

Monday, March 1, 2010

9:30 am Meeting with staff  
10:30 am Meeting with staff  
12:00 pm Lunch with Commissioner  
1:30 pm Meeting with Christopher Gibson-Smith, Chairman, and Xavier Rolet, Chief Executive Officer, London Stock Exchange  
3:00 pm Meeting with Jameson Baxter, President, Baxter Associates Inc. and former SEC Chairman David Ruder, Professor Emeritus, Northwestern University School of Law

Tuesday, March 2, 2010

10:00 am Meeting with Commissioner  
4:00 pm Meeting with staff

Wednesday, March 3, 2010

1:30 pm Meeting with staff  
2:00 pm Meeting with James Quigley, Chief Executive Officer, Deloitte Touche Tohmatsu; Jeffrey Willemain, Global Managing Partner, Regulatory & Risk, Deloitte Touche

Tohmatsu; and James Turley, Global Chairman, Chief Executive Officer, Ernst & Young LLP

Thursday, March 4, 2010

9:00 am Meeting with staff  
9:30 am Meeting with staff  
11:30 am Meeting with Congressman Eric Cantor (R-VA), Minority Whip  
2:00 pm Closed Commission meeting  
4:00 pm Stop by the SEC's Successful Leaders Program  
4:30 pm Meeting with K.C. Chan, Secretary for Financial Services and the Treasury, Hong Kong Special Administrative Region

Friday, March 5, 2010

9:00 am Meeting with staff  
11:00 am Meeting with Professor Jeffrey Garten and students from the Yale School of Management

Monday, March 8, 2010

9:30 am Meeting with staff  
10:30 am Meeting with staff  
3:00 pm Meeting with staff

Tuesday, March 9, 2010

9:30 am Meeting with BNY ConvergeEx Group, including: Lee Schneider, General Counsel; Joseph Virgilio, Managing Director, Strategy and Technology Planning; Joseph Cangemi, Managing Director; and Craig Viani, Managing Director  
10:30 am Meeting with staff  
11:00 am Press interview with Diana Henriques, *The New York Times*  
2:00 pm Meeting with Mari Kiviniemi, Minister of Public Administration and Local Government, Council of State of Finland  
3:00 pm Meeting with James Chanos, President, Kynikos Associates and Andrew Lowenthal, President, Porterfield & Lowenthal, LLC

Wednesday, March 10, 2010

9:00 am Meeting with Senator Jack Reed (D-RI), Chairman, U.S. Senate Subcommittee on Securities, Insurance, and Investment  
10:30 am Meeting with staff  
1:45 pm Meeting with Senator Timothy Johnson (D-SD)

Thursday, March 11, 2010

11:00 am Meeting with staff  
12:00 pm Meeting with staff  
1:00 pm Lunch with Jack Coffee, Professor, Columbia University Law School  
2:30 pm Closed Commission meeting  
5:00 pm Meeting with staff



5:15 pm Meeting with Alan Beller, Partner, Cleary Gottlieb Steen & Hamilton LLP and former SEC Director, Division of Corporation Finance

Friday, March 12, 2010

8:30 am Meeting with Gillian Tett, *Financial Times*  
9:00 am Introduce Gillian Tett at the SEC's Leading Author Series, SEC Auditorium  
10:00 am Meeting with staff  
11:00 am Meeting with staff  
2:00 pm Meeting with staff  
2:30 pm Meeting with staff  
4:00 pm Meeting with Michael Froman, Deputy National Security Advisor for International Economic Affairs and Development, Executive Office of the President  
5:00 pm Meeting with staff

Monday, March 15, 2010

12:00 pm Meeting with the PCAOB Board, PCAOB  
1:00 pm Meeting with Program Leaders, PCAOB  
2:00 pm Town hall meeting, PCAOB  
2:35 pm Meeting with PCAOB Acting Chairman Daniel Goelzer, PCAOB  
4:00 pm Meeting with staff  
5:00 pm Interview job candidate

Tuesday, March 16, 2010

9:00 am Meeting with staff  
3:00 pm Meeting with staff

Wednesday, March 17, 2010

10:00 am Testify before the U.S. House Subcommittee on Financial Services and General Government on the President's budget proposal for FY2011  
1:00 pm Phone call with Jack Brennan, Chairman, The Vanguard Group, Inc. and Chairman, Financial Accounting Foundation  
2:00 pm Meeting with staff  
3:00 pm Meeting with staff  
5:00 pm Meeting with Secretary Geithner, Department of the Treasury

Thursday, March 18, 2010

11:00 am Meeting with *The Washington Post* editorial board, including: Greg Schneider, Alan Sipress, Fred Hiatt, Charles Lane, and Autumn Brewington  
12:30 pm Meeting with staff  
1:30 pm Meeting with Commissioner  
2:00 pm Closed Commission meeting  
3:15 pm Meeting with Commissioner  
4:00 pm Meeting with Commissioner  
4:30 pm Conference call with the Financial Stability Oversight Board meeting

Friday, March 19, 2010

Out of the office

Monday, March 22, 2010

Out of the office

Tuesday, March 23, 2010

Out of the office

Wednesday, March 24, 2010

Out of the office

Thursday, March 25, 2010

Out of the office

Friday, March 26, 2010

Out of the office

Monday, March 29, 2010

9:45 am	Photo shoot with Secretary Geithner; FDIC Chairman Sheila Bair; Christina Romer, Chair, Council of Economic Advisers; Karen Mills, Administrator, Small Business Administration; and Elizabeth Warren, Chair, Congressional Oversight Panel, Department of the Treasury
10:00 am	Speak at the Women in Finance Symposium on "The Growing Impact of Women in the Public and Private Sectors," Department of the Treasury
1:00 pm	Meeting with the Stanford Victims Coalition USA, including: Angela Shaw Kogutt, Matthew Comstock, Peter Morgenstern, Dr. John Wade, Samuel Kogutt, and Irene Kogutt
2:00 pm	Meeting with staff
3:00 pm	Meeting with staff
4:00 pm	Meeting with staff

Tuesday, March 30, 2010

10:00 am	Meeting with staff
11:00 am	Meeting with Herbert Wander, Partner, Katten Muchin Rosenman LLP and Chair, Committee on Corporate Laws, American Bar Association
12:00 pm	Lunch meeting with staff
2:00 pm	Oral argument
4:00 pm	Commission briefing

Wednesday, March 31, 2010

Travel to London, England

Thursday, April 1, 2010

9:30 am Meeting with Michel Barnier, Commissioner for Internal Market and Services, European Commission, the offices of the International Accounting Standards Board, London, England

11:00 am Meeting with the Monitoring Board of the International Accounting Standards Committee Foundation, the offices of the International Accounting Standards Board, London, England

12:00 pm Closed session with the Monitoring Board and trustees of the International Accounting Standards Committee Foundation, the offices of the International Accounting Standards Board, London, England

1:30 pm Public session with the Monitoring Board and trustees of the International Accounting Standards Committee Foundation, the offices of the International Accounting Standards Board, London, England

5:30 pm Press interview with Rachel Sanderson and Brooke Masters, *Financial Times*

Friday, April 2, 2010

8:00 am Meeting with Katsunori Mikuniya, Commissioner, Financial Services Agency, Japanese Government, Renaissance London Chancery Court Hotel, London, England

Return travel to Washington, DC

Monday, April 5, 2010

9:30 am Meeting with staff

10:30 am Meeting with staff

2:00 pm Meeting with staff

Tuesday, April 6, 2010

9:15 am Speak at the SEC Executive Director's Regional Administrative Summit, SEC

10:30 am Meeting with Joseph Fichera, Chief Executive Officer, and Jeremy Tennenbaum, Senior Managing Director and Senior Advisor, Saber Partners, LLC

11:30 am Meeting with Dan Mathisson, Managing Director, Head of Advanced Execution Services, Credit Suisse and former SEC Commissioner Annette Nazareth, Partner, Davis Polk & Wardwell LLP

1:30 pm Meeting with staff

2:45 pm Phone call with Senator Debbie Stabenow (D-MI)

4:30 pm Speak to members of the Financial Services Forum, including: Rob Nichols, Financial Services Forum; Thomas Wilson, The Allstate Corporation; Robert Benmosche, American International Group; Brian Moynihan, Bank of America Corporation; Robert Kelly, Bank of New York Mellon Corporation; Vikram Pandit, Citigroup Inc.; Brady Dougan, Credit Suisse; Seth Waugh, Deutsche Bank AG; Jim Weddle, Edward Jones; Edward Johnson, Fidelity Investments; Michael Neal, GE Capital; Lloyd Blankfein, Goldman, Sachs & Co.; Brendan McDonagh, HSBC Bank USA, N.A.; James Dimon, JP Morgan Chase & Co.; Robert Henrikson, MetLife, Inc.; John Strangfeld, Prudential Financial, Inc.; Joseph Hooley, State Street Corporation; Robert Wolf, UBS AG; Richard Davis, U.S. Bancorp, Willard Intercontinental Hotel, Washington, DC

Wednesday, April 7, 2010

10:00 am Open Commission meeting  
12:00 pm Press interview with Edward Wyatt, *The New York Times*  
2:00 pm Interview job candidate  
2:30 pm Meeting with staff  
3:00 pm Meeting with staff  
4:00 pm Press interview with Melanie Waddell, *Investment Advisor*

Travel to Chicago, IL

Thursday, April 8, 2010

8:00 am Meeting with staff  
10:00 am Meeting with Anton Valukas, Stephen Ascher, Patrick Trostle, Marc Hankin, Heather McArn, Thomas Newkirk, and Jerry Epstein, Jenner & Block LLP, Chicago, IL  
12:30 pm Lunch with staff at the SEC Chicago Regional Office  
2:00 pm Closed Commission meeting by phone

Travel to Washington, DC

Friday, April 9, 2010

8:15 am Meeting with staff  
8:45 am Speak to the Council of Securities Regulators of the Americas, SEC  
9:30 am Meeting with staff  
10:30 am Meeting with staff  
11:30 am Meeting with staff  
1:00 pm Meeting with Commissioner  
2:00 pm Meeting with staff

Monday, April 12, 2010

9:00 am Speak at the SEC's Financial Fraud Enforcement Task Force meeting, SEC  
9:45 am Meeting with staff  
10:00 am Meeting with staff  
11:00 am Meeting with CFTC Chairman Gary Gensler; Christopher Towe, Monetary and Capital Markets Department, International Monetary Fund; and Christian Durand, Monetary and Capital Markets Department, International Monetary Fund  
12:30 pm Meeting with CFTC Chairman Gary Gensler  
2:00 pm Phone call with former SEC Commissioner Joseph Grundfest, Professor of Law and Business, Stanford Law School  
3:00 pm Meeting with staff  
5:00 pm Meeting with Secretary Geithner and Under Secretary Jeffrey Goldstein, Department of the Treasury

Tuesday, April 13, 2010

8:45 am Meeting with Senator Michael Bennet (D-CO)  
10:00 am Meeting with Elizabeth Warren, Chair, and Naomi Baum, Executive Director, Congressional Oversight Panel

11:00 am	Meeting with staff
12:00 pm	Lunch with staff
1:15 pm	Meeting with the Council of Institutional Investors, including: Ann Yerger, Executive Director, Council of Institutional Investors; Luke Bierman, General Counsel, Office of the New York State Comptroller; Joseph Dear, Chief Investment Officer, California Public Employees' Retirement System; Gail Hanson, Deputy Executive Director, State of Wisconsin Investment Board; Meredith Miller, Assistant Treasurer for Policy, Connecticut Retirement Plans; Anne Sheehan, Director of Corporate Governance, California State Teachers' Retirement System; Gregory Smith, Chief Operating Officer, General Counsel, Public Employees' Retirement Association of Colorado; and Amy Borrus, Deputy Director, Council of Institutional Investors
2:45 pm	Meeting with Senator Bob Corker (R-TN)
4:00 pm	Meeting with staff

Wednesday, April 14, 2010

10:00 am	Open Commission meeting
12:30 pm	Lunch with the PCAOB Advisory Council, PCAOB
2:30 pm	Meeting with staff
3:00 pm	Closed Commission meeting
6:00 pm	Phone call with Congressman Ed Perlmutter (D-CO)

Thursday, April 15, 2010

9:00 am	Meeting with staff
10:30 am	Press conference with Senator Charles Schumer (D-NY) and by phone former SEC Chairmen, including: William Donaldson, Chairman, Donaldson Enterprises; Harvey Pitt, Chief Executive Officer, Kalorama Partners, LLC; Arthur Levitt, Senior Advisor, The Carlyle Group; Richard Breeden, Chairman and Chief Executive Officer, Breeden Capital Management LLC; and David Ruder, Professor Emeritus, Northwestern University School of Law, Hart Senate Office Building, Washington, DC
11:30 am	Meeting with representatives of the Government Accountability Office
2:00 pm	Meeting with staff
4:00 pm	Financial Stability Oversight Board meeting, Department of the Treasury

Friday, April 16, 2010

4:00 pm	Meeting with staff
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Monday, April 19, 2010

12:30 pm	Meeting with staff
1:00 pm	Meeting with staff
5:00 pm	Phone call with former SEC Chairman Christopher Cox, Partner, Bingham McCutchen LLP

Tuesday, April 20, 2010

11:00 am	Testify before the U.S. House Financial Services Committee on "Public Policy Issues Raised by the Report of the Lehman Bankruptcy Examiner"
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Wednesday, April 21, 2010

9:30 am	Photo shoot with the SEC Annual Award Ceremony award winners
10:30 am	Meeting with staff
12:30 pm	Lunch with Peter Wallison, Senior Fellow, American Enterprise Institute for Public Policy Research
4:30 pm	Meeting with staff

Thursday, April 22, 2010

8:30 am	Meeting with staff
9:30 am	Meeting with Mari Buechner, Chair of the Board of Directors, and Dale Brown, President and Chief Executive Officer, Financial Services Institute
10:30 am	Meeting with the National Association of Insurance Commissioners, including: Susan Voss, Iowa Insurance Commissioner; Thomas Sullivan, Connecticut Insurance Commissioner; Kevin McCarty, Florida Insurance Commissioner; Terri Vaughan, Chief Executive Officer, National Association of Insurance Commissioners; Ethan Sonnichsen, Director, Government Relations, National Association of Insurance Commissioners; and Amanda Yanek, Government Relations Analyst, Chief State Liaison, National Association of Insurance Commissioners
12:30 pm	Meeting with staff
1:00 pm	Closed Commission meeting
3:30 pm	Meeting with staff

Friday, April 23, 2010

9:00 am	Meeting with staff
11:00 am	Meeting with staff

Monday, April 26, 2010

9:30 am	Meeting with staff
10:30 am	Meeting with staff
11:30 am	Interview candidate for Chairman of the International Accounting Standards Committee Foundation
2:00 pm	Meeting with staff
6:15 pm	Phone call with Senator Sherrod Brown (D-OH)

Tuesday, April 27, 2010

9:00 am	Photo shoot with the SEC Annual Award Ceremony award winners
11:30 am	Press interview at the Reuters Global Financial Regulation Summit with Ann Saphir, Jonathan Spicer, Karey Wutkowski, Martin Howell, Dan Wilchins, Kim Dixon, Roberta Rampton, Tabassum Zakaria, Kristina Cooke, Simon Denyer, and Rachelle Younglai, Thomson Reuters, Washington, DC
2:00 pm	Press interview with Patricia Sellers and Lisa Clucas, <i>Fortune</i>
4:30 pm	Meeting with Senator Patty Murray (D-WA)
5:30 pm	Meeting with Senator Jeff Merkley (D-OR)

Wednesday, April 28, 2010

- 2:30 pm Testify before the U.S. Senate Committee on Appropriations regarding the President's FY2011 budget request for the SEC
- 5:00 pm Meeting with the Investment Company Institute, including: Patricia Meyer, Vice President, Associate General Counsel, Goldman Sachs Asset Management; Rachel Schnoll, Vice President, Americas Product Development, Goldman Sachs Asset Management; Kevin Meagher, Vice President, Associate General Counsel, Fidelity Management & Research Co.; Alex Marx, Head Trader, Bonds, Fidelity Management & Research Co.; John Hollyer, Principal, Risk Management and Strategy Analysis, The Vanguard Group; Natalie Bej, Principal, Securities Regulation, Legal Department, The Vanguard Group; Craig Tyle, Executive Vice President, General Counsel, Franklin Templeton Investments; Wylie Tollette, Senior Vice President, Director of Global Investment Risk & Performance, Franklin Templeton Investments; John Miller, Managing Director, Pacific Investment Management Company; Steve King, Senior Vice President, Pacific Investment Management Company; Paul Stevens, President, Chief Executive Officer, Investment Company Institute; Karrie McMillan, General Counsel, Investment Company Institute; and Mara Schreck, Associate Counsel, Investment Company Institute
- 6:00 pm Attend a dinner honoring *Fortune's* Most Powerful Women, Department of State

Thursday, April 29, 2010

- 8:30 am Meeting with Commissioner
- 9:00 am Meeting with Orlan Johnson, Chairman, and Stephen Harbeck, President and Chief Executive Officer, Securities Investor Protection Corporation
- 10:00 am Meeting with former SEC Chairman Richard Breeden, Chairman and Chief Executive Officer, Breeden Capital Management LLC
- 11:15 am Speak at the SEC's Spring Institute, SEC Auditorium
- 1:30 pm Meeting with staff
- 2:00 pm Closed Commission meeting
- 5:00 pm Photo shoot with *Time*, FDIC

Friday, April 30, 2010

- 9:00 am Meeting with staff
- 10:00 am Meeting with Stephen Hadrill, Chief Executive Officer, Financial Reporting Council
- 11:00 am Press interview with Michael Schere, *Time*
- 2:00 pm Press interview with Gillian Tett and Lionel Barber, *Financial Times*
- 3:00 pm Meeting with staff
- 3:30 pm Meeting with staff

Saturday, May 1, 2010

- 7:30 pm Attend the White House Correspondents' Association Dinner, Washington Hilton

Monday, May 3, 2010

- 9:30 am Meeting with staff
- 10:30 am Meeting with staff

11:30 am Meeting with staff  
2:00 pm Press interview with Monica Langley, *Wall Street Journal*  
6:30 pm Attend a PCAOB Investor Advisory Group dinner reception, University Club, Washington, DC

Tuesday, May 4, 2010

9:00 am Phone call with Lord Adair Turner, Chairman, Financial Services Authority, United Kingdom  
9:30 am Meeting with Jacob Goldfield, Chief Executive Officer, JG Funds  
12:30 pm Meeting with staff  
1:00 pm Press interview with Michael Peltz, *Institutional Investor*  
4:15 pm Phone call with Senator Herb Kohl (D-WI)  
4:30 pm Meeting with staff

Wednesday, May 5, 2010

9:00 am Interview job candidate  
10:00 am Meeting with staff  
11:00 am Meeting with staff  
12:00 pm Lunch with staff  
1:30 pm Phone call with Senator Ronald Wyden (D-OR)  
3:00 pm Meeting with Commissioner and PCAOB, including: Acting Chairman Daniel Goelzer; Board Member Charles Niemeier; Gordon Seymour, General Counsel, Secretary; Rhonda Schnare, Director, International Affairs; and Phoebe Brown, Special Counsel

Thursday, May 6, 2010

Travel to National Harbor, MD

8:00 am Speak at the SIFMA Compliance & Legal Society 2010 Annual Seminar, Gaylord National Hotel and Convention Center, National Harbor, MD

Travel to Washington, DC

12:30 pm Meeting with staff  
1:30 pm Meeting with Commissioner  
2:00 pm Closed Commission meeting  
2:30 pm Commission briefing  
4:15 pm Conference call with Secretary Geithner; Chairman of the Federal Reserve Ben Bernanke; CFTC Chairman Gary Gensler; and William Dudley, President, Chief Executive Officer, Federal Reserve Bank of New York

Friday, May 7, 2010

9:00 am Conference call with Secretary Geithner; CFTC Chairman Gary Gensler; and Under Secretary Jeffrey Goldstein, Department of the Treasury  
10:15 am Conference call with Duncan Niederauer, Chief Executive Officer, NYSE Euronext and Robert Greifeld, Chief Executive Officer, NASDAQ OMX Group, Inc.  
2:00 pm Conference call with with Secretary Geithner; Chairman of the Federal Reserve Ben Bernanke; CFTC Chairman Gary Gensler; William Dudley, President, Chief



Executive Officer, Federal Reserve Bank of New York; and Under Secretary Jeffrey Goldstein, Department of the Treasury  
4:00 pm Meeting with Commissioner  
4:30 pm Commission briefing

Monday, May 10, 2010

7:00 am Meeting with staff  
8:00 am Conference call with CFTC Chairman Gary Gensler and Under Secretary Jeffrey Goldstein, Department of the Treasury  
8:30 am Meeting with Cyrus Vance, District Attorney, New York County  
10:00 am Meeting with the exchanges regarding the market events of May 6, 2010, including: Richard Ketchum, Financial Industry Regulatory Authority, Inc.; Stephen Luparello, Financial Industry Regulatory Authority, Inc.; Duncan Niederauer, NYSE Euronext; John Halvey, NYSE Euronext; William O'Brien, Direct Edge; Eric Hess, Direct Edge; Michael Simon, International Securities Exchange; Robert Greifeld, NASDAQ OMX Group, Inc.; Edward Knight, NASDAQ OMX Group, Inc.; William Brodsky, CBOE Holdings, Inc.; Carmen Frazier, CBOE Holdings, Inc.; Eric Swanson, BATS Global Markets, Inc.; Joseph Ratterman, BATS Global Markets, Inc., SEC  
12:10 pm Meeting with staff  
12:45 pm Meeting with staff  
1:45 pm Meeting with Secretary Geithner; CFTC Chairman Gary Gensler; and Under Secretary Jeffrey Goldstein, Department of the Treasury  
2:00 pm Meeting with Secretary Geithner; CFTC Chairman Gary Gensler; Under Secretary Jeffrey Goldstein, Department of the Treasury; Richard Ketchum, Financial Industry Regulatory Authority, Inc.; Stephen Luparello, Financial Industry Regulatory Authority, Inc.; Duncan Niederauer, NYSE Euronext; John Halvey, NYSE Euronext; William O'Brien, Direct Edge; Eric Hess, Direct Edge; Michael Simon, International Securities Exchange; Robert Greifeld, NASDAQ OMX Group, Inc.; Edward Knight, NASDAQ OMX Group, Inc.; William Brodsky, CBOE Holdings, Inc.; Carmen Frazier, CBOE Holdings, Inc.; Eric Swanson, BATS Global Markets, Inc.; Joseph Ratterman, BATS Global Markets, Inc., Department of the Treasury  
4:00 pm Meeting with staff  
5:00 pm Meeting with staff

Tuesday, May 11, 2010

8:00 am Meeting with staff  
12:00 pm Meeting with Commissioner and Michel Barnier, Commissioner for Internal Market and Services, European Commission and members of his staff, including: Peter Kerstens, First Counselor; Bertrand Dumont, Cabinet Member; and David Wright, Deputy Director-General  
3:00 pm Testify before the U.S. House Financial Services Subcommittee on Capital Markets on "The Stock Market Plunge: What Happened and What Is Next?"

Wednesday, May 12, 2010

8:30 am Meeting with staff  
9:30 am Meeting with Commissioner and staff  
10:00 am Meeting with Commissioner and Terri Polley, President, Financial Accounting Foundation; Jack Brennan, Chairman, Financial Accounting Foundation; Bob Herz, Chairman, Financial Accounting Standards Board; and board members of

the Financial Accounting Foundation and the Financial Accounting Standards Board, including: Leslie Seidman, Marc Siegel, Thomas Linsmeier, Lawrence Smith, and Russell Golden

1:00 pm Speak at the SEC Annual Awards Ceremony, SEC Auditorium  
3:30 pm Commission briefing  
5:30 pm Meeting with Senator Carl Levin (D-MI)

Thursday, May 13, 2010

11:00 am Meeting with Commissioner; William O'Brien, Chief Executive Officer, Direct Edge; and Eric Hess, General Counsel, Direct Edge  
1:30 pm Meeting with staff  
2:00 pm Closed Commission meeting  
4:00 pm Phone call with CFTC Chairman Gary Gensler  
4:30 pm Meeting with staff

Friday, May 14, 2010

11:00 am Conference call with Secretary Geithner and CFTC Chairman Gary Gensler

Saturday, May 15, 2010

12:00 pm Conference call with Secretary Geithner and CFTC Chairman Gary Gensler

Sunday, May 16, 2010

3:15 pm Deliver the commencement address at George Washington University School of Law, Charles E. Smith Athletic Center, Washington, DC

Monday, May 17, 2010

9:30 am Meeting with staff  
10:30 am Meeting with staff  
2:00 pm Federal Housing Finance Agency Oversight Board meeting via conference call  
3:00 pm Financial Stability Oversight Board meeting via conference call  
5:45 pm Phone call with Senator Kay Hagan (D-NC)

Tuesday, May 18, 2010

9:15 am Speak at the CFA Institute 2010 Annual Conference via teleconference  
11:00 am Meeting with Commissioner

Travel to Baltimore, MD

3:15 pm Speak at the Chief Enforcement Conference, Hyatt Regency Baltimore, Baltimore, MD

Travel to Washington, DC

Wednesday, May 19, 2010

9:30 am Meeting with staff  
1:00 pm Meeting with The Boeing Company, including: Jim McNerney, Chairman, President, Chief Executive Officer; Timothy Keating, Senior Vice President, Government Operations; and Stacey Dion, Director, Tax, Governance, Workforce Policy

Thursday, May 20, 2010

9:30 am Testify before the U.S. Senate Committee on Banking, Housing, and Urban Affairs on "Examining the Causes and Lessons of the May 6<sup>th</sup> Market Plunge"

Friday, May 21, 2010

10:15 am Receive honorary degree and deliver the commencement address at Georgetown University McDonough School of Business, Healy Lawn, Washington, DC

Monday, May 24, 2010

9:00 am CFTC-SEC Joint Advisory Committee meeting, SEC Auditorium  
3:00 pm Meeting with William Brodsky, Chairman, Chief Executive Officer, CBOE Holdings, Inc. and former SEC Commissioner Annette Nazareth, Partner, Davis Polk & Wardwell LLP  
4:00 pm Meeting with staff

Tuesday, May 25, 2010

10:00 am Meeting with David Krell, Chairman, and Gary Katz, President and Chief Executive Officer, International Securities Exchange  
2:00 pm Closed Commission meeting  
4:30 pm Meeting with Congressman Paul Kanjorski (D-PA), Chairman, U.S. House Subcommittee on Capital Markets and Government-Sponsored Enterprises  
6:30 pm Attend the Financial Accounting Foundation's Annual Board of Trustees reception and dinner, Folger Shakespeare Library, Washington, DC

Wednesday, May 26, 2010

10:00 am Open Commission meeting  
12:15 pm Phone call with Congressman Gregory Meeks (D-NY)  
12:30 pm Farewell lunch for staff  
2:00 pm Meeting with Commissioner and the Municipal Securities Rulemaking Board, including: Board Member Terry Agriss, President, TAgriss Advisory Services; Board Member Alan Polsky, Senior Vice President, Dougherty & Company LLC; Lynnette Hotchkiss, Executive Director; and Ernesto Lanza, General Counsel  
4:30 pm Meeting with staff

Thursday, May 27, 2010

8:30 am Meeting with staff  
9:30 am Meeting with staff  
10:30 am Meeting with staff

1:30 pm Meeting with staff  
2:00 pm Closed Commission meeting  
4:00 pm Meeting with staff

Friday, May 28, 2010

Out of the office

Monday, May 31, 2010

SEC closed due to Memorial Day

Tuesday, June 1, 2010

9:30 am Meeting with staff  
10:30 am Meeting with staff  
11:45 am Phone call with CFTC Chairman Gary Gensler  
2:00 pm Interview job candidate  
3:00 pm Meeting with staff

Wednesday, June 2, 2010

9:30 am SEC Market Structure Roundtable, SEC Auditorium  
4:15 pm Phone call with House Majority Leader Steny Hoyer (D-MD)  
4:30 pm Meeting with former SEC Chairman David Ruder, Professor Emeritus,  
Northwestern University School of Law  
5:00 pm Farewell reception for staff  
6:00 pm Meeting with Commissioner

Thursday, June 3, 2010

8:00 am Meeting with staff  
9:00 am Meeting with staff  
10:30 am Meeting with Liam Connell, Chief Executive Officer, Allston Trading, LLC;  
Richard Gorelick, Chief Executive Officer, RGM Advisors, LLC; Adam Nunes,  
President, HRT Financial LLC; Cameron Smith, General Counsel, Quantlab  
Financial, LLC; and Micah Green, Partner, Patton Boggs LLP  
12:00 pm Speak at the SEC Historical Society's 11<sup>th</sup> Annual Meeting, SEC Auditorium  
1:30 pm Meeting with staff  
2:00 pm Closed Commission meeting  
4:00 pm Meeting with staff  
6:30 pm Dinner with former SEC Chairmen, including: Roderick Hills, Trustee, Board of  
Trustees, Committee for Economic Development; Richard Breeden, Chairman  
and Chief Executive Officer, Breeden Capital Management LLC; Harvey Pitt,  
Chief Executive Officer, Kalorama Partners, LLC; William Donaldson, Chairman,  
Donaldson Enterprises; Christopher Cox, Partner, Bingham McCutchen LLP; and  
David Ruder, Professor Emeritus, Northwestern University School of Law,  
Metropolitan Club, Washington, DC

Friday, June 4, 2010

9:00 am	Meeting with staff
10:30 am	Meeting with Fernando Restoy, Commissioner, Comisión Nacional del Mercado de Valores
2:00 pm	Meeting with staff
4:00 pm	Meeting with staff

Monday, June 7, 2010

9:30 am	Meeting with staff
10:30 am	Meeting with staff
11:30 am	Meeting with Commissioner and staff
1:30 pm	Meeting with staff
2:00 pm	Meeting with staff
3:00 pm	Meeting with staff

Tuesday, June 8, 2010

8:00 am	Conference call with the Monitoring Board of the International Accounting Standards Committee Foundation
10:00 am	Speak at the Business Roundtable's CEO Quarterly Meeting, Newseum, Washington, DC
1:30 pm	Meeting with staff
2:00 pm	Closed Commission meeting
4:00 pm	Meeting with staff
6:00 pm	Meeting with Southeastern Asset Management, including: Mason Hawkins, Chairman, Chief Executive Officer, Founder; Richard Hussey, Chief Operating Officer, Principal; Andrew McCarroll, General Counsel; Jeffrey Engelberg, Senior Trader, and Douglas Shrank, Senior Trader

Wednesday, June 9, 2010

10:30 am	Meeting with Congresswoman Louise Slaughter (D-NY), Chairman, U.S. House Committee on Rules
12:00 pm	Meeting with the Board of Directors of the Regional Bond Dealers Association, including: Ken Williams, President, Chief Executive Officer, Stone & Youngberg LLC; Jeffrey Chapman, Senior Vice President, Fifth Third Securities, Inc.; Demetri Patikas, Executive Vice President, Duncan-Williams, Inc.; Larry Bowden, Executive Vice President, Stephens Inc.; Robert Dalton, Vice Chairman, George K. Baum & Company; Maud Daudon, President, Chief Executive Officer, Seattle-Northwest Securities; Jay Hiniker, President, Cronin & Co., Inc.; Daniel Keating, Chief Operating Officer, Samuel A. Ramirez & Co. Inc.; Theodore Luse, Senior Managing Director, BB&T Capital Markets; Michael Marz, Vice Chairman, First Southwest Company; John Crawford, Executive Vice President, Vining-Sparks IB; Daniel Kiley, Director of Retail Municipal Fixed Income, Wells Fargo Advisors; Donald Winton, Chief Operating Officer, Crews & Associates, Inc.; Michael Nicholas, Chief Executive Officer, Regional Bond Dealers Association; William Daly, Senior Vice President, Government Relations, Regional Bond Dealers Association
2:30 pm	Speak to the U.S. House Democratic Caucus, U.S. Capitol
4:00 pm	Meeting with staff

Thursday, June 10, 2010

#### Travel to Montreal, Canada

2:30 pm	Speak at the Annual Meeting of the International Organization of Securities Commissions, Palais des congrès, Montreal, Canada
4:30 pm	Bilateral meeting with Chairman Shang Fulin, China Securities Regulatory Commission, Palais des congrès, Montreal, Canada
5:45 pm	Press interview with Rachelle Younglai, Thomson Reuters, Palais des congrès, Montreal, Canada
6:30 pm	Attend a supervisory memorandum of understanding signing ceremony, Palais des congrès, Montreal, Canada
7:00 pm	Attend the closing event of the Annual Meeting of the International Organization of Securities Commissions, Marché Bonsecours, Montreal, Canada

#### Friday, June 11, 2010

#### Travel to Washington, DC

2:00 pm	Meeting with staff
3:00 pm	Meeting with staff
4:30 pm	Meeting with Secretary Geithner, Department of the Treasury

#### Monday, June 14, 2010

9:30 am	Meeting with staff
10:30 am	Meeting with staff
12:00 pm	Meeting with staff
1:20 pm	Meet Dr. Mahzarin Banaji, Professor of Social Ethics, Harvard University and speaker at the SEC Diversity Week Kickoff event
1:30 pm	Speak at the SEC Diversity Week Kickoff event, SEC Auditorium

#### Travel to New York, NY

5:30 pm	Speak to the Financial Women's Association, New York Law School, New York, NY
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#### Travel to Washington, DC

#### Tuesday, June 15, 2010

1:00 pm	Phone call with former SEC Chairman Richard Breeden, Chairman and Chief Executive Officer, Breeden Capital Management LLC
1:30 pm	Meeting with Inspector General
2:30 pm	Meeting with Korea's Financial Services Commission, including: Dong Soo Chin, Chairman; Yousam Choi, Director, International Finance Division; Jae Hong Suh, Deputy Director, International Finance Division; Nam-ki Hong, Minister Counselor, Finance and Economy, Korean Embassy
3:30 pm	Meeting with staff

#### Wednesday, June 16, 2010

10:00 am	Open Commission meeting
2:00 pm	Speak at the Division of Enforcement's town hall meeting
4:00 pm	Interview job candidate

4:30 pm Meeting with Tom Joyce, Chief Executive Officer and Chairman, and Len Amoruso, Senior Managing Director and General Counsel, Knight Capital Group, Inc.  
5:30 pm Meeting with staff

Thursday, June 17, 2010

9:30 am Meeting with staff  
10:30 am Phone call with Congressman Paul Kanjorski (D-PA), Chairman, U.S. House Subcommittee on Capital Markets and Government-Sponsored Enterprises  
11:00 pm Meeting with staff  
12:30 pm Lunch with Marisa Lago, Assistant Secretary for International Markets and Development, Department of the Treasury  
2:00 pm Meeting with staff  
2:30 pm Closed Commission meeting  
5:30 pm Meeting with staff

Friday, June 18, 2010

8:30 am Meeting with Commissioner  
9:30 am Meeting with Vedat Akgiray, Chairman, and Tuba Altun, Head of International Affairs, Turkish Capital Markets Authority  
12:30 pm Lunch with Chuck Bowsher, former Comptroller General

Sunday, June 20, 2010

Travel to Stanford, CA

7:00 pm Speak at the 16<sup>th</sup> Annual Directors' College 2010, Stanford Law School, Stanford, CA

Travel to Washington, DC

Monday, June 21, 2010

2:30 pm Meeting with staff  
3:30 pm Meeting with staff

Tuesday, June 22, 2010

11:30 am Meeting with Lord Adair Turner, Chairman, Financial Services Authority, United Kingdom  
1:00 pm CFTC-SEC Joint Advisory Committee meeting, SEC Auditorium

Wednesday, June 23, 2010

10:30 am Meeting with Karen Dunn Kelley, Invesco Worldwide Fixed Income; Nancy Prior, FMR LLC; John McGonigle, Federated Investors, Inc.; Jack Brennan, The Vanguard Group, Inc.; David Fishman, Goldman Sachs Asset Management, L.P.; Paul Stevens, Investment Company Institute; Karrie McMillan, Investment

	Company Institute; Brian Reid, Investment Company Institute; Jane Heinrichs, Investment Company Institute
3:30 pm	Meeting with staff
4:00 pm	Meeting with staff
4:30 pm	Meeting with staff
5:00 pm	Phone call with Duncan Niederauer, Chief Executive Officer, NYSE Euronext

Thursday, June 24, 2010

9:00 am	Meeting with Commissioner and Christopher Concannon, Partner, Virtu Financial LLC; and Douglas Cifu, Partner, Virtu Financial LLC
10:00 am	Meeting with staff
1:30 pm	Meeting with staff
2:00 pm	Closed Commission meeting
5:30 pm	Meeting with staff

Friday, June 25, 2010

9:00 am	Meeting with staff
10:00 am	Meeting with Walter Lukken, Chief Executive Officer, New York Portfolio Clearing, LLC
11:00 am	Meeting with staff

Monday, June 28, 2010

9:00 am	Meeting with staff
2:00 pm	Meeting with Commissioner
3:00 pm	Meeting with Reena Aggarwal, Professor of Business Administration and Finance, Georgetown University McDonough School of Business
4:00 pm	Meeting with staff

Tuesday, June 29, 2010

8:15 am	Meeting with staff
9:00 am	Farewell reception for staff
10:00 am	Read to children at the day care center, SEC
11:00 am	Meeting with Katsunori Mikuniya, Commissioner, Financial Services Agency, Japanese Government
12:00 pm	Meeting with staff
1:15 pm	Lunch with Karen Mills, Administrator, Small Business Administration
2:30 pm	Financial Stability Oversight Board meeting, Department of the Treasury

Wednesday, June 30, 2010

10:00 am	Open Commission meeting
12:00 pm	Meeting with Nassim Nicholas Taleb, author of <i>The Black Swan</i> and speaker at the SEC's Leading Author Series
1:30 pm	Meeting with staff
2:30 pm	Meeting with staff
4:30 pm	Meeting with the President's Working Group on Financial Markets, Department of Treasury



Thursday, July 1, 2010

9:30 am Meeting with Commissioner and staff  
11:30 am Meeting with staff  
2:00 pm Closed Commission meeting  
4:00 pm Meeting with staff

Friday, July 2, 2010

10:00 am Meeting with staff  
1:00 pm Phone call with Julie Sweet, General Counsel, Secretary, Chief Compliance Officer, Accenture plc

Monday, July 5, 2010

SEC closed for Independence Day

Tuesday, July 6, 2010

Out of the office

Wednesday, July 7, 2010

9:30 am Phone call with Edward DeMarco, Acting Director, Federal Housing Finance Agency  
9:45 am Meeting with staff  
10:30 am Meeting with staff  
1:30 pm Meeting with staff  
3:00 pm Meeting with staff  
3:30 pm Meeting with staff  
4:30 pm Meeting with staff

Thursday, July 8, 2010

10:30 am Meeting with the IntercontinentalExchange, Inc., including: Jeffrey Sprecher, Chief Executive Officer; Christopher Edmonds, President, ICE Trust; Peter Roberson, Vice President, Government Relations; and Alex Albert, Government Relations  
11:30 am Meeting with staff  
1:45 pm Meeting with Jeffrey Lucy, Chairman, Australian Government Financial Reporting Council  
2:00 pm Closed Commission meeting  
3:00 pm Meeting with Commissioner

Travel to Chicago, IL

Friday, July 9, 2010

8:45 am Speak to Society of Corporate Secretaries and Governance Professionals, Sheraton Chicago Hotel & Towers, Chicago, IL  
10:30 am Attend the SEC Chicago Regional Office's town hall meeting

Travel to Washington, DC

Monday, July 12, 2010

9:30 am	Meeting with staff
10:30 am	Meeting with staff
3:00 pm	Meeting with staff
3:30 pm	Meeting with Christopher Bowen, Minister for Financial Services, Superannuation and Corporate Law, Commonwealth of Australia
4:30 pm	Interview job candidate

Tuesday, July 13, 2010

9:00 am	Meeting with the CME Group, Inc., including: Terrence Duffy, Executive Chairman; Craig Donohue, Chief Executive Officer; and Linda Rich, Managing Director, Government Relations
10:00 am	Meeting with staff
12:30 pm	Lunch with former SEC Chairman Harvey Pitt, Chief Executive Officer, Kalorama Partners, LLC
2:00 pm	Commission briefing
4:00 pm	Meeting with Commissioner
4:45 pm	Meeting with Sharon Bowles, Member of the European Parliament, Chair of the Economic and Monetary Affairs Committee

Wednesday, July 14, 2010

10:00 am	Open Commission meeting
1:30 pm	Meeting with staff
2:00 pm	Meeting with staff

Thursday, July 15, 2010

8:00 am	Meeting with staff
10:00 am	Meeting with Ranking Member Scott Garrett (R-NJ), House Financial Services Subcommittee on Capital Markets
11:30 am	Meeting with Congressman Gary Ackerman (D-NY)
1:00 pm	Meeting with Congressman Edward Royce (R-CA)
2:00 pm	Closed Commission meeting
4:30 pm	Meeting with staff

Friday, July 16, 2010

10:00 am	Meeting with staff
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Monday, July 19, 2010

10:00 am	Meeting with staff
5:00 pm	Meeting with Congressman Paul Kanjorski (D-PA), Chairman, U.S. House Subcommittee on Capital Markets and Government-Sponsored Enterprises

Tuesday, July 20, 2010

10:00 am Testify before the U.S. House Subcommittee on Capital Markets and Government-Sponsored Enterprises on "Oversight of the U.S. Securities and Exchange Commission: Evaluation Present Reforms and Future Challenges"

2:00 pm Commission briefing

4:00 pm Meeting with CFTC Chairman Gary Gensler and Cyrus Amir Mokri, Senior Counsel

5:30 pm Meeting with Standard & Poor's, LLC, including: Deven Sharma, President; and Rita Bolger, Senior Vice President, Associate General Counsel, Global Regulatory Affairs

Wednesday, July 21, 2010

10:00 am Open Commission meeting

12:30 pm Closed Commission meeting

2:00 pm Meeting with Senator Claire McCaskill (D-MO)

3:30 pm Meeting with staff

4:00 pm Speak at the SEC's Summer Law Student Intern Program Seminar

5:00 pm Meeting with staff

Thursday, July 22, 2010

9:00 am Speak at the SEC's Inaugural Teachers Training Program

10:30 am Meeting with Marie Hollein, President, Chief Executive Officer, Financial Executives International

11:30 am Phone call with Sal Arnuk, Partner, Co-Head of Equity Trading, Themis Trading LLC

1:00 pm Phone call with Karen Mills, Administrator, Small Business Administration

1:30 pm Meeting with staff

2:00 pm Closed Commission meeting

5:00 pm Meeting with staff

Friday, July 23, 2010

8:30 am Meeting with staff

9:00 am Interview job candidate

10:00 am Meeting with staff

11:00 am Meeting with Commissioner and staff

11:30 am Meeting with Commissioner

Monday, July 26, 2010

9:30 am Meeting with Commissioner

10:30 am Meeting with staff

1:30 pm Meeting with staff

2:00 pm Meeting with Jack Brennan, Chairman, The Vanguard Group, Inc. and Chairman, Financial Accounting Foundation; Terri Polley, President, Financial Accounting Foundation

3:30 pm Meeting with Commissioner

4:00 pm Meeting with staff

Tuesday, July 27, 2010

9:30 am Speak to the U.S. Chamber of Commerce's Center for Capital Markets Competitiveness, U.S. Chamber of Commerce, Washington, DC  
2:00 pm Commission briefing  
4:30 pm Meeting with Richard Brueckner, Chairman, Pershing LLC  
5:15 pm Meeting with William Brodsky, Chairman, Chief Executive Officer, CBOE Holdings, Inc. and former SEC Commissioner Annette Nazareth, Partner, Davis Polk & Wardwell LLP

Wednesday, July 28, 2010

9:00 am Meeting with staff  
9:45 am Meeting with staff  
1:00 pm Town hall meeting with SEC staff  
2:30 pm Closed Commission meeting  
4:00 pm Farewell reception for staff

Thursday, July 29, 2010

11:30 am Meeting with staff  
1:00 pm Meeting with staff  
2:00 pm Closed Commission meeting  
5:00 pm Phone call with Congressman Gregory Meeks (D-NY)

Friday, July 30, 2010

9:00 am Interview job candidate

Monday, August 2, 2010

9:30 am Meeting with staff  
10:30 am Meeting with staff  
11:30 am Meeting with staff  
2:00 pm Meeting with staff

Tuesday, August 3, 2010

8:30 am Meeting with staff  
10:30 am Meeting with staff  
12:45 pm Meeting with staff  
1:00 pm Commission briefing  
3:30 pm Speak to the Treasury Borrowing Advisory Committee, SEC  
4:30 pm Meeting with staff  
5:45 pm Meeting with Commissioner

Wednesday, August 4, 2010

8:30 am Meeting with staff  
9:30 am Meeting with staff  
11:00 am Meeting with staff

12:00 pm	Meeting with Commissioner and staff
1:30 pm	Meeting with staff
2:00 pm	Closed Commission meeting
4:30 pm	Phone call with former SEC Commissioner Joseph Grundfest, Professor of Law and Business, Stanford Law School
5:30 pm	Meeting with Commissioner and PCAOB, including: Acting Chairman Daniel Goelzer; PCAOB Board Member Charles Niemeier; Gordon Seymour, General Counsel; and Rhonda Schnare, Director, International Affairs

Thursday, August 5, 2010

Jury Duty

5:30 pm	Meeting with staff
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Friday, August 6, 2010

10:30 am	Meeting with staff
11:30 am	Meeting with staff
12:30 pm	Meeting with staff
1:30 pm	Phone call with Sandy Cutler, Chairman, Chief Executive Officer, Eaton Corporation

Monday, August 9, 2010

8:30 am	Meeting with staff
9:30 am	Meeting with staff
10:30 am	Meeting with staff
11:30 am	Meeting with staff
12:30 pm	Meeting with Commissioner

Tuesday, August 10, 2010

9:00 am	Meeting with staff
9:15 am	Meeting with staff
9:30 am	Press interview with Brooke Masters, <i>Financial Times</i>
10:30 am	Picture with staff
2:00 pm	Commission briefing
3:30 pm	Meeting with staff
4:00 pm	Meeting with staff

Wednesday, August 11, 2010

9:00 am	CFTC-SEC Joint Advisory Committee meeting, CFTC
11:30 am	Interview with Inspector General
3:00 pm	Meeting with Commissioner
4:00 pm	Phone call with Senator Thomas Carper (D-DE)
5:30 pm	Meeting with staff

Thursday, August 12, 2010

12:30 pm	Meeting with staff
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1:30 pm Meeting with staff  
2:00 pm Closed Commission meeting  
3:30 pm Meeting with staff  
5:00 pm Meeting with CFTC Chairman Gary Gensler

Friday, August 13, 2010

Out of the office

Monday, August 16, 2010

2:00 pm Federal Housing Finance Agency Oversight Board meeting by phone  
3:00 pm Financial Stability Oversight Board meeting by phone

Tuesday, August 17, 2010

Out of the office

Wednesday, August 18, 2010

Out of the office

Thursday, August 19, 2010

Out of the office

Friday, August 20, 2010

Out of the office

Monday, August 23, 2010

9:30 am Meeting with staff  
10:30 am Meeting with staff  
11:30 pm Meeting with staff  
12:30 pm Lunch with Barry Barbash, Head, Investment Management Department, Willkie Farr & Gallagher LLP and former SEC Director of the Division of Investment Management  
2:30 pm Meeting with staff  
3:30 pm Meeting with staff  
5:00 pm Meeting with Deputy Secretary Neal Wolin and Under Secretary Jeffrey Goldstein, Department of the Treasury

Tuesday, August 24, 2010

10:00 am Meeting with staff  
11:00 am Press interview with Jessica Holzer, Dow Jones & Company  
11:10 am Meeting with staff  
12:00 pm Press interview with James Sterngold, *Bloomberg Businessweek*

Wednesday, August 25, 2010

10:00 am Open Commission meeting  
1:30 pm Meeting with staff  
3:00 pm Commission briefing  
5:00 pm Meeting with staff

Thursday, August 26, 2010

8:30 am Meeting with staff by phone  
2:00 pm Closed Commission meeting by phone

Friday, August 27, 2010

9:00 am Meeting with staff by phone  
10:30 am Meeting with staff by phone

Monday, August 30, 2010

9:30 am Meeting with staff  
10:30 am Meeting with staff  
12:00 pm Phone call with staff  
3:30 pm Meeting with staff  
4:30 pm Meeting with staff

Tuesday, August 31, 2010

9:00 am Meeting with staff  
10:30 am Meeting with Commissioners and staff  
1:00 pm Participant at the Capital Markets Roundtable, FDIC

Wednesday, September 1, 2010

9:00 am Meeting with Liquidnet Holdings, Inc., including: Seth Merrin, Chief Executive Officer; Howard Meyerson, General Counsel; Vlad Khandros, Market Structure and Public Policy Analyst; and Mark Fitterman, Partner, Morgan, Lewis & Bockius LLP  
10:00 am Meeting with staff  
11:00 am Meeting with staff  
11:30 am Meeting with staff

Thursday, September 2, 2010

Travel to London, England

7:00 pm Closed Commission meeting by phone

Friday, September 3, 2010

10:00 am	Meeting with the Monitoring Board of the International Accounting Standards Committee Foundation, Renaissance London Chancery Court Hotel, London, England
11:00 am	Meeting with Monitoring Board of the International Accounting Standards Committee Foundation, Renaissance London Chancery Court Hotel, London, England
12:00 pm	Lunch meeting with the Monitoring Board of the International Accounting Standards Committee Foundation, Renaissance London Chancery Court Hotel, London, England

Travel to Washington, DC

Monday, September 6, 2010
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SEC closed for Labor Day

Tuesday, September 7, 2010
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Travel to New York, NY

11:45 am	Speak to the Economic Club of New York, Sheraton New York Hotel & Towers, New York, NY
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Travel to Washington, DC

Wednesday, September 8, 2010
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8:30 am	Meeting with Commissioner
9:00 am	Meeting with staff
10:00 am	Meeting with staff
11:00 am	Meeting with the Swiss Financial Markets Authority, including: Eugen Haltiner, Chairman, Board of Directors; Patrick Raaflaub, Chief Executive Officer; Urs Zulauf, Deputy Chief Executive Officer, Head, Strategic and Central Services Division; Oliver Wuensch; Tamara Buehlmann; and Josef Philipp Renngli, Minister-Counselor for the Embassy of Switzerland
1:00 pm	Meeting with staff
2:00 pm	Meeting with staff
3:00 pm	Interview job candidate
3:30 pm	Meeting with staff
4:15 pm	Meeting with staff
4:45 pm	Meeting with staff

Thursday, September 9, 2010
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10:00 am	Meeting with staff
3:00 pm	Meeting with Jean-Pierre Jouyet, Chairman, Autorité des Marchés Financiers, France
3:45 pm	Participate in a memorandum of understanding signing ceremony with Jean-Pierre Jouyet, Chairman, Autorité des Marchés Financiers, France
7:00 pm	Attend a lecture and dinner hosted by Ambassador Pierre Vimont, French Ambassador to the United States, Residence of the Ambassador of France, Washington, DC



Friday, September 10, 2010

8:30 am Meeting with staff  
10:00 am Closed Commission meeting  
1:00 pm Meeting with staff  
4:30 pm Meeting with Secretary Geithner and Deputy Secretary Neal Wolin, Department of the Treasury

Monday, September 13, 2010

9:00 am Speak at new employee training  
9:30 am Meeting with staff  
4:00 pm Meeting with staff

Tuesday, September 14, 2010

9:00 am Meeting with staff  
11:00 am Meeting with staff  
2:30 pm Meeting with Senator Ben Nelson (D-NE)

Wednesday, September 15, 2010

10:00 am Meeting with staff  
11:00 am Meeting with Wang Zhaoxing, Vice Chairman, China Banking Regulatory Commission; Liu Xinhua, Vice Chairman, China Securities Regulatory Commission; Yuan Li, Assistant Chairman, China Insurance Regulatory Commission; Wang Xiaoyi, Vice Director-General, State Administration of Foreign Exchange; Peng Chun, Executive Vice President, China Investment Corporation; Yi Huiman, Vice President, Industrial and Commercial Bank of China; Guo Haoda, Vice President, Agricultural Bank of China; Zhou Zaiqun, Vice President, Bank of China; Qian Wenhui, Executive Vice President, Bank of Communications; Lai Xiaomin, President, China Huarong Asset Management Corporation; Zhao Dong-ping, President, China Great Wall Asset Management Corporation; Zhang Ziai, President, China Orient Asset Management Corporation; Tian Guoli, President, China Cinda Asset Management Corporation; Ma Weihua, President, China Merchants Bank; Lu Shiyun, Vice President & General Manager, China Government Securities Depository Trust & Clearing Co., Ltd.; Wang Shaohua, General Manager, China Credit Trust Co., Ltd.; Li Liangwen, President, PICC Life Insurance Company Ltd.; Miao Jianmin, Chairman, China Life Asset Management Company Ltd.; Song Shuguang, Executive Director and General Manager, China Taiping Insurance Group Co.; Gao Guofu, Chairman, China Pacific Insurance Co., Ltd.; Yin Rongyan, General Manager, Beijing State-owned Assets Administrative Center; Ge Huayong, Director-General of Human Resources Department, People's Bank of China; Liu Fushou, Director-General of Guangdong Provincial Office, China Banking Regulatory Commission; Zhang Fugen, Deputy Director-General, The Organization Department of Central Committee of CPC; Huang Qinyang, Official, The Organization Department of Central Committee of CPC; Pan Guangwei, Deputy Director-General of Human Resources Department, China Banking Regulatory Commission; Qi Xiang, Director of General Office, China Banking Regulatory Commission; Zhang Lixing, Director of International Affairs Division, China Banking Regulatory Commission; and Bryan Corbett, Principal, Global Government and Regulatory Affairs, The Carlyle Group

3:00 pm Meeting with Congressman Spencer Bachus (R-AL), Ranking Member, U.S. House Committee on Financial Services  
 6:00 pm Attend the 9<sup>th</sup> Annual Service to America Medals Gala, Andrew W. Mellon Auditorium, Washington, DC

Thursday, September 16, 2010

10:00 am Testify before the U.S. House Financial Services Committee on “Legislative Proposals to Address Concerns Over the SEC’s New Confidentiality Provisions”  
 2:00 pm Meeting with staff  
 3:00 pm Closed Commission meeting

Friday, September 17, 2010

10:00 am Open Commission meeting  
 11:00 am Meeting with Commissioner  
 12:30 pm Phone call with staff  
 2:00 pm Meeting with Faith Bautista, President, Chief Executive Officer, National Asian American Coalition; Chris Vaeth, Legislative Director, Greenlining Institute; Mia Martinez, Deputy Director, National Asian American Coalition; Tunua Thrash, Director, Green Door Advisors; Uduak Ntuk, Petroleum Engineer, Chevron; and Mario Hodge, Project Development, HCO Architects Indianapolis  
 3:00 pm Meeting with staff  
 4:00 pm Meeting with staff  
 4:30 pm Meeting with staff

Monday, September 20, 2010

9:30 am Meeting with staff  
 10:30 am Meeting with staff  
 1:00 pm Meeting with staff  
 2:00 pm Meeting with Sander Bieber, Partner, Dechert LLP  
 3:15 pm Press interview with Steve Kroft, *60 Minutes*

Tuesday, September 21, 2010

9:30 am Meeting with staff  
 12:00 pm Lunch with IRS Commissioner Douglas Shulman  
 2:00 pm Meeting with LCH.Clearnet, including: Roger Liddell, Group Chief Executive; Natasha De Teran, Head, Public Policy; Peter Rich, Principal, Rich Feuer Group; and Mitchell Feuer, Principal, Rich Feuer Group  
 3:00 pm Meeting with staff

Wednesday, September 22, 2010

9:00 am Meeting with staff  
 9:30 am Phone call with Hans Hoogervorst, Chairman, Authority for the Financial Markets, Netherlands  
 10:00 am Interview job candidate  
 11:30 am Meeting with the Bank of America Corporation, including: Sallie Krawcheck, President, Global Wealth and Investment Management; Scott Henderson,

	Deputy General Counsel; Michelle Rhee, Associate General Counsel; and Kevin MacMillan, Associate General Counsel
1:45 pm	Speak at the Security Traders Association's Annual Conference and Business Meeting, Newseum, Washington, DC
3:30 pm	Meeting with Sir David Tweedie, Chairman, International Accounting Standards Board; Tommaso Padoa-Schioppa, Chairman, International Accounting Standards Committee Foundation; and Robert Glauber, Trustee, International Accounting Standards Committee Foundation
4:30 pm	Meeting with staff
5:00 pm	Meeting with CFTC Chairman Gary Gensler and Cyrus Amir Mokri, Senior Counsel

Thursday, September 23, 2010

9:30 am	Meeting with Commissioner
11:00 am	Meeting with staff
1:30 pm	Meeting with staff
2:00 pm	Closed Commission meeting
4:00 pm	Meeting with staff
4:30 pm	Meeting with Barbara Roper, Director, Investor Protections, Consumer Federation of America and Mercer Bullard, Founder, President, Fund Democracy, Inc.
5:30 pm	Meeting with Mercer Bullard, Founder, President, Fund Democracy, Inc.

Friday, September 24, 2010

8:30 am	Meeting with staff
10:30 am	Meeting with Robert Greifeld, Chief Executive Officer, NASDAQ OMX Group, Inc.
2:00 pm	Meeting with staff
3:00 pm	Meeting with Anne Sheehan, Director of Corporate Governance, California State Teachers' Retirement System
4:00 pm	Meeting with staff
5:00 pm	Meeting with staff

Monday, September 27, 2010

10:00 am	Press interview with Steve Kroft, <i>60 Minutes</i>
Travel to New York, NY	
3:30 pm	Press interviews
5:30 pm	Speak at Fordham University School of Law during an event entitled "Where is the SEC After 75 Years?", McNally Amphitheatre, Fordham University, New York, NY
8:30 pm	Dinner for panelists and guests, Josephina Restaurant, New York, NY

Tuesday, September 28, 2010

Travel to Washington, DC

1:00 pm	Meeting with staff
2:00 pm	Meeting with the Board of Directors of the Managed Funds Association, including: Dean Backer, Global Head, Prime Brokerage Sales and Capital

Introduction, Goldman, Sachs & Co.; Gerald Beeson, Chief Operating Officer, Citadel; Arthur Bell, Managing Member, Arthur Bell; Scott Bernstein, Caxton Associates; Darcy Bradbury D.E. Shaw; Michel Brogard, Amber Capital Investment; Todd Builione, Highbridge Capital Management; Putnam Coes, Paulson & Co.; John Damgard, Futures Industry Association Inc.; Sonia Gardner, Avenue Capital; Michael Gismondi, Chief Financial Officer, TPG-Axon Capital Management LP; William Goodell, Maverick; David Harding, Chairman, Head of Research, Winton Capital Management; Mark Horowitz, Glenview Capital; Michael Inserra, Moore Capital; William Keunen, Citco; Jack Klinck, Executive Vice President, Global Head, Corporate Development & Global Relationship Management, State Street Corporation; Jules Kroll, K2 Global; Scott Lawin, Fortress Investment Group; John Macfarlane, Chairman of the Board, Otter Tail Corporation; Michael Mendelson, Principal and Portfolio Manager, AQR Capital Management LLC; Peter Nussbaum, S.A.C.; James O'Brien, Cantillon Capital Management; Joann Pace, Morgan Stanley; Kenneth Raisler, Head, Commodities, Futures, and Derivatives Group, Sullivan & Cromwell, LLP; Paul Roth, Schulte Roth and Zabel; Eric Sirri, Professor of Finance, Babson College; Cary Stier, Deloitte & Touche; Philip Vasan, Managing Director, Credit Suisse; Eric Vincent, Ospraie; Tracy Wills-Zapata, Campbell & Co.; Richard Baker, Managed Funds Association; Marc Charon, Managed Funds Association; Brooke Harlow, Managed Funds Association; Stuart Kaswell, Managed Funds Association; Roger Hollowingsworth, Managed Funds Association; and James Tkatch, Managed Funds Association;

3:30 pm Meeting with NYSE Euronext, including: Duncan Niederauer, Chief Executive Officer; John Halvey, Executive Vice President, General Counsel; and Matthew Scogin, Chief-of-Staff

4:30 pm Meeting with staff

Wednesday, September 29, 2010

8:30 am Meeting with staff  
 10:00 am Meeting with staff  
 10:30 am Closed Commission meeting  
 2:00 pm Financial Stability Oversight Board meeting by phone  
 3:30 pm Meeting with Senator David Vitter (R-LA)

Thursday, September 30, 2010

10:00 am Testify before the U.S. Senate Committee on Banking, Housing, and Urban Affairs on "Implementing the Dodd-Frank Wall Street Reform and Consumer Protection Act"

2:30 pm Meeting with staff  
 3:00 pm Meeting with staff

Friday, October 1, 2010

8:00 am Meeting with the Board of Directors of the Financial Services Roundtable, including: Richard Davis, U.S. Bancorp, Chairman; James Rohr, PNC Financial Services Group, Inc., Chairman-Elect; Christopher Condron, AXA Financial, Inc., Immediate-Past Chairman; James Wells, SunTrust Banks, Inc., BITS Chairman; George Borst, Toyota Financial Services, Executive Committee Member – Tentative; Robert Kelly, The Bank of New York Mellon Corporation, Treasurer; Ellen Alemany, RBS America; Walter Bell, Swiss Re America Holding Corporation; William Cary, GE Capital, Insurance Caucus Chair; Ellen Costello,

Harris Bankcorp, Inc.; Russell Goldsmith, City National Corporation; Michael Heid, Wells Fargo Home Mortgage, HPC & HOPENOW Chair; Kelly King, BB&T Corporation; Ronald O'Hanley, Fidelity Investments; Thomas McInerney, ING Insurance Americas; Aubrey Patterson, BancorpSouth, Inc.; Robert Selander, MasterCard Worldwide; Michael Shepherd, BancWest Corporation, Regulatory Oversight Committee Chair & Banking Caucus Chair; Harris Simmons, Zions Corporation, Consumer Issues Committee Chair; James Smith, Webster Bank, N.A.; Norman Sorensen, Principal Financial Group, Global & Trade Committee Chair; Masaaki Tanaka, UnionBanCal Corporation; Frederick Waddell, Northern Trust Corporation, Business & Economy Committee Chair; Thomas Watjen, Unum; Thomas Wilson, Allstate Corporation; Richard Bielen, Vice Chairman, Chief Financial Officer, Protective Life Corporation; Jeffrey Brown, Executive Vice President & Chief Administrative Officer, Webster Bank, N.A.; Richard Dziadzio, Senior Executive Vice President and Chief Financial Officer, AXA Financial, Inc.; Stefan Gavell, Executive Vice President, State Street Corporation; Richard Hartnack, Vice Chairman, Consumer Banking, U.S. Bancorp; Pamela Joseph, Vice Chairman, U.S. Bancorp; Maura Markus, President and Chief Operating Officer, BancWest Corporation; Richard Payne, Vice Chairman, Corporate Banking, U.S. Bancorp; Gerald Plush, Senior Executive Vice President, CFO & CRO, Webster Bank, N.A.; Don Neal, Leading Authorities; Jim Clifton, Gallup; Jim Sivon, Barnett, Sivon & Natter, P.C.; Greg Wilson, GP Wilson Consulting

9:30 am	Meeting with staff
11:00 am	Phone call with Robert Glauber, Trustee, International Accounting Standards Committee Foundation
12:15 pm	Lunch with Elizabeth Warren, Assistant to the President and Special Advisor to the Secretary of the Treasury, Consumer Financial Protection Bureau
1:30 pm	Financial Stability Oversight Council meeting, Department of the Treasury

#### Monday, October 4, 2010

10:00 am	Closed Commission meeting
11:30 am	Meeting with staff
2:00 pm	Phone call with Hill Feinberg, Chairman, Chief Executive Officer, First Southwest Company
3:10 pm	Attend the Division of Trading and Markets' town hall meeting
4:30 pm	Meeting with staff

#### Tuesday, October 5, 2010

10:00 am	Meeting with staff
11:55 am	Meet and greet with Treasurer of the United States Rosie Rios and Commissioner
12:10 pm	Speak at the SEC's Hispanic Heritage Month Celebration
1:30 pm	Press interview with Andrea Mitchell, MSNBC
3:20 pm	Speak at the 2010 <i>Fortune</i> Most Powerful Women Summit, Mandarin Oriental Hotel, Washington, DC
5:00 pm	Meeting with staff

#### Wednesday, October 6, 2010

9:30 am	Meeting with staff
11:00 am	Meeting with Commissioner
12:30 pm	Meeting with staff

1:00 pm	Closed Commission meeting
1:30 pm	Meeting with Commissioner
5:00 pm	Speak to members of the Financial Services Forum, including: Thomas Wilson, Chairman, President, Chief Executive Officer, Allstate Insurance Company; Robert Benmosche, President, Chief Executive Officer, American International Group, Inc.; Brian Moynihan, Chief Executive Officer, President, Bank of America; Robert Kelly, Chairman, Chief Executive Officer, Bank of New York Mellon Corporation; Lewis Kaden, Vice Chairman, Citigroup Inc.; Josef Ackermann, Chairman of the Group Executive Committee, Deutsche Bank AG; James Weddle, Managing Director, Edward Jones; Abigail Johnson, President, PWI, Fidelity Investments; Michael Neal, Chief Executive Officer, GE Capital Services; Lloyd Blankfein, Chairman, Chief Executive Officer, Goldman, Sachs & Co.; James Dimon, Chairman, President, Chief Executive Officer, JPMorgan Chase & Co.; Robert Henrikson, Chairman, President, Chief Executive Officer, MetLife, Inc.; John Strangfeld, Chief Executive Officer, Prudential Financial; Joseph Hooley, President, Chief Executive Officer, State Street Corporation; Oswald Grübel, Group Chief Executive Officer, UBS AG; Richard Davis, Chairman, President, Chief Executive Officer, US Bancorp; John Stumpf, Chairman, Chief Executive Officer, Wells Fargo & Company; Robert Nichols, President, Chief Operating Officer, Financial Services Forum; Barry Zubrow, Executive Vice President, Chief Risk Officer, JPMorgan Chase & Co.; and John Rogers, Managing Director, Goldman, Sachs & Co.

Thursday, October 7, 2010

9:30 am	Meeting with Todd Groome, Chairman, Alternative Investment Management Association
10:30 am	Meeting with Brent Harris, Managing Director, Pacific Investment Management Company; Peter Lefkin, Senior Vice President, Government and External Affairs, Allianz; and Daniel Crowley, Partner, K&L Gates LLP
11:30 am	Meeting with staff
2:00 pm	Meeting with Commissioner and staff
2:30 pm	Meeting with Commissioner and staff
3:00 pm	Meeting with staff
4:00 pm	Meeting with staff

Friday, October 8, 2010

9:30 am	Meeting with Paul Tucker, Deputy Governor for Financial Stability, Bank of England
11:00 am	Meeting with staff
12:00 pm	Meeting with staff
2:00 pm	Meeting with Rosemary Berkery, UBS AG; Debbie Castiglioni, Cutter and Company Brokerage, Inc.; Chet Helck, Raymond James Financial, Inc.; John Hogarty, Bank of America Merrill Lynch; Charlie Johnston, Morgan Stanley Smith Barney; Walter Robertson, Scott & Stringfellow, LLC; Thomas McDonald, Thomas McDonald Partners, LLC; Timothy Scheve, Janney Montgomery Scott LLC; Randy Snook, Securities Industry and Financial Markets Association; Ken Bentsen, Securities Industry and Financial Markets Association; Ira Hammerman, Securities Industry and Financial Markets Association; Kevin Carroll, Securities Industry and Financial Markets Association; John Maurello, Securities Industry and Financial Markets Association; Robert Colby, Davis Polk & Wardell LLP
3:00 pm	Meeting with Robert Kueppers, Deputy Chief Executive Officer, Deloitte LLP; Stephen Howe, Americas Area Managing Partner, Ernst & Young LLP; John

Veihmeyer, Chairman, Chief Executive Officer, KPMG LLP; Robert Moritz, Chairman, Senior Partner, PricewaterhouseCoopers LLP; and Cynthia Fornelli, Executive Director, Center for Audit Quality

Monday, October 11, 2010

SEC closed for Columbus Day

Tuesday, October 12, 2010

9:30 am	Meeting with staff
10:30 am	Meeting with staff
12:00 pm	Lunch with FDIC Chairman Sheila Bair
2:00 pm	Meeting with Sir Andrew Large, former Deputy Governor, Bank of England and Dominik Treeck, Senior Manager, Public Policy, Oliver Wyman Group
3:00 pm	Meeting with staff
4:00 pm	Meeting with Commissioner
5:15 pm	Meeting with staff

Wednesday, October 13, 2010

10:00 am	Open Commission meeting
1:00 pm	Speak at the National Treasury Employees Union's 10 <sup>th</sup> Anniversary celebration, SEC Auditorium
2:30 pm	Meeting with staff
4:30 pm	Meeting with staff

Thursday, October 14, 2010

9:00 am	Meeting with staff
11:00 am	Speak at the SEC's Combined Federal Campaign kick-off event, SEC Auditorium
12:30 pm	Meeting with Alan Yarrow, Chairman, and Simon Culhane, Chief Executive, Chartered Institute for Securities and Investments
1:30 pm	Meeting with staff
2:00 pm	Closed Commission meeting
4:00 pm	Meeting with staff

Friday, October 15, 2010

9:30 am	Interview job candidate
11:00 am	Meeting with Secretary Geithner, Department of the Treasury

Monday, October 18, 2010

9:30 am	Meeting with staff
10:30 am	Meeting with staff
2:00 pm	Interview job candidate
3:00 pm	Meeting with staff
3:30 pm	Meeting with staff
4:00 pm	Meeting with CFTC Chairman Gary Gensler

Tuesday, October 19, 2010

11:00 am Speak at the 2010 National Association of Corporate Directors' Annual Conference, JW Marriott Washington, DC, Washington, DC  
2:00 pm Meeting with Commissioner and staff  
4:30 pm Meeting with Duncan Niederauer, Chief Executive Officer, NYSE Euronext

Wednesday, October 20, 2010

8:30 am Meeting with Commissioner  
9:30 am Meeting with staff  
11:00 am Principals meeting hosted by Secretary Geithner and Secretary of Housing and Urban Development Shaun Donovan on foreclosure issues, Department of Housing and Urban Development  
1:00 pm Speak at the SEC's Office of Compliance Inspections & Examinations' Leadership Team Meeting, Hyatt Regency Washington on Capitol Hill, Washington, DC  
3:00 pm Meeting with staff

Thursday, October 21, 2010

9:00 am Meeting with staff  
9:30 am Meeting with the Boston Consulting Group  
11:00 am Meeting with staff  
1:15 pm Meeting with staff  
1:30 pm Meeting with staff  
2:00 pm Closed Commission meeting  
3:30 pm Commission briefing

Friday, October 22, 2010

8:30 am Conference call with the OTC Derivatives Supervisors Group, including: CFTC Chairman Gary Gensler; William Dudley, President, Chief Executive Officer, Federal Reserve Bank of New York; Stacy Coleman, Vice President, Bank Supervision, Federal Reserve Bank of New York; and Wendy Ng, Assistant Vice President, Bank Supervision, Federal Reserve Bank of New York  
9:00 am CFTC-SEC Roundtable on Clearing of Credit Default Swaps, CFTC  
1:30 pm Meeting with staff

Monday, October 25, 2010

9:30 am Meeting with staff  
10:30 am Meeting with staff  
12:30 pm Lunch with former SEC Commissioner Annette Nazareth, Partner, Davis Polk & Wardwell LLP  
2:30 pm Interview job candidate  
3:30 pm Meeting with staff  
4:00 pm Interview job candidate  
5:00 pm Meeting with staff  
6:30 pm Dinner with CFTC Chairman Gary Gensler, Chairman of the Federal Trade Commission Jonathan Leibowitz, and Chairman of the Federal Communications Commission Julius Genachowski, Caucus Room, Washington, DC



Tuesday, October 26, 2010

9:30 am Meeting with the Options Clearing Corporation, including: Wayne Luthringshausen, Chairman, Chief Executive Officer; William Navin, Executive Vice President, General Counsel, Secretary; and Susan Milligan, Senior Vice President, Government Relations and Communications

10:30 am Meeting with staff

2:15 pm Interview job candidate

4:00 pm Phone call with Lawrence Harris, Professor of Finance, Marshall School of Business, University of Southern California

4:30 pm Meeting with staff

5:30 pm Meeting with staff

Wednesday, October 27, 2010

9:00 am Meeting with staff

10:00 am Annual ethics training

11:00 am Meeting with Daniel Curry, President, Dominion Bond Rating Service

12:30 pm Lunch with John Damgard, President, Futures Industry Association Inc.

Travel to New York, NY

7:30 pm Dinner with the Monitoring Board of the International Accounting Standards Committee Foundation, The Grill Room, New York, NY

Thursday, October 28, 2010

8:30 am Meeting with staff at the SEC New York Regional Office

9:30 am Closed Commission meeting by phone, SEC New York Regional Office

10:30 am Bilateral meeting with Katsunori Mikuniya, Commissioner, Financial Services Agency, Japanese Government, SEC New York Regional Office

11:00 am Meeting with the Monitoring Board of the International Accounting Standards Committee Foundation, SEC New York Regional Office

12:00 pm Closed session with the Monitoring Board and trustees of the International Accounting Standards Committee Foundation, SEC New York Regional Office

1:30 pm Closed session with the Monitoring Board and trustees of the International Accounting Standards Committee Foundation, SEC New York Regional Office

2:30 pm Public session with the Monitoring Board and trustees of the International Accounting Standards Committee Foundation, SEC New York Regional Office

Travel to Washington, DC

Friday, October 29, 2010

8:30 am Meeting with staff

9:30 am Meeting with staff

10:30 am Meeting with James Weddle, Chief Executive Officer, and James Tricarico, General Counsel, Edward Jones

11:30 am Meeting with staff

1:00 pm Interview job candidate

2:00 pm Financial Stability Oversight Board meeting by phone

Monday, November 1, 2010

9:30 am	Meeting with staff
10:30 am	Meeting with staff
11:30 am	Meeting with staff
12:30 pm	Meeting with staff
1:30 pm	Meeting with staff
2:30 pm	Meeting with Commissioner and Edward Knight, Executive Vice President, General Counsel, Chief Regulatory Officer, The NASDAQ OMX Group, Inc.; Anna Ewing, Executive Vice President, Chief Information Officer, The NASDAQ OMX Group, Inc.; John Zecca, Chief Regulatory Officer, NASDAQ OMX BX, Inc.; Andreas Furche, Chief Executive Officer, Director, SMARTS Group; William Nosal, Senior Managing Director, SMARTS Group; and Michael Karbouris, Head of Sales and Product Management, Americas, SMARTS Group
4:00 pm	Meeting with staff

Tuesday, November 2, 2010

11:00 am	Meeting with staff
1:00 pm	Meeting with the Investment Adviser Association, including: David Tittsworth, Executive Director; Karen Barr, General Counsel; Christine Carsman, Chief Regulatory Counsel, Affiliated Managers Group, Inc.; David Oestreicher, Chief Legal Counsel, T. Rowe Price Associates, Inc.
2:00 pm	Commission briefing
4:00 pm	Meeting with staff

Wednesday, November 3, 2010

10:00 am	Open Commission meeting
3:00 pm	Speak at the SEC Student Law Observer Program, SEC
4:00 pm	Meeting with Commissioner

Thursday, November 4, 2010

9:30 am	Meeting with David Massey, President, Board of Directors, North American Securities Administrators Association, Inc. and North Carolina Deputy Securities Administrator; Andrea Seidt, Ohio Securities Commissioner; Steve Irwin, Pennsylvania Securities Commissioner; Melanie Lubin, Maryland Securities Commissioner; and Russ Iuculano, Executive Director, North American Securities Administrators Association, Inc.
10:30 am	Meeting with staff
12:00 pm	Phone call with Congressman Gregory Meeks (D-NY)
1:00 pm	Meeting with staff
1:30 pm	Closed Commission meeting
4:00 pm	Meeting with staff
4:30 pm	Farewell reception for staff

Friday, November 5, 2010

9:00 am	CFTC-SEC Joint Advisory Committee meeting, CFTC
2:00 pm	Meeting with staff
3:00 pm	Meeting with staff

4:00 pm Meeting with staff  
5:00 pm Meeting with Commissioner

Monday, November 8, 2010

Travel to New York, NY

10:20 am Speak at the Securities Industry and Financial Markets Association's Annual Meeting, New York Marriott Marquis, New York, NY  
11:00 am Press interviews following event

Travel to Washington, DC

4:00 pm Meeting with staff  
5:15 pm Phone call with Congressman Eric Cantor (R-VA), Minority Whip

Tuesday, November 9, 2010

9:50 am Meeting with Commissioner and staff

Travel to Chicago, IL

4:15 pm Meeting with students of Northwestern University's Juris Doctor MBA program  
4:30 pm Meeting with David Van Zandt, Dean, Northwestern University School of Law, Chicago, IL  
5:00 pm Deliver the Brodsky Family Northwestern JD-MBA Lecture, Northwestern University School of Law, Chicago, IL  
6:00 pm Reception for the Brodsky Family Northwestern JD-MBA Lecture, Northwestern University School of Law, Chicago, IL  
7:00 pm Dinner with David Van Zandt, Dean, Northwestern University School of Law; Lisa Huestis, Professor of Law, Northwestern University School of Law; Morton Schapiro, President, Northwestern University and his wife; former SEC Chairman David Ruder, Professor Emeritus, Northwestern University School of Law and his wife; Gene Sunshine, Senior Vice President for Business and Finance, Northwestern University and his wife; Sally Blount, Dean, Kellogg School of Management, Northwestern University; Kathleen Hagerty, Professor, Kellogg School of Management, Northwestern University; William Brodsky, Chairman, Chief Executive Officer, CBOE Holdings, Inc. and his wife; Stephen and Liz Brodsky; Jonathan and Lina Brodsky; and Merri Jo Gillette, Regional Director, Chicago Regional Office, SEC

Wednesday, November 10, 2010

Travel to Washington, DC

1:30 pm Meeting with staff  
2:00 pm Closed Commission meeting  
4:00 pm Meeting with staff  
4:30 pm Meeting with Meredith Whitney, Chief Executive Officer, Meredith Whitney Advisory Group LLC  
6:00 pm Meeting with staff

Thursday, November 11, 2010

SEC closed for Veterans Day

Friday, November 12, 2010

Travel to London, England

Monday, November 15, 2010

9:00 am	Meeting with Paul Tucker, Deputy Governor for Financial Stability, Bank of England, the offices of the Bank of England, London, England
10:30 am	Meeting with the Financial Services Authority, United Kingdom, including: Lord Adair Turner, Chairman; Verena Ross, Director, Strategy and Risk; Alexander Justham, Director, Markets; Margaret Cole, Director, Enforcement; Sheila Nichol, Director, Conduct Policy; Mratine Doyon, Manager Markets – International Strategy; and Bronwyn Bayne, Associate – Markets; the offices of the Financial Services Authority, United Kingdom, London, England
2:30 pm	Meeting with representatives of the Financial Reporting Council, including: Stephen Haddrill, Chief Executive; David Green, Advisor, International Affairs; Paul George, Director, Professional Oversight Board and Vice-Chairman of International Forum of Independent Audit Regulators; and Peter Montagnon, Senior Investment Advisor, Aldwych House, London School of Economics, London, England

Travel to Paris, France

Tuesday, November 16, 2010

9:00 am	Meeting with Vittorio Grilli, Chairman, Financial Services Committee, European Council and Director General of the Treasury, Ministry of Economy and Finance of Italy and Jean-Paul Servais, Member, European Securities Commission and Chairman, Banking Finance and Insurance Commission, Belgium
10:15 am	Meeting with Michel Barnier, Commissioner for Internal Market and Services, European Commission and Carlos Tavares, Chairman, Committee of European Securities Regulators and Chairman, Comissão do Mercado de Valores Mobiliários, Portugal
2:00 pm	Meeting with Eddy Wymeersch, Chairman, Committee of European Securities Regulators and Chairman of the Supervisory Board, Belgian Commission Bancaire, Financière et des Assurances and Carlo Comporti, Secretary General, Committee of European Securities Regulators
7:00 pm	Dinner meeting with Jean-Pierre Jouyet, Chairman, Autorité des Marchés Financiers, France

Wednesday, November 17, 2010

Travel to Washington, DC

Thursday, November 18, 2010

9:00 am	Meeting with the Board of Directors of the International Swaps and Derivatives Association, including: Stephen O'Connor, Managing Director, Morgan Stanley; Martin Chavez, Managing Director, The Goldman Sachs Group, Inc.; Ted
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MacDonald, Managing Director, Treasurer, D. E. Shaw & Co. L.P.; Athanassios Diplas, Managing Director, Deutsche Bank; Brian Archer, Managing Director, Global Head, Credit Trading, Citigroup Inc.; Conrad Voldstad, Chief Executive Officer, International Swaps and Derivatives Association; Robert Pickel, Executive Vice Chair, International Swaps and Derivatives Association; Mary Johannes, Senior Director and Head of US Public Policy, International Swaps and Derivatives Association; former SEC Chairman Harvey Pitt, Chief Executive Officer, Kalorama Partners, LLC; and Wendy LaDuca, Principal, Kalorama Partners, LLC

10:00 am Meeting with Edward Rosen, Partner, Cleary Gottlieb Steen & Hamilton LLP and Jonathan Hughes, Managing Director, Global General Counsel, Barclays Capital, Inc.

11:00 am Phone call with Congressman Earl Pomeroy (D-ND)

12:30 pm Speak at the SEC Small Business Forum, SEC Auditorium

1:30 pm Meeting with Congressman Darrell Issa (R-CA), Ranking Member, U.S. House Committee on Oversight and Government Reform

3:00 pm Closed Commission meeting

5:00 pm Meeting with John Prendergast, Co-Founder, The Enough Project; Darren Fenwick, Senior Manager of Government Affairs, The Enough Project; Sasha Lezhnev, Executive Director, Co-Founder, Grassroots Reconciliation Group; and Deborah Meshulam, Partner, DLA Piper

Friday, November 19, 2010

10:00 am Open Commission meeting

12:30 pm Meeting with staff

2:30 pm Meeting with Secretary Geithner and Deputy Secretary Neal Wolin, Department of the Treasury

3:30 pm Meeting with staff

5:00 pm Meeting with staff

Monday, November 22, 2010

9:30 am Meeting with staff

10:30 am Meeting with staff

2:00 pm Closed Commission meeting

3:00 pm Meeting with staff

3:30 pm Meeting with staff

4:00 pm Meeting with staff

5:00 pm Meeting with staff

Tuesday, November 23, 2010

9:00 am Meeting with Commissioner

11:00 am Financial Stability Oversight Council meeting, Department of the Treasury

1:30 pm Meeting with staff

2:00 pm Closed Commission meeting

3:00 pm Meeting with Commissioner

3:30 pm Meeting with staff

4:00 pm Meeting with staff

5:00 pm Meeting with Wells Fargo Advisors, LLC, including: Daniel Ludeman, President, Chief Executive Officer; Robert Mooney, Chief Governance Officer; Douglas Kelly, General Counsel; Ronald Long, Director, Regulatory Affairs; and James Lenz, Chief Risk Officer

Wednesday, November 24, 2010

No scheduled meetings

Thursday, November 25, 2010

SEC closed for Thanksgiving Day

Friday, November 26, 2010

Out of the office

Monday, November 29, 2010

9:30 am	Meeting with staff
10:30 am	Meeting with staff
2:00 pm	Financial Stability Oversight Board meeting, Federal Housing Finance Agency
3:00 pm	Federal Housing Finance Agency Oversight Board meeting, Federal Housing Finance Agency
4:30 pm	Meeting with staff

Tuesday, November 30, 2010

8:30 am	Phone call with Howard Weston, Chairman, Ontario Securities Commission
10:00 am	Meeting with Federated Investors, including: Eugene Maloney, Executive Vice President, Corporate Counsel, Federated Investors, Inc.; Christopher Donahue, President, Chief Executive Officer, Federated Investors, Inc.; John Hawke, Partner, Arnold & Porter LLP; Martha Cochran, Partner, Arnold & Porter LLP; and David Freeman, Partner, Arnold & Porter LLP
11:00 am	Meeting with staff
12:00 pm	Phone call with Gil Kerlikowske, Director, White House Office of National Drug Control Policy
12:15 pm	Meeting with staff
12:30 pm	Phone call with Senator Jon Tester (D-MT)
2:00 pm	Meeting with Commissioner

Travel to New York, NY

7:00 pm	Speak at a Franklin & Marshall College Alumni Panel, Waldorf-Astoria Hotel, New York, NY
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Travel to Washington, DC

Wednesday, December 1, 2010

10:00 am	Meeting with staff
2:00 pm	Meeting with staff

Thursday, December 2, 2010

10:30 am	Meeting with staff
12:30 pm	Lunch with Peter Wallison, Senior Fellow, American Enterprise Institute for Public Policy Research
2:00 pm	Closed Commission meeting
4:00 pm	Meeting with staff

Friday, December 3, 2010

10:00 am	Open Commission meeting
2:00 pm	Meeting with the Goldman Sachs Business Standards Committee, including: Michael Evans, Vice Chairman, Chairman of Goldman Sachs Asia; John Rogers, Chief-of-Staff and Secretary to the Board of Directors; Jeffrey Schroeder, Chief Administrative Officer; David Greenwald, Deputy General Counsel, International General Counsel
4:00 pm	Meeting with staff

Monday, December 6, 2010

9:00 am	Speak at the American Institute of Certified Public Accountants' 38 <sup>th</sup> Annual National Conference, Washington Marriott Wardman Park, Washington, DC
11:00 am	Meeting with staff
1:30 pm	Phone call with Congressman Brad Sherman (D-CA)
3:00 pm	Meeting with staff
6:00 pm	Attend the President and Mrs. Obama's Holiday Ball in honor of the Members of Congress, White House

Tuesday, December 7, 2010

9:00 am	Attend the SEC's Field Hearing on the State of Municipal Securities Markets, SEC Auditorium
10:00 am	Tape remarks for the SEC's "tips, complaints, and referrals" system training video

Wednesday, December 8, 2010

10:00 am	Closed Commission meeting
10:30 am	Meeting with staff
3:30 pm	Testify before the U.S. Senate Subcommittee on Securities, Insurance, and Investment on "Examining the Efficiency, Stability and Integrity of the U.S. Capital Markets"

Thursday, December 9, 2010

8:00 am	Interview job candidate
9:00 am	Meeting with staff
12:30 pm	Meeting with staff
1:00 pm	Closed Commission meeting
4:00 pm	Interview candidate

Friday, December 10, 2010

8:30 am	Meeting with staff
11:30 am	Meeting with the Boston Consulting Group
3:00 pm	Interview candidate
4:00 pm	Meeting with staff
5:00 pm	Interview candidate

Monday, December 13, 2010

9:30 am	Meeting with staff
10:30 am	Meeting with staff
12:30 pm	Lunch with CFTC Commissioner Jill Sommers
2:30 pm	Meeting with staff

Tuesday, December 14, 2010

9:00 am	Meeting with Sarah Teslik, Senior Vice President, Policy & Governance, Apache Corporation; Dominic Ricotta, Vice President, Associate General Counsel, Apache Corporation; Sherry Williams, Senior Vice President, Corporate Secretary, Halliburton Company; Glenn Westrick, Vice President, Federal Government Relations, Travelers; Margaret Foran, Vice President, Chief Governance Officer, Secretary, Prudential Financial, Inc.; Brian Morris, Chief Corporate Finance, Disclosure Counsel, Prudential Financial, Inc.; Betsy Moran, Chief Compliance Officer, UnitedHealth Group; Dannette Smith, Secretary to the Board, UnitedHealth Group; Todd Hartman, Vice President, Associate General Counsel, Chief Compliance Officer, Best Buy; Stacey Geer, Senior Vice President, Associate General Counsel, Primerica, Inc.; Kevin Avery, Director Federal Government Affairs, Marathon Oil Corporation; LaFleur Browne, Assistant General Counsel, Corporate Secretary, The Williams Companies, Inc.; Stephen Gauster, Senior Vice President, Chief Corporate Counsel, Assistant Secretary, Assurant; Carol Schwartz, Secretary, Corporate Governance Officer, American Express Company; Darla Stuckey, Senior Vice President, Policy and Advocacy, Society of Corporate Secretaries & Governance Professionals; Lewis Gardner, Vice President, General Counsel, EQT Corporation; David Siddall, Vice President, Deputy General Counsel, Corporate Secretary, Anadarko Petroleum Corporation; Jay Cohen, Senior Vice President, Chief Compliance Officer, Assurant, Inc.; Gary Fair, Vice President, Corporate Internal Audit, Chief Audit Executive, Johnson & Johnson; Thomas Moore, Vice President, Corporate Secretary and Chief Governance Officer, Ameriprise Financial; Marc Rome, Assistant Corporate Secretary, Chesapeake Energy Corporation; Dan Dorsky, Senior Compliance Counsel FCPA, Tyco International; Robert Smith, Vice President, Deputy General Counsel and Assistant Secretary, NiSource Inc.; Carole Sobin, Secretary, Associate General Counsel, Con Edison; Douglas Lankler, Senior Vice President and Chief Compliance Officer, Pfizer Inc.; and Haydee Olinger, Vice President, Global Compliance Manager, McDonald's Corporation
10:15 am	Meeting with staff
11:15 am	Meeting with Commissioner
12:30 pm	Lunch with former SEC Chairman William Donaldson, Chairman, Donaldson Enterprises
2:00 pm	Commission briefing
2:30 pm	Meeting with staff
4:15 pm	Phone call with Jack Lew, Director, Office of Management and Budget
4:30 pm	Meeting with staff



Wednesday, December 15, 2010

10:00 am Open Commission meeting  
1:00 pm Lunch with Senator Jack Reed (D-RI), Chairman, U.S. Senate Subcommittee on Securities, Insurance, and Investment and Kara Stein, Staff Director, U.S. Senate Subcommittee on Securities, Insurance, and Investment

Thursday, December 16, 2010

10:00 am Meeting with Joseph Fichera, Chief Executive Officer, Saber Partners, LLC  
11:00 am Meeting with staff  
12:45 pm Attend the SEC Red Wagon Holiday Parade  
1:30 pm Meeting with staff  
2:00 pm Closed Commission meeting  
4:30 pm Meeting with Commissioner and the National Association of Insurance and Financial Advisors, including: Terry Headley, President; Robert Miller, President-Elect; Jill Edwards, Assistant Vice President; Gary Sanders, Vice President; John Collins, Partner, Steptoe & Johnson LLP; and John Fielding, Counsel, Steptoe & Johnson LLP  
5:30 pm Meeting with staff

Friday, December 17, 2010

8:30 am Meeting with staff  
9:30 am Meeting with staff  
10:30 am Meeting with staff  
11:15 am Meeting with staff  
12:00 pm Meeting with Alan Beller, Partner, Cleary Gottlieb Steen & Hamilton LLP; former SEC Director, Division of Corporation Finance  
12:30 pm SEC holiday parties  
4:00 pm Meeting with staff

Monday, December 20, 2010

9:30 am Meeting with staff  
10:30 am Meeting with staff  
11:30 pm Meeting with staff  
12:00 pm Lunch with staff  
3:30 pm Principals meeting hosted by Secretary Geithner and Secretary of Housing and Urban Development Shaun Donovan on foreclosure issues, Department of the Treasury  
4:30 pm Financial Stability Oversight Board meeting, Department of the Treasury

Tuesday, December 21, 2010

8:00 am Interview with the Boston Consulting Group  
10:00 am Meeting with staff  
11:30 am Press interview with Rachelle Younglai and Jonathan Spicer, Thomson Reuters  
2:00 pm Meeting with staff

Wednesday, December 22, 2010

Out of the office

10:00 am	Phone call with staff
11:30 am	Phone call with staff

Thursday, December 23, 2010

Out of the office

Friday, December 24, 2010

SEC closed for Christmas Day

Monday, December 27, 2010

Out of the office

Tuesday, December 28, 2010

Out of the office

Wednesday, December 29, 2010

Out of office

1:30 pm	Phone call with staff
2:00 pm	Closed Commission meeting by phone

Thursday, December 30, 2010

Out of the office

Friday, December 31, 2010

SEC closed for New Year's Day